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6 **Magnesium aluminium silicate-metformin hydrochloride complexes - The**  
7 **use of isothermal calorimetry in probing the understanding of clay and**  
8 **drug nanocomplexations**  
9

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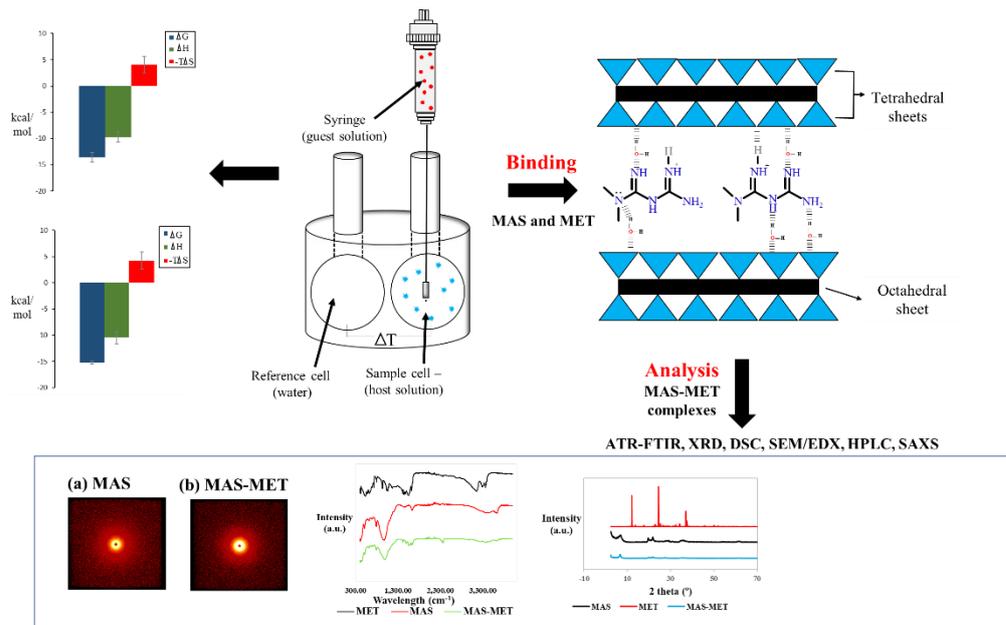
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32 **Graphical Abstract**

33



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36

37

38 **Highlights**

39 MAS-MET complexes fully characterized up to nanometer scale.

40 XRPD analysis was inconclusive as a technique in distinguishing between MAS and its  
41 complexed particles with MET

42 2D-SAXS was used to distinguish complexed particles from MAS only particles in liquid state

43 SEM/EDX studies showed the occurrence of changes in the microstructural properties of MAS  
44 following complexation.

45 Thermodynamic studies showed that binding process between MET and MAS was enthalpy  
46 driven and entropically unfavourable.

47

48

49 **Abstract**

50 Background: Studying complexation between a wide variety of drugs and clay is of high  
51 importance in expanding the knowledge about controlled drug delivery and its exploitation.  
52 This study reports the use of isothermal calorimetry (ITC) in understanding the complexation  
53 process occurring between magnesium aluminium silicate (MAS) and metformin  
54 hydrochloride (MET), as a potentially controlled release drug delivery system.

55 Objectives: To fully characterise and understand the complexes formed between MAS and  
56 MET and how that might impact on controlled release systems.

57 Methods: MAS and MET complex dispersions and particles were formulated and analysed  
58 using ITC, DSC, XRPD, ATR-FTIR, SEM/EDX, digital microscopy and 2D-SAXS.

59 Results: The calorimetric results confirmed the binding between MET and MAS at various pHs  
60 (5, 7 and 9) and temperatures (25 °C and 37 °C). The overall change in enthalpy was found to  
61 be exothermic with a comparatively small entropic contribution to the total change in Gibbs  
62 free energy, implying that the binding was an enthalpically driven process. These findings  
63 suggest that the binding process was dominated by hydrogen bonding and electrostatic  
64 interactions. pH and temperature variation did not have a great impact on the binding, as  
65 observed from the similarity in enthalpy ( $\Delta H$ ), entropy ( $\Delta S$ ) or Gibbs free energy ( $\Delta G$ ), with  
66 the reaction being only slightly more exothermic at pH 5 and at 37 °C. 2D-SAXS was able to  
67 differentiate between MAS particulates and MAS-MET complexes when analysed in their  
68 liquid form suggesting the importance of appropriate methodology and instrumentation used  
69 in characterisation.

70 Conclusion: ITC was successfully used in understanding the complexation process occurring  
71 between MAS and MET. Care and consideration however should thus be taken in the accurate  
72 determination and characterisation techniques for the formation of complexes for controlled  
73 release using MAS.

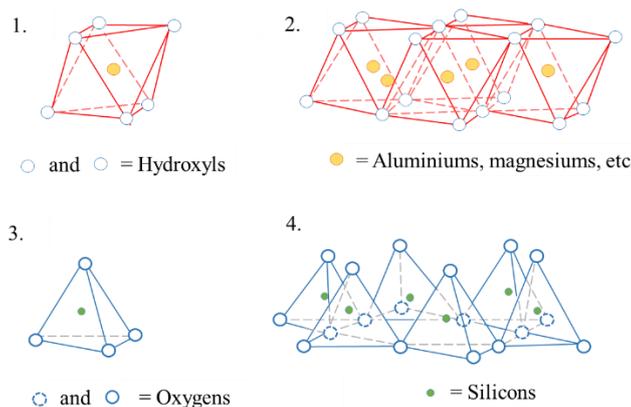
74 **Keywords:** isothermal titration calorimetry, magnesium aluminium silicate, metformin  
75 hydrochloride, single injection mode, multiple injection mode

76 **Abbreviations:** MAS, magnesium aluminium silicate; MET, metformin hydrochloride; ITC,  
77 isothermal titration calorimetry; SIM, single injection mode; MIM, multiple injection mode;  
78 HPLC, high performance liquid chromatography; RSD, relative standard deviation; ICH,  
79 International Conference on Harmonisation; LOD, limit of detection; LOQ, limit of  
80 quantification; HCl, hydrochloric acid; rpm, rotations per minute

81 **1. Introduction**

82 Minerals such as montmorillonite and saponite are widely used within the pharmaceutical  
83 industry as excipients within drug formulation. Such smectite clays have unique chemical  
84 composition but share structural similarities and are often used as disintegrants due to their  
85 high swelling capacity and acid-absorbing capacity, as diluents and binders due to their  
86 plasticity, as emulsifying, thickening and anticaking agents due to their colloidal and  
87 thixotropic properties and as flavour correctors due to their high sorption capacity. However,  
88 recent studies published in literature focus on the ability of smectite clays to interact or form  
89 complexes with cationic drugs to control drug release.[1]–[8] Some of these studies also  
90 explore the efficiency of clay-drug complexes combined with a variety of polymers in  
91 controlling drug release, assessing therefore a complex drug delivery system.

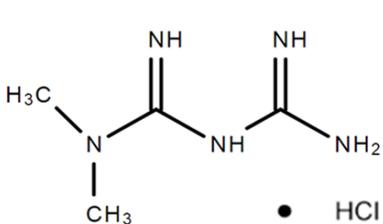
92 Magnesium aluminium silicate (MAS) (*Veegum*<sup>®</sup>) is a mixture of natural smectite  
93 montmorillonite and saponite clays. MAS is formed of one alumina or magnesia octahedral  
94 sheet, sandwiched between two tetrahedral silicate sheets (Fig. 1).[9] When  $Al^{3+}$  is the  
95 predominant cation, as in montmorillonite, only two of every three octahedral positions are  
96 occupied in order to maintain the charge balance within the clay lattice (dioctahedral clay). In  
97 contrast, all octahedral positions are filled when the central cation is  $Mg^{2+}$ , as in saponite  
98 (trioctahedral clay).[10] A single MAS platelet is 0.96 nm thick and up to several hundred nm  
99 across.[11]



101 **Fig 1.** (1.) Alumina octahedron; (2.) Alumina sheet composed of alumina octahedron  
 102 structures joined together; (3.) Silica tetrahedron; (4.) Silica sheet composed of silica  
 103 tetrahedron structures joined together; octahedral sheet, sandwiched between two tetrahedral  
 104 silicate sheets make up the structure of montmorillonite and saponite clay minerals: adapted  
 105 from [10]

106  
 107 Metformin hydrochloride (MET) (Table 1) is an orally administered biguanide that was firstly  
 108 clinically used in 1958.[12], [13] It represents the first choice of treatment for type 2 diabetes  
 109 mellitus (non-insulin dependent diabetes mellitus), being highly prescribed worldwide.[14]  
 110 MET promotes glycaemic control by reducing the hepatic glucose production and the intestinal  
 111 glucose absorption and by improving glucose uptake and utilisation.[14] MET also has  
 112 additional health benefits such as reduction of body weight, decrease of blood plasma lipid  
 113 levels, as well as prevention of cardiovascular complications.[14], [15]

114 **Table 1.** Chemical structure and physicochemical properties of metformin hydrochloride

Structure	MW	pK <sub>a</sub>
	165.63	3.0 ± 1.0
		11.9 ± 0.4

115  
 116 Despite its therapeutic benefits, MET has a short plasma half-life of 1.5 – 4.5 h, requiring  
 117 administration of 250 mg 2 – 3 times a day when larger doses are required, as well as a low  
 118 absolute bioavailability of 50 – 60 %.[12] Therefore, to maintain adequate drug plasma levels  
 119 and hence prolong its therapeutic effects, sustained release formulations are required.[14], [16]  
 120 The present study aims to understand and fully characterise the complexes that are formed

121 between magnesium aluminium silicate (MAS) and metformin hydrochloride (MET) using  
122 techniques such as isothermal calorimetry and small angle X-ray scattering. The aim is  
123 therefore to also understand how these complexed particles can be exploited as a potential  
124 controlled drug delivery system where MAS becomes a carrier for the MET. Previous studies  
125 by Totea et. al. and Pongjanyakul et. al. characterised and demonstrated the efficiency of drug-  
126 clay complexes to control the release of propranolol and diltiazem.[1], [2], [4], [6], [17]  
127 Studying complexation between a wide variety of drugs and clay is of high importance in  
128 expanding the knowledge about controlled drug delivery and its exploitation.

129

## 130 **2. Experimental**

### 131 **2.1. Materials**

132 Veegum F EP® (magnesium aluminium silicate) was a gift from R.T. Vanderbilt Company,  
133 Norwalk, CT (USA). This material complies with the European Pharmacopoeia monograph for  
134 magnesium aluminium silicate and is indicated for use as a dry excipient in pressed powders  
135 and in direct compression tablets.[18] Its composition was previously reported in literature.[1]  
136 Metformin hydrochloride (MET) was supplied from TCI (Tokyo Chemical Industry, Tokyo).  
137 Acetonitrile (HPLC grade), sodium phosphate dibasic dihydrate, 99+% (HPLC grade), 2 N  
138 sodium hydroxide and 2N hydrochloric acid were purchased from Fisher Scientific (UK).

139

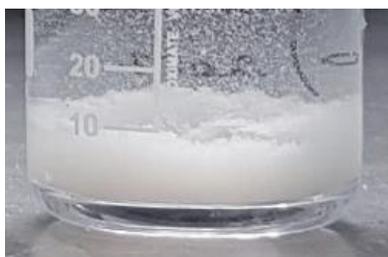
### 140 **2.2. Methods**

#### 141 **2.2.1. Formulation of MAS-MET complex particles**

142 Drug solution of MET (2 % w/v) and MAS dispersion (2 % w/v) were separately prepared  
143 under continuous stirring for 24 h, at 25 °C and 500 rpm. The pH of MAS dispersion and MET  
144 solution prepared was further adjusted to pH 5 using using 2 M hydrochloric acid or 2 M  
145 sodium hydroxide. For the first drug loading, MAS dispersion and MET solution prepared were

146 combined, and the obtained flocculated complex dispersion was incubated at 37 °C with  
147 shaking at 200 rpm for 24 h (GLS Aqua 18 Plus, Linear Shaking Water Bath, Grant  
148 Instruments). After 24 h, the complexes were filtered using a Buchner filtration apparatus. For  
149 the second drug loading, the single drug loaded flocculates previously obtained were  
150 redispersed into a fresh MET solution (2 % w/v) and the double drug loaded complex  
151 dispersion obtained (Fig. 2) was further incubated at 37 °C with shaking for 24 h. The double  
152 drug loaded MAS–MET flocculated complexes obtained were filtered and dried in the oven at  
153 50 °C for 48 hours. The final double drug loaded MAS-MET complex particles obtained were  
154 ground using a Retsch® PM 100 Ball Mill set at 350 rpm for 10 min, until fine powder was  
155 obtained and sieved to collect the particle size fraction between 65 – 123 µm. The samples  
156 were stored in sealed glass vials until required.

157



158

159 **Fig 2.** MAS-drug flocculated complex dispersion

160

161

## 2.2.2. Characterisation of MAS-MET complexes

162

### 2.2.2.1. Attenuated total reflectance Fourier transform infrared spectroscopy (ATR- 163 FTIR)

164

165

164 Infrared spectroscopy represents a powerful qualitative and quantitative analytical technique  
165 that can detect changes in the unique vibrational spectrum of a molecule. Hence, it can provide  
166 information about the chemistry of samples.[19] The technique was used to elucidate the

167 molecular interaction between MAS and MET. Experiments were performed on a Smart Orbit  
168 ATR-FTIR machine, using diamond as the ATR crystal. MAS, MET and dried MAS-MET  
169 complexes were scanned from 4000 to 400  $\text{cm}^{-1}$ .

170

#### 171 **2.2.2.2. Powder X-ray diffractometry (PXRD)**

172 Experiments were performed on a D2 PHASER XRPD from BRUKER and sample preparation  
173 involved the placement of MAS, MET and MAS-MET complexes in powder form onto a  
174 transmitter holder. Analysis was performed at an angular range of 2.5 - 40° ( $2\theta$ ) and a step  
175 angle of 0.02 ° ( $2\theta$ )  $\text{s}^{-1}$ . The X-ray source was generated as a Cu radiation at 30 kV and 10 mA.  
176 Bragg's Law (Equation 1) was used to calculate the basal spacing by the approximation of the  
177 001 plane peak (basal distance).[3]

$$178 \quad n\lambda = 2d\sin\theta$$

**Equation 1**

179 where  $n = 1$

180

#### 181 **2.2.2.3. Differential scanning calorimetry (DSC)**

182 DSC was used to study the thermal behaviour of MAS, MET and the MAS-MET complexes.  
183 Sample powder (less than 10 mg) was added onto a 40  $\mu\text{L}$  aluminium crucible with the lid  
184 hermetically sealed. The measurements were performed between 25 and 500 °C, at a rate of 10  
185 °C/min.

186

#### 187 **2.2.2.4. Scanning electron microscopy (SEM) with energy dispersive X-ray spectroscopy** 188 **(EDX)**

189 A QUANTA FEG 250 microscope equipped with EDX was used to study the surface  
190 morphology in 3-D and the energy spectrum of MAS, MET and MAS-MET complexes. The

191 electronic beam voltage of the microscope was set at 20 kV and the working distance was 30  
192 mm. Sample preparation involved placement of the material particles in powder form onto a  
193 sample holder, and then coating their surface in a thin layer of gold (1 – 5 nm).

194

#### 195 **2.2.2.5. Microscopy**

196 The morphology of the formulated flocculated MAS-MET complexes (formulated as described  
197 in section 2.2.1.) was analysed under a light microscope (Leica) at different magnifications.  
198 Sample preparation involved pipetting and spreading evenly a few drops of flocculated MAS-  
199 drug complex dispersions onto a glass slide.

200

#### 201 **2.2.2.6. Small Angle X-Ray Scattering (SAXS)**

202 SAXS was used to study the morphological changes of MAS upon the formation of flocculates  
203 following the adsorption of MET onto MAS, as well as to analyse the morphological  
204 characteristics of the MAS and the formed MAS-MET complexes in the solid-state form. This  
205 was conducted as reported in Totea et al.[6]

206 Solid state analysis was performed on MAS and MAS-MET double drug loaded complexes  
207 (particle size 63-125 µm). Samples were placed in disposable borosilicate glass capillary tubes  
208 (diameter 2.0 mm) and sealed at both ends. 2D-SAXS patterns were collected for all the  
209 samples and for the background using an acquisition time of 1000 sec. Transmission was  
210 calculated using a glassy carbon filter to correct for the probability of sample absorption using  
211 Equation 2.

$$212 \quad t = \frac{I_{X+GC} - t_{GC} \cdot I_X}{I_{GC} - t_{GC} \cdot I_0}$$

**Equation 2**

213 where  $t_{GC}$  is glassy carbon filter transmission,  $I_X$  is the number of counts collected from sample,  
214  $I_{X+GC}$  is the number of counts from sample with glassy carbon filter,  $I_{GC}$  is the number of counts  
215 with glassy carbon filter and  $I_0$  is the number of counts from empty chamber.

216

217 Liquid-state analysis was performed on the MAS dispersion and MAS-MET flocculated  
218 complexes. Small samples (approx. 100  $\mu$ L) were carefully pipetted in a metal cell fitted with  
219 a glass capillary, having a 1 mm diameter. Nanography was used to determine the coordinates  
220 of the sample holder. Data acquisition time was 1000 s and the background scattering (i.e. ultra-  
221 pure water at pH 5) was subtracted from each sample prior to data analysis.

### 222 **2.2.3. Drug content analysis from MAS-MET complexes**

223 Drug content was determined by dispersing 50 mg of the double drug loaded MAS-MET  
224 complex particles (in powder form) in 100 mL of 2 M HCl, ultra-pure water or pH 6.8  
225 phosphate buffer under continuous stirring for 24 h, at 25 °C and 700 rpm. The dispersions  
226 obtained were filtered using 0.2  $\mu$ m syringe filters and the filtrate obtained was then assessed  
227 using HPLC to determine the MET content. Experiments were performed in triplicate to ensure  
228 reproducibility.

229

### 230 **2.2.4. High Performance Liquid Chromatography (HPLC)**

231 High performance liquid chromatography was performed on a Shimadzu HPLC system  
232 equipped with an auto sampler. The column chosen was an XTerra<sup>®</sup> MS C18 150 mm  $\times$  4.6  
233 mm  $\times$  3.5  $\mu$ m. The mobile phase was prepared using acetonitrile and 0.01 M disodium  
234 hydrogen orthophosphate (pH 3.5) at a ratio of 30:70 v/v respectively. The flow rate of the  
235 mobile phase was set at 1 mL/min and the UV detection was set at 233 nm. An appropriate

236 calibration curve was obtained with a 100 µg/mL MET standard stock solution with MET  
237 standard solutions at 75, 50, 40, 30, 20, 10, 5, 1 and 0.1 µg/mL in ultra-pure water.

238

### 239 **2.2.5. Calorimetric binding studies**

240 Calorimetric binding studies were carried out a VP-ITC micro calorimeter (Malvern  
241 Panalytical, UK) and calibrated to ensure its working within acceptable limits. Single injection  
242 binding experiments were carried out at 25 °C and at three different pH values, 5, 7 and 9 to  
243 characterise the process of adsorption of MET onto MAS and identify the most favourable  
244 media for the reaction. Separate MAS dispersions and MET solutions were prepared separately  
245 using purified water under continuous stirring at 500 rpm at 25 °C for 24 h and 30 min  
246 respectively prior to the analysis. The pH of the prepared solutions and dispersions was further  
247 adjusted to 5, 7 and 9. Each of the drug solutions prepared was separately added in one 150 –  
248 250 µL injection into the sample cell containing MAS. The single injection mode allowed for  
249 fast titration experiments compared to the conventional experiments and gave an indication of  
250 the binding isotherm in real time.[20]

251 Multiple injection binding experiments were carried out at pH 5 and at two different  
252 temperatures (25 °C and 37 °C). The instrument was used in high-gain feedback mode,  
253 applying a reference power of 20 µcal s<sup>-1</sup> whilst stirring its contents at 307 rpm. The real-time  
254 binding isotherm was studied in 29 injections of 10 µL each into the sample cell every 500 s.  
255 MAS dispersions and drug solutions were prepared under continuous stirring also. A  
256 mathematical model was then fitted to the data using Origin 7.0 software to get thermodynamic  
257 parameters which best reproduce the experimental data. Data produced from the blank titrations  
258 was subtracted from the sample titration so that that the dilution heat reflected only the  
259 interaction of interest. Experiments were repeated in triplicate to ensure reproducibility.

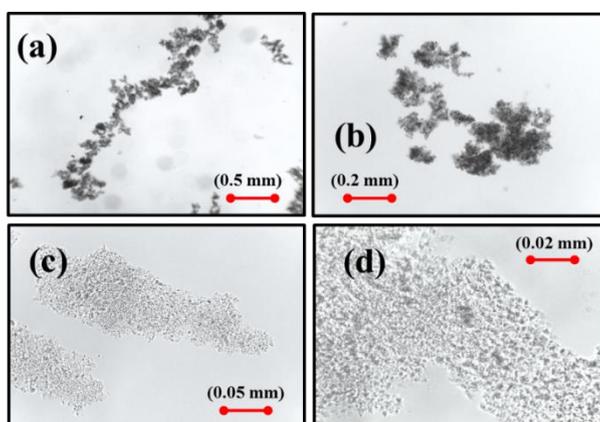
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### 262 3. Results and discussion

#### 263 3.1. Microscopy images of flocculated MAS-MET samples

264 The observation of the MAS-MET flocculates under light microscope showed that upon the  
265 addition of the MET solution to the MAS dispersion, flocculates formed which were shown to  
266 be aggregated in large clusters (Fig. 3). MAS dispersion in water was previously analysed using  
267 microscopy by A. M. Totea *et al.* and was shown to be formed of monodispersed particles.[2]  
268 This outlines the effects on MAS dispersion stability upon MET solution addition, which is  
269 consistent with the observations reported by A. M. Totea *et al.* following diltiazem  
270 hydrochloride (DIL) solution addition to MAS dispersion. This flocculation that occurs with  
271 the addition of drug is confirmation of the complexation process.



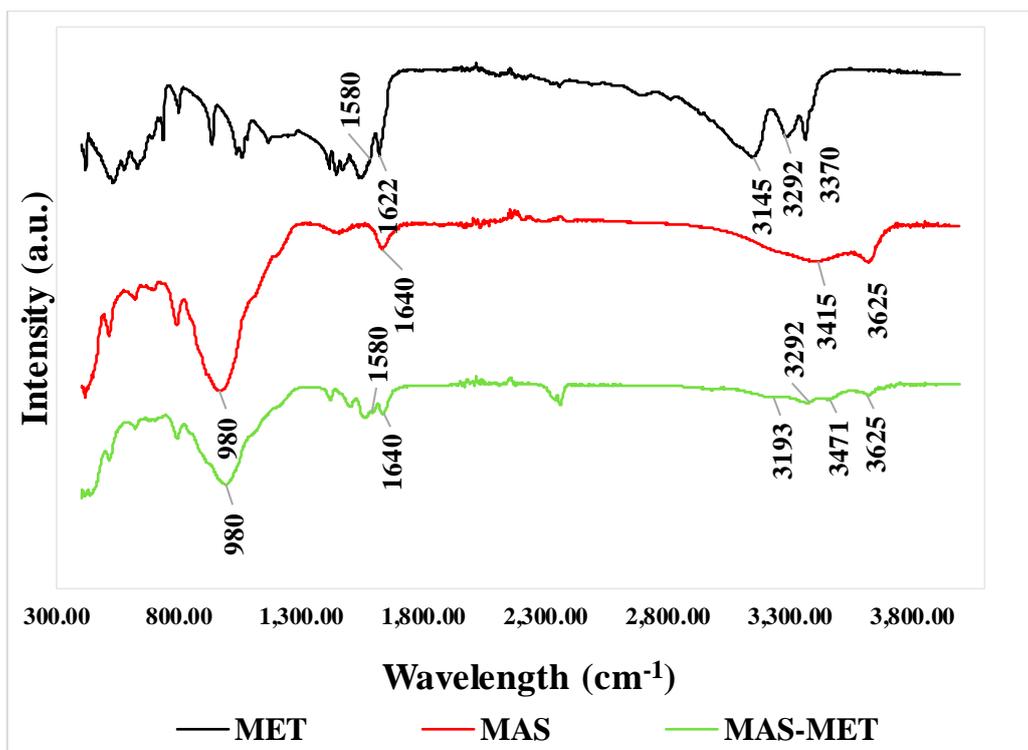
272  
273 **Fig 3.** Microscopy images of MAS-MET complex dispersion prepared using purified water  
274 (pH 5) at different magnifications:  $\times 4$  (a);  $\times 10$  (b);  $\times 40$  (c); and  $\times 100$  (d)

#### 275 3.2. Attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR)

276 The analysis of the spectrum belonging to MET (Fig. 4) showed peaks in the region 1000-1200  
277  $\text{cm}^{-1}$  representing stretching vibration of the C-N group.[21] Peaks in the region 1565 – 1400  
278  $\text{cm}^{-1}$  can be attributed to the asymmetric deformation of  $\text{CH}_3$  groups and N-H in-plane  
279 deformation.[22] The adsorption bands observed at 1622 and 1580 have been linked to the fact  
280 that MET is a biguanide, corresponding to the C=N stretching vibration, whereas peaks

281 observed at 3145, 3292 and 3370  $\text{cm}^{-1}$  can be assigned to the symmetric and asymmetric N-H  
282 stretching vibrations.[21], [22] The analysis of the MAS-MET complex particles showed  
283 structural differences compared to the MET and MAS spectrums. The presence of the Si-O-Si  
284 stretching at 980  $\text{cm}^{-1}$ , as well as the peak showing the hydroxyl stretching belonging to Si-OH  
285 stretching at approximately 3625  $\text{cm}^{-1}$ . Both belong to the MAS and were still observed on the  
286 spectrums of MAS-MET complex particles (Fig. 4). The sharp peak at 1640  $\text{cm}^{-1}$  belonging to  
287 the hydroxyl group bending of water of crystallization onto the MAS was also observed.[3]  
288 The peaks observed at 1580 belonging to MET C=N stretching vibration were observed on the  
289 spectrums of MAS-MET complex particles, whilst the peaks assigned to the N-H stretching  
290 vibrations changed as MET is expected to be adsorbed onto MAS via hydrogen bonds  
291 formation between the silanol groups of MAS with the amine groups of MET. [Subtle changes](#)  
292 [in the O-H stretching peak at 3625  \$\text{cm}^{-1}\$  and the O-H bending peak at 1640  \$\text{cm}^{-1}\$  may potentially](#)  
293 [suggest that water bound with MET and/or MAS in the complexes via hydrogen bonding](#)  
294 [through the water bridging mechanism.\[3\], \[23\]](#)

295



296

297

**Fig 4.** ATR-FTIR scans on MAS, MET and MAS-MET complex particles

298

### 299 3.3. Powder X-ray diffraction (PXRD)

300 Sharp, intense and representative diffraction peaks indicating the crystalline state of MET were

301 observed at  $2\theta$  angles  $12^\circ$ ,  $24^\circ$  and  $37^\circ$ . [24]–[26] The prepared MAS-MET complex particles

302 did not follow the PXRD pattern of MET or MAS alone, suggesting the molecular dispersivity

303 of the drug in the prepared complexes *in its amorphous form* (Fig. 5). The reflection at  $6.87^\circ$

304 ( $2\theta$ ) representing the thickness of the silicate layer in the MAS sample was observed in the

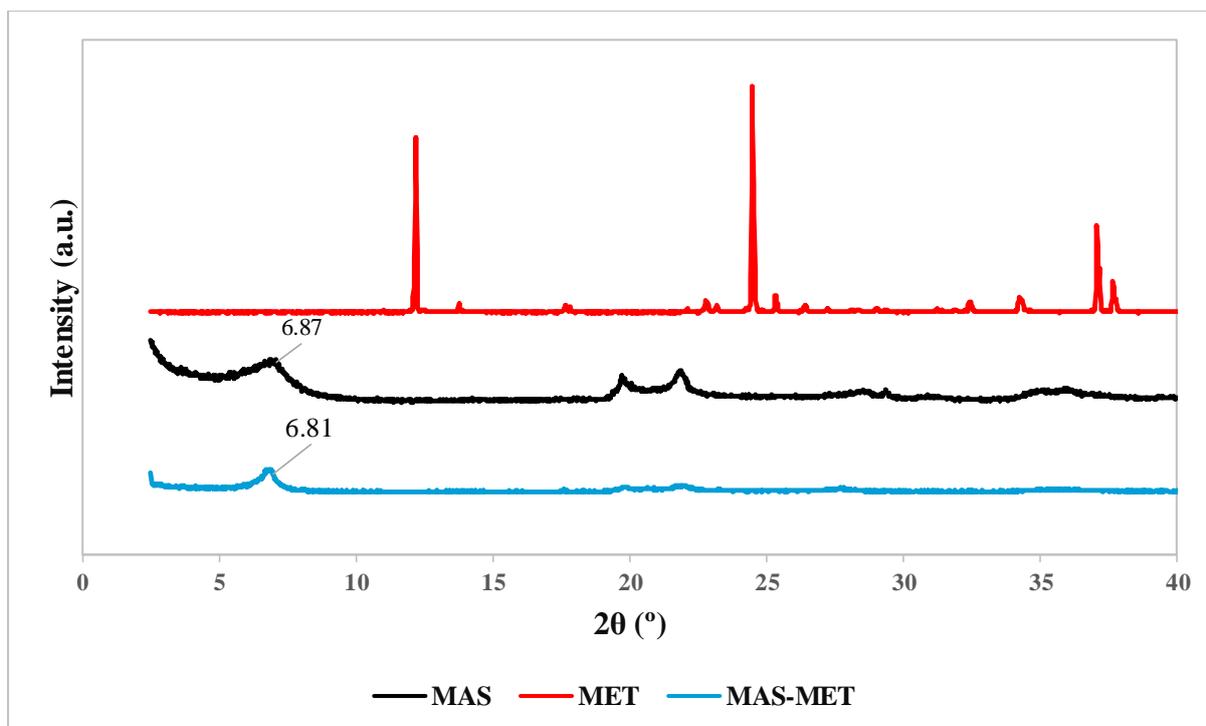
305 diffractograms of the MAS-MET complexes prepared, although at a slightly different intensity.

306 The changes in basal spacing upon MET adsorption onto MAS was hence calculated using

307 Bragg's Law as 1.30 nm, suggesting no increase in basal spacing of MAS (1.28 nm). This was

308 most probably due to the MAS-MET sample tested being well dried as opposed to the MAS

309 since humidity is well known to affect basal spacing.

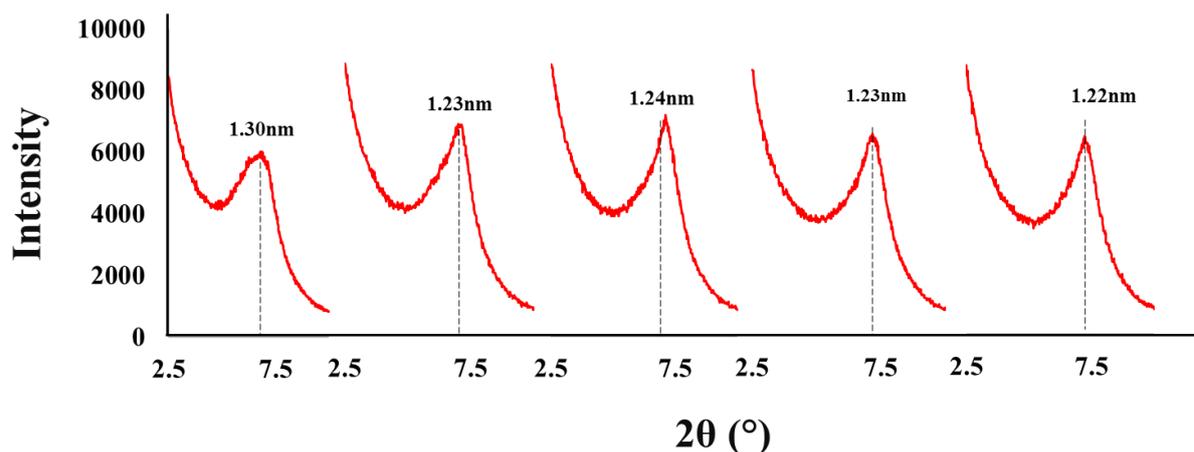


310

311 **Fig 5.** PXRD patterns of MAS, MET and MAS-MET complex particles

312

313 To test the sensitivity of the clay to humidity, further successive XRPD runs were performed  
 314 on the MAS alone. Results demonstrated that dehydration and rehydration were responsible  
 315 for basal distance variations, as a decrease in the distance between platelets from 1.30 to 1.22  
 316 nm following dehydration caused by the PXRD heating upon use was observed (Fig. 6). The  
 317 sensitivity of clays to humidity as observed here using XRPD was in agreement to previously  
 318 reported literature.[27], [28]



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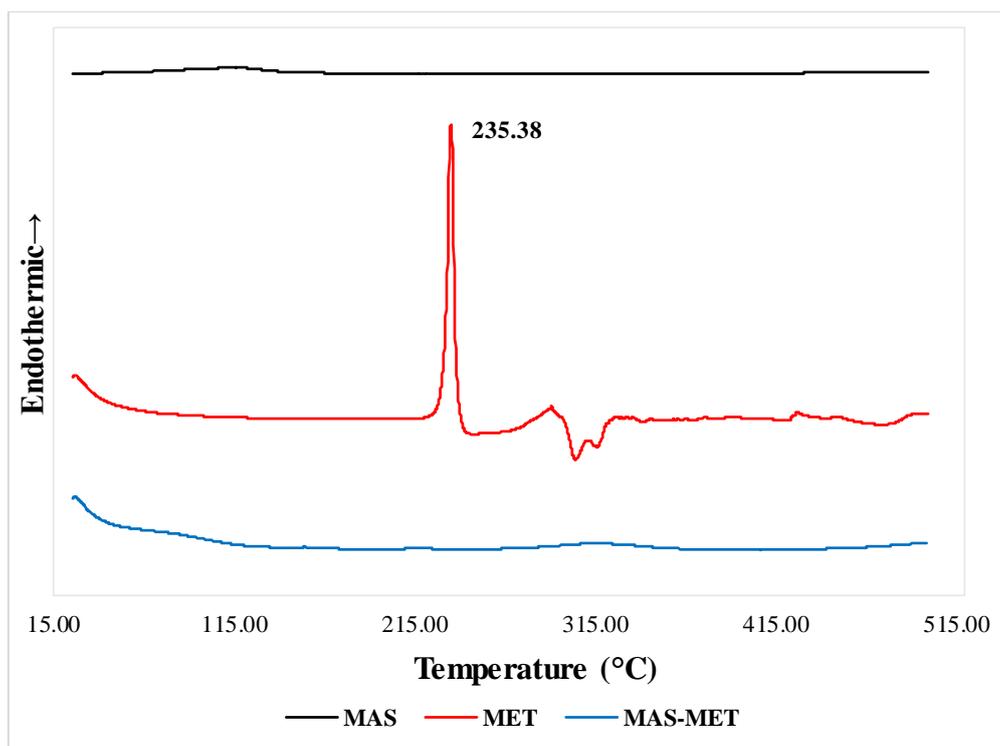
320 **Fig 6.** PXRD successive runs testing variations in basal distance of MAS following  
321 dehydration  
322

323 The results hence suggest that an increase in basal distance may not accurately reflect the  
324 intercalation of a drug within clay platelets when humidity is not controlled to allow for  
325 comparisons.  
326

### 327 3.4. Differential scanning calorimetry (DSC)

328 The melting point of MET was indicated by a sharp endothermic peak at 235.38 °C (Fig. 7).[26]

329 The MAS thermogram showed the presence of a broad endothermic peak at 115°C that  
330 occurred following dehydration. The MAS-MET complexes were shown to be amorphous and  
331 the absence of MET melting point on their thermograms confirmed its molecular dispersion in  
332 amorphous form in the complexes (Fig. 7).



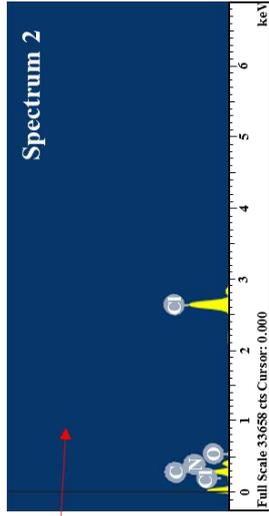
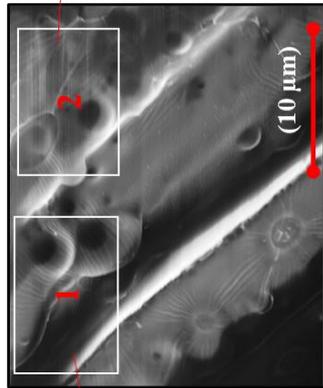
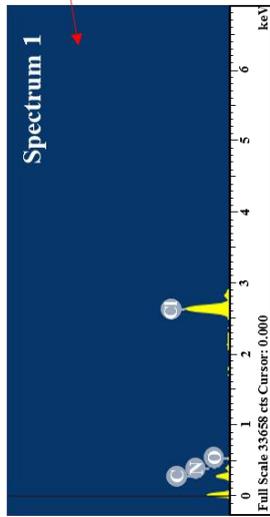
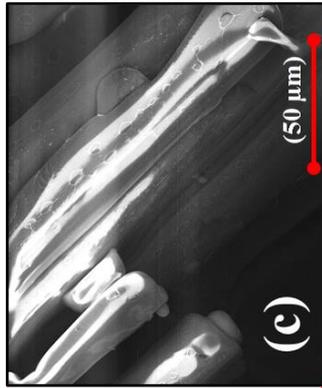
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334 **Fig 7.** DSC thermogram of MAS, MET and MAS – MET complexes

335

336 **3.5. Scanning electron microscopy (SEM) with energy dispersive X-ray spectroscopy**  
337 **(EDX)**

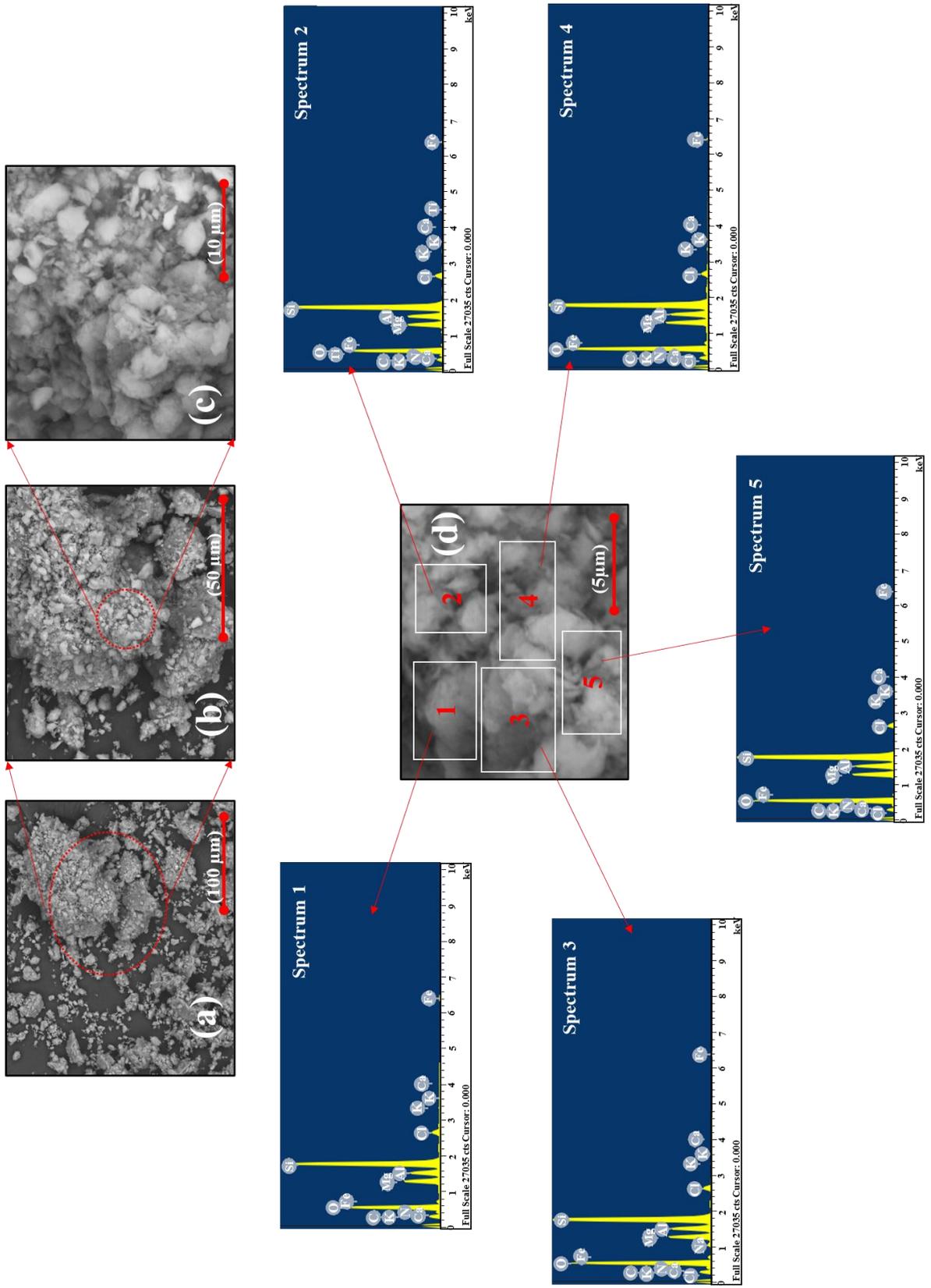
338 MET, as well as the double drug loaded MAS-MET complex particles prepared were studied  
339 using SEM and EDX to understand their surface and the chemical elements existing in the  
340 samples. Due to the low sensitivity of the technique, the atomic distribution of elements varied  
341 and hence, chemical elements found in samples were not compared quantitatively.[29] MET  
342 crystals were shown to be large and on their surface, circular indents could be observed (Fig.  
343 8). EDX analysis of MET confirmed the presence of distinctive elements such as chlorine and  
344 nitrogen in the tested specimen (Fig. 8).



345

346





351 **Fig 9.** Surface characterisation of MAS-MET complexes using SEM/EDX. SEM images at  
352 different magnifications  $\times 500$  (a),  $\times 1500$  (b),  $\times 5000$  (c) and  $\times 10\,000$  (d); atomic distribution  
353 profile at five different sample locations (Spectrum 1-5)

354

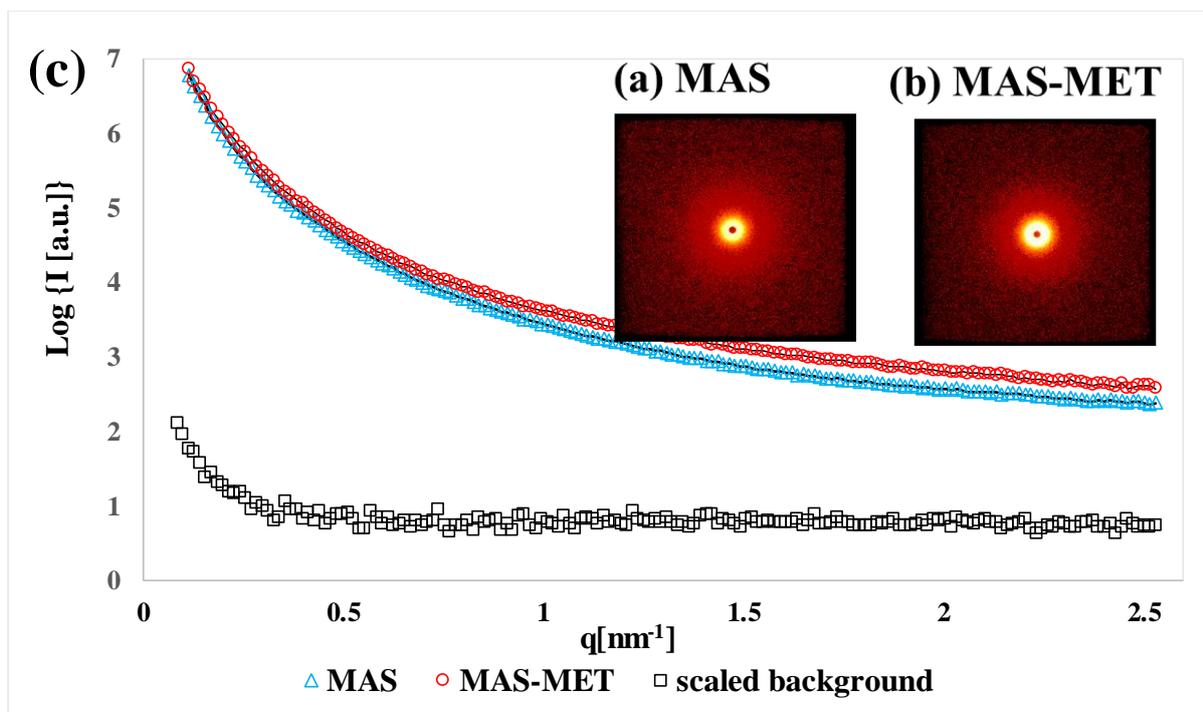
355 MAS-MET complexes were shown to have an irregular layered surface (Fig. 9), very different  
356 to the MAS as reported by Totea *et. al.* 2019 or to the crystalline MET.[1] This demonstrates  
357 changes in the microstructural properties of the clay powder following the complexation  
358 process.[3]

359

### 360 **3.6. Small angle X-ray scattering (SAXS)**

#### 361 **3.6.1. Solid-state nanometre scale morphology**

362 2D-SAXS data pattern for MAS and MAS-MET complexes was shown to be symmetric and  
363 circular, suggesting that at the nanometre scale, the particles showed no preferred orientation.  
364 This behaviour is due to the sample being analysed in its powder form, which implies randomly  
365 orientated particles. The intensity plot showing  $\text{Log}\{I(\text{a.u.})\}$  vs.  $q$  [ $\text{nm}^{-1}$ ] had a smooth curve,  
366 showing the intensity decreasing from  $q \approx 0.099 \text{ nm}^{-1}$  ( at  $2\theta = 0.14^\circ$ ) at the edge of the beam  
367 stop, to  $q = 2.275 \text{ nm}^{-1}$  ( at  $2\theta = 3.20^\circ$ ) at the peripheral limit of the detector. No peaks or other  
368 specific features were observed. Background subtraction was considered unnecessary due to  
369 the minimal background scattering in comparison to sample scattering intensity (Fig 10.) Data  
370 was corrected for transmission through the calculation on the transmission factor using glassy  
371 carbon, to correct for sample absorption.



372

373 **Fig 10.** Typical SAXS data for specimens in powder form: (a and b) 2D-SAXS patterns for

374 MAS and MAS-MET complexes showing the centre of the beam stop;

375 (c) 1D-SAXS intensity curves for MAS and MAS-MET complexes (open symbols showing uncorrected

376 data and line showing data after scaled background subtraction), and scaled background

377 (open black square symbols)

378

379 The analysis of the data in the form of double logarithmic plot of intensity ( $\log I$  [a.u.]) vs. the

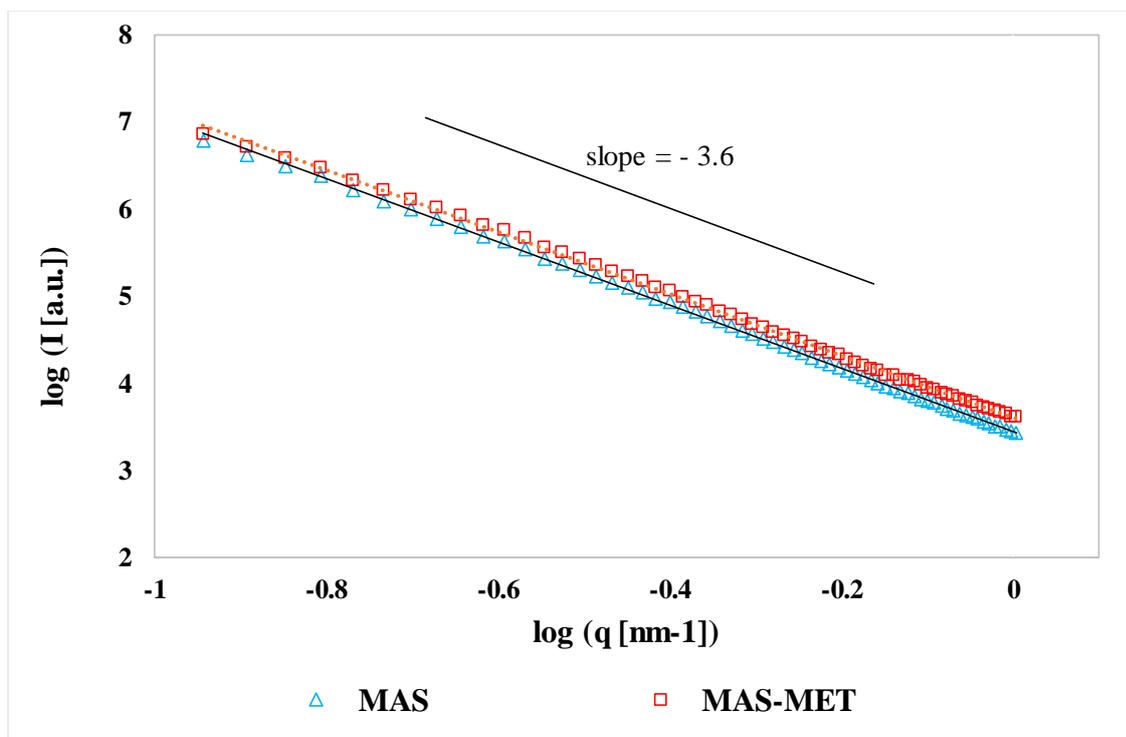
380 scattering vector ( $\log \{q$  [nm<sup>-1</sup>]) followed a straight line with no peaks or other specific

381 features, indicate power-law scattering behaviour, similarly to the MAS powder behaviour (as

382 described in a previously (Totea *et. al.* 2020). A slope of  $-3.55$  was obtained for the MAS-

383 MET complexes, which was very similar to the slope obtained from the double logarithmic

384 plot of intensity vs. the scattering vector for MAS ( $-3.63$ ) (Fig 11.).



385

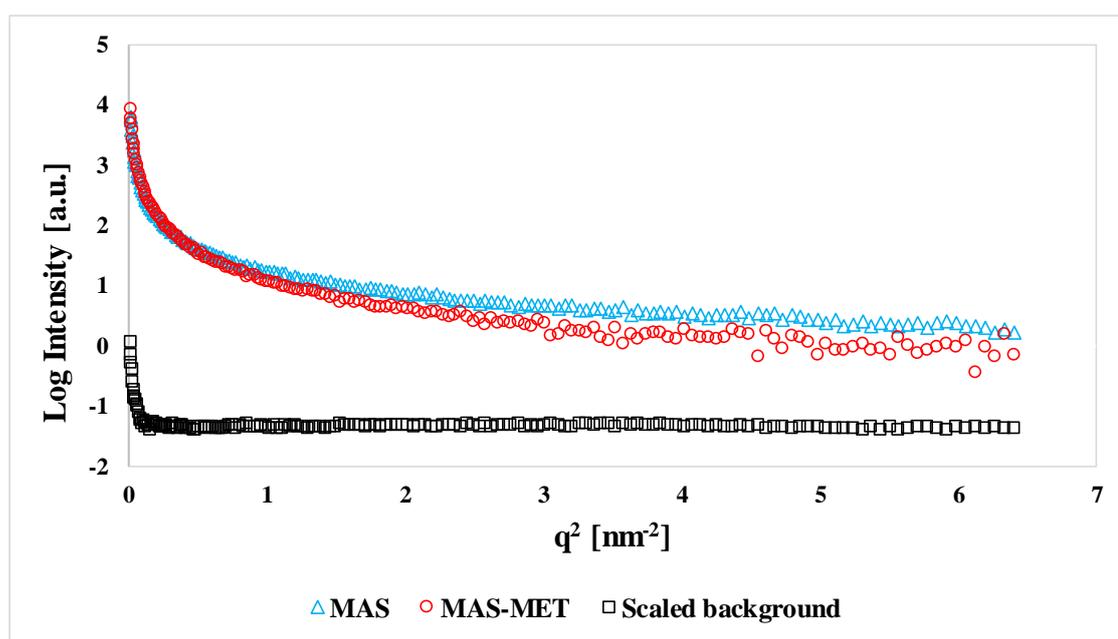
386 **Fig 11.** Double-logarithmic plot of intensity vs. the scattering vector for MAS and MAS-  
 387 MET complexes in powder form (particle size 63 – 125  $\mu\text{m}$ ), showing the slope of the linear  
 388 part for the data which allows the calculation of the power-law constant  $a$  as  $-3.63$  for MAS  
 389 and  $-3.57$  for MAS-MET complexes

390

391 Slope determination from the double logarithmic plot of intensity vs. the scattering vector  
 392 allowed for the calculation of the surface fractal dimension  $D_s$  value for MAS-MET complexes  
 393 (Fig 11.). Hence, upon calculation, a surface fractal dimension  $D_s$  of 2.43 was obtained for  
 394 MAS-MET complexes, suggesting that the sample tested was surface fractal over a length scale  
 395 of 0.1 nm to 1.0 nm. The value also indicates an irregular, rough and space filling surface, and  
 396 was very similar to the surface fractal dimension  $D_s$  value obtained for MAS ( $D_m = 2.37$ ). The  
 397 similarity of surface fractal dimension values between the samples (MAS compared to MAS-  
 398 MET complexes) may create difficulties in differentiating between them, hence not being an  
 399 indicator of drug absorption onto clay.

### 400 3.6.2. Liquid-state nanometre scale morphology

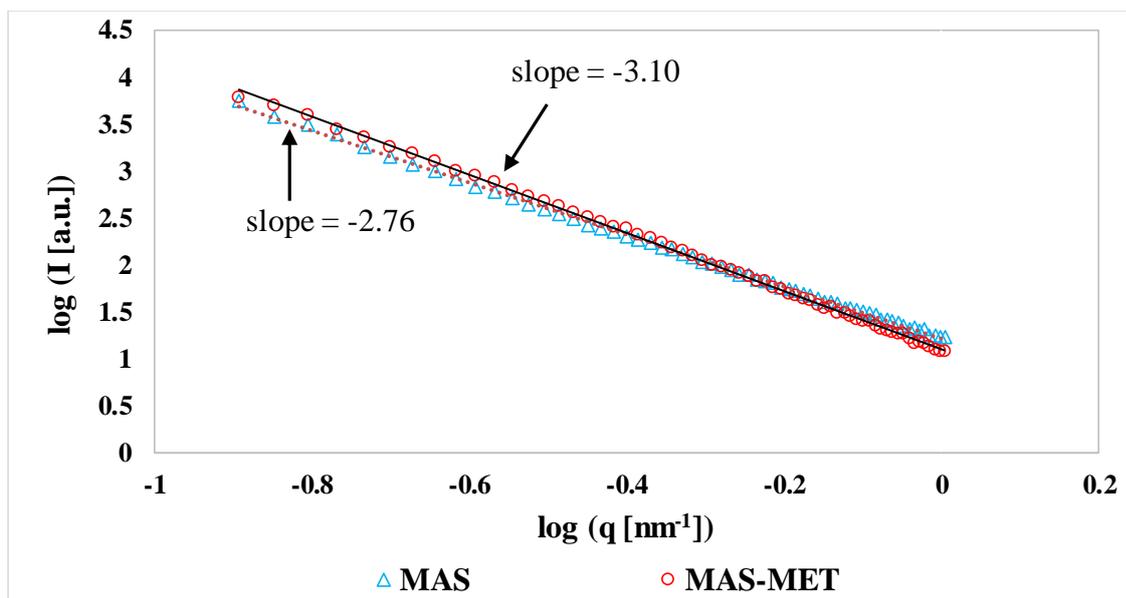
401 Data acquired from the flocculated complexes formed between MAS and MET and from the  
402 MAS also was represented using a Guinier plot ( $\log(I)$  vs.  $q^2$ ). The plot showed two linear  
403 regions separated by a transition region (Fig 12.), behaviour that suggests poly-dispersive  
404 systems in which cluster size is variable, with large cluster aggregates in the samples [30]. No  
405 peaks or other specific features were observed.



406  
407 **Fig 12.** Guinier plot of MAS dispersion and MAS-MET complex dispersion showing  
408 two linear regions in the high and low  $q$  values

409  
410 The analysis of the data in the form of double logarithmic plot of intensity ( $\log I$  [a.u.]) vs. the  
411 scattering vector ( $\log \{q$  [nm<sup>-1</sup>]) for the MAS-MET flocculates followed straight lines with  
412 no peaks or other specific features, indicating power-law scattering behaviour (Fig 13.). This  
413 behaviour was also observed following the analysis of the MAS dispersion.

414



**Fig 13.** Double-logarithmic plot of intensity vs. the scattering vector for MAS and MAS-MET complexes in liquid form, showing power law behaviour

A slope of  $-3.10$  was obtained for the MAS-MET complex dispersion, which was considerably different from the one obtained for MAS ( $-2.76$ ). The difference in results shows a difference in structure, with the MAS-MET complex dispersion having a more complex structure. This behaviour was expected since the MAS flocculated upon the addition of the MET solution.

### 3.7. High Performance Liquid Chromatography (HPLC)

#### 3.7.1. Method validation

The calibration curve generated for the MET using pure drug solutions dissolved in water was found to be linear over the concentration range studied ( $R^2 \geq 0.999$ ). Linearity was defined by an equation ( $y = 92162x + 17244$ ). This equation was further used in the MET recovery studies. The intermediate and intra assay precision at three different concentration levels of 10, 50 and 100  $\mu\text{g/mL}$  ranging from 0.09 % to 0.72 % and from 0.25 % to 1.72 % respectively proved the method to be precise for the detection of MET. This was evident in the lower than 2 % RSD

432 which complies with the acceptable criteria for quality control of pharmaceutical  
 433 preparations.[31], [32] The LOQ showing the lowest drug concentration that can be recovered  
 434 within acceptable limits of precision and accuracy was found to be 1.01 µg/mL indicating the  
 435 high sensitivity of the proposed method and its suitability for the detection MET in solutions  
 436 at low concentrations. LOD showing the lowest detectable amount of drug distinguishable from  
 437 the blank was 0.33 µg/mL also showing the sensitivity of the method (Table 2).

438 **Table 2.** HPLC method validation for MET showing linearity range, intermediate and intra  
 439 assay precision, LOD and LOQ.

Range (µg/mL)	Linearity (R <sup>2</sup> )	Intermediate precision (RSD) (%)	Intra assay precision (RSD) (%)	LOD (µg/mL)	LOQ (µg/mL)
100 – 0.1	≥ 0.9999	1 µg/mL: 2.68 10 µg/mL: 1.72 50 µg/mL: 0.97 100 µg/mL: 0.69	1 µg/mL: 1.85 10 µg/mL: 0.72 50 µg/mL: 0.71 100 µg/mL: 0.24	0.33	1.01

440

### 441 3.7.2. Determination of drug content in MAS – drug complex particles

442 Determination of MET content in the MAS-MET complex particles prepared showed  
 443 differences between the different media used for the dispersion of the complex particles (2 M  
 444 HCl, pH 5 ultra-pure water and pH 6.8 buffer) (Table 3.).

445

446 **Table 3.** MET content in MAS–MET complex particles using three different dissolution media:  
 447 2M HCl, ultra-pure water (pH 5) and phosphate buffer (pH 6.8).

Recovered MET (% w/w)	
MAS-MET double drug loaded	
2M HCl	8.75 ± 0.14*
pH 5.0 Ultra-pure water	1.31 ± 0.06*

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pH 6.8 Phosphate Buffer	1.60 ± 0.08*
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448 Note: \*values are reported as the mean ± SD of at least three determinations

449

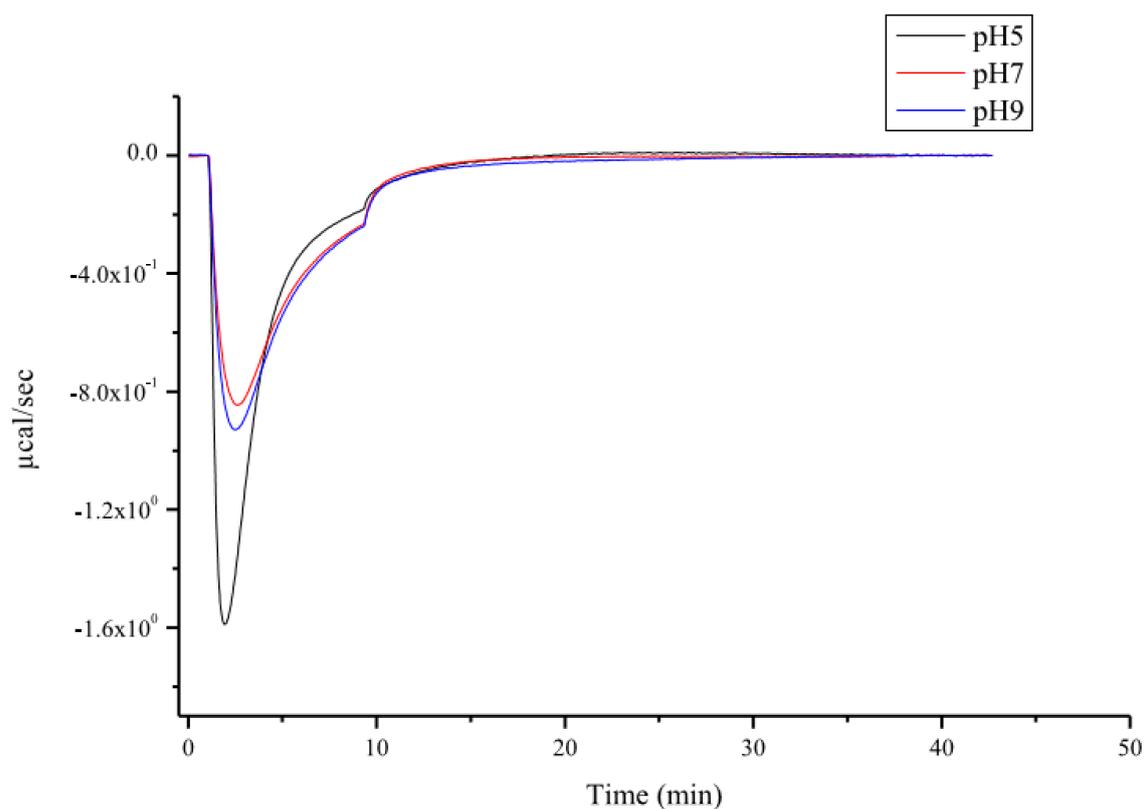
450 MET is a highly stable drug with two pK<sub>a</sub> values, 2.8 and 11.5 and an aqueous solubility of  
451 300 mg/mL in the pH range 1.2 – 6.8.[33] Hence, the amount of MET recovered from the MAS  
452 – MET complex particles dispersed in 2 M HCl, ultra – pure water and pH 6.8 phosphate buffer  
453 should be pH independent. However, the amount of MET recovered was highly variable (Table  
454 3). No degradation products were however observed on the chromatograms during the analysis  
455 in any of the dissolution media used.

456

### 457 **3.8. Calorimetric binding studies**

#### 458 **3.8.1. Calorimetric single injection (SIM) binding studies**

459 The interaction between MAS and MET was initially studied using ITC SIM experiments (Fig  
460 14.). The experiments confirmed the binding between MET solution (0.016 % w/v) and MAS  
461 dispersion (0.010 % w/v), which was shown to be exothermic at the pH values investigated.  
462 The binding was faster and more exothermic at pH 5, as shown by the larger peak returning  
463 faster to the baseline compared to the peaks observed in the ITC experiments at pH 7 and 9  
464 (Fig 14.). Further work therefore focused on multiple injection experiments (MIM) to explore  
465 the adsorption of MET onto MAS at pH 5.



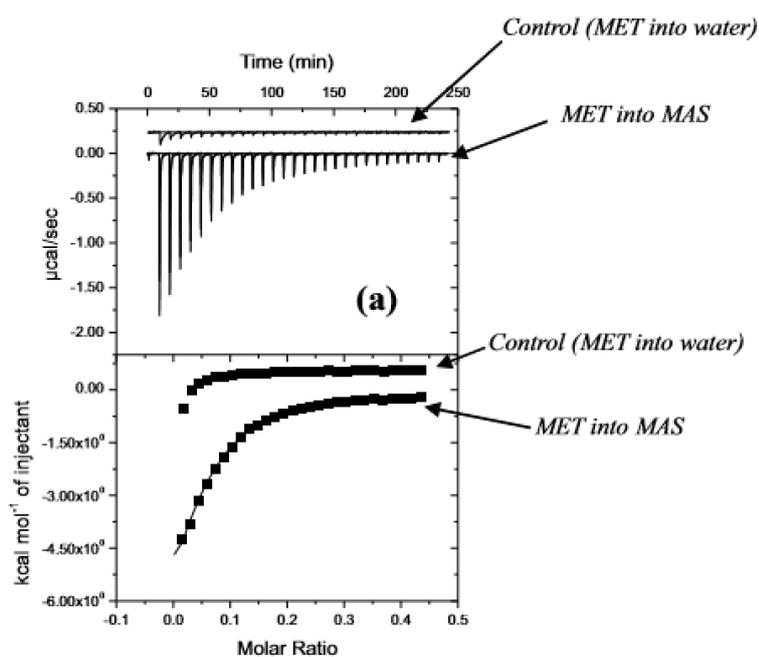
466

467 **Fig 14.** Titration of 0.016 % w/v (1 mM) MET solution (pH 5) into 0.010 % w/v MAS  
 468 dispersion pH 5 (black), pH 7 (red) and pH 9 (green) at 25 °C

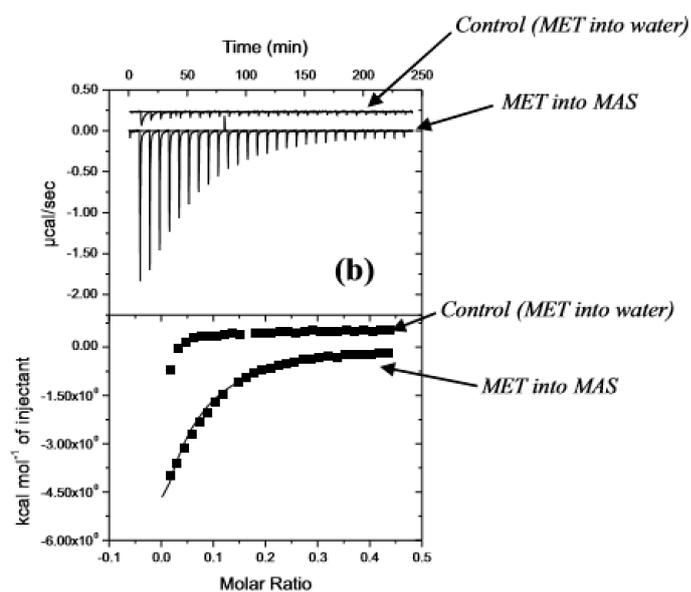
469

### 470 3.8.2. Calorimetric multiple injection mode (MIM) binding studies

471 MIM ITC experiments at pH 5 and at two different temperatures (25 and 37 °C) further  
 472 confirmed the highly exothermic interaction between MET and MAS (Fig 15.) as previously  
 473 observed in the SIM ITC experiments. The MIM stepwise experiments however gave more  
 474 detailed information about the driving forces involved in the process of adsorption of MET  
 475 onto MAS. In order to determine the heat of dilution for MAS and MET, the subtraction of the  
 476 heat of dilution for MET (0.033 % w/v (pH 5)) and water (pH 5) was required for both  
 477 experiments at 25 and 37 °C (Fig 15.). A one set of sites model was fitted to the data and  
 478 allowed the determination of thermodynamic parameters of the reaction: affinity ( $K_a$ ), changes  
 479 in enthalpy ( $\Delta H$ ), entropy ( $\Delta S$ ) or Gibbs free energy ( $\Delta G$ ).



480



481

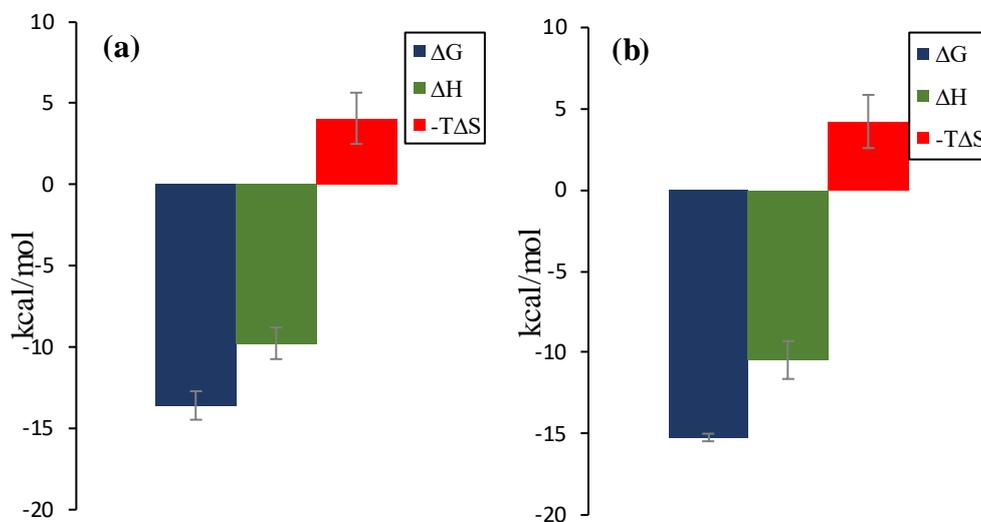
482 **Fig 15.** Multiple injection mode calorimetric titration of 0.033 % w/v MET solution (pH 5)

483 into 0.037 % w/v MAS dispersion (pH 5) at 25 °C (a) and 37 °C (b). Raw data (top) and

484 integrated heats (bottom) as a function of molar ratio. Control runs suggesting interaction

485 between water and MET

486 Binding was characterised by a negative enthalpy change, and a comparatively small entropy  
487 change at both 25 and 37 °C, implying that the binding was an enthalpically driven process  
488 (Fig 16.).



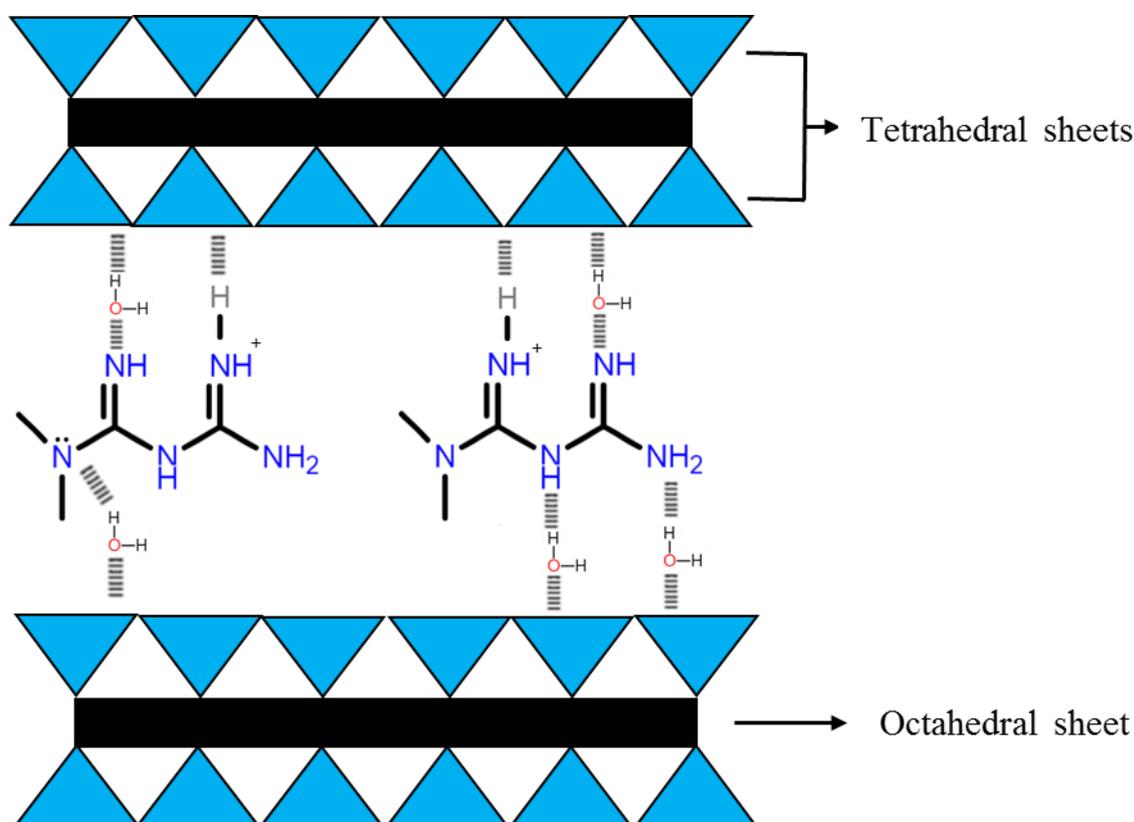
489  
490 **Fig 16.** Thermodynamic profile for binding of MET (0.033 % w/v) onto MAS (0.037 % w/v)  
491 at 25 °C (a) and 37 °C (b) showing enthalpy ( $\Delta H$ ), entropy( $\Delta S$ ) or Gibbs free energy ( $\Delta G$ ).

492 Results are based on three independent repeats done under similar conditions.

493

494 The increase in temperature caused a gradual increase in the affinity of MET with MAS as  
495 observed from the increase of the association constant  $K_a$  ( $1.47E+04 \pm 2.06E+03$  M at 25 °C  
496 and  $4.66E+04 \pm 1.2E+04$  at 37 °C) (Table 4.). The overall change in Gibbs free energy ( $\Delta G$ )  
497 was comparatively similar at both temperatures ( $-12.11 \pm 4.04$  kcal/mol at 25 °C compared  
498 with  $-14.69 \pm 1.01$  kcal/mol at 37 °C), confirming that both reactions occurred spontaneously.  
499 The enthalpic contribution calculated from the change in heat associated with binding was  
500 slightly greater at 37 °C ( $-8.81 \pm 2.52$  kcal/mol at 25 °C compared with  $-10.47 \pm 1.61$  kcal/mol  
501 at 37 °C), while the entropic contribution was comparatively small in both cases confirming  
502 the interaction to be enthalpically driven at both temperatures. The binding interaction had a  
503 negative heat capacity  $\Delta C_p$  ( $-0.14$  kcal mol  $K^{-1}$ ) indicating that upon increasing temperature,  
504 the binding became more exothermic and enthalpically driven, thus in agreement with the

505 binding parameters calculated. The values calculated for the thermodynamic parameters from  
506 the ITC experiments suggest an exothermic interaction and good hydrogen bonding. MET is  
507 therefore expected to be adsorbed onto MAS through the amine groups which allows cation  
508 exchange, as well as hydrogen bonding and water bridging (Fig 17.).



509  
510 **Fig 17.** Possible MAS-MET chemical interaction: cation exchange, hydrogen bonding and  
511 water bridging

#### 512 **4. Conclusion:**

513 ITC was successfully used in understanding the complexation process occurring between  
514 magnesium aluminium silicate (MAS) and (MET), as a potential controlled release drug  
515 delivery system. The calorimetric studies revealed that the binding was an enthalpically driven  
516 process, dominated by hydrogen bonding and electrostatic interactions. Changes in enthalpy  
517 ( $\Delta H$ ), entropy ( $\Delta S$ ) and Gibbs free energy ( $\Delta G$ ) were similar at the pHs and temperatures  
518 explored in experiments with the reaction being only slightly more exothermic at pH 5 and at  
519 37 °C. MAS and MET complex dispersions and particles were also formulated and analysed

520 successfully using DSC, XRPD, ATR-FTIR, SEM/EDX, digital microscopy and 2D-SAXS.  
521 Humidity was shown to have a great impact on the basal distance determination using XRPD  
522 therefore suggesting the technique to be an inaccurate indicator of complexation between MAS  
523 and MET. 2D-SAXS on the other hand was able to differentiate between MAS particulates and  
524 MAS-MET complexes when analysed in their liquid form. Care and consideration should thus  
525 be taken in the accurate determination and characterisation techniques for the formation of  
526 complexes for controlled release.

527

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### 531 **Conflict of interest**

532 The authors declare no conflict of interest

533

### 534 **Author contributions**

535 A. M. Totea: Conceptualisation; Data curation; Formal analysis; Methodology; Investigation

536 Writing—Original Draft; Writing—Review & Editing;

537 I. Dorin; Resources; Software; Validation; Formal analysis; Methodology;

538 P. R. Laity; Supervision; Validation; Formal analysis; Methodology;

539 B. R. Conway; Supervision; Methodology;

540 L. Waters; Supervision; Methodology; Writing—Review & Editing;

541 K.Asare-Addo; Funding acquisition; Conceptualisation; Formal analysis; Methodology;

542 Writing—Original Draft; Writing—Review & Editing; Supervision;

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