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The advantages and limitations of global datasets to assess carbon stocks as proxy for land degradation in an Ethiopian case study

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Abstract:	<p>Land degradation leads to ecosystem degradation, reducing ecosystem functioning and depleting ecosystems' resilience. The majority of factors linked to land degradation are closely related with the depletion of below- and above-ground stocks of organic carbon. Organic carbon stock is important for climate change mitigation and for restoring soil functions such as those crucial to support food security. In this study, we mapped carbon stocks to infer land degradation in a small area in the Ethiopian Great Rift Valley. The study aimed to assess carbon stock status and to identify limitations and advantages of using global data in mapping at local scale relative to using local data. Two different datasets were developed; i) a "global dataset" characterised by data from datasets with global coverage data, and ii) a "hybrid dataset" that coupled data from global datasets and soil data derived from a local survey and land cover data derived from a supervised classification of satellite images. The results showed that i) global datasets introduced inaccuracy that must be taken into account for advocating interventions at a local scale, and ii) global datasets could be used at a small catchment level for decision-making, if a simple rank of values is sufficient, but they might provide an optimistic picture of land degradation because they overestimate stocks.</p>
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Response to Reviewers:	

Highlights:

- Spatial variability of carbon stocks is overlooked by global datasets.
- Integration of local data is needed to obtain adequate assessment of carbon stocks.
- Care is needed when carbon stocks mapping is used to inform decision-making.
- Global datasets can be used to rank and prioritise areas for restoration purposes.

1 **The advantages and limitations of global datasets to assess carbon stocks as proxy for**
2 **land degradation in an Ethiopian case study**

3

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17

18 **ABSTRACT**

19 Land degradation leads to ecosystem degradation, reducing ecosystem functioning and
20 depleting ecosystems' resilience. The majority of factors linked to land degradation are
21 closely related with the depletion of below- and above-ground stocks of organic carbon.

22 Organic carbon stock is important for climate change mitigation and for restoring soil
23 functions such as those crucial to support food security. In this study, we mapped carbon
24 stocks to infer land degradation in a small area in the Ethiopian Great Rift Valley. The
25 study aimed to assess carbon stock status and to identify limitations and advantages of
26 using global data in mapping at local scale relative to using local data. Two different
27 datasets were developed; i) a “global dataset” characterised by data from datasets with
28 global coverage data, and ii) a “hybrid dataset” that coupled data from global datasets and
29 soil data derived from a local survey and land cover data derived from a supervised
30 classification of satellite images. The results showed that i) global datasets introduced
31 inaccuracy that must be taken into account for advocating interventions at a local scale,
32 and ii) global datasets could be used at a small catchment level for decision-making, if a
33 simple rank of values is sufficient, but they might provide an optimistic picture of land
34 degradation because they overestimate stocks.

35

36 **Keywords:** carbon stocks modelling, land degradation, Ethiopia, soil organic carbon,
37 global datasets

38

39 **1. INTRODUCTION**

40 An increasing proportion of global agricultural land is affected by land degradation
41 (UNCCD, 2014). Land degradation leads to ecosystem services (ESS) depletion because it
42 negatively affects and reduces a range of ecosystem functions including soil functions
43 (e.g. sediment retention, carbon sequestration, nutrient cycling, water retention, biomass
44 production, delivery of important goods) (Bronick and Lal, 2005; Daily et al., 1997; Lal,

45 2015). It also threaten the economic resilience of the populations who depend on
46 ecosystem functioning (Lal, 1997; MEA, 2005; Reed and Stringer, 2015; Sutton et al.,
47 2016).

48 African countries, and especially sub-Saharan countries, are greatly affected by land
49 degradation (ELD Initiative & UNEP, 2015; Nkonya et al., 2016). Ethiopia (where this
50 study is set) is one of the countries most affected by this problem. It is particularly
51 vulnerable to land degradation because of the very rugged terrain. Its people are
52 vulnerable to the consequences of land degradation because the agricultural sector
53 provides livelihoods for more than 85% of the population and accounts for more than 50%
54 of the Ethiopian GDP (Berry, 2003; Shiferaw and Holden, 1999).

55 In Ethiopia, different studies assessed the total organic carbon stock (above- and below-
56 ground organic carbon) (Bajigo et al., 2015; Belay et al., 2018a; Betemariyam et al., 2020;
57 De Beenhouwer et al., 2016; Girmay et al., 2008; Lehtonen et al., 2020; Vanderhaegen et
58 al., 2015; Yirga et al., 2020) stored in the ecosystem. Furthermore, several studies
59 attempted to quantify the carbon stored in the aboveground biomass in different areas of
60 Ethiopia, especially in forests, plantations or agroforestry systems (Amsalu and Mengaw,
61 2014; Denu et al., 2016; Moges et al., 2010; Solomon et al., 2017).

62 The majority of causes of land degradation (e.g. soil erosion, overgrazing, deforestation)
63 are crucial factors in depleting organic carbon stocks (Lal, 2004a, 1997). In this context,
64 organic carbon represents a crucial indicator of land degradation. More specifically, the
65 total carbon stock of an area is the total amount of organic carbon stored in an ecosystem
66 of that area (kg C ha^{-1}), and is usually partitioned in different pools/stocks (i.e. soil
67 (including organic matter and belowground, dead or alive, biomass such as roots, dead
68 wood and litter) and aboveground biomass) (Ravindranath and Ostwald, 2008). Above-

69 and below-ground carbon stocks represent good indicators of land degradation (UNCCD
70 et al., 2016), because they affect several ecosystem functions including soil functions
71 (Baldock et al., 2009; Lal, 2015; Stockmann et al., 2015; Vågen et al., 2013; Wiesmeier et
72 al., 2019). More specifically, the soil organic carbon stock is often used as an indicator of
73 soil and land degradation because of its important role in many soil functions and soil
74 ecosystem services provision (Lorenz et al., 2019). Therefore, the Soil Organic Carbon
75 (SOC) stock was identified as one of the global indicators to monitor Land Degradation
76 Neutrality (LDN) (Cowie et al., 2018; Lorenz et al., 2019; Sims et al., 2019) and to report
77 on progress towards the Sustainable Development Goal (SDG) 15.3 (together with land
78 cover and land productivity).

79 Conserving SOC and promoting its restoration is very important to compensate carbon
80 emissions (Lal, 2006), improve nutrient cycling and water retention, and promote higher
81 crop yields (Jobbágy and Jackson, 2000; Lal, 2015, 2004b, 2004a; Rawls et al., 2003). To
82 make conservation and restoration operational, however, in the presence of limited
83 resources, priority areas for interventions need to be identified with sufficient accuracy for
84 the decision-making considered (Pandeya et al., 2016; Vihervaara et al., 2012).

85 The SOC modelling and mapping (together with the total organic carbon stocks
86 assessment) is a valuable means to identify such areas, allowing decision-makers to
87 intervene making their measures more spatially targeted and therefore more effective. This
88 is key considering the challenges of making interventions operational in the presence of
89 limited resources, and the need for actions to promote the important role of carbon
90 sequestration in the global carbon cycle, climate change mitigation, land degradation
91 alleviation, and sustaining agricultural productivity (Lal, 2004a; Scharlemann et al., 2014).

92 In this respect, lack of locally detailed data is a limiting factor for environmental
93 modelling and mapping, and derived decision-making for local management; this lack is
94 common especially in Africa (Eggen et al., 2016; Hurni et al., 2015). While, global
95 maps/products (e.g. MODIS, ISRIC) are a potential solution and are often used, it is
96 unclear if and when the scale, resolution, support factor of these products are adequate for
97 local purposes. The scale and resolution dependency in modelling environmental variables
98 has been highlighted by previous studies that found how data scale and resolution, as well
99 as the extent and the support factor (i.e. the area over which a prediction or observation is
100 made) affect the accuracy of the digital soil mapping (Cavazzi et al., 2013), ecosystem
101 services modelling (Grêt-Regamey et al., 2014), and species richness and distribution
102 mapping (e.g. Cavazzi et al., 2013; García-Callejas and Araújo, 2016; Grunwald et al.,
103 2011). Grêt-Regamey et al. (2014) and Cerretelli et al. (2018) assessed the effect of
104 resolution on ecosystem services mapping and found substantial differences between the
105 results of fine and coarse resolution analyses, both aggregated to similar coarse resolution,
106 especially when local heterogeneity was not negligible. Other studies highlighted the
107 impact of data resolution and extent on modelling environmental factors important for
108 understanding land degradation, such as soil erosion (de Vente and Poesen, 2005; Tan et
109 al., 2017). A previous study (Cerretelli et al., 2018) highlighted the importance of accurate
110 data especially for the modelling of nutrient export and retention where land cover
111 classification was used to define nutrient loading pattern. This was not the case of the soil
112 export (erosion) and retention modelling where global data proved to be good enough.
113 These results showed that different outcomes or implications can arise when assessing
114 different ecosystem services using global data. Despite these studies, there is still an
115 important gap in knowledge regarding the impact of scale, resolution and support on the
116 accuracy of mapping the organic carbon stock. In this paper we present a case study

117 concentrating on how the use of different sets of data (global or local) affects local carbon
118 estimates and related decision-making. Restoration of carbon stocks can be promoted by
119 improved management (terracing, avoiding collection of crop residue, restrained grazing)
120 (Gelaw et al., 2014; Rimhanen et al., 2016), as well as supporting afforestation programs
121 and agroforestry practices (Betemariyam et al., 2020; Lehtonen et al., 2020). However,
122 different estimates of carbon stocks could lead to different decision-making processes that
123 might fail to support the right activities or overlook some important areas for restoration
124 purposes.

125 We mapped the organic carbon stocks (i.e. amount of organic carbon stored in i) the soil
126 (SOC), ii) the biomass (aboveground and belowground), and iii) the dead organic matter)
127 using different data sources, with different resolutions and extents. The first dataset was
128 constituted just with data from global coverage database (e.g.: ISRIC SoilGrids, Landsat,
129 MODIS, Global Land Cover Network), while the second dataset coupled data from global
130 database (Landsat and MODIS), local soil data, and a supervised land cover classification.
131 We then compared the obtained estimates of organic carbon stocks, including their
132 accuracies, and assessed the possible consequences of the observed differences for
133 decision-making.

134 The study took place in south-western Ethiopia, in the context of a project aimed at
135 improving food security and alleviating poverty of local communities (ALTER, UK ESPA
136 initiative). Land degradation in the study area is very severe. Mapping carbon stocks, and
137 in particular SOC, is essential to target priority areas for restoration, and identify feasible
138 measures to increase carbon stocks to reduce land degradation. This study assessed if
139 global data are a good enough solution for this purpose.

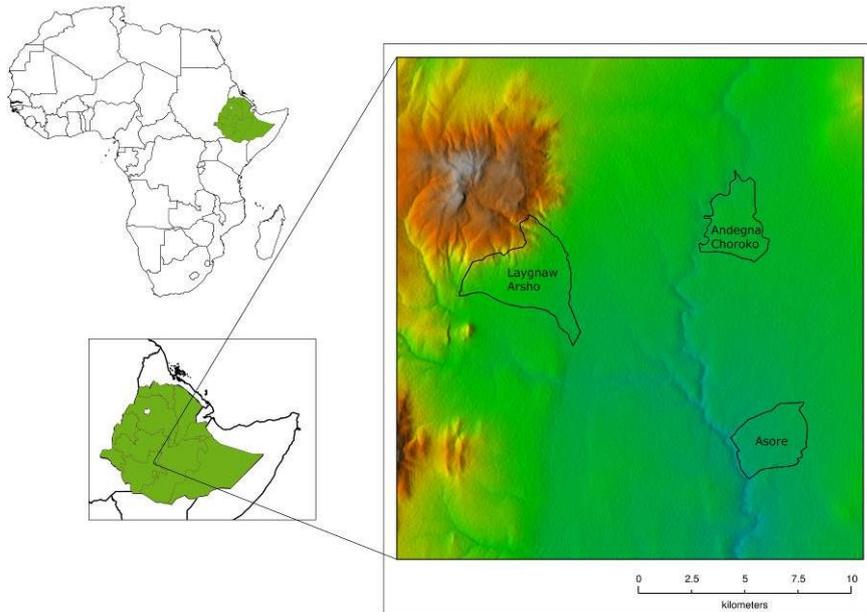
140

141 2. STUDY AREA AND DATASETS

142 2.1. Study area

143 The study area consists of a hydrological subset of several sub-basins of the Bilate River,
144 in the Ethiopian Great Rift Valley in the Halaba special *woreda* (province) with the centre
145 located at 78° 17'N and 38° 06'E. The area has a size of approximately 480 km² and
146 contains three *kebeles* (counties); Andegna Choroko, Laygnaw Arsho and Asore where the
147 local survey was conducted (Fig 1; Cerretelli et al., 2018). The elevation ranges from 1650
148 to 2644 m a.s.l.. The average annual rainfall ranges from 1024 to 1243 mm yr⁻¹ and the
149 average annual temperature varies from 15 to 20 °C (WorldClim dataset 1970-2000; Fick
150 and Hijmans (2017)).

151 Subsistence agriculture represents the main activity in the area. The study area is highly
152 degraded due to intense deforestation mainly cause by population growth and by a shift
153 from livestock to crop-based agriculture (Byg et al., 2017). Therefore, in the last decade,
154 numerous restoration and exclosure areas were established (Byg et al., 2017), where free
155 grazing is forbidden and biomass harvesting is controlled to restore highly degraded sites
156 (Aerts et al., 2009).



157

158 **Figure 1.** Study area in the Halaba special *woreda*. The polygons show the *kebeles* where
 159 the main survey was conducted.

160

161 **2.2. Data sources**

162 In this study, two datasets were used (a “global dataset” and a “hybrid dataset”) each
 163 including data from different sources on soil organic carbon and land cover categories
 164 (Table 1). The “global dataset” included data (maps) just from readily available data with
 165 global coverage, and the “hybrid dataset” included global data integrated with local data
 166 (maps) on SOC, derived from interpolation of data from a local survey carried out during
 167 2015, and on land cover derived from a locally supervised land cover classification.

168 Global data on SOC stock is readily available from SoilGrids and the version of Hengl et
 169 al. (2017) was downloaded in May 2017 from the ISRIC (International Soil Reference and
 170 Information Centre) database (<https://soilgrids.org/>) and included in the “global dataset”.

171 Remote sensing datasets were used to assess and calculate biomass and to derive data for
 172 the land cover classification and interpolation of local soil properties. Remote sensing data

173 were derived from Landsat, MODIS (Moderate Resolution Imaging Spectroradiometer),
 174 Sentinel 1, and Sentinel 2 sensors, and SRTM (Shuttle Radar Topography Mission). For
 175 further details on the global datasets used see Supplementary Information A, Section 1.

176 **Table 1.** Description of global and hybrid datasets. In brackets are reported the units of the
 177 input variables and their resolution and the date of observation in global and hybrid
 178 datasets. NDVI: Normalised Difference Vegetation Index; NPP: Net Primary Productivity;
 179 GLNC: Global Land Cover Network.

	Input variables	Global dataset	Hybrid dataset
	SOC stock (t ha ⁻¹) for a soil depth interval of 0-20 cm	- SOC stock map downloaded from ISRIC SoilGrids (250 m resolution; year of sampling: 1950-2014)	- SOC stock calculated from local data on bulk density, organic matter content and coarse fragment content, and mapped at 25 m spatial resolution; year of sampling: 2015)
Carbon stocks	Organic carbon from above- and below- ground biomass (t ha ⁻¹)	- Landsat NDVI (30 m; years: 2013-2015) - Land cover categories from GLNC (300 m; year:	- Landsat NDVI (30 m; years: 2013- 2015) - Land cover categories from

	2008)	supervised
		classification (30 m
		year: 2016)
Organic carbon	- MODIS; NPP (~1	- MODIS; NPP (~1
from dead organic	km; years: 2000-	km; years: 2000-
matter (t ha ⁻¹)	2015)	2015)

180

181 **2.2.1. Land cover maps**

182 The land cover map was used together with the NDVI to infer and map the carbon stored
 183 in aboveground and belowground biomass. For this purpose, two different land cover
 184 maps were used: a land cover from a global dataset, and a land cover from a local
 185 classification. For further details on how the carbon stored in the aboveground and
 186 belowground biomass was calculated see Section 3.2.2.

187 **Land cover map from the global database**

188 The FAO Land Cover map of Ethiopia was derived from the Global Land Cover Network
 189 (GLNC), a global cover archive of 300 m spatial resolution (Arino et al., 2010, 2008) with
 190 few adaptations to the legend. For this study, the Ethiopian GlobCover version was
 191 downloaded from the FAO GeoNetwork database
 192 (<http://www.fao.org/geonetwork/srv/en/main.home>). Table 2 shows the global land cover
 193 classes occurring in the study area with a description and an approximate correspondence
 194 with the local land cover classification map classes. See the Supplementary Information A
 195 for a figure of the global land cover.

196 **Local land cover classification**

197 A two-step supervised classification was used to generate a local land cover dataset that
198 was used in the hybrid dataset. 670 GPS points were selected and classified using local
199 expertise and the support of Google Earth images. The 670 points represented different
200 land cover categories such as degraded land, woodland, riverine areas, cropland,
201 rangeland, forest, settlement and urban area. The points were equally distributed among
202 the different land cover classes (approximately 85 points per land cover class). A first
203 approximation of the local land cover classification was obtained using a classification
204 and regression trees approach (Random Forest; Breiman (2001)) with the points and
205 several covariates, derived from elevation, Landsat datasets and Sentinel 2 scenes.

206 The derived land cover classification was subsequently verified and manually modified
207 using Google Earth images as background. Several classified polygons categories were
208 changed, based on local knowledge of the area, to differentiate between land cover classes
209 that in the automated classification were confused (e.g. degraded land, restoration land and
210 croplands) due to their similar reflectance spectrum. Polygons derived from the
211 classification and characterised by mixed semi-natural vegetation and agroforestry were
212 differentiated from the forested areas. Areas characterised by small settlements and
213 cropland alongside them were also individuated and differentiated from Halaba urban area
214 or farmland areas. The resulted classification was visually validated by local experts.

215 Table 2 shows the local land cover classes' description and an approximate
216 correspondence with the global land cover classes (see Section 2 of Supplementary
217 Information A for further details and the figure of the derived land cover classification
218 map).

219 **Table 2.** Description of “global” land cover (LC) classes derived from the FAO
220 GlobCover of Ethiopia, and description of local land cover classes obtained through a
221 supervised land cover classification.

Global		Percentage	Corresponding
LC	Description	of study	local LC class
class		area (%)	
14	rainfed cropland	54.6	5
20	mosaic cropland (50-70%)/vegetation (grassland/shrubland/forest) (20-50%) mosaic vegetation	17.1	3
30	(grassland/shrubland/forest) (50- 70%)/ cropland (20-50%)	7.7	2
60	open (15-40%) broadleaved deciduous forest/woodland (>5m)	0.1	1
110	mosaic forest or shrubland (50- 70%)/grassland (20-50%)	0.9	NA
130	closed to open (15%) shrubland (<5m) sparse (<15%) vegetation-sparse	19.6	NA
150	woody vegetation/herbaceous sparse vegetation.	0.2	NA

Class		Percentage	Corresponding
Local	Description	of study	global LC
LC		area (%)	class
1	Forests and exclosures in the riverplain	2.5	60
2	Forests and farmland in hilly area (mixed semi-natural and agricultural areas)	13.4	30

3	Settlements and farmland alongside settlements	18.7	20
4	Degraded land	9.5	NA
5	Farmland	53.0	14
6	Halaba, bigger villages and paved streets	1.5	NA
7	Riverine areas	0.6	NA
9	Grassland, graze land	0.8	NA

222

223 **2.3. Local survey**

224 Local soil data were derived from 354 soil samples (for 0-20 cm of soil depth) collected
 225 during a local survey carried out in the study area in 2015, in areas with different land
 226 cover types and management strategies. The samples were collected from: i) different
 227 fields of 75 households in the three *kebeles* (selected from a household survey to represent
 228 three wealth categories); ii) 108 semi-natural sites and farmland sites, and iii) 6 restoration
 229 sites of different ages. 201 samples were collected in farmland areas, while 153 were
 230 collected in semi-natural, restoration, and exclosure sites. The farmland samples were
 231 collected from home garden, nearby field and far field of the 75 selected households to
 232 infer different management and input strategies. Non-agricultural areas were selected
 233 using a random probabilistic approach weighted by semi-natural area (previously
 234 identified using morphological features and land cover classes). The soil survey covered
 235 an area of about 400 km².

236 The SOC amount (stock) was derived from data on soil organic matter (SOM) content,
 237 bulk density, and volume of coarse fragments of the 354 samples collected (see Section

238 3.2.1). The soil depth interval of 0-20 cm was chosen to match it with the AfSIS Technical
239 Specifications for Soil Health Surveillance (<http://africasoils.net>) that were used in the
240 Ethiopian Soil Information Service (EthioSIS), an Ethiopian initiative to gather and
241 analyse soil samples at a national level to develop extensive soil fertility maps. The 0-20
242 cm depth interval was a pragmatic choice because the local soil survey did not have bulk
243 density data for the second soil depth interval (20-50 cm as defined by the soil survey
244 protocol). A soil depth interval of 0-20 cm was therefore chosen for this study. The soil
245 depth interval considered the mineral soil (as in the SoilGrids, where the organic layers on
246 top of mineral soils were removed) since the O horizon was absent in our soil samples. A
247 bulk density corer was used to collect soil cores used to determine bulk density. An
248 Edelman auger was used to collect composite samples of 500 g used to determine organic
249 matter and soil texture. For the composite sample a “W” sampling design was used.

250

251 **3. METHODS**

252 The procedure used to assess carbon stocks and analyse the obtained results was
253 characterised by three main steps: i) data collection to derive the “global” and the “hybrid”
254 datasets; ii) mapping of organic carbon stocks by calculating the three carbon pools
255 (carbon from total living biomass, carbon from dead organic matter, and SOC); iii)
256 statistical analysis for comparing the two sets of results (global and hybrid). The
257 methodology was similar to that used by Cerretelli et al. (2018).

258

259 **3.1. Local soil property maps**

260 Local soil properties maps, in particular SOM percentage and bulk density, were obtained
261 through an interpolation process using an extension of the scorpan-kriging approach
262 (McBratney et al., 2003). More in detail, a hybrid geostatistical Generalized Additive
263 Models (GAM; Wood (2006)), combining GAM with kriging (Poggio and Gimona, 2017,
264 2014) was used. Several covariates (17) mainly based on remote sensing data (DEM,
265 Landsat, Sentinel 1, and Sentinel 2 derived maps) were used. A prediction grid of 25 m x
266 25 m resolution for the first 20 cm of soil depth was obtained (see Supplementary
267 Information A for further details).

268

269 **3.2 Carbon stocks assessment**

270 Three different organic carbon pools were considered to assess the total organic carbon
271 stock:

272 i) soil organic carbon;

273 ii) carbon from total living biomass (aboveground and belowground biomass);

274 iii) carbon from dead organic matter.

275 The next sections provide details about assessing each of the three pools.

276

277 **3.2.1. Soil organic carbon**

278 **Global soil organic carbon stock data**

279 The SOC stock data, expressed in t ha^{-1} for six depth intervals (0-5 cm, 5-15 cm, 15-30
280 cm, 30-60 cm, 60-100 cm, 100-200 cm), was downloaded from the SoilGrids 250m

281 availed by ISRIC – World Soil Information (International Soil Reference and Information
282 Centre) (<https://soilgrids.org/>) (Hengl et al., 2017). The SoilGrids dataset is a collection of
283 soil property maps at 250 m spatial resolution. It was produced using digital soil mapping
284 techniques based on machine learning algorithms (Hengl et al., 2017). The SOC stock for
285 20 cm depth was derived by summing the stock of the two first SoilGrids layers of 0-5 cm
286 and 5-15 cm, and just the stock of the first 5 cm (one third of the total depth interval) of
287 the third layer (15-30 cm), assuming a constant SOC stock throughout the layer. This
288 weighted average calculation was suggested by the trapezoidal formula indicated by Hengl
289 et al. (2017). Accordingly, the total amount for the 0-20 cm depth interval was obtained as
290 follow:

$$SOC_{stock} = SOC_{d1} + SOC_{d2} + \frac{1}{3} SOC_{d3} \quad (1)$$

291 where:

292 SOC_{stock} = soil organic carbon stock (t ha⁻¹)

293 SOC_{d1-d3} = soil organic carbon at 0-5 cm (SOC_{d1}), 5-15 cm (SOC_{d2}), and 15-30 cm (SOC_{d3})
294 depth interval.

295 **Local soil organic carbon stock**

296 The SOC stock for the hybrid dataset was calculated using the following equations, based
297 on the assumption that the carbon content of SOM is 58% (Van Bemmelen, 1890). This
298 proportion has been largely used in literature for obtaining SOC content from the SOM
299 content because it provides a reasonable estimate for most purposes (Baldock and Nelson,
300 2000; Stockmann et al., 2013).

$$SOC_{\%} = OM \times 58_{\%} \quad (2)$$

$$SOC = SOC_{\%} \times BD \times (100_{\%} - VS_{\%}) \times SDT \times 100 \quad (3)$$

301 where:

302 $SOC_{\%}$ = soil organic carbon content (%)

303 OM = organic matter content (%)

304 SOC = amount (stock) of soil organic carbon for a certain depth ($t\ ha^{-1}$)

305 BD = bulk density ($g\ cm^{-3}$)

306 VS = volume of stones – coarse fragments (%)

307 SDT = soil depth thickness (cm)

308

309 **3.2.2. Carbon from total living biomass**

310 Equations that estimate plant biomass from NDVI index were used for calculating the total
311 living biomass of four different vegetation types to characterise the land cover classes
312 mapped in the study areas; grassland: Devineau et al. (1986), forest: Gizachew et al.
313 (2016), shrubland: Pereira et al. (1995), and cropland: Thenkabail et al. (2002). The
314 coefficient of correlation of the four equations ranges from 0.50 to 0.98. Table 3
315 summarises the equations used. In Table 3 we reported the biomass in different conditions
316 (wet weight aboveground biomass – wAGB, and dry weight aboveground biomass - AGB)
317 because the different equations estimate either wet weight aboveground biomass (for
318 cropland), or dry weight aboveground biomass (for grassland and shrubland). The wAGB
319 represents the sum of AGB and moisture content. Moreover, the equation used for the

320 forest estimates directly the total living biomass (sum of dry weight of aboveground and
 321 belowground biomass).

322 **Table 3.** Equations used to calculate the biomass. wAGB = wet aboveground biomass (t
 323 ha⁻¹). AGB = dry aboveground biomass (t ha⁻¹). BGB = dry belowground biomass (t ha⁻¹)
 324 calculated as in Kuyah et al. (2012) (R² = 0.95). TLB = total living biomass (above- and
 325 below-ground biomass) (t ha⁻¹). The symbol “-” identifies the unused equations for each
 326 vegetation type (e.g. for the forests we applied only the TLB equation).

	wAGB	AGB	BGB	TLB
	(t ha⁻¹)	(t ha⁻¹)	(t ha⁻¹)	(t ha⁻¹)
Forest	-	-	-	$280.93 \times NDVI - 84.22$ (Gizachew et al., 2016)
Grassland	-	$\frac{(0.216 \times (100NDVI)^{1.7})}{100}$ (Devineau et al., 1986)	$0.49 \times AGB^{0.923}$ (Kuyah et al., 2012)	$AGB + BGB$
Shrubland	-	$2.923 + 21.486 \times NDVI$ (Pereira et al., 1995)	$0.49 \times AGB^{0.923}$	$AGB + BGB$
Cropland	$(0.186e^{3.6899 \times NDVI}) \times 10$ (Thenkabail et al., 2002)	$wAGB \times 0.905$	$0.49 \times AGB^{0.923}$	$AGB + BGB$

327

328 To obtain the dry weight of the aboveground cropland biomass, the moisture content
 329 (9.5%) was removed (Table 3). This moisture content was obtained through a literature
 330 review on moisture content in cropland residues (Ben-Iwo et al., 2016; Frear et al., 2005;
 331 Guo et al., 2016; Lam et al., 2007; Mani et al., 2004; McKendry, 2002). Even though
 332 different crops could differ in the moisture content, we assumed that 9.5% represents a
 333 good average proportion for all cropland types, based on literature review.

334 The total living biomass ($t\ ha^{-1}$) was calculated for the four different vegetation types (e.g.
335 grassland, forest, shrubland, and cropland). The four values were applied in different
336 proportions to characterise the biomass of each global and local land cover class (Table 4).
337 This was necessary because the land cover classes represent a mosaic of different land
338 cover categories, due to the high heterogeneity of the Ethiopian landscape, and to the
339 difficulties of distinguishing between different categories such as cropland and degraded
340 land, forest and cropland around settlements. The estimation of the proportion of each
341 vegetation type in the land cover classes was based on visual inspection of high-resolution
342 satellite images (Google Earth) and supported by local expertise and local vegetation
343 surveys. Table 4 illustrates different proportions of total living biomass previously
344 obtained using the four regression equations reported above (Table 3), applied for each
345 land cover class. For example, for the areas classified as forest classes (global land cover
346 class 60 and hybrid land cover class 1), we derived the biomass by adding at the biomass
347 obtained using the equation for forest environment (Gizachew et al., 2016) 30% of the
348 biomass obtained using the equation for grassland environment (Devineau et al., 1986),
349 based on the assumption that grassland biomass is also present in the forests, as supported
350 by local expertise of the study area and local vegetation surveys.

351 For the class representing the settlements and the croplands (local class 3 and global land
352 cover class 30), we assumed the presence of biomass from cropland (60%), grassland
353 (29.5%) and forest (15%), because the class is characterised by a mosaic of mixed
354 vegetation and crops. We derived the proportions based on the heterogeneity of the class;
355 they were then justified by local expertise, satellite images investigation and local surveys.
356 Refer to Tables 2 for the characterisation of the land cover classes.

357

358 **Table 4.** Proportions of total living biomass obtained through the four regression
 359 equations reported in Table 3, applied in each land cover class.

	Short LC class	Eq.	Eq.	Eq.	Eq.	
	description	forest	grassland	shrubland	cropland	
Global land cover classes	14	Farmland	-	15%	-	85%
	20	Cropland and semi-natural vegetation	15%	29.5%	-	60%
	30	Semi-natural vegetation and cropland	45%	28.5%	-	40%
	60	Forest	100%	30%	-	-
	110	Shrubland and grassland	30%	58%	30%	-
	130	Shrubland	-	30%	100%	-
	150	Sparse vegetation	30%	79%	-	-
Local land cover classes	1	Forest	100%	30%	-	-
	2	Semi-natural vegetation and cropland	45%	18.5%	-	40%
	3	Cropland, settlements, and semi-natural vegetation	15%	29.5%	-	60%
	4	Degraded land	20%	60%	-	20%
	5	Farmland	-	15%	-	85%
	6	Cities and paved streets	-	50%	-	-
	7	Riverine areas	-	50%	-	-
	9	Grassland	10%	93%	-	-

360

361 Finally, the dry weight of the total living biomass (t ha^{-1}) was multiplied by 0.475 to
362 obtain the carbon content stored in the total living biomass (IPCC, 2006; Magnussen and
363 Reed, 2004). This conversion factor is considered a reasonable estimate of carbon stored
364 in above- and below-ground living biomass. It is an assumption largely used in literature
365 in case there is not data from a survey (Cañellas et al., 2017; Magnussen and Reed, 2004;
366 Propastin and Kappas, 2010; Rieger et al., 2015).

367

368 **3.2.3. Carbon from dead organic matter**

369 The amount of carbon from dead organic matter was derived from the Net Primary
370 Productivity map downloaded from the MODIS database, which considers a period range
371 of 2000-2015, assuming that the system was in equilibrium (MOD17A3,
372 <https://lpdaac.usgs.gov/products/mod17a3v055/>). This method derives from the
373 fundamental implicit assumption that, when the living biomass per unit surface in an
374 ecosystem is stationary, i.e. it reaches a growth plateau, to each gram of new organic
375 carbon incorporated by production corresponds a gram of biomass shed as dead matter,
376 thus resulting in a dynamic equilibrium (Hogarth, 2015; Ohtsuka et al., 2005; Schlesinger
377 and Bernhardt, 2013; Woodwell and Whittaker, 1968).

378

379 **3.3. Statistical analysis and software used**

380 The estimates obtained with the “global” and the “hybrid” datasets were compared to
381 highlight possible implications in mapping carbon stocks using data at different spatial
382 and temporal resolutions, and obtained from radically different sampling and modelling
383 approaches.

384 The following steps were performed in the analysis:

- 385 • since the resolution of the global and hybrid results (e.g. carbon stocks estimates)
386 were 250 m and 30 m, respectively, to compare the two sets of results, the maps
387 obtained using the hybrid dataset were resampled at 250 m resolution using the
388 nearest neighbour resampling algorithm;
- 389 • zonal statistics (mean, median, and sum) at land cover and sub-catchment level
390 were obtained (see Supplementary Information A on how the sub-catchment map
391 was derived). The sub-catchment represents a useful geographical unit for
392 conservation or management strategies implementation at a local decision-making
393 process because hydrologically self-contained;
- 394 • side by side comparison of mapped estimates;
- 395 • statistical comparison between “global” and “hybrid” results:
 - 396 ○ linear regressions were run at pixel and at sub-catchment level to identify
397 the correlation among the two sets of results (“global” and “hybrid”
398 results);
 - 399 ○ Kendall correlation coefficient (rank correlation analysis) was used to
400 identify the association between paired samples;
 - 401 ○ qq-plot (quantile-quantile plot; a graph where the quantiles of two
402 distributions (e.g. global and hybrid results) are plotted against each other).
403 If both sets of quantiles came from the same distribution, the qq-plot will
404 show a set of points forming an approximately straight line;

405 As an indicator of land degradation, the SOC of the two different datasets (global and
406 hybrid) were compared to identify possible implications of using SOC estimates as proxy
407 for land degradation. Zonal statistics were calculated to estimates the SOC at land cover
408 level. The local land cover classification was used because of the major level of detail and

409 accuracy (as compared to the global land cover classification), and also because it
410 contained a land cover class defined as degraded land.

411 Moreover, the root-mean-square error (RMSE) was calculated between the SOC data
412 measured at the sampled points and the SOC maps resulting from the global dataset
413 (SoilGrids prediction) and the hybrid dataset (local predictions).

414 Carbon stocks was calculated using QGIS (version 3.0.0) and GRASS GIS (version 7.4.0)
415 software (GRASS Development Team, 2017; QGIS Development Team, 2017). R
416 software (version 3.4.0) (R Core Team, 2017) was used for the statistical analysis. The
417 packages used were: “raster” (Hijmans, 2015), “rgrass7” (Bivand, 2015), “rasterVis”
418 (Perpiñán-Lamigueiro and Hijmans, 2013).

419

420 **4. RESULTS**

421 **4.1. Carbon stocks assessments**

422 The calculated total organic carbon stocks ranged from 56 to 134 t ha⁻¹ with both mean
423 and median of 76 t ha⁻¹ in the global case, and from 4 to 118 t ha⁻¹ with a mean of 46 t ha⁻¹
424 and a median of 44 t ha⁻¹ in the hybrid case. In the global case, in the three pools, the
425 carbon stocks ranged from 50 to 92 t ha⁻¹ (mean 63 t ha⁻¹), from 0 to 53 t ha⁻¹ (mean 7 t ha⁻¹)
426 ¹), and from 4 to 10 t ha⁻¹ (mean 6 t ha⁻¹) in the soil, biomass, and dead organic matter,
427 respectively. While in the hybrid case, the carbon stocks ranged from 0 to 84 t ha⁻¹ (mean
428 31 t ha⁻¹), from 0 to 68 t ha⁻¹ (mean 9 t ha⁻¹), and from 4 to 10 t ha⁻¹ (mean 6 t ha⁻¹) in the
429 soil, biomass, and dead organic matter, respectively, thus with the vast majority in the soil.

430 In the global case, the relative contribution to the total carbon stocks was 82.9%, 9.2%,
431 and 7.9% in the soil, living biomass, and dead organic matter pools, respectively. In the

432 hybrid case, the relative contribution was 67.4%, 19.6%, and 13.0% in the soil, biomass,
433 and dead organic matter pools, respectively. The linear regression models between the two
434 distributions of organic carbon stocks (obtained using the global dataset or the hybrid
435 dataset) showed good correlation at sub-catchment level (tons per sub-catchment) with R^2
436 of 0.96, while a R^2 of 0.40 at pixel level. The R^2 between the two sets of results was 0.52
437 if the means per sub-catchment in $t\ ha^{-1}$ are correlated.

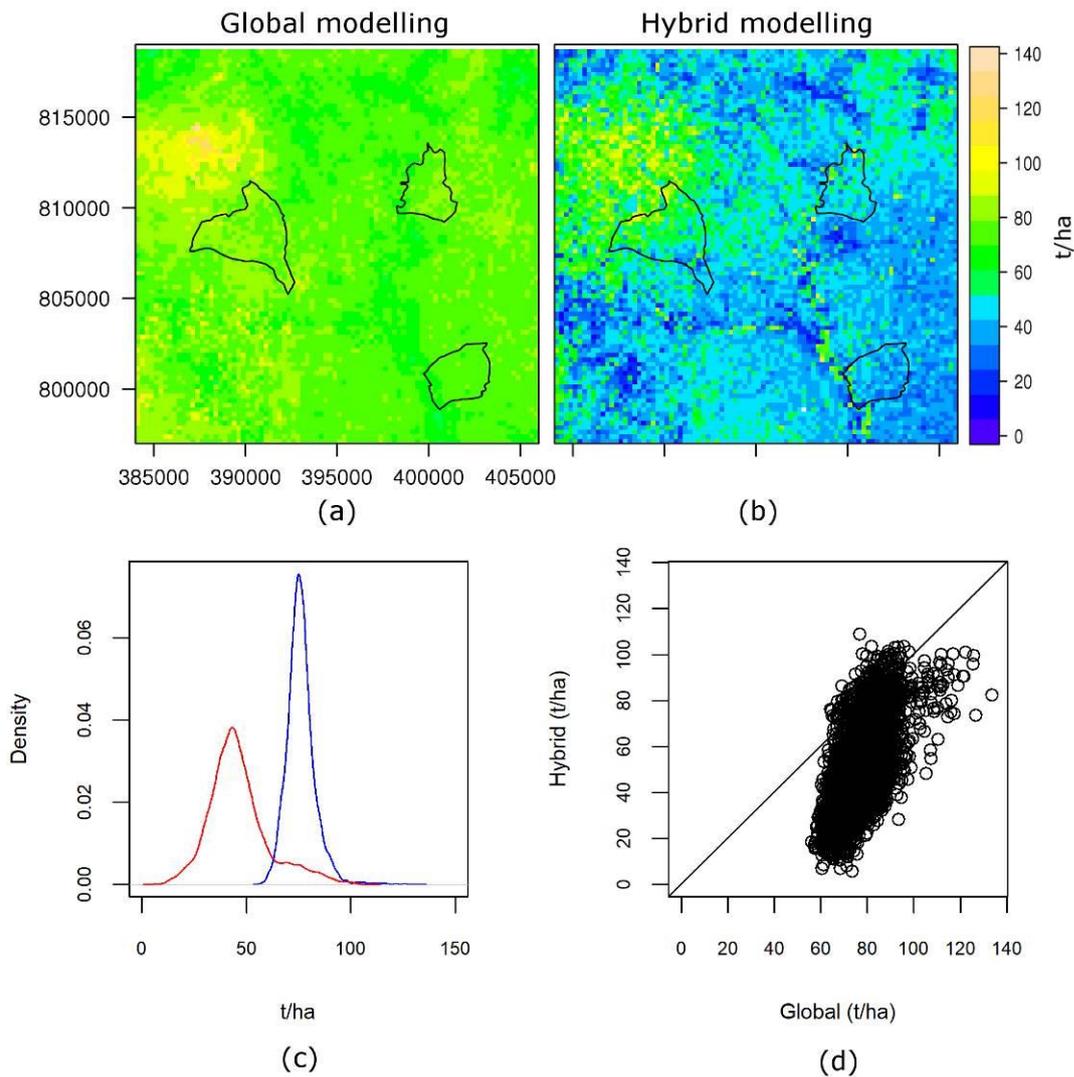
438 Furthermore, the two sets of results showed fair rank correlation at pixel level with a rank
439 correlation coefficient of 0.42. The coefficient was 0.43 if the median amounts of carbon
440 stocks per sub-catchment ($t\ ha^{-1}$) are correlated.

441 The maps in Fig. 2 (a and b) show the spatial distribution of carbon stocks obtained using
442 the global dataset (Fig. 2a), and the hybrid dataset (Fig. 2b). The latter results (obtained
443 with the hybrid dataset) showed more spatial variability, and lower carbon stocks ($t\ ha^{-1}$)
444 in the whole area compared to the first results (obtained with the global dataset). This
445 aspect is quite clear from the density plot and the scatter plot in Fig. 2c and 2d; the two
446 graphs show how the spatial distribution of the two results (global and hybrid) differed in
447 the estimates range and in the variability. The distribution of the estimates of the global
448 dataset (in blue) showed overall higher estimated and lower variability as compared to the
449 distribution of the hybrid results (in red) (Fig. 2c). This aspect is also shown by the scatter
450 plot in Fig. 2d.

451 The lower estimates of carbon stocks with the hybrid dataset are shown also in the qq-
452 plots in Fig. 3 both at pixel resolution (Fig. 3a), and at sub-catchment resolution (Fig. 3b).
453 At pixel resolution (Fig. 3a), the difference between the quantile of the distribution of the
454 estimates of the hybrid and the global datasets was high especially at lower estimates. The
455 difference between the quantiles of the distribution decreased at higher estimates, showing

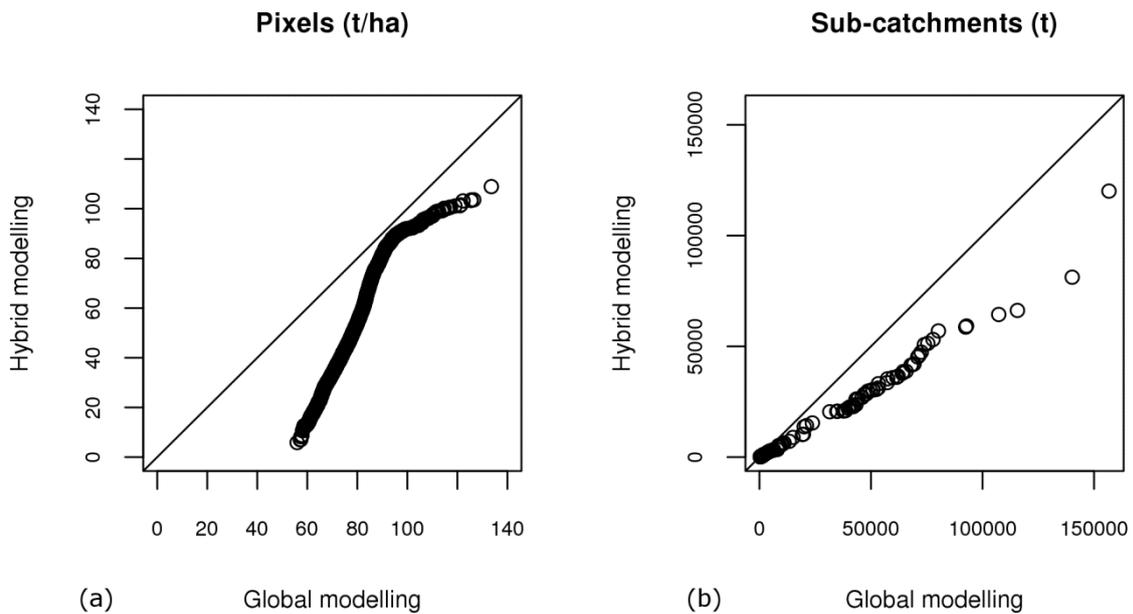
456 better agreement at high estimates. Moreover, also at higher quantiles, the qq-plot at pixel
457 level (Fig. 3a) shows that the global dataset obtained higher values of carbon stocks. At
458 sub-catchment resolution (Fig. 3b) the difference remained constant throughout the
459 quantiles, with lower estimates using the hybrid dataset.

460



461

462 **Figure 2.** Carbon stocks ($t\ ha^{-1}$) obtained using the global dataset (Fig. 2a) and the hybrid
463 dataset (Fig. 2b) (the black polygons show the kebeles where the survey was
464 concentrated). Fig. 2c shows the density plot of the global (blue) and the hybrid (red)
465 results (carbon stocks estimates at pixel level). Fig. 2d shows the scatter plot of global
466 against hybrid results (at pixel level).



468

(a)

Global modelling

(b)

Global modelling

469 **Figure 3.** Quantile-quantile plots (qq-plots) between global and hybrid results of carbon
 470 stocks at pixel (a) and sub-catchment (b) level.

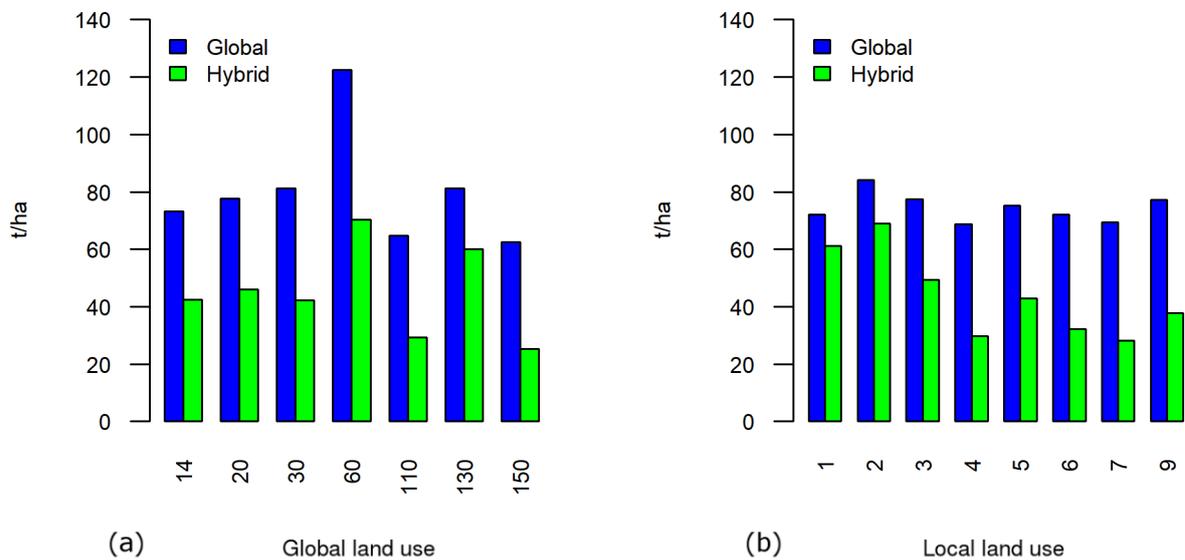
471

472 When aggregated by land cover classes different estimates were obtained with different
 473 datasets (e.g. global and hybrid datasets). The bar plots in Fig. 4 show the mean value of
 474 carbon stocks ($t\ ha^{-1}$) summarised by global (Fig. 4a) and local (Fig. 4b) land cover
 475 classes. The results obtained from the global dataset are shown in blue colour and the
 476 results obtained from the hybrid dataset are shown in green colour. The higher estimates
 477 obtained by the global dataset at pixel level (Fig. 3) were also confirmed at land cover
 478 level; in fact, the blue bars are in all land cover classes higher compared to the green bars.
 479 The mean value of carbon stocks ($t\ ha^{-1}$) per local land cover class (Fig. 4b) was higher in
 480 the class 2 in both estimates of the global and the hybrid dataset. Class 2 of the local land
 481 cover corresponds to cultivated area with semi-natural vegetation and agroforestry
 482 practices in the western hilly areas. Its mean carbon stocks was also higher compared to

483 the mean carbon stocks estimated for the class 1 (forests and exclosures located especially
484 in the proximity of the river, that underwent restoration activities in the past years).

485 Apart from class 60 (open (15-40%) broadleaved deciduous forest/woodland (>5m)),
486 which is represented by a very small area (~0.1% of the whole area), there was lower
487 variability of estimates of carbon stocks among land cover classes if global dataset was
488 used, as compared to the estimates by the hybrid dataset (Fig. 4).

489 Among mean estimates of the global and local land cover classes that can be compared,
490 differences in the range of 12%-48% were found, with higher values in all cases in the
491 global estimates (Table 5). For details on the corresponding land cover classes refer to
492 Table 2.



493

494 **Figure 4.** Bar plots of mean carbon stocks ($t\ ha^{-1}$) per global (a) and local (b) land cover
495 classes. In blue the results obtained using the “global” dataset and in green the results
496 obtained using the “hybrid” dataset.

497

498 **Table 5.** Mean values per corresponding global and local land cover classes obtained
 499 using the global and the hybrid datasets, respectively. The last column shows the
 500 percentage difference obtained using the two datasets if the corresponding global and local
 501 LC classes are considered.

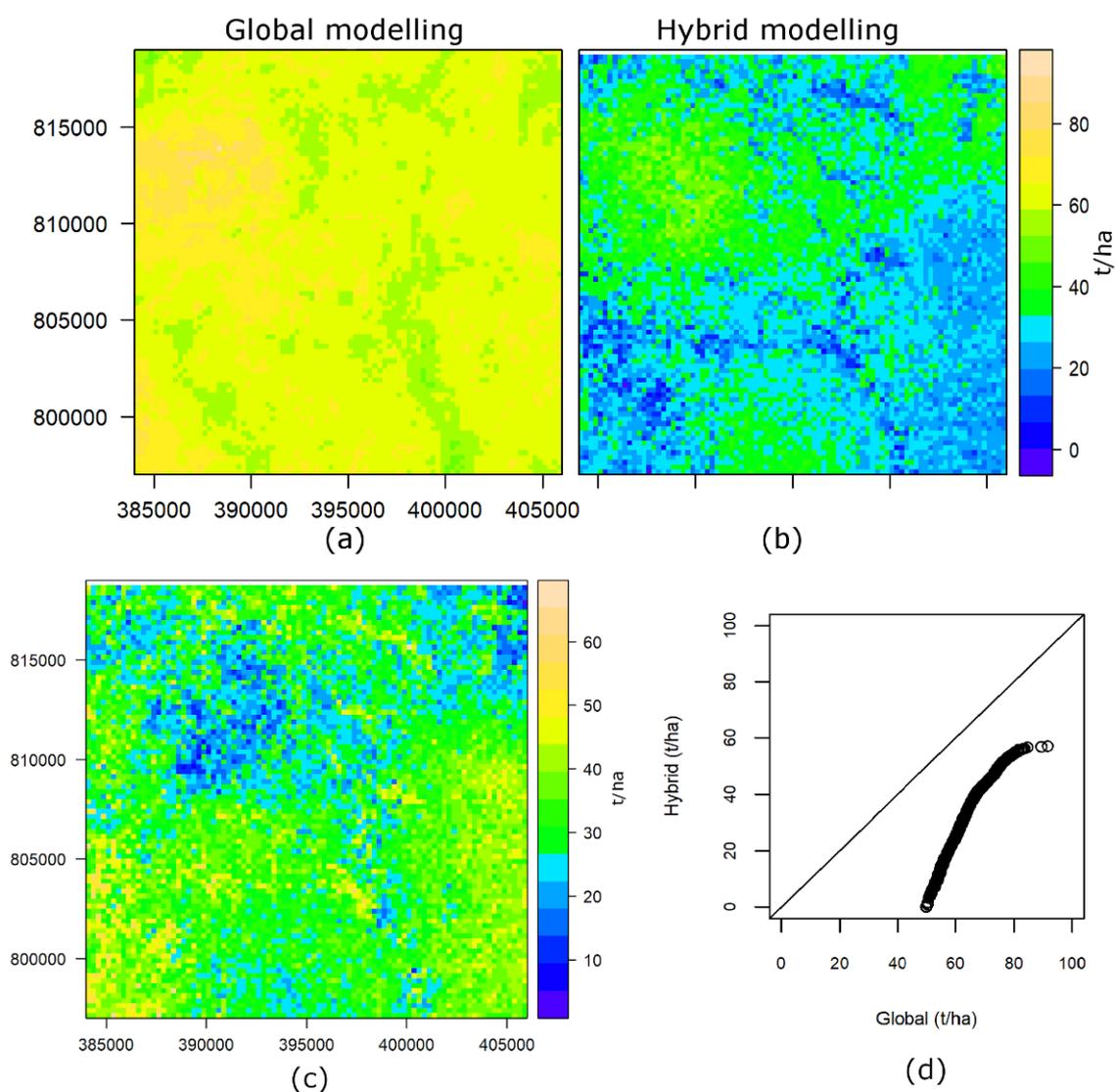
Brief LC description	Global LC		Local LC		% difference
	Class	Mean (~ha t ⁻¹)	Class	Mean (~ha t ⁻¹)	
Farmlands	14	73	5	42	42%
Farmlands and mixed semi-natural vegetation	20	78	3	49	37%
Mixed croplands and vegetation	30	81	2	71	12%
Forests	60	122	1	63	48%

502

503 **4.2. Soil organic carbon in the two datasets**

504 In the whole area, the SOC stock ranged from 49.7 to 91.7 t ha⁻¹ (mean = 62.9, median =
 505 62.9, standard deviation = 4.37, variance = 19.1, coefficient of variation = 7.0%) for the
 506 global dataset, and from 0 to 84.4 t ha⁻¹ (mean = 31.2, median = 31.1, standard deviation =
 507 8.36, variance = 69.9, coefficient of variation = 26.8%) for the hybrid dataset. Fig. 5
 508 shows the maps of SOC stock used in the global dataset (Fig. 5a), and the one produced
 509 using the local data (Fig. 5b), the difference between the global and hybrid SOC (Fig. 5c),
 510 and the qq-plot between global and hybrid SOC (Fig. 5d). Fig. 5c shows that, in the whole
 511 area, the SOC stock of the global dataset was higher than the SOC stock of the hybrid

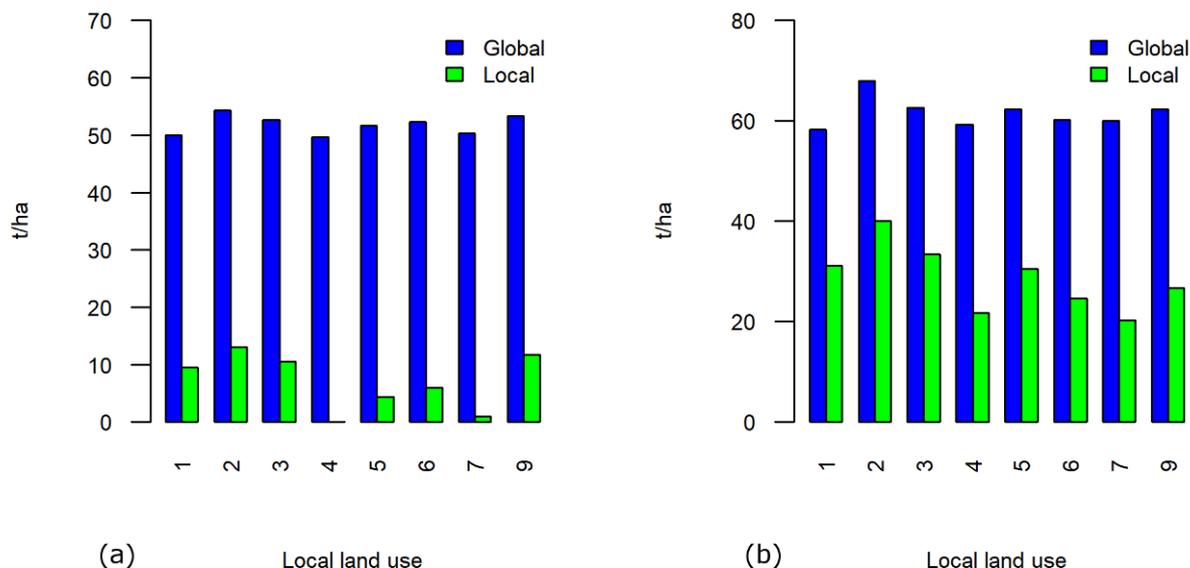
512 dataset. Fig. 5d also shows that the difference between the quantile of the distribution of
513 the global and hybrid SOC was high for the whole distribution. In Fig. 6 the bar plots
514 show the minimum (Fig. 6a), and median (Fig. 6b) values of SOC (t ha^{-1}) summarised by
515 local land cover classes. In all classes, the SOC of the global datasets was higher than the
516 SOC of the hybrid dataset, for the minimum, and median values. In particular, in the local
517 land cover class 4 (degraded land) the SOC minimum, median, and maximum values were
518 49.7, 59.3, and 77 t ha^{-1} in the global dataset, and 0, 21.1, and 49.7 t ha^{-1} in the hybrid
519 dataset. Ultimately, the bar plots suggest that the spatial variability was lower in the global
520 SOC estimates compared to the hybrid SOC estimates.



521

522 **Figure 5.** Soil organic carbon (t ha^{-1}) of the global dataset (a) and the hybrid dataset (b),
 523 the difference between soil organic carbon (t ha^{-1}) of the global and the hybrid dataset (c),
 524 and quantile-quantile plot (qq-plot) between global and hybrid SOC (d).

525



526

527 **Figure 6.** Bar plots of minimum (a), and median (b) values of SOC (t ha^{-1}) per local land
 528 cover classes. In blue the SOC value of the “global” dataset and in green the SOC value of
 529 the “hybrid” dataset.

530

531 The R^2 between the observed SOC values and the SOC predictions of the interpolation
 532 used in the hybrid dataset was 0.88. The R^2 decreased to 0.33 if the same map resampled
 533 to 250 m was used. A R^2 of 0.08 was found between the observed values and the SOC
 534 derived from the SoilGrids (used in the global dataset).

535 The RMSE calculated between the observed values and the SOC predictions of the
 536 interpolation used in the hybrid dataset was 4.1 t ha^{-1} (if the map of 25 m resolution was

537 used in the analysis). The RMSE increased to 9.6 t ha⁻¹ if the same map resampled to 250
538 m resolution was used. The RMSE calculated between the observed values of SOC and
539 the SOC predictions in the global dataset was instead 31.9 t ha⁻¹. This, as expected,
540 demonstrated that local estimates were much closer to the observed values.

541

542 **5. DISCUSSION**

543 While it should be borne in mind that the carbon stocks mapping used in our study is not
544 dynamic and is simplistic, this limitation does not affect the validity of the comparison of
545 the results obtained using different datasets being studied here.

546 The data used clearly produced a difference in estimates. The fair correlation at pixel level
547 and the difference in the estimates of carbon stocks using global and hybrid datasets were
548 mainly due to i) the difference in soil datasets (SOC stock was considerably lower when
549 calculated from local data compared to the global data), and to ii) the difference in land
550 cover datasets (organic carbon in biomass was lower when calculated from the local land
551 cover map compared to when calculated from the global land cover map). Besides, the
552 difference in the time scale of the local land cover classification (obtained using data of
553 2015) and the Ethiopia GlobCover database (obtained using data of 2005) likely affected
554 the estimations of the carbon from biomass in the two models. Keeping in mind that land
555 cover changes over ten years might affect the estimations, we think that a land cover map
556 of 300 m resolution is not adequate to infer land cover changes in a small area.

557 A similar discrepancy was also present for the SOC, since the hybrid dataset used data
558 from 2015, while the data used in the global SoilGrids prediction were collected from
559 1950 to 2014 (Hengl et al., 2015). This likely led to different estimates of SOC due to the

560 dynamic nature of soil processes or possible land degradation exacerbation. The
561 overestimation obtained using the global dataset might also be the result of a lack of data-
562 points in our study area. In fact, despite the utilisation of more than 1500 Ethiopian
563 profiles for deriving the SoilGrids maps (Batjes et al., 2017), no soil profiles were located
564 in our region of interest. The sparse data representativeness and the time difference of the
565 samples used in the two predictions (global and local SOC) were the main driver of
566 different estimates of SOC, and subsequently of carbon stocks. Furthermore, the
567 discrepancies may also reflect differences in sampling and laboratory analysis (of organic
568 carbon content, bulk density, and coarse fragments content) and even in the calculations of
569 stocks. The SoilGrids version used in this study might generally overestimate soil organic
570 carbon stocks due to the data used to map bulk density, including North American legacy
571 data (especially from the USA), in which the bulk density was calculated without oven-
572 drying the soil samples. This issue is resolved in the current version (2.0) of SoilGrids (de
573 Sousa et al., 2020). However, these factors did not affect the main objective of this study,
574 which was to identify possible limitations and drawbacks or advantages of using global or
575 local datasets to map carbon stocks. Furthermore, the global datasets, using legacy data,
576 could overlook the presence of soil carbon restoration and rehabilitation measures.
577 However, the restoration of SOC after rehabilitation activities is a long process (Mekuria
578 et al., 2011) that a ten-year frame difference would not dramatically affect the results.
579 Overall, the observed discrepancies between the organic carbon stocks assessed using the
580 two different datasets may be because the global dataset is produced not using any data
581 originating from the study area and/or reflects past times (Arino et al., 2008; Hengl et al.,
582 2015). Decision-making is influenced by such differences and it is recommended to
583 collect and use local and up-to-date data where possible. Lesser accuracy (showed by the
584 difference in RMSE of the carbon stored in the soil) is not necessarily a systematic

585 problem of global datasets compared to local datasets. It is also reasonable to assert that,
586 in different areas, with different land cover conditions as well as biophysical factors, the
587 same analysis and the same global datasets (e.g. SoilGrids) could provide local
588 underestimates, instead of overestimates. Over larger areas this results in good estimates
589 of the mean. However, the local estimates could still be affected by non-negligible errors,
590 and it is important to understand the implications for decision-making.

591 Our estimates of organic carbon stocks obtained using the hybrid dataset as well as the
592 global dataset were lower than those found in other areas in Ethiopia. For example,
593 Vanderhaegen et al. (2015) found total organic carbon stocks of $\sim 70 \pm 12$ t ha⁻¹ in maize
594 field systems, $\sim 77 \pm 10$ t ha⁻¹ in grazing lands, and up to 337 ± 121 t ha⁻¹ in natural forest and
595 coffee agroforestry areas. De Beenhouwer et al. (2016) estimated total organic carbon
596 stocks ranging from 219 ± 23 t ha⁻¹ to 413 ± 56 t ha⁻¹ in an agroecosystem dominated by
597 natural forest, coffee extensive cultivations, and more intensive agroecosystem and
598 intensified shade plantation systems. Bajigo et al. (2015) reported total carbon organic
599 stocks of 51 ± 0.7 t ha⁻¹, 86 ± 20 t ha⁻¹ and 448 ± 43 t ha⁻¹ in home gardens, parklands, and
600 woodlots, respectively. The SoilGrids estimates for Ethiopia are probably the result of
601 data available from Ethiopia exceeding our estimates for the study area. However, these
602 quantitative comparisons should be taken carefully due to different techniques of biomass
603 measurements, different modelling approaches as well as soil sampling depths. In fact, in
604 our study, the SOC stock was calculated for 20 cm of soil depth, while in most of the other
605 studies a standardised soil depth of 30 cm was used.

606 In both datasets, there were also potential sources of underestimation of carbon stored in
607 the living biomass because the NDVI (used to calculate the biomass) was derived from
608 Landsat images of dry periods. Furthermore, the quality of the global land cover likely
609 introduced possible errors in the biomass calculation. Hence, a remote sensing approach

610 that permits to classify the landscape should be used to derive a reliable and detailed land
611 cover dataset. In fact, the better accuracy of the land cover map derived from the
612 supervised classification and used in the hybrid dataset likely led to more reliable results.
613 This was also found in our previous study (Cerretelli et al., 2018), in which the results
614 were mainly affected by the quality of the land cover classification used in the modelling.
615 Moreover, the carbon derived from the total living biomass might have been
616 underestimated because the equation used to calculate the belowground biomass (Kuyah et
617 al., 2012) was derived from woody biomass, while our study comprehended also grassland
618 or mixed vegetation areas. However, this extension did not affect the validity of the
619 comparison between the different results obtained using different sets of data, because the
620 same bias can be applied in both sets of results (global and hybrid results).

621 The mean of the carbon stocks in the whole study area for hybrid results was ~40%
622 smaller than the mean for global results. We found that lower carbon stocks values were
623 more overestimated by the global dataset than higher carbon stocks values, if the results
624 obtained using the hybrid dataset are used as reference. Therefore, if global datasets are
625 used quantitatively, e.g. to compare thresholds, decision-makers might not focus on the
626 best areas or might underestimate the carbon depletion. For example, areas having low
627 carbon stocks (i.e. carbon stocks lower than 50 t ha^{-1}) would be completely missed by the
628 global dataset. The global dataset proved to be not adequate and spatially detailed also for
629 selecting priority land cover classes for carbon stocks enhancement purposes, while the
630 hybrid dataset could give better indications on where to intervene for increasing carbon
631 stocks. However, the rank correlation analysis at sub-catchment resolution showed a fairly
632 good correlation of the mean estimates (t ha^{-1}) with a rank correlation of 0.43. Therefore,
633 global datasets proved to be adequate for targeting or prioritising sub-catchments based on
634 their rank distribution. Both models made point-support predictions. When aggregating

635 point-support data using different regions (e.g. sub-catchments or land cover classes) care
636 should be taken because of the well-known modifiable areal unit problem (MAUP)
637 (Jelinski and Wu, 1996; Openshaw and Taylor, 1979). Several studies highlighted the
638 importance of the spatial scales, the extents and the support of estimates when modelling
639 environmental properties (Cavazzi et al., 2013; Grunwald et al., 2011; Poggio et al.,
640 2010). Accordingly, care should be taken because aggregation in different areal units
641 could lead to unreliable findings. This aspect was also highlighted by Grêt-Regamey et al.
642 (2014) who found that ecosystem services mapping is affected by the mapping resolution.
643 These results are corroborated by Verhagen et al. (2016) who found that heterogeneity is
644 often important when mapping different ecosystem services. This aspect was also true in
645 our study which showed different agreements between the estimates of the global and the
646 hybrid datasets, based on the different scales of aggregation used in the comparison
647 analysis (pixel or sub-catchment level). The role of global datasets is prominent in
648 countries with limited access to soil survey data. Our study suggested that these global
649 datasets can be useful, provided their limitations and inaccuracies are understood, despite
650 their potential for error in the numerical values of carbon stocks estimates. Such potential
651 could lead to underestimation of the need for restoration in some regions, and mis-
652 targeting of conservation measures, if based on quantitative estimates, because of the
653 lower accuracy of the carbon stocks maps derived when using only global datasets. This
654 study agrees with Vihervaara et al. (2012) who stressed how ecosystem services mapping
655 needs detailed datasets to estimate variation at local level that could be relevant at a
656 decision-making perspective.

657 More in detail, in our study, the mean value of carbon stocks ($t\ ha^{-1}$) was lower in the
658 forests and exclosures in the riverplain (land cover class 1) than in the cultivated area with
659 semi-natural vegetation and agroforestry practices in the western hilly area (land cover

660 class 2). These results are in contrast with the expectation that forest areas store more
661 carbon than semi-natural vegetation areas (Sisay et al., 2017; Solomon et al., 2017).
662 However, this was mainly due to the characteristic of the land cover class 1, which
663 embodies exclosures and plantations but not natural forests. These areas underwent
664 intense grazing and exploitation before reforestation programs were implemented (Byg
665 et al., 2017; Yirdaw et al., 2014). Moreover, areas in proximity of the river (where land
666 cover class 1 is mainly located) are highly prone and subjected to water-driven soil
667 erosion (Cerretelli et al., 2018). Therefore, we think that land cover class 1 stores less
668 carbon than land cover class 2 because of the high erosion rates and the long history of
669 overgrazing and overexploitation. Only recently, these areas were subjected to
670 afforestation programs and exclosure establishments (Yirdaw et al., 2014). Our study
671 suggested that carbon stock restoration through afforestation programs takes time as
672 already reported by many Ethiopian studies (Belay et al., 2018b; Mekuria et al., 2011). In
673 addition, the exclosure areas, despite strict regulations, were subjected to uncontrolled and
674 forbidden grazing and biomass harvesting (Byg et al., 2017; personal observation).

675 In order to halt and reduce carbon depletion, especially in the cropland areas, some
676 measures can be suggested: afforestation practices, terraces and contour strips to reduce
677 erosion, reduced removal of crop residues, restrained grazing, reduced tillage, manure
678 application, agroforestry practices (Betemariyam et al., 2020; Gelaw et al., 2014; Lal,
679 2005; Lehtonen et al., 2020; Rimhanen et al., 2016). These practices and measures proved
680 to be important to restore carbon stocks in other areas. We think that supporting and
681 promoting such practices could contribute to increase carbon in the soil and biomass, and
682 overall reduce land degradation (Betemariyam et al., 2020; Dagnachew et al., 2020; Gelaw
683 et al., 2014; Lemenih et al., 2006; Rimhanen et al., 2016; Welemariam et al., 2018).

684 Afforestation practices (such as exclosure establishment) should be implemented

685 especially in already degraded areas (e.g. local land cover class 4), where cultivation, as
686 well as grazing, is not an option anymore.

687 The need for attention when using global coverage datasets was also shown by the
688 comparison between the SOC estimates of the global dataset and the SOC estimates
689 derived from the local soil survey. In fact, the global SOC was about 50% higher than the
690 hybrid SOC, if the mean value for the whole area is considered. This aspect could have
691 implications at decision-making level, if SOC estimates are used as an indicator of land
692 degradation to support and monitor land degradation neutrality and Sustainable
693 Development Goal 15.3 (Lorenz et al., 2019; Sims et al., 2019). Furthermore, the analysis
694 of the SOC stock per land cover map class showed that the global datasets failed to
695 differentiate between different land cover classes. Our study showed that selecting certain
696 areas for restoration purposes using the SOC as an indicator of land degradation (among
697 other factors) would be difficult if based only on the absolute values of global datasets.
698 These considerations are valid for our study area and for the global datasets considered.
699 The same cannot be implied in other areas or if other global datasets are used. However, in
700 the absence of dense sampling, this problem is expected to persist even if the size of the
701 grid cell of global datasets is decreased.

702 The SOC map obtained using the soil survey data (used in the hybrid dataset) showed low
703 estimates in the degraded land. Therefore, our results confirmed that SOC stock can be
704 used as an indicator and proxy for land degradation. However, almost no variability at
705 landscape level (land cover class level) was shown by the global SOC, considering both
706 the minimum and the median value per land cover class. Already degraded areas might
707 remain overlooked if global datasets are used as decision-making for restoration or
708 conservation programs. Although SOC can indicate land degradation, many other factors
709 (e.g. land productivity, land use and land cover changes, socio-economic aspects) should

710 be included in the assessment to keep the complexity of land degradation drivers and
711 factors into consideration (Lorenz et al., 2019). The integration of several factors that
712 affect changes in SOC should be included in the soil and land degradation modelling in
713 order to limit possible inaccuracies in the SOC estimates. Indeed, a major implication of
714 these results, if confirmed elsewhere, is that global estimates of land degradation obtained
715 with global datasets are likely to be optimistic compared to the actual situation on the
716 ground. However, this will likely improve in Ethiopia when the EthioSIS dataset will be
717 globally and easily available and will be used to distribute soil properties and resources
718 maps. Nonetheless, global data can be extremely useful if properly utilised: our results on
719 carbon stocks indicate that, for national-level, and even regional-level prioritisation of
720 areas to restore (e.g. within REDD+ or the 4 per mille initiative (Minasny et al., 2017)),
721 “global” data are likely to suffice if ranked values or distribution quantiles are used.
722 Instead, when the actual values are needed it is preferable to use locally produced models.
723 Therefore, if watersheds with lower carbon stocks must be selected for restoration
724 activities, the global datasets proved to be good enough. Nonetheless, within those areas,
725 to benefit local communities, local data are likely to be needed to refine spatial
726 prioritisation. This aspect is particularly important to support poverty eradication
727 strategies that account for benefits and dis-benefits, as well as trade-offs between different
728 ecosystem services and/or between groups of people (Byg et al., 2017).

729

730 **Conclusions**

731 To summarise, global datasets overall provided higher values of organic carbon stock in
732 soil (e.g. SOC) and biomass, but the estimates were less accurate as compared to the
733 values obtained from local surveys. The lower accuracy of the global dataset (mainly

734 concerning the soil organic carbon) was likely due to the use of legacy data and the lack of
735 representative data points for the study area. Therefore, if there is a lack of representative
736 data for a selected area or the global datasets are derived from old legacy data, we
737 recommend the integration of global data with data from a local survey. Furthermore,
738 global datasets provided estimates with lower spatial variability, making it difficult to
739 select small areas, based on absolute values, for restoration prioritisation. These aspects
740 should not be negligible if carbon stocks modelling or soil organic carbon are used as
741 supports of decision-making processes.

742 However, global estimates of organic carbon stocks could be used as one of the proxies
743 for land degradation, at the level of relatively small areas, such as small sub-catchments.
744 Therefore, global estimates could be appropriate when, rather than the numerical values, a
745 simple rank of those estimated values per ha is sufficient.

746 In conclusion, both the global and the hybrid carbon estimates were higher in the western
747 hilly area that is characterised by a mix of agroforestry, woodland, and farmland patches.
748 This suggests that further implementation of restoration and afforestation projects as well
749 as agroforestry practices could be a key intervention to foster carbon sequestration.

750

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762

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

