

1 **Title**

2 Predicting dark respiration rates of wheat leaves from hyperspectral reflectance

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4 **Running Title**

5 Predicting dark respiration rates of wheat leaves

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48

49 **Abstract**

50 Greater availability of leaf dark respiration (R_{dark}) data could facilitate breeding efforts to raise
51 crop yield and improve global carbon cycle modelling. However, the availability of R_{dark} data
52 is limiting because it is cumbersome, time consuming or destructive to measure. We report a
53 non-destructive and high-throughput method of estimating R_{dark} from leaf hyperspectral
54 reflectance data that was derived from leaf R_{dark} measured by a destructive high-throughput
55 oxygen consumption technique. We generated a large dataset of leaf R_{dark} for wheat (1380
56 samples) from 90 genotypes, multiple growth stages and growth conditions to generate models
57 for R_{dark} . Leaf R_{dark} (per unit leaf area, fresh mass, dry mass or nitrogen, N) varied 7- to 15-fold
58 among individual plants, while traits known to scale with R_{dark} , leaf N and leaf mass per area
59 (LMA), only varied 2- to 5-fold. Our models predicted leaf R_{dark} , N and LMA with r^2 values of
60 0.5-0.63, 0.91 and 0.75, respectively, and relative bias of 16-18% for R_{dark} and 7-12% for N
61 and LMA. Our results suggest that hyperspectral model prediction of wheat leaf R_{dark} is largely
62 independent of leaf N and LMA. Potential drivers of hyperspectral signatures of R_{dark} are
63 discussed.

64 **Keywords:**

65 high-throughput phenotyping, leaf reflectance, machine learning, mitochondrial respiration,
66 proximal remote sensing, wheat (*Triticum aestivum* L.)

67 **1 INTRODUCTION**

68 The world's population is projected to rise by approximately 30%, reaching 9.7 billion in 2050
69 (United Nations Department of Economic and Social Affairs Population Division, 2015). This
70 increase will cause demand for staple food crops to double (Cassman, 1999; Tilman, Balzer,
71 Hill, & Befort, 2011). Doubling crop productivity to match future demand will be challenging
72 (Tilman, Cassman, Matson, Naylor, & Polasky, 2002), a challenge exacerbated by climate
73 change (Goldsmith, Gunjal, & Ndarishikanye, 2004; IPCC, 2013; Xiao & Ximing, 2011).
74 Addressing these challenges will require the simultaneous pursuit of a broad range of options
75 (Godfray et al., 2010) including increasing yield per unit of land, and identification and use of
76 germplasm with better resilience to global climate change.

77 Theoretically, increasing radiation use efficiency (RUE, increase in biomass per unit
78 absorbed radiation) provides a novel way to increase potential yield. RUE could be increased
79 by improving photosynthesis by: (i) altering crop canopy architecture to alter the distribution
80 of radiation capture between leaves (Loomis & Williams, 1969); (ii) introducing a carbon
81 concentrating C₄ mechanism into C₃ plants (Furbank, von Caemmerer, Sheehy, & Edwards,
82 2009); and (iii) re-engineering Rubisco (Parry, Madgwick, Carvalho, & Andralojc, 2007).
83 Another opportunity to increase RUE is to optimize mitochondrial respiration in the dark
84 (R_{dark}). In all plants, energy from R_{dark} drives biosynthesis, cellular maintenance and active
85 transport. The respiratory pathway also provides intermediates that serves as substrates for the
86 synthesis of ATP, amino acids, nucleic acids, fatty acids and many secondary metabolites. The
87 efficiency of ATP synthesis per unit of CO₂ released or O₂ consumed through the respiratory
88 process varies, depending on engagement of phosphorylating and non-phosphorylating
89 pathways of mitochondrial electron transport (Millar, Whelan, Soole, & Day, 2011;
90 Vanlerberghe & McIntosh, 1997). Variations in the rate and efficiency of leaf R_{dark} thus have
91 the potential to influence biomass accumulation and yields of crops (Hauben et al., 2009;

92 Wilson & Jones, 1982). Consequently, large datasets on leaf R_{dark} have potential application
93 in various aspects of the crop production system, including: screening of germplasm in genetic
94 resource collections and in plant breeding; assessing the efficacy of agricultural management
95 programmes; and, monitoring crop health. Of particular importance is the formation of
96 comprehensive datasets that assess genotype- and environment-mediated variation in leaf R_{dark}
97 under controlled and field conditions.

98 Leaf respiration, defined as the non-photorespiratory mitochondrial CO_2 evolution in
99 the light (R_{light}), is typically less than R_{dark} (Hurry et al. 2005; Pärnik & Keerberg, 1995).
100 Techniques for measuring R_{light} , including the Laisk (1977), Kok (1948) and/or mass
101 spectrometer (Loreto, Velikova & Di Marco, 2001) approaches, are low-throughput and often
102 challenging to correctly implement. Measuring R_{dark} is also slow and cumbersome. To address
103 the issue of low-throughput methods to measure leaf respiration, high-throughput approaches
104 have been recently developed to estimate R_{dark} by measuring O_2 consumption (O’Leary et al.
105 2017; Scafaro et al. 2017; Sew et al. 2013). Sew et al. (2013) employed a liquid-phase oxygen-
106 sensitive fluorophore technology, while Scafaro et al. (2017) and O’Leary et al. (2017) used a
107 faster, automated gas-phase method; the latter system takes only ~1-2 min per sample. Such
108 high-throughput measurements of respiratory O_2 uptake will be indicative of rates of CO_2
109 efflux in leaves where the primary respiratory substrate is sucrose and the latter is fully oxidized
110 to CO_2 and H_2O (Lambers, Chapin & Pons, 2008). However, while these approaches enable
111 rapid screening of large numbers of samples, all require destructive sampling of leaves, limiting
112 their utility for ongoing monitoring of leaf R_{dark} at the landscape scale. In the current study, we
113 outline a rapid non-destructive technique – using reflectance spectra – to estimate R_{dark} .

114 Instruments can measure electromagnetic radiation reflected from vegetation surfaces
115 spanning the visible (400-700 nm), near-infrared (NIR, 700-1300 nm), and shortwave infrared
116 (SWIR, 1400-3000 nm) spectral regions. When light falls on a leaf, it can be absorbed, reflected

117 or transmitted. Light absorption by leaves in the visible region is driven by electron transitions
118 in pigments (including chlorophyll, carotenoids and anthocyanins). In the NIR-SWIR spectra
119 region of 700-2400 nm, in contrast, light absorption is driven by the bending and stretching of
120 covalent bonds between hydrogen atoms and atoms of carbon, oxygen and nitrogen in water
121 and other chemicals (Curran, 1989). Radiation reflected from leaves can provide information
122 about the internal composition of the leaf (Blackburn, 2007; Jacquemoud & Baret, 1990;
123 Jacquemoud et al. 1996). Reflectance over a broad range of narrow and contiguous wavelength
124 bands, termed hyperspectral reflectance, is increasingly used to predict plant or crop traits
125 including: water status (Gutierrez, Reynolds, & Klatt, 2010; Sims & Gamon, 2003);
126 photosynthetic metabolism (Ainsworth, Serbin, Skoneczka, & Townsend, 2014; Barnes et al.,
127 2017; Serbin, Dillaway, Kruger, & Townsend, 2012; Silva-Pérez et al., 2018); leaf mass per
128 area (LMA) (Asner and Martin, 2008; Asner et al., 2011; Ecarnot, Compan, & Roumet, 2013);
129 concentrations or contents of nitrogen (N), lignin and photosynthetic pigments (Martin & Aber,
130 1997; Yendrek et al., 2017); and grain yield (Montesinos-López et al., 2017a, b; Weber et al.,
131 2012).

132 Respiration rates at a standard temperature (25°C , R_{dark}^{25}), whether expressed on a mass
133 or area basis, are highly variable. Variation in R_{dark}^{25} among genotypes and environments is
134 predictable from other leaf traits such as N concentration or content, LMA and the
135 carboxylation capacity of Rubisco at 25°C ($V_{\text{c,max}}^{25}$) (Atkin et al., 2015; Reich et al., 1998a;
136 Reich, Walters, Tjoelker, Vanderklein, & Buschena, 1998b; Ryan, 1991). Both N and LMA
137 can be predicted from hyperspectral reflectance data (Serbin et al., 2012; Ecarnot et al., 2013;
138 Silva-Pérez et al., 2018). It is also possible to predict $V_{\text{c,max}}^{25}$, but with lower accuracy and
139 precision (Ainsworth et al. 2014; Dechant, Cuntz, Vohland, Schulz, & Doktor, 2017; Doughty,
140 Asner, & Martin, 2011; Serbin et al. 2012; Silva-Pérez et al. 2018). The poorer ability to predict
141 $V_{\text{c,max}}^{25}$ from leaf reflectance compared to leaf N could be due to the absence of a direct

142 absorption signal related to $V_{c,max}^{25}$, arising instead from a secondary correlation with leaf N
143 (Dechant et al., 2017). Both photosynthesis and respiration are processes requiring numerous
144 proteins (Evans & Terashima, 1988; Evans, 1989a; Field & Mooney, 1986), which pose ATP
145 demands associated with protein synthesis and repair (Hachiya, Terashima, & Noguchi, 2007)
146 and functional linkages between photosynthetic and respiratory metabolism (Noguchi &
147 Yoshida, 2008). Although R_{dark} scales with N, LMA and $V_{c,max}$, and these three parameters can
148 each be predicted with various levels of confidence from hyperspectral reflectance, we are
149 aware of only one publication predicting R_{dark} directly from reflectance spectra (see Doughty
150 et al., 2011). There might be limitations in prediction of a flux such as R_{dark} from reflectance
151 spectra compared with prediction of capacity of other physiological processes e.g. $V_{c,max}$. This
152 might be because R_{dark} is a physiological process driven by enzymatic reactions that
153 dynamically adjust to short-term (seconds to minutes) and long-term (hours to days)
154 environmental changes, whereas the proteins underpinning metabolic capacity can be more
155 stable over time. In addition, respiratory enzymes may not exhibit distinct reflectance
156 signatures that would enable direct quantification as such. Estimation of leaf R_{dark} may arise
157 indirectly through secondary correlations with other leaf traits e.g. leaf N and LMA, as already
158 discussed for $V_{c,max}^{25}$ (Dechant et al., 2017). Here, we investigate the possibility that variations
159 in R_{dark} can be well predicted from hyperspectral signatures.

160 Appropriate analytical tools for assessing plant traits using hyperspectral reflectance
161 data includes Partial Least Square Regression (PLSR, Wold, Sjöström, & Eriksson (2001)),
162 which combines features from principal component analysis and multiple regression, and
163 machine learning algorithms such as Support Vector Machine Regression (SVMR, Vapnik
164 (1995)). One of the most commonly used analytical tool in estimating plant traits from
165 hyperspectral reflectance of leaves is PLSR. Doughty et al. (2011) used PLSR to predict R_{dark}
166 from leaf hyperspectral reflectance collected from 149 species (see ~~Doughty et al., 2011~~).

167 However, prediction of R_{dark} in that study was limited ($r^2=0.48$, $\text{RMSE}=-0.52 \mu\text{mol m}^{-2} \text{s}^{-1}$; and
168 for canopy R_{dark} $r^2=0.16$, $\text{RMSE}=0.58 \mu\text{mol m}^{-2} \text{s}^{-1}$). This encouraged us to see if the method
169 could be applied to wheat leaves.

170 To test the suitability of estimating leaf R_{dark} from hyperspectral reflectance data, three
171 experiments were conducted during which we characterised leaf R_{dark} , hyperspectral
172 reflectance, biochemical (N concentration) and morphological (LMA) traits under different
173 environmental conditions, and plant growth stages, using a diverse set of wheat (*Triticum*
174 *aestivum* L.) genotypes. We report on leaf respiration rates and associated leaf traits of 1380
175 samples from 90 genotypes. The varied conditions, growth stages and genotypes were used to
176 generate a wide range of R_{dark} values to robustly test different modelling approaches. We used
177 two independent analytical tools –PLSR and SVMR to investigate if:

- 178 1. Leaf R_{dark} can be well predicted from leaf hyperspectral reflectance data
- 179 2. Model predictions of leaf R_{dark} from spectral reflectance data can be improved by using
180 an alternative to PLSR, i.e. SVMR

181 Our study also provided an opportunity to assess the extent of genotypic and environment-
182 driven variation in leaf respiration rates of commercial elite wheat lines, and the extent to which
183 other traits such as leaf N and LMA are predictors of wheat leaf R_{dark} values.

184

185 **2 MATERIALS AND METHODS**

186 Three independent experiments were conducted to explore associations (or the absence thereof)
187 between leaf reflectance spectra and leaf R_{dark} in wheat. Two of the experiments (Experiments
188 1 and 2) were undertaken in climate-controlled glasshouses at the Australian National
189 University (ANU), Canberra while a third (Experiment 3) was conducted in a field-based poly-
190 tunnel at CSIRO Ginninderra Experiment Station. Leaves of a diverse set of wheat genotypes

191 (between 3 and 70 per experiment, see Table S1 for list of genotypes) were examined at
192 different growth stages and under varied environmental conditions (Table 1). The varied
193 growth stages and environmental conditions were used to generate a wide range of R_{dark} values
194 and to ensure a robust test of our approach of using leaf reflectance spectra to predict leaf R_{dark} .

195 **2.1 Glasshouse Experiment 1 – exploring environment-induced variation in leaf** 196 **respiration**

197 Experiment 1 was carried out at the ANU Controlled Environment Facilities, Canberra,
198 Australia. Three wheat genotypes, ‘Calingiri’, ‘Halberd’, and ‘Janz’, were selected to represent
199 a wide range of average rates of R_{dark} ; an earlier study screening 138 lines (grown in controlled
200 environment cabinets) showed two-fold genotypic variation in R_{dark} among the wheat lines,
201 with ‘Calingiri’, ‘Halberd’, and ‘Janz’ being at high ($0.79 \mu\text{mol O}_2 \text{ m}^{-2} \text{ s}^{-1}$), mid ($0.50 \mu\text{mol O}_2$
202 $\text{m}^{-2} \text{ s}^{-1}$) and low ($0.35 \mu\text{mol O}_2 \text{ m}^{-2} \text{ s}^{-1}$) range of R_{dark} values, respectively (Scafaro et al., 2017).
203 Seeds were germinated on moist filter papers on 09 March 2016 with >95% germination
204 achieved within two days. Five days after germination (DAG; on 16 March 2016) seeds were
205 transferred into 2 L plastic pots (one seedling per pot) filled with Martins mix (Martins
206 Fertilizers Ltd, Yass, NSW Australia). The potting mix was treated at 63°C for 1 h prior to
207 filling pots. The mix was enriched with Osmocote® OSEX34 EXACT slow-release fertilizer
208 (Scotts Australia, Bella Vista, NSW, Australia). The base of the plastic pots were perforated in
209 several places to ensure proper drainage upon watering. Seedlings were watered twice daily, in
210 the morning and late afternoon, to avoid water deficit stress. The glasshouse was maintained at
211 12/12 h day/night temperature of $28/23^\circ\text{C}$ and ambient light condition. One-week old seedlings
212 were transferred to different treatments as per the experimental design described below.

213 The experimental design was a split-split-plot with temperature, light and genotype,
214 respectively as main, sub and sub-sub plots, replicated six times. There were three growth

215 temperatures (12/12 h day/night conditions of 21/16, 28/23 and 35/30°C), two light intensities
216 [photosynthetic photon flux density (PPFD) of 600~800 $\mu\text{mol m}^{-2} \text{s}^{-1}$ (high light) and 150~200
217 $\mu\text{mol m}^{-2} \text{s}^{-1}$ (low light, i.e. 25% of high light)] and three genotypes ('Calingiri', 'Halberd', and
218 'Janz'). The temperature regimes were maintained by automated heating and cooling systems.
219 Changes in temperature occurred at 0700/1900 h. The prevailing ambient light was taken as
220 high light and to achieve low light a green mesh was placed over bespoke-cages within which
221 plants were kept (see Figure S1). This mesh and cage arrangement resulted in a 75% reduction
222 of ambient light reaching the plants. Photoperiod during that time of the year was $\sim 12 \text{ h day}^{-1}$.
223 Plants were kept under these conditions for three weeks, at the end of which plants were
224 approximately at growth stage Z13 (seedling growth; Zadoks, Chang, & Konzak, 1974). The
225 most recently expanded leaf (the third true leaf and henceforth designated as Leaf-3) was
226 measured at 35 and 36 DAG; the first three replicates at 35 DAG and the rest at 36 DAG. We
227 used 108 plants/leaf samples for Experiment 1.

228 **2.2 Glasshouse Experiment 2 – variation in leaf respiration among 70 genotypes**

229 Experiment 2 was conducted in the same glasshouse facility as Experiment 1. Seeds of seventy
230 wheat genotypes (see Table S1 for list of genotypes), a subset of the 138 genotypes used
231 recently to validate a technique for high-throughput measurement of R_{dark} (Scafaro et al., 2017).
232 The seeds were germinated and transferred into 2 L plastic pots filled with Martins mix as in
233 Experiment 1. Seedlings were transferred on 09 June 2016 (6 DAG). Plant nutrition and
234 watering were as described for Experiment 1. The glasshouse was maintained for three
235 consecutive months at 12/12 h day/night temperature of 25/20°C with temperature changes at
236 0700/1900 h. Light measured as PPFD at plant height varied between 400 and 1200 $\mu\text{mol m}^{-2}$
237 s^{-1} and photoperiod during this experiment was 10-12 h day^{-1} .

238 The experimental design was a randomised complete block design with four replicates.
239 Due to space limitations, the four replicates were split equally between two adjoining rooms in
240 a glasshouse. Each replicate, consisting of 70 genotypes, was placed on a bench in a glasshouse
241 ($n=280$ plants). Each glasshouse room had a pair of benches. Leaf measurements were taken
242 first at growth stage Z13 (seedling growth; 24-27 DAG) from Leaf-3, and then at growth stages
243 Z61-69 (anthesis) from the leaf subtending the flag leaf (henceforth designated as Flag-1; 67-
244 70 DAG) and the flag leaf (81-85 DAG) and. For each growth stage, measurements and sample
245 collection were completed within 4-5 days. Each of the four replicates required at least one day
246 for data collection. Total leaf samples used for Experiment 2 were 840.

247 **2.3 Poly-tunnel Experiment 3 – variation in leaf respiration among 24 wheat genotypes**

248 Seeds of 24 wheat genotypes (selected based on similarities in phenology - height and days to
249 anthesis, but contrasting for $V_{c,max}$ and R_{dark}) were used for this experiment. Seeds were sown
250 at a rate of 250 grains m^{-2} on 16 September 2016 in field plots, under a poly-tunnel, at CSIRO
251 Ginninderra Experiment Station, Australian Capital Territory (35° 12' S, 149° 06' E; 600 m asl).
252 The soil was a yellow chromosol (Isbell, 2002). Mean daily maximum/minimum air
253 temperature obtained from a weather station installed in a neighbouring poly-tunnel from
254 November to December was 27/12°C. A 30-year (1981-2010) average over the same period
255 was 25/11°C, and from September through December was 22/8°C (data from the closest
256 Bureau of Meteorology weather station). The photoperiod during the experiment was 12-14 h
257 day^{-1} . Plants were kept well-watered by drip irrigation and fertilized optimally. The experiment
258 was laid out as a row \times column design with 12 rows and six columns, with each block
259 containing two columns. As such, there were 72 plots, each block of 24 genotypes replicated
260 three times. Each plot consisted of ten equally spaced 1m rows covering an area of 2.5 m^2 .

261 Measurements and sampling were at growth stages Z23-27 (tillering) and Z55-71
262 (inflorescence emergence, anthesis through milk development). At both growth stages three
263 sampling events were carried out on consecutive days. At growth stages Z23-27 (tillering),
264 sampling and measurements were on the last fully expanded leaf, with one leaf measured from
265 each plot each day for three days. The leaf sampled varied between Leaf-3 and the sixth true
266 leaf 6 (Leaf-6), when counting from the base of the plant. At growth stages Z55-71
267 (inflorescence emergence, anthesis through milk development), the flag leaf and Flag-1 were
268 sampled on the first and second day, respectively, while on the third day the leaf subtending
269 Flag-1 (designated as Flag-2) was sampled. In total, 432 leaf samples were collected for
270 Experiment 3.

271 **2.4 Measured traits – all experiments**

272 Reflectance spectra were captured from the adaxial surface of leaves using an ASD FieldSpec®
273 4 Full-Range spectroradiometer (Analytical Spectral Devices, Inc., Boulder, CO, USA) with
274 spectral range 350-2500 nm and a rapid data collection time of 0.1s per spectrum. Data from
275 the full spectral range (350-2500 nm) was used for analysis. Spectral resolution of the device
276 was 3, 10 and 10 nm (Full-Width-Half-Maximum) at 700, 1400 and 2100 nm, respectively.
277 Sampling intervals were 1.4 and 2 nm for the spectral regions 350-1000 nm and 1000-2500
278 nm, respectively. The device was fitted with an ASD fibre optic cable and leaf clip. A mask
279 attached to the leaf clip reduced the width of the aperture through which leaf reflectance was
280 recorded to 11.5 mm, enabling easier measurement of leaf widths down to 12 mm (Silva-Pérez
281 et al., 2018). Leaf spectral reflectance was captured between 1000 and 1400 h from the adaxial
282 surface and close to the midpoint of the leaf. Each leaf was measured at one position, taking
283 less than 20s. An internal light source was used to illuminate a white reference panel for
284 calibration or a leaf placed in front of a black panel during measurement. After measuring the
285 reflectance spectrum, the leaf was immediately detached near the ligule for subsequent

286 measurement of R_{dark} . Samples were temporarily stored in ziplock bags with moist tissue paper
287 or cotton balls and placed in Styrofoam boxes partly filled with ice blocks/packs for transfer
288 from glasshouse/field to the laboratory. R_{dark} values were determined within 24 h of obtaining
289 spectral reflectance values. Leaf sections of $\sim 4 \text{ cm}^2$, including the exact spot where the
290 reflectance measurement was taken from, was dissected from the whole leaf and used for
291 determination of other traits.

292 The dissected leaf section was weighed and exact area determined. The $\sim 4 \text{ cm}^2$ leaf
293 sections were placed in an automated Q2 O_2 -sensor (Astec Global, Maarssen, the Netherlands)
294 to determine O_2 consumption rate following the method of Scafaro et al. (2017). Briefly, freshly
295 dissected leaf tissues were placed in 2 mL tubes and hermetically sealed with specialised caps
296 (Astec Global). The top surfaces of caps contained a fluorescent metal organic dye, sensitive
297 to O_2 quenching. The tubes were loaded onto racks, which individually accommodated 48
298 tubes, and racks placed on the Q2 O_2 -sensor. Each rack was loaded with two tubes filled with
299 ambient air (designated 100% O_2) and N_2 (designated as 0% O_2), for calibration of the Q2 O_2 -
300 sensor before measurement was made. An automated robotic arm with fibre optic fluorescence
301 detection capability scanned the rows of tubes enabling the quantification of O_2 dependent
302 decay in fluorescence signal. The % O_2 relative to the air calibration tube was converted to
303 absolute values of R_{dark} in moles of $\text{O}_2 \text{ s}^{-1}$. The Q2 O_2 -sensor was set at 25°C and measurements
304 taken at a frequency of four minutes over a two-hour period. However, values from the first 30
305 min were disregarded, as they tend to be unstable – respiratory activity rapidly increased and
306 decreased during this period (Scafaro et al., 2017).

307 All leaf samples used for determination of R_{dark} were oven-dried at 70°C for 48 h
308 (Experiment 1 and 2) or 60°C for 72 h (Experiment 3) then LMA determined. The same
309 samples were then used to determine leaf N content (%), by combustion using a Carlo-Erba
310 elemental analyser (NA1500, Thermo Fisher Scientific, Milan, Italy). Area, fresh mass, dry

311 mass and N content (per gram of leaf dry mass, N_{mass} , or per square metre of leaf area, N_{area}) of
312 the leaf section used for determination of R_{dark} were used to calculate R_{dark} per: (i) square metre
313 of leaf area ($R_{\text{dark_LA}}$, $\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$); (ii) gram of leaf fresh mass ($R_{\text{dark_FM}}$, $\text{nmol O}_2 \text{ g}_{\text{FM}}^{-1}$
314 s^{-1}); (iii) gram of leaf dry mass ($R_{\text{dark_DM}}$, $\text{nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$); and (iv) gram of leaf N_{mass} ($R_{\text{dark_N}}$,
315 $\text{nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$).

316 **2.5 Model development for prediction of leaf traits from reflectance spectra**

317 Different regression techniques, including PLSR and SVMR have been used to quantify
318 relationships between spectra data and leaf/canopy traits. But only PLSR has been used to
319 predict leaf/canopy R_{dark} of 149 species (for prediction of leaf R_{dark} $r^2=0.48$, $\text{RMSE}=-0.52 \mu\text{mol}$
320 $\text{ m}^{-2} \text{ s}^{-1}$; and for canopy R_{dark} $r^2=0.16$, $\text{RMSE}=0.58 \mu\text{mol m}^{-2} \text{ s}^{-1}$; Doughty et al., 2011), although
321 not including wheat. The SVMR is considered a powerful regression technique (Thissen,
322 Pepers, Üstün, Melssen, & Buydens, 2004), in terms of model performance and prediction
323 accuracy. Therefore, we independently tested the different models for leaf traits using these
324 two regression techniques.

325 Prior to data analysis a multiplicative correction module (ASD Spectral Analysis and
326 Management System (SAMS[®]) version 3.2) was applied to the reflectance data at 1000 and
327 1800 nm to correct for ‘jumps’ observed in apparent reflectance at the intersections between
328 different detector ranges. As did Silva-Pérez et al. (2018), reflectance spectra with values
329 greater than 0.7 between 800 and 1000 nm were treated as an outlier and removed.

330 Variation in foliar traits (including R_{dark}) and biochemical composition based on leaf
331 optical properties were modelled using PLSR and SVMR. The PLSR technique could be
332 performed with either the continuous, full-spectrum data (Asner & Martin, 2008) or a pre-
333 determined spectral subset (Bolster, Martin, & Aber, 1996). We initially applied the PLSR
334 model building approach of Serbin et al. (2012) and Wold et al. (2001) to 90% of the dataset

335 (training dataset). This works by extracting latent variables (i.e. underlying factors or indices
336 produced by the observable variables that account for most of the variation in the response)
337 from sampled factors and responses. This step is analogous but not identical to principal
338 component regression. Then the extracted factors are applied in a set of regression equations
339 and used to construct predictions of the responses. PLSR models can suffer from over-fitting
340 if the number of model components selected is suboptimal. To avoid overfitting, we selected
341 the optimal number of model components for the PLSR model by minimizing the root mean
342 squared error of prediction. The root mean squared error of prediction was calculated by k-fold
343 cross validation. The optimal PLSR model was subsequently applied to estimate measured
344 traits of the remaining 10% of dataset (test dataset). This was done independently for each trait
345 of interest.

346 Like our PLSR model, we initially built the SVMR model on 90% of the dataset
347 (training dataset) then subsequently used the built model to estimate measured traits of the
348 remaining 10% (test dataset). To develop our SVMR models we used the epsilon-regression
349 form of SVMR and followed the recommendation of Hsu, Chang, & Lin (2003). We chose the
350 Gaussian (radial basis function) kernel type for our model. The radial basis function is a general
351 purpose kernel used when there is no prior knowledge about the data. Then we combined this
352 with a k-fold (k=10) cross validation approach that optimized for model cost parameter (C) and
353 kernel parameter (γ). Cost and kernel parameters resulting in the best model fit, i.e. highest
354 squared Pearson correlation (r^2) on the training dataset, were selected. This was then used to
355 calculate validation statistics for the test dataset (the remaining 10% of dataset not used for
356 model building).

357 PLSR and SVMR analyses were carried out in the R statistical environment (R Core Team,
358 2018) using the packages ‘pls’ (Mevik, Wehrens, & Liland, 2016) and ‘e1071’ (Meyer,
359 Dimitriadou, Hornik, Weingessel, & Leisch, 2017), respectively. Model predictions for 90/10

360 training/test datasets were compared for PLSR and SVMR and for all three experiments
361 combined based on their r^2 , RMSE and relative bias (%). In addition, we undertook model
362 validation by predicting R_{dark} of individual or combined experiments using hyperspectral-based
363 models built on individual experiments or various combinations of experiments.

364 **2.6 Statistical analysis**

365 Leaf R_{dark} , N and LMA were subjected to ANOVA after tests for normality (Bartlett's test and
366 visual assessment of Q-Q plot) and homogeneity of variances (Shapiro-Wilk's test and plots of
367 residuals against fitted values). Outliers were identified and removed from the dataset using
368 the Tukey's method i.e. values above and below the $1.5 \times \text{IQR}$ (the inter-quartile range) were
369 removed. Tukey's method was chosen over the standard deviation method because it is
370 independent of the distribution of the data and is resistant to extreme values.

371

372 **3 RESULTS**

373 **3.1 Leaf reflectance spectral properties**

374 Leaf reflectance spectra varied substantially within and between experiments (Figure 1 and
375 Figure S2). For example, reflectance at 400 nm ranged between 0.04-0.07 (Experiment 1),
376 0.03-0.11 (Experiment 2) and 0.03-0.17 (Experiment 3, Figure S2). Across all experiments, the
377 largest range in leaf reflectance was in the NIR region. However, the coefficient of variation
378 (CV) of reflectance for this region was the least (23%) compared to 33% for the SWIR and
379 32% for the visible regions. The wavelengths with the largest and smallest range of reflectance
380 were 1926 nm (79%) and 1076 nm (21%), respectively.

381 **3.2 Variation in leaf traits**

382 Leaf $R_{\text{dark_LA}}$, $R_{\text{dark_FM}}$ and $R_{\text{dark_DM}}$ across experiments were on average $0.73 \mu\text{mol O}_2 \text{ mL}^{-2} \text{ s}^{-1}$,
383 $4.05 \text{ nmol O}_2 \text{ g}_{\text{FM}}^{-1} \text{ s}^{-1}$, and $21.1 \text{ nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$, respectively, showing a seven- to nine-
384 fold variation (Table 2). Leaf $R_{\text{dark_N}}$ averaged $449 \text{ nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$ spanning a 15-fold range
385 of values ($87\text{-}1260 \text{ nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$). The large range in $R_{\text{dark_N}}$ compared to other traits was
386 also characterised by $\sim 25\%$ higher CV than $R_{\text{dark_LA}}$, $R_{\text{dark_FM}}$ or $R_{\text{dark_DM}}$ (CV=0.37 for $R_{\text{dark_N}}$
387 vs 0.28-0.29 for others; Table 2). Leaf N_{mass} averaged 49.5 mg g^{-1} (CV=0.28), N_{area} 0.87 g m^{-2}
388 (CV=0.21) and LMA 31.5 g m^{-2} (CV=0.29) with two- to and five-fold variation (Table 2).
389 Table 2 provides a summary of leaf traits for each and all experiments combined. Treatment or
390 leaf level summaries and ANOVA results for experiments 1, 2 and 3 are provided in Tables
391 S2, S3 and S4, respectively. Broadly, rates of R_{dark} were affected by growth irradiance, with
392 markedly lower rates in plants grown under low light compared to those under high light, with
393 inconsistent effects of growth temperature R_{dark} (measured at 25°C) (Table S2). Growth stage
394 was also found to have strong effects on R_{dark} , albeit with the differences between vegetative
395 and reproductive varying depending on the units that R_{dark} was expressed (Tables S3 and S4).

396 **3.3 Correlations of leaf respiration with other leaf traits**

397 Correlations of R_{dark} with leaf N and LMA were poor (r between -0.08 and 0.38), irrespective
398 of the units that rates were expressed in (Figure 2 and Table 3), with the exception between
399 $R_{\text{dark_N}}$ and leaf N_{mass} ($r=-0.59$). See Figure S3 for more detailed results of individual
400 experiments. The signs of the correlations of R_{dark} with leaf N_{mass} and LMA differed, with R_{dark}
401 having a negative association with leaf N_{mass} , except for $R_{\text{dark_DM}}$, whereas R_{dark} had a positive
402 association with LMA, except for $R_{\text{dark_DM}}$. Leaf N_{mass} , N_{area} and LMA correlated significantly
403 ($P<0.001$) with one another albeit poorly ($r=0.12\text{-}0.49$; Figure 3, Table 3. Also see Figure S4
404 for individual experiments).

405 **3.4 Predictions of leaf respiration and other traits based on a subset of pooled** 406 **experimental data (10% test dataset)**

407 We validated our models using a test dataset that consisted of 10% of our pooled experimental
408 data, which was not used in building the models. Across experiments, predictions of leaf R_{dark}
409 varied per unit leaf area, DM and N ($r^2=0.50-0.63$ for PLSR, Figure 4 and $r^2=0.53-0.64$ for
410 SVMR, Table 4). Values of r^2 were generally highest for R_{dark} per leaf N and least when
411 expressed per gram of leaf dry mass (Table 4). Relative bias were between 16 and 18% (Table
412 4). Model predictions of leaf N_{mass} , N_{area} and LMA achieved r^2 of 0.91, 0.60 and 0.75,
413 respectively with PLSR (Figure 5). For SVMR, predictions of N_{mass} , N_{area} and LMA had r^2 of
414 0.90, 0.79 and 0.72, respectively. The corresponding relative bias were 7-12% for PLSR, and
415 8-11% for SVMR.

416 **3.5 Comparison of PLSR and models**

417 Performance of the PLSR model was comparable to that using SVMR, with similar r^2 and
418 RMSE, and differences in relative bias under 2% (Table 4). A similar result (i.e. no clear
419 indication that SVMR outperformed PLSR) was obtained using a multi-method ensemble
420 developed by Feilhauer, Asner, & Martin (2015) and tested on either the continuous, full
421 spectrum data or a spectral subset that were selected based on weightings (Table S5; Also see
422 Supplementary Text S1 for our attempt to reduce model complexity and improve prediction
423 using the multi-method ensemble of Feilhauer et al. (2015)). The presentation of further results
424 will therefore be limited to those from PLSR models using the full spectral range.

425 **3.6 Cross-predictions of leaf respiration and other traits of experimental data**

426 PLSR models built on one experiment were poor at predicting R_{dark} of a different experiment
427 (Figs 6 and S5). The best outcome was predicting $R_{\text{dark_LA}}$ for Experiment 1 using a model
428 developed from Experiment 2 ($r^2=0.33$). Similarly, models built on single glasshouse

429 experiments were poor at predicting that of the field experiment and vice versa. The best r^2 for
430 this method was 0.21, for a model built from Experiment 3 predicting $R_{\text{dark_DM}}$ for glasshouse
431 Experiment 2. By contrast, predictions of R_{dark} based on models built on a combination of
432 Experiments 1 and 2 or all three experiments, were better than or similar to models built on
433 one experiment (Figs 6 and S5). For example, a model developed on 90% of data comprising
434 all three experiments predicted (i.e. was validated on) $R_{\text{dark_DM}}$ of the remaining 10% of data
435 for each of Experiment 1, 2 and 3 with r^2 of 0.20, 0.66 and 0.61 respectively. This compares to
436 r^2 of 0.04, 0.61 and 0.45 when models were built with 90% of data solely from same experiment
437 and validated on the remaining 10%. Similar results were obtained with N_{area} (Figs 7 and S6).

438

439 **4 DISCUSSION**

440 Our study has produced a large dataset of wheat leaf R_{dark} rates (1380 samples), obtained from
441 90 genotypes, multiple growth stages and grown under varying environmental conditions. We
442 show that leaf R_{dark} can be predicted from reflectance spectra with model r^2 values of 0.50-0.64
443 and relative bias of 16-18%. PLSR model predictions of leaf R_{dark} from spectral reflectance
444 data were as good as SVMR. Models predicting R_{dark} from leaf reflectance spectra generally
445 performed better when trained on more diverse data, such as genotype, growth stage and
446 growing conditions. Our ability to predict R_{dark} from reflectance spectra could arise from: (i)
447 indirect association with other traits (e.g. N_{area} , N_{mass} and LMA); (ii) links with spectral
448 signatures of key photosynthetic components such as V_{cmax} and/or J_{max} whose variations are
449 coupled with variations in R_{dark} ; and (iii) spectral absorption features by respiratory substrates
450 or components in the respiratory system. These possibilities are discussed in detail in section
451 4.2.

452 **4.1 Variation in wheat leaf respiration and other leaf traits.**

453 Wheat leaf R_{dark} varied enormously, irrespective of how it was expressed. The seven-fold
454 variation in wheat leaf $R_{\text{dark_LA}}$ reported here is higher than the modest two-fold reported by
455 Scafaro et al. (2017) for wheat and by O’Leary et al. (2017) for *Arabidopsis* (*Arabidopsis*
456 *thaliana* L.). It is comparable to the 10-fold variation for 899 species covering plant functional
457 types from the Arctic to the tropics (Atkin et al., 2015). Variations reported here for wheat leaf
458 N and LMA were in line with other reports for wheat (Ecarnot et al., 2013; Martin et al., 2018),
459 other crops (Jullien, Allirand, Mathieu, Andrieu, & Ney, 2009) and within natural ecosystems
460 (Asner et al., 2014; Wright et al., 2004). These variations were caused by genotypic, growth
461 and environmental effects. For instance, the plot of leaf $R_{\text{dark_DM}}$ versus N_{area} (Figure 2c) showed
462 distinct clusters of the vegetative and reproductive stages of both Experiments 2 and 3. Also,
463 the plot of $R_{\text{dark_LA}}$ versus N_{area} (Figure 2a) could be distinguished by Experiment, with higher
464 $R_{\text{dark_LA}}$ per leaf N_{area} for Experiment 2 compared to Experiment 3. The higher leaf R_{dark} per leaf
465 N_{area} during growth stages Z13/Z23-27 (i.e. seedling growth/tillering) of Experiments 2 and 3
466 or of some genotypes compared to others suggests greater relative allocation of leaf N to
467 metabolic processes than to structural properties (Evans, 1989a, b; Harrison, Edwards,
468 Farquhar, Nicotra, & Evans, 2009), higher demand for respiratory products and/or increase in
469 ATP turnover (Atkin & Tjoelker, 2003; O’Leary et al., 2017).

470 In natural ecosystems and even within species, individual plants experiencing cold
471 growth conditions can exhibit higher temperature-normalized rates of leaf R_{dark} than individuals
472 of the same genotypes growing in warmer habitats (Atkin, Scheurwater, & Pons, 2006;
473 Mooney, 1963; Oleksyn et al., 1998; Xiang, Reich, Sun, & Atkin, 2013). Cooler growth
474 temperatures can induce increases in density and ultrastructure of mitochondria (Armstrong,
475 Logan, & Atkin, 2006a; Armstrong, Logan, O’Toole, Tobin, & Atkin, 2006b; Miroslavov &
476 Kravkina, 1991) and increase capacity of individual mitochondria (Armstrong et al., 2006b)
477 both potentially contributing to the variation in leaf R_{dark} . However, variations in leaf R_{dark} and

478 other leaf traits reported in this study were likely in response to a combination of factors, in
479 addition to temperature. Other factors such as growth irradiance and evaporative demand that
480 differed among the experiments and play key roles in moderating leaf R_{dark} , N and LMA (Lusk,
481 Reich, Montgomery, Ackerly, & Cavender-Bares, 2008; Poorter, Niinemets, Poorter, Wright,
482 & Villar, 2009) may also have contributed.

483 **4.2 What underpins the ability to predict leaf respiration from leaf reflectance?**

484 Hyperspectral reflectance characteristics of leaves have been used to predict LMA, leaf
485 N and photosynthetic traits. Extending this approach to predict R_{dark} seemed plausible given
486 that R_{dark} scales with LMA (Wright et al., 2006), leaf N (Reich et al., 1998a, 2008; Ryan, 1991;
487 Wright et al., 2004) and photosynthesis (Bouma, De Visser, Van Leeuwen, De Kock, &
488 Lambers, 1995; O’Leary et al., 2017). While the prediction of R_{dark} could in part be related to
489 N or LMA, in our study, clear and simple correlations were not evident (Figure 2a, b).
490 Predicting R_{dark} using multiple linear regression against N and LMA only achieved r^2 values
491 up to 0.12 (supplementary Table S6) compared to 0.54 achieved with PLSR. Allocation of leaf
492 N to respiratory proteins, respiratory energy needed for protein turnover, and utilization of N
493 in building thicker and denser leaves all link R_{dark} to N and LMA. The weak relationship
494 between R_{dark} -N-LMA when R_{dark} and N are expressed on an area basis is not uncommon
495 (Hirose & Werger, 1987; Reich, Walters, & Ellsworth., 1997, 1998a; Wright et al., 2004).
496 Similar weak relationships have sometimes been observed between CO_2 assimilation rate and
497 N_{area} (Reich & Walters, 1994). We also found weak relationships between R_{dark} -N and R_{dark} -
498 LMA on a mass basis, which contrasts with the general literature dominated by interspecific
499 studies (Reich et al., 1998a; Wright et al., 2004). However, reported relationships for
500 intraspecific studies have been mixed (Byrd, Sage, & Brown, 1992; Fan et al., 2017; Hirose &
501 Werger, 1987). This indicates a weak coupling of N, protein content and leaf structure to leaf
502 R_{dark} within species such as wheat, which may be due to a range of factors, including the extent

503 to which the genotypes differed in the degree of adenylate restriction (i.e. ADP concentrations
504 and ADP/ATP ratios) of mitochondrial electron transport (Hoefnagel & Wiskich, 1998).

505 Photosynthesis and R_{dark} are interrelated. The substrates for R_{dark} required to power
506 processes such as protein turnover and phloem loading are provided by photosynthesis. Our
507 ability to predict R_{dark} might be an indirect reflection of photosynthesis. Considering that the
508 light saturated ambient rate of photosynthesis and the two major determinants of photosynthetic
509 performance – $V_{\text{c,max}}$ and J_{max} – can also be predicted from leaf reflectance (Ainsworth et al.,
510 2014; Barnes et al., 2017; Dechant et al., 2017; Doughty et al., 2011; Heckmann, Schlüter, &
511 Weber, 2017; Serbin et al., 2012 Silva-Pérez et al., 2018; Yendrek et al., 2017), one possibility
512 is that variations in R_{dark} are coupled to variations in $V_{\text{c,max}}$ and/or J_{max} , and that the ability to
513 predict R_{dark} from leaf reflectance is, in part, due to spectral signatures of key photosynthetic
514 components. Dechant et al. (2017) reported that the prediction of $V_{\text{c,max}}$ ²⁵ from leaf reflectance
515 is a secondary one, driven primarily by the prediction of leaf N. However, since the prediction
516 of R_{dark} here for wheat using N_{area} , LMA or their combination was poor (for $R_{\text{dark-LA}}$, highest
517 $r^2=0.12$) compared to the PLSR model (See Table S6 for multiple regression results for $R_{\text{dark-LA}}$),
518 our success in predicting R_{dark} indicates that there is additional information contained
519 within the reflectance spectra associated with R_{dark} .

520 Spectral signatures associated with R_{dark} could be related to respiratory substrates or
521 components in the respiratory system. These could include: (i) the abundance of sugars, organic
522 acids and adenylates (ATP and ADP); (ii) abundance of respiratory enzymes with distinct
523 spectral properties; or (iii) aspects of mitochondrial mass or lipid composition. Both leaf starch
524 and sugar content are correlated with R_{dark} (Noguchi, 2005; O’Leary et al., 2017; Peraudeau et
525 al., 2015) and they have both been estimated from hyperspectral reflectance within the range
526 reported in this study (Curran, 1989; Ramirez et al., 2015). Cytochrome *c* oxidase (COX) a
527 respiratory protein complex) in the mitochondrial respiratory chain also exhibit spectral

528 characteristics (Appaix et al., 2000; Mason, Nicholls, & Cooper, 2014;). Connections between
529 O₂ consumption, COX and spectra absorbance in vegetables have been shown (Makino,
530 Ichimura, Kawagoe & Oshita, 2007; Makino, Ichimura, Oshita, Kawagoe & Yamanaka, 2010),
531 but Umbach, Lacey & Richter (2009) argued against a direct functional link between AOX and
532 floral reflectance, which probably also applies to leaf O₂ consumption, AOX and reflectance.
533 Another possibility is that the recent discovery of an association between mitochondrial
534 functions and cell wall properties in plants (Hu et al., 2016) may indirectly link surface
535 reflectance with respiratory processes. The reliability of our model prediction of R_{dark} (r^2 0.50-
536 0.64) was considerably less than that for N ($r^2=0.91$), which probably represents the fact that
537 R_{dark} is determined by a complex and varied array of components. Clearly, further research is
538 required to understand the mechanistic basis underpinning leaf R_{dark} estimation from spectral
539 reflectance signatures, possibly by using mutants, sampling at different times of the day, or
540 treatments which alter photosynthetic capacity, levels of respiratory substrates and
541 mitochondrial proteins.

542 **4.3 Model cross prediction improved with data from other experiments**

543 Our models, whether built on the whole spectrum (350-2500 nm) or a selected subset of
544 wavelengths, gave good predictions of R_{dark} and other leaf traits for subsets of data not used to
545 build the models. However, predictions of leaf traits for one experiment based on models built
546 on a different experiment were poor (Fig 6, 7, S5 and S6). Poor model performance across
547 experiments is not uncommon. Silva-Pérez et al. (2018) reported that models derived from
548 field-grown aspen leaves (*Populus tremuloides* Michx.) (Serbin et al., 2012), gave poor
549 predictions when applied to wheat leaves. The predictive performance of multivariate
550 regression models may be increased by training models with more diverse data. For example,
551 r^2 for Experiment 3 $R_{\text{dark_LA}}$ PLSR model, which was trained on just Experiment 3 data were
552 significantly lower than predictions of the same data using a model trained with data from all

553 three experiments (Fig 6). Development of a system for adding novel data to an existing large
554 spectral library for retraining models could prove to be a large cost-saving measure for large
555 scale breeding trials and ecosystem management projects. This approach, called spiking, has
556 been successfully applied in other fields such as soil biochemistry (Guerrero et al., 2014, 2016;
557 Guerrero, Zornoza, Gómez, & Mataix-Beneyto, 2010). Further research is needed, however, to
558 determine the minimum data from a novel source required to achieve good model predictions
559 of traits.

560 **4.4 Machine learning approaches to improve model performance**

561 To test if model prediction of R_{dark} could be improved by using alternatives to PLSR, we applied
562 SVMR and compared the results with those from PLSR. Our comparison suggests model
563 prediction was not limited by the use of PLSR. In addition, an independent comparison of
564 PLSR with SVMR and Random Forest Regression (RFR, Breiman (2001)) using a different
565 modelling approach reported by Feilhauer et al. (2015), namely a multi-method ensemble,
566 which included PLSR, SVMR and RFR, still showed PLSR was as good as the alternatives
567 (Table S5; Text S1). Heckmann et al. (2017) carried out a similar comparison of model
568 performance across a wider range of algorithms for predicting crop trait from leaf reflectance
569 and preferred PLSR models because it yielded the highest predictive power.

570 The ensemble of Feilhauer et al. (2015), which used a multiplicative aggregation of
571 variable importance values of three models (PLSR, SVMR and RFR) for identification and
572 selection of spectra bands of importance, led to the selection of 173-271 wavelengths. Model
573 building using the selected wavelengths resulted in further improvements in model fits and
574 prediction accuracy. Serbin et al. (2012), using a different method combined with PLSR, also
575 reported consistently good model prediction and accuracy with fewer wavelengths. This
576 indicated that a large fraction of the wavelengths did not provide predictive power in estimating

577 R_{dark} , which is not surprising given that leaf reflectance spectra are highly collinear, as can be
578 seen from both observations and leaf radiative transfer models such as PROSPECT
579 (Jacquemond & Baret, 1990). Focusing on specific wavelengths has numerous implications for
580 downstream practise, including in scaling from leaf to vegetation canopy scale and in designing
581 simpler sensors at key wavelengths (Serbin et al., 2012).

582 **4.5 Prediction of R_{dark} based on O_2 consumption or CO_2 evolution**

583 During leaf respiration, the flux of O_2 consumption relative to CO_2 evolution, depends on the
584 substrate being metabolised (1 for carbohydrate, >1 for lipids). Importantly, 20-80% of daily
585 fixed carbon is released back into the atmosphere by whole-plant R_{dark} (Poorter, Remkes, &
586 Lambers, 1990), with leaves accounting for ~50% of whole-plant R_{dark} (Atkin, Scheurwater, &
587 Pons, 2007). It is possible to measure R_{light} or R_{dark} as CO_2 evolution in an open, flow through
588 gas exchange system using an infra-red gas analyser. Alternatively, if one wishes to measure
589 O_2 consumption, it is necessary to use a closed system to enable a sufficiently large change in
590 O_2 concentration to be detected. The large difference in concentration between CO_2 and O_2 in
591 air generally preclude simultaneous measurements of both without specialised instrumentation
592 (Beckmann, Messinger, Badger, Wydrzynski & Hillier, 2009). We chose to measure R_{dark} from
593 O_2 consumption as the rapid measurements allowed more material to be sampled (O'Leary et
594 al., 2017; Scafaro et al., 2017). Although we only validated with data on R_{dark} derived from O_2
595 consumption, our high-throughput approach can be adapted to measures of R_{dark} derived from
596 CO_2 evolution in cases where sucrose is the predominant respiratory substrate and the
597 respiratory quotient is unity (Lambers et al. 2008).

598 **4.6 Conclusions**

599 Using a diverse set of wheat genotypes measured at different growth stages and grown under
600 varied environmental conditions (light and temperature) either in glasshouses or field settings),

601 we have created a large wheat leaf R_{dark} dataset and found that R_{dark} varied enormously. R_{dark}
602 can be predicted from leaf reflectance spectra, with r^2 as high as 0.63 (when expressed per
603 gram of N with RMSE=102.4 nmol O₂ g_N⁻¹ s⁻¹ and relative bias=18.2%). The performance of
604 models built to predict R_{dark} were similar for both PLSR and SVMR approaches. Predictions
605 were not tightly linked to the relationships between leaf R_{dark} and LMA or leaf N. This finding
606 highlights the potential for rapid, non-invasive monitoring of various aspects of leaf energy
607 metabolism in wheat. Such advances will provide opportunities for large scale field
608 experiments to identify variants in wheat R_{dark} specifically, and wheat energy use efficiency
609 more broadly.

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617

618 **CONFLICT OF INTEREST**

619 The authors declare that they have no conflict of interest.

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917 **TABLE 1** Materials and growth environment for the different experiments

Experiment	Location	Genotypes [†]	Zadoks growth scale [‡]	Leaf sampled	Day/night temperature (°C)	Light (PPFD [§] , $\mu\text{mol m}^{-2} \text{s}^{-1}$), photoperiod
1	ANU [¶]	3	13	Third true leaf (Leaf-3)	21/16, 28/23 or 35/30	600~800 or 150~200, 12 h
2	ANU [¶]	70	13, and 61-69	Leaf-3, leaf subtending the flag leaf (Flag-1) and flag leaf	25/20	400~1200, 10-12 h day ⁻¹
3	CSIRO ^{5‡}	24	23-27, and 55-71	Leaf subtending Flag-1, Flag-1 and flag leaf	27/12	---, 12-14 h day ⁻¹

918 [†]A list is provided in Table S1; [‡]Zadoks et al. (1974); [§]Photosynthetic photon flux density; [¶]Glasshouse at Controlled Environment Facilities,
 919 Research School of Biology, Australian National University, Canberra, Australia; ⁵Polytunnel at CSIRO Ginninderra Field Station, North
 920 Canberra, Australia; --- data not available.

921 **TABLE 2** Variation in leaf dark respiration (R_{dark} , per square metre of leaf area (LA), per gram of fresh mass (FM), dry mass (DM), or leaf
 922 nitrogen (N)), nitrogen (per gram of DM, N_{mass} , or per square metre of LA, N_{area}), and leaf mass per area (LMA) of wheat genotypes.

Trait	Experiment 1		Experiment 2		Experiment 3		All Experiments Mean (CV [‡])
	Range	Mean±SD [†]	Range	Mean±SD	Range	Mean±SD	
Leaf R_{dark} per unit							
LA ($\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$)	0.18- 1.04	0.50± 0.18	0.28- 1.27	0.72± 0.18	0.26- 1.27	0.83± 0.21	0.73 (0.28)
FM ($\text{nmol O}_2 \text{ g}_{\text{FM}}^{-1} \text{ s}^{-1}$)	0.82- 5.24	2.62± 0.88	1.66- 7.33	4.10± 1.07	1.19- 7.25	4.30± 1.12	4.05 (0.29)
DM ($\text{nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$)	5.26- 32.05	17.96± 4.61	7.66- 37.38	22.37± 6.09	5.17- 35.40	19.22± 5.67	21.05 (0.29)
N ($\text{nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$)	86.6-540.4	293.7± 86.4	149.4-675.6	403.7± 85.2	144.0-1260.5	599.5± 226.4	448.5 (0.37)
Other leaf traits							
N_{mass} (mg g^{-1})	53.8- 71.3	61.8± 3.5	33.6- 77.1	55.8±10.2	17.3-64.6	34.1± 7.8	49.5 (0.28)
N_{area} (g m^{-2})	0.50- 1.41	0.86±0.20	0.48- 1.44	0.94±0.17	0.32-1.44	0.74±0.23	0.87 (0.21)
LMA (g m^{-2})	16.9- 41.7	27.0± 6.2	17.2- 57.8	33.0± 7.8	14.2-59.0	29.7±11.6	31.5 (0.29)

923 [†]SD, standard deviation; [‡]CV, coefficient of variation; $n=105-107$, $815-840$, and $398-423$ for Experiments 1, 2 and 3 respectively.

924 **TABLE 3** Pearson correlation coefficients matrix for leaf dark respiration (R_{dark} , per square metre of leaf area (LA), per gram of fresh mass
 925 (FM), dry mass (DM), or leaf nitrogen (N)), nitrogen (per gram of DM, N_{mass} , or per square metre of LA, N_{area}), and leaf mass per area (LMA) of
 926 all three experiments.

Trait	Leaf R_{dark} per unit				N_{mass} (mg g ⁻¹)	N_{area} (g m ⁻²)
	LA ($\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$)	FM (nmol O ₂ g _{FM} ⁻¹ s ⁻¹)	DM (nmol O ₂ g _{DM} ⁻¹ s ⁻¹)	N (nmol O ₂ g _N ⁻¹ s ⁻¹)		
R_{dark} per unit LA						
R_{dark} per unit FM	0.881***					
R_{dark} per unit DM	0.529***	0.451***				
R_{dark} per unit N	0.684***	0.587***	0.457***			
N_{mass}	-0.290***	-0.270***	0.377***	-0.592***		
N_{area}	0.159***	0.219***	0.178***	-0.307***	0.494***	
LMA	0.268***	0.347**	-0.080**	0.111***	-0.230***	0.118***

927 Values are Pearson's p . $P < 0.05$, 0.01, and 0.001 are indicated by *, **, and *** respectively.

928 **Table 4** Summary of PLSR and SVMR model performance for prediction of leaf dark respiration (R_{dark} , expressed per square metre of leaf area
 929 (LA), per gram of fresh mass (FM), per gram dry mass (DM) and per gram leaf nitrogen (N)) and other target traits, including leaf nitrogen
 930 (expressed per gram of DM and per square metre of LA), and leaf mass per unit area (LMA) across all experiments.

All Experiment[†]	Coefficient of determination (r^2)		Root mean square error (RMSE)		Relative bias (%)	
	PLSR (NC[‡])	SVRM	PLSR	SVRM	PLSR	SVRM
R_{dark} LA ($\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$)	0.54 (23)	0.53	0.14	0.15	16.7	15.5
R_{dark} FM ($\text{nmol O}_2 \text{ g}_{\text{FM}}^{-1} \text{ s}^{-1}$)	0.55 (24)	0.53	0.79	0.80	17.0	18.1
R_{dark} DM ($\text{nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$)	0.50 (23)	0.48	4.34	4.87	17.4	16.7
R_{dark} N ($\text{nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$)	0.63 (18)	0.64	102.4	103.8	18.2	17.0
N_{mass} (mg g^{-1})	0.91 (26)	0.90	4.15	4.35	7.1	8.0
N_{area} (g m^{-2})	0.60 (18)	0.62	0.13	0.13	11.8	11.1
LMA (g m^{-2})	0.75 (14)	0.72	4.53	5.05	11.3	10.8

931 [†]Models were built on training datasets consisting of 90% of the experimental data and used to predict the remaining (test dataset of) 10%.

932 [‡]Number of components used.

933 **FIGURE LEGENDS**

934 **FIGURE 1** Mean (\pm standard deviation), minimum and maximum leaf reflectance **(a)** of wheat
935 and spectral coefficients of variation **(b)** for three experiments (Experiments 1, 2 and 3)
936 combined.

937 **FIGURE 2** Relationships between $R_{\text{dark_LA}}$ and **(a)** nitrogen content per unit leaf area (N_{area}),
938 **(b)** leaf dry mass per unit leaf area (LMA), and **(c)** between $R_{\text{dark_DM}}$ and nitrogen concentration
939 per unit leaf dry mass (N_{mass}). Pearson correlation coefficients (r) for data pooled from
940 Experiments 1, 2 and 3 are presented in the plots. For each of Experiment 1 (red circles),
941 Experiment 2 (blue triangles) and Experiment 3 (purple squares) the respective r were -0.36,
942 0.36 and 0.40 for $R_{\text{dark_LA}}$ vs N_{area} , -0.37, 0.33 and 0.33 for $R_{\text{dark_LA}}$ vs LMA, and -0.20, 0.63
943 and -0.10 for $R_{\text{dark_DM}}$ vs N_{mass} .

944 **FIGURE 3** Relationship between nitrogen content per unit leaf area (N_{area}) and leaf dry mass
945 per unit leaf area (LMA) for all three experiments combined. Pearson correlation coefficients
946 (r) for each of Experiment 1 (red circles), Experiment 2 (blue triangles) and Experiment 3
947 (purple squares) were 0.78, 0.22 and -0.19, respectively. For all bivariate relationships between
948 traits across all experiments, see Table 3.

949 **FIGURE 4** Validation of PLSR model prediction for $R_{\text{dark_LA}}$ **(a)**, $R_{\text{dark_FM}}$ **(b)**, $R_{\text{dark_DM}}$ **(c)**
950 and $R_{\text{dark_N}}$ **(d)** using 10% of pooled data from Experiment 1 (red circles), Experiment 2 (blue
951 triangles) and Experiment 3 (purple squares) that were not used in developing the model.

952 **FIGURE 5** Validation of PLSR model prediction for nitrogen concentration per unit leaf dry
953 mass (N_{mass} ; **a**), nitrogen content per unit leaf area (N_{area} ; **b**) and leaf dry mass per unit area
954 (LMA; **c**), using 10% of pooled data from Experiment 1 (red circles), Experiment 2 (blue
955 triangles) and Experiment 3 (purple squares) that were not used in developing the model.

956 **FIGURE 6** Coefficient of determination (r^2) of PLSR models used for prediction of leaf dark
957 respiration expressed per square metre of leaf area ($R_{\text{dark_LA}}$; **a**), per gram of fresh mass
958 ($R_{\text{dark_FM}}$; **b**), per gram of dry mass ($R_{\text{dark_DM}}$; **c**), or per gram of leaf nitrogen ($R_{\text{dark_N}}$; **d**).
959 PLSR models were trained on 90% of data pooled from Experiments 1, 2 and 3 (black bars)
960 or Experiments 1 and 2 (grey bars) or from individual experiments (Experiment 1 (vertical
961 stripped bars), Experiment 2 (white bars), or Experiment 3 (dotted bars)) and validated on the
962 test dataset (remaining 10%). See Fig S5 for root mean squared error of PLSR models for
963 predictions of same traits.

964 **FIGURE 7** Coefficient of determination (r^2) of PLSR models used for prediction of leaf
965 nitrogen expressed per gram of DM (N_{mass} ; **a**) or per square metre of LA (N_{area} ; **b**), and LMA
966 (**c**). PLSR models were trained on 90% of data pooled from Experiments 1, 2 and 3 (black bars)
967 or Experiments 1 and 2 (grey bars) or from individual experiments (Experiment 1 (vertical
968 stripped bars), Experiment 2 (white bars), or Experiment 3 (dotted bars)) and validated on the
969 test dataset (remaining 10%). See Fig S6 for root mean squared error of PLSR models for
970 predictions of same traits.

971 **Title**

972 Predicting dark respiration rates of wheat leaves from hyperspectral reflectance

973

974 **Running Title**

975 Spectral reflectance for predicting leaf respiration

976

977 **Supporting Information**

978 **Table S1** Genotypes used for study.

	Experiment 1	Experiment 2	Experiment 3
1	Calingiri [†]	39586	Axe [§]
2	Halberd or Halgerg ^{†, ‡}	39592	CCG2
3	Janz [†]	39606	CCG4
4		39608	CCG5
5		39611	CCG6
6		39636	CCG7
7		705-WW	CCG10
8		803-WW	CCG11
9		93-WW	CCG13
10		959WW	CCG14
11		Annuello	CCG15
12		Aroona	CCG16
13		Arrino	CCG18
14		Axe [§]	CCG19
15		Baxter	CCG22
16		Berkut	CCG23
17		Binno or Binnu [‡]	CCG24
18		BT-schomburgk	CCG25
19		Bumper	CCG26
20		Calingiri [†]	CCG28
21		Carnamah	Drysdale [§]
22		Cascades	Espada [§]
23		Catalina	Maxe [§]
24		Chara-WT	Magenta [§]
25		Clearfield STL	
26		Correli or Corrill [‡]	
27		Cranbrook	
28		Datatine	
29		DM-WW	
30		Drysdale [§]	
31		Ducula 4	

32	EGA-Bonnie Rock
33	EGA-Eagle Rock
34	EGA-2248
35	Endure
36	Espada [§]
37	Excalibur
38	Fang
39	Fortune
40	Frame
41	GBA sapphire
42	Gladius
43	Guardian
44	H45
45	Halgerg or Halberd ^{†, ‡}
46	Hartog
47	Janz [†]
48	Krichau ff
49	Lang
50	Lincoln
51	Mace [§]
52	Machete
53	Magenta [†]
54	Magenta
55	Perenjori
56	Rees
57	Ruby
58	Sarc 1
59	Spear
60	Stiletto
61	Sunco
62	Tamamrin Rock or Tammarin_Rock [‡]
63	Ventura
64	Wentworth
65	Westoria
66	Wyalkatchem
67	Yaradouka or Yanadouka [‡]
68	Yipti
69	Young
70	Zippy

979 [†]Genotypes common to Experiments 1 and 2; [‡]Alternative spelling; [§]Genotypes common to
980 Experiments 2 and 3.

Table S2 Foliar traits of wheat genotypes for Experiment 1 under different growth irradiance and temperature conditions averaged for three cultivars.

Irradiance /temperature (T)	Leaf R_{dark} per unit [†]				$N_{\text{mass}}^{\ddagger}$ (mg g ⁻¹)	N_{area} (g m ⁻²)	LMA [§] (g m ⁻²)
	LA ($\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$)	FM ($\text{nmol O}_2 \text{ g}_{\text{FM}}^{-1} \text{ s}^{-1}$)	DM ($\text{nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$)	N ($\text{nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$)			
High light							
21/16°C	0.62	2.84	19.52	311.2	63.4	0.87	25.9
28/23°C	0.57	2.77	18.27	297.0	63.4	0.84	28.2
35/30°C	0.64	3.24	18.02	301.6	60.3	0.84	26.4
Low light							
21/16°C	0.33	1.96	16.37	270.0	61.3	0.87	27.0
28/23°C	0.43	2.63	20.28	336.3	61.1	0.84	27.1
35/30°C	0.37	2.24	15.23	247.0	61.1	0.88	27.3
LSD							
Irradiance	0.04***	0.26***	1.62 ^{ns}	28.6 ^{ns}	1.2 ^{P=0.063}	0.08 ^{ns}	2.4 ^{ns}
T	0.05 ^{ns}	0.32 ^{ns}	1.99*	35.0 ^{P=0.051}	1.5 ^{P=0.059}	0.10 ^{ns}	3.0 ^{ns}
Irradiance * T	0.08**	0.45*	2.81*	49.5*	2.1 ^{P=0.063}	0.14 ^{ns}	4.2 ^{ns}

[†]Leaf R_{dark} values are expressed per unit leaf area (LA, $\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$), fresh mass (FM, $\text{nmol O}_2 \text{ g}_{\text{FM}}^{-1} \text{ s}^{-1}$), dry mass (DM, $\text{nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$), nitrogen (N, $\text{nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$); [‡]N is expressed per gram of DM and per metre of LA; [§]LMA, leaf mass per area; LSD=Least significant difference; ns=not significant ($P>0.05$); $P<0.05$, 0.01, and 0.001 are indicated by *, **, and *** respectively; $n=105-107$.

Table S3 Means, mean squares and *F*. probabilities of ANOVAs for foliar traits examined during Experiments 2 for different genotypes and at different growth stages (GS).

Treatment	Leaf R_{dark} per unit [†]				N_{mass} [‡] (mg g ⁻¹)	N_{area} (g m ⁻²)	LMA [§] (g m ⁻²)	
d.f.	LA ($\mu\text{mol O}_2 \text{ mLA}^{-2} \text{ s}^{-1}$)	FM (nmol O ₂ g _{FM} ⁻¹ s ⁻¹)	DM (nmol O ₂ g _{DM} ⁻¹ s ⁻¹)	N (nmol O ₂ g _N ⁻¹ s ⁻¹)				
Growth stage[¶]								
Vegetative stage	0.76	3.99	28.77	392.4	68.3	1.02	25.8	
Reproductive stage	0.70	4.16	19.33	426.7	49.5	0.90	36.6	
LSD ($P<0.05$)	0.03	0.15	0.62	12.0	0.7	0.02	0.8	
Mean squares and F. probabilities of ANOVA								
Genotype	69	0.08***	3.09***	35.6***	6005 ^{ns}	63.4***	0.04***	80.0***
GS	1	0.58***	6.42***	16319.7***	219939***	64892.5***	2.52***	21537.5***
Genotype*GS	69	0.03 $P=0.053$	1.10 $P=0.06$	21.4**	4806 ^{ns}	33.24***	0.06***	23.7 ^{ns}
Residual [‡]		0.02 (689)	0.85 (690)	14.3 (679)	6551	18.82 (690)	0.02 (679)	27.1 (694)

[†]Leaf R_{dark} expressed per unit leaf area (LA, $\mu\text{mol O}_2 \text{ mLA}^{-2} \text{ s}^{-1}$), fresh mass (FM, nmol O₂ g_{FM}⁻¹ s⁻¹), dry mass (DM, nmol O₂ g_{DM}⁻¹ s⁻¹) and nitrogen (N, nmol O₂ g_N⁻¹ s⁻¹); [‡]N is expressed per gram of DM and per metre of LA; [§]LMA, leaf mass per area; [¶]Vegetative stage being leaf-3 at growth stage Z13 (seedling growth) while reproductive stage being flag leaf and Flag-1 at growth stages Z61-69 (anthesis). Values in parenthesis are residual degrees of freedom; ns=not significant ($P>0.05$); $P<0.05$, 0.01, and 0.001 are indicated by *, **, and *** respectively.

Table S4 Means, mean squares and *F*. probabilities of ANOVAs for foliar traits examined during Experiments 3 for different genotypes and at different growth stages (GS).

Treatment	d.f.	Leaf R_{dark} per unit [†]				N_{mass} [‡] (mg g ⁻¹)	N_{area} (g m ⁻²)	LMA [§] (g m ⁻²)
		LA ($\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$)	FM ($\text{nmol O}_2 \text{ g}_{\text{FM}}^{-1} \text{ s}^{-1}$)	DM ($\text{nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$)	N ($\text{nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$)			
Growth stage[¶]								
Vegetative stage		0.89	4.62	22.40	491.9	32.7	0.66	40.1
Reproductive stage		0.76	3.97	16.18	708.1	35.5	0.82	19.4
LSD ($P<0.05$)		0.04	0.21	0.91	40.0	1.5	0.04	1.0
Mean squares and F. probabilities of ANOVA								
Genotype	23	0.06 ^{$P=0.064$}	1.24 ^{ns}	28.8 ^{ns}	32796 ^{ns}	116.5 ^{***}	0.10 ^{***}	26.8 ^{ns}
GS	1	1.87 ^{***}	45.02 ^{***}	4058.0 ^{***}	4852040 ^{***}	820.8 ^{***}	2.69 ^{***}	45082.8 ^{***}
Genotype*GS	23	0.02 ^{ns}	0.47 ^{ns}	18.5 ^{ns}	25601 ^{ns}	40.3 ^{ns}	0.02 ^{ns}	21.1 ^{ns}
Residual		0.04 (364)	1.18 (370)	21.8 (367)	38343	49.9 (373)	0.04 (368)	28.6 (373)

[†]Leaf R_{dark} expressed per unit leaf area (LA, $\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$), fresh mass (FM, $\text{nmol O}_2 \text{ g}_{\text{FM}}^{-1} \text{ s}^{-1}$), dry mass (DM, $\text{nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$) and nitrogen (N, $\text{nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$); [‡]N is expressed per gram of DM and per metre of LA; [§]LMA, leaf mass per area; [¶]Vegetative stage being leaf-3 through leaf-6 sampled at growth stages Z23-27 (tillering) while reproductive stage being flag leaf, Flag-1 at growth stages Z55-71 (inflorescence emergence, anthesis through milk development). Values in parenthesis are residual degrees of freedom; ns=not significant ($P>0.05$); $P<0.05$, 0.01, and 0.001 are indicated by *, **, and *** respectively.

Table S5 Squared Pearson correlation (r^2) for predictions of leaf dark respiration (R_{dark} , expressed per metre of leaf area (LA), per gram of fresh mass (FM) and dry mass (DM), and leaf nitrogen), nitrogen (expressed per gram of DM and per metre of LA), and leaf mass per unit area (LMA), across all experiments, by the three models using either the continuous, full-spectrum data (350-2500 nm) or a spectral subset selected based on coefficient weightings of a multi-method ensemble developed by Feilhauer et al. (2015).

All Experiment [†]	PLSR		RFR		SVMR		Number of ensemble selected wavelengths
	Whole spectrum	Selected wavelengths	Whole spectrum	Selected wavelengths	Whole spectrum	Selected wavelengths	
Leaf R_{dark} per unit							
LA ($\mu\text{mol O}_2 \text{ m}_{\text{LA}}^{-2} \text{ s}^{-1}$)	0.52	0.55	0.40	0.41	0.56	0.54	265
FM ($\text{nmol O}_2 \text{ g}_{\text{FM}}^{-1} \text{ s}^{-1}$)	0.52	0.54	0.43	0.44	0.55	0.55	269
DM ($\text{nmol O}_2 \text{ g}_{\text{DM}}^{-1} \text{ s}^{-1}$)	0.42	0.51	0.45	0.50	0.51	0.57	248
N ($\text{nmol O}_2 \text{ g}_{\text{N}}^{-1} \text{ s}^{-1}$)	0.59	0.64	0.60	0.60	0.63	0.68	243
Other traits							
N_{mass} (mg g^{-1})	0.81	0.84	0.80	0.80	0.84	0.85	229
N_{area} (g m^{-2})	0.58	0.66	0.56	0.55	0.63	0.68	228
LMA (g m^{-2})	0.69	0.79	0.71	0.70	0.76	0.80	221

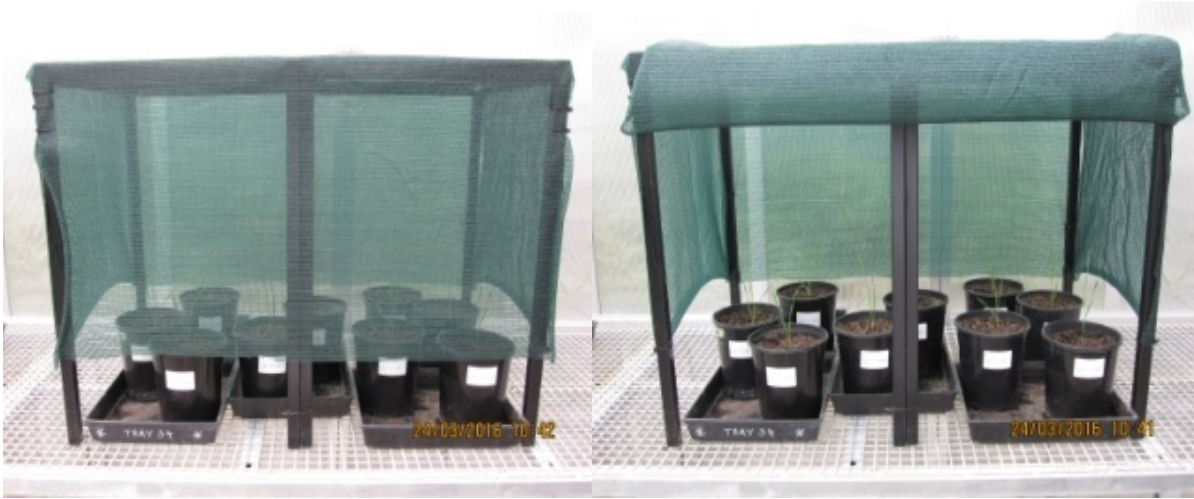
[†]Models were built on training dataset consisting of 90% of the experimental data and used to predict the remaining (test dataset of) 10%.

1 Table S6. Summary of different trait-based and reflectance-based regression models for leaf
 2 dark respiration expressed per square metre of leaf area ($R_{\text{dark LA}}$). Model predictors are
 3 either reflectance, or measured leaf traits – leaf nitrogen (expressed per gram of DM, N_{mass} ;
 4 and per metre of LA, N_{area}), and leaf mass per unit area (LMA). The coefficient of
 5 determination, R^2 , is shown for all models.

Predictor(s)	Method	$R_{\text{dark LA}}$ coefficient of determination (R^2)
Advanced regression		
Reflectance	PLSR	0.54
	SVMR	0.53
Traditional regression		
N_{mass}	Simple linear regression	0.08
N_{area}	Simple linear regression	0.04
LMA	Simple linear regression	0.07
N_{mass} , LMA	Multiple linear regression, no interaction	0.12
	Multiple linear regression, with interaction	0.12
N_{area} , LMA	Multiple linear regression, no interaction	0.09
	Multiple linear regression, with interaction	0.09

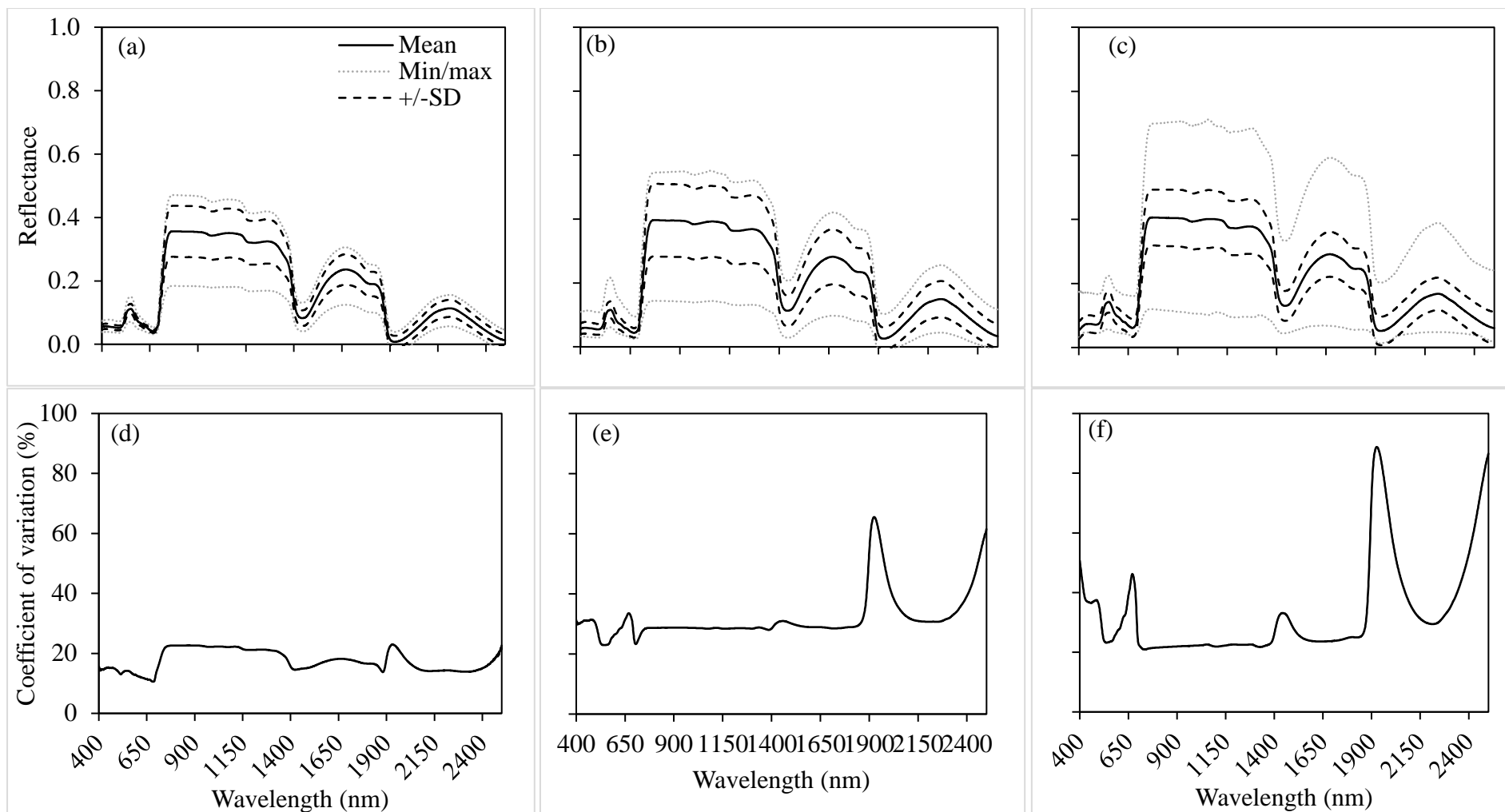
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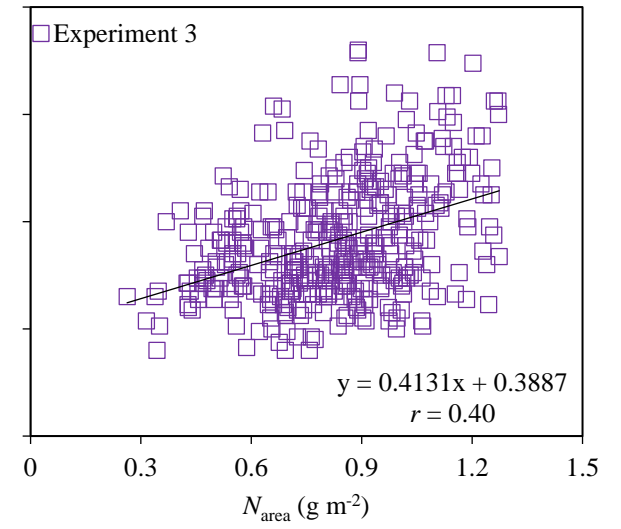
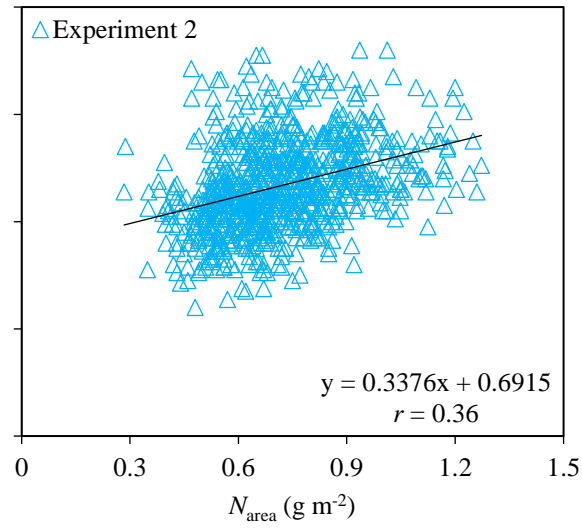
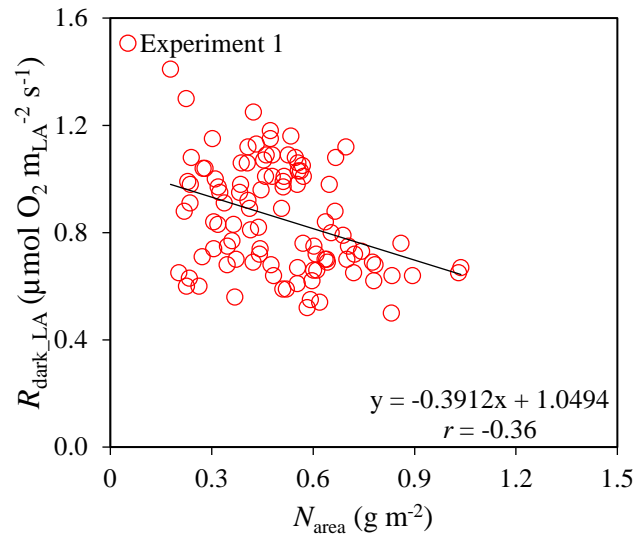
15 **FIGURE S1** Display showing green mesh suspended by metal cages used to achieve low light
16 (photosynthetic photon flux density of 150~200 $\mu\text{mol m}^{-2} \text{s}^{-1}$ i.e. 25% of ambient) intensity
17 during Experiment 1.

18

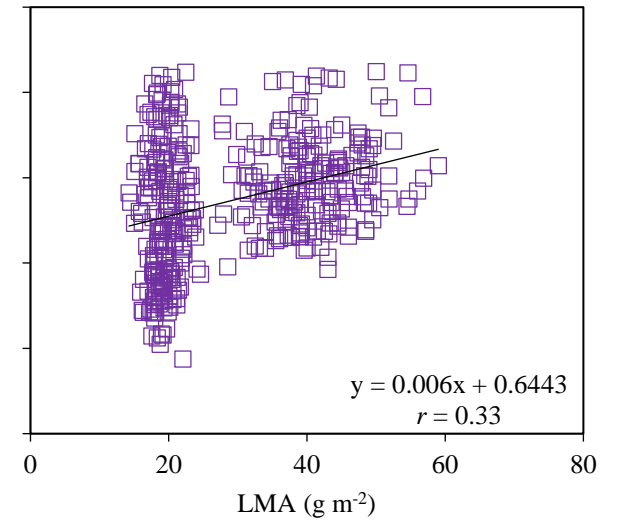
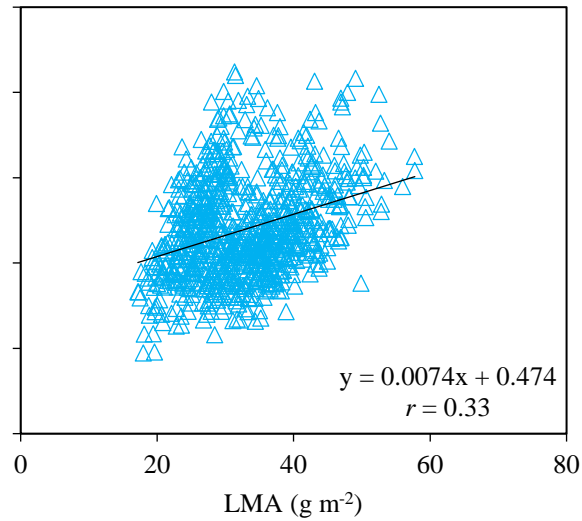
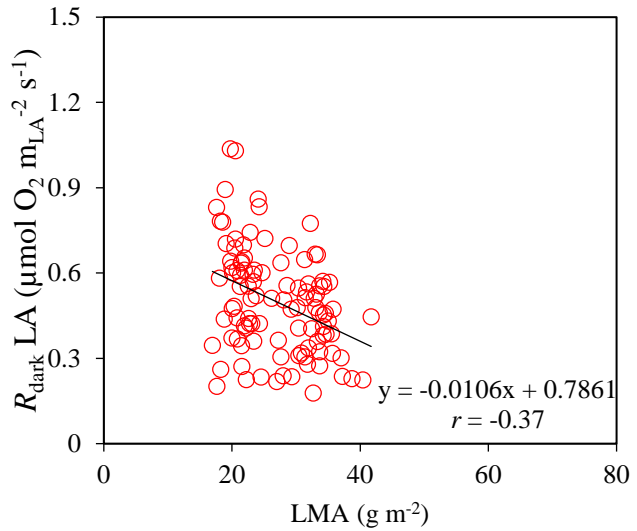


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20 **FIGURE S2** Mean (\pm standard deviation), minimum and maximum leaf reflectance (top panels) of wheat (a-c) and spectral coefficients of variation
 21 (d-e) for Experiment 1 (left panels), Experiment 2 (middle panels) and Experiment 3 (right panels).

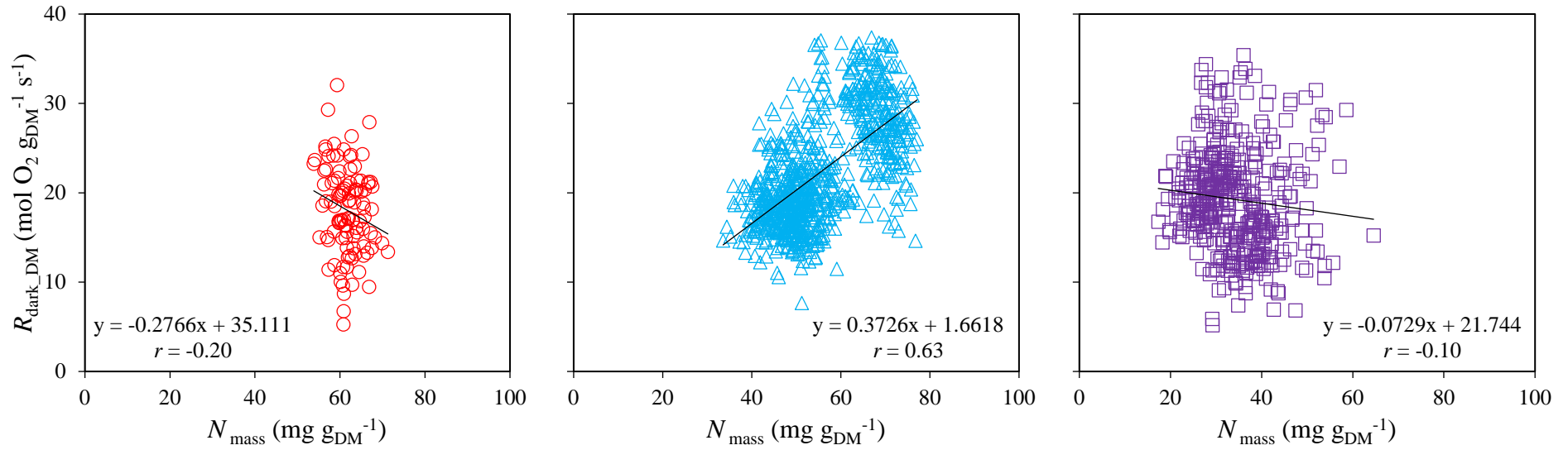


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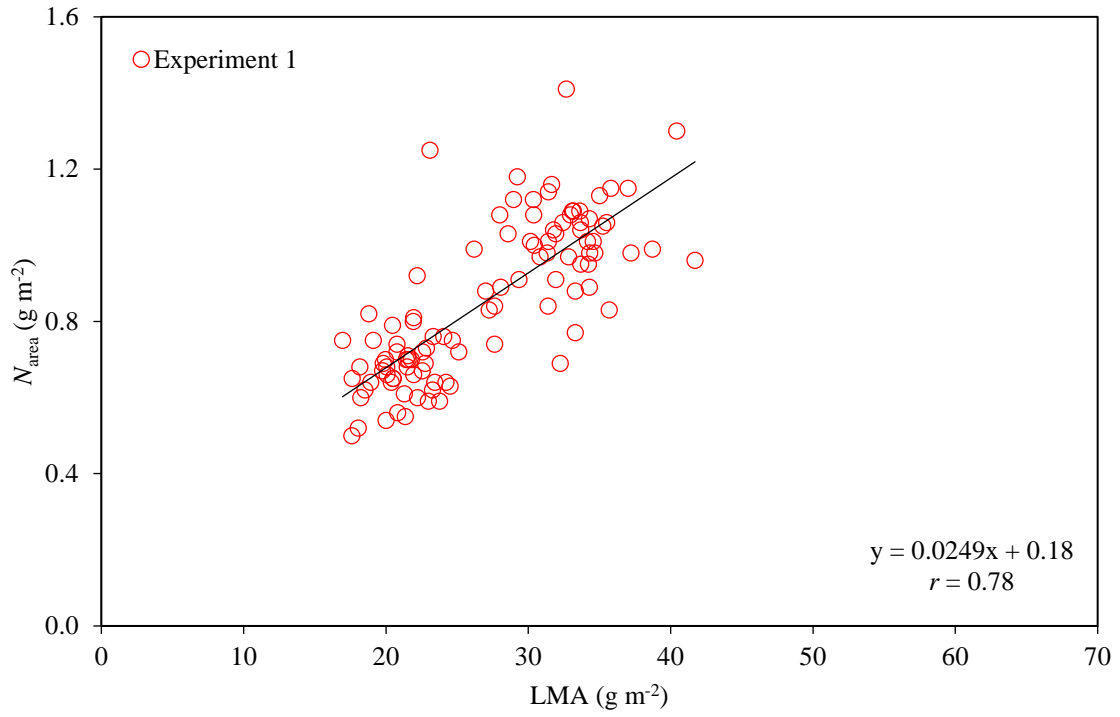
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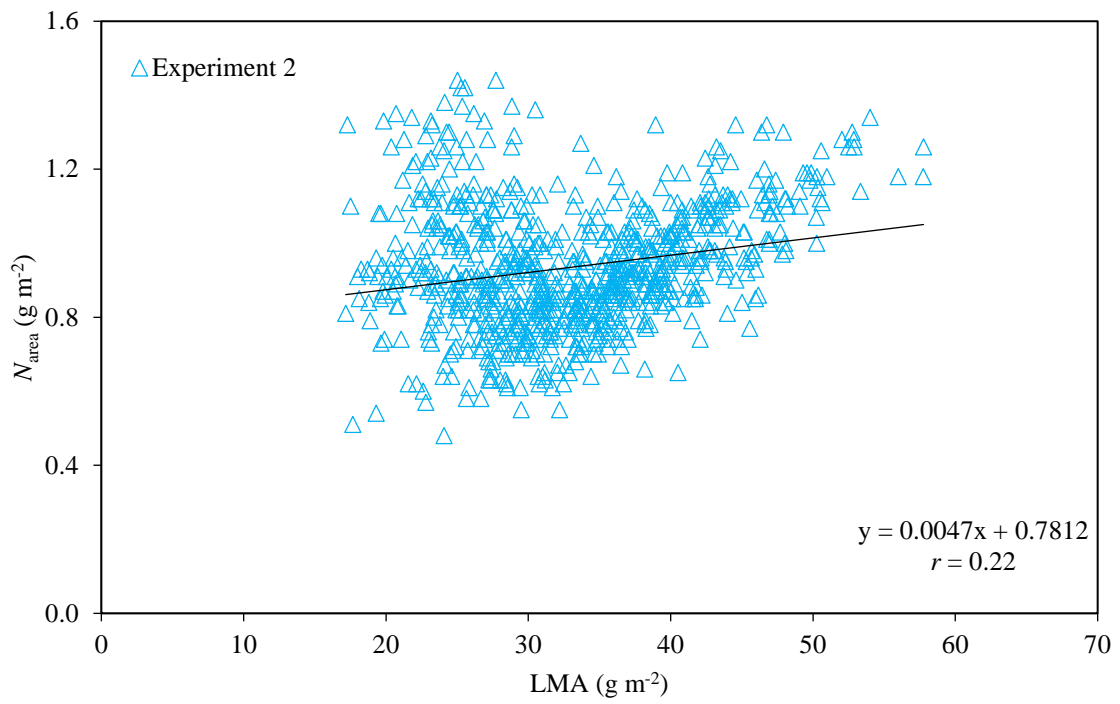


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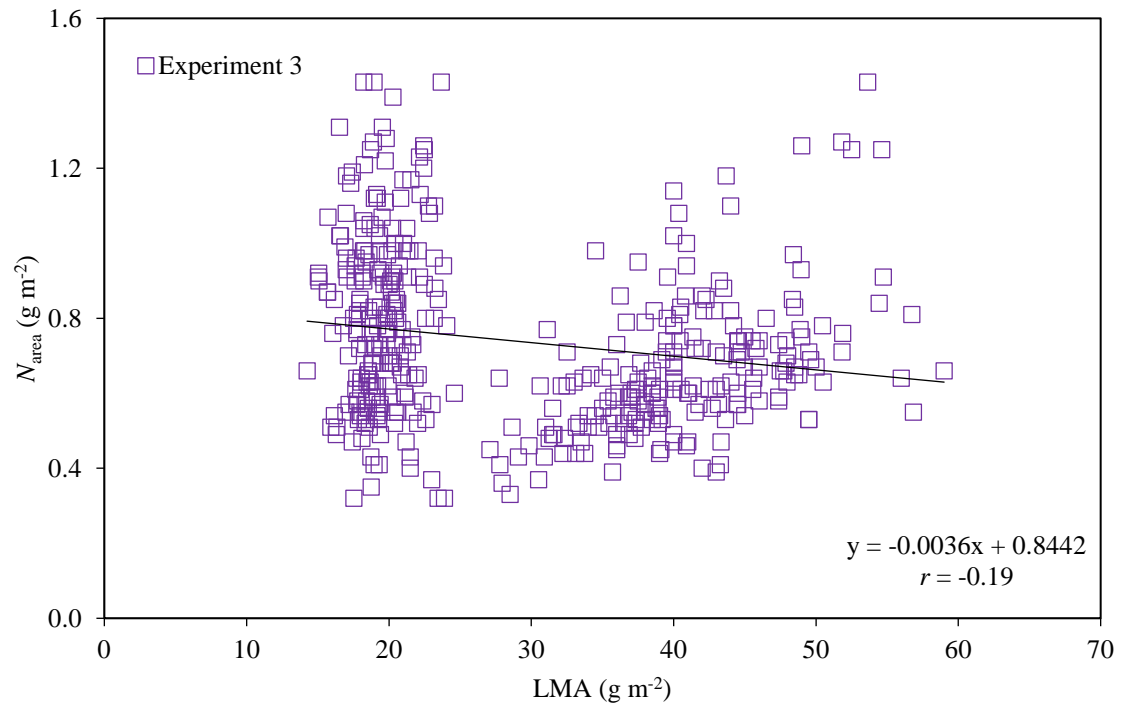
26 **FIGURE S3** Relationships between $R_{\text{dark_LA}}$ and **(a-c)** leaf nitrogen per square metre of leaf area (N_{area}), **(d-f)** leaf mass per area (LMA), and **(g-i)**
 27 between $R_{\text{dark_DM}}$ and leaf nitrogen per gram of leaf dry mass (N_{mass}) for Experiment 1 (left panels), Experiment 2 (middle panels) and Experiment
 28 3 (right panels). Pearson correlation coefficients (r) for data pooled from Experiments 1, 2 and 3 were 0.16, 0.27 and 0.38, respectively for $R_{\text{dark_LA}}$
 29 vs N_{area} , $R_{\text{dark_LA}}$ vs LMA, and $R_{\text{dark_DM}}$ vs N_{mass} .



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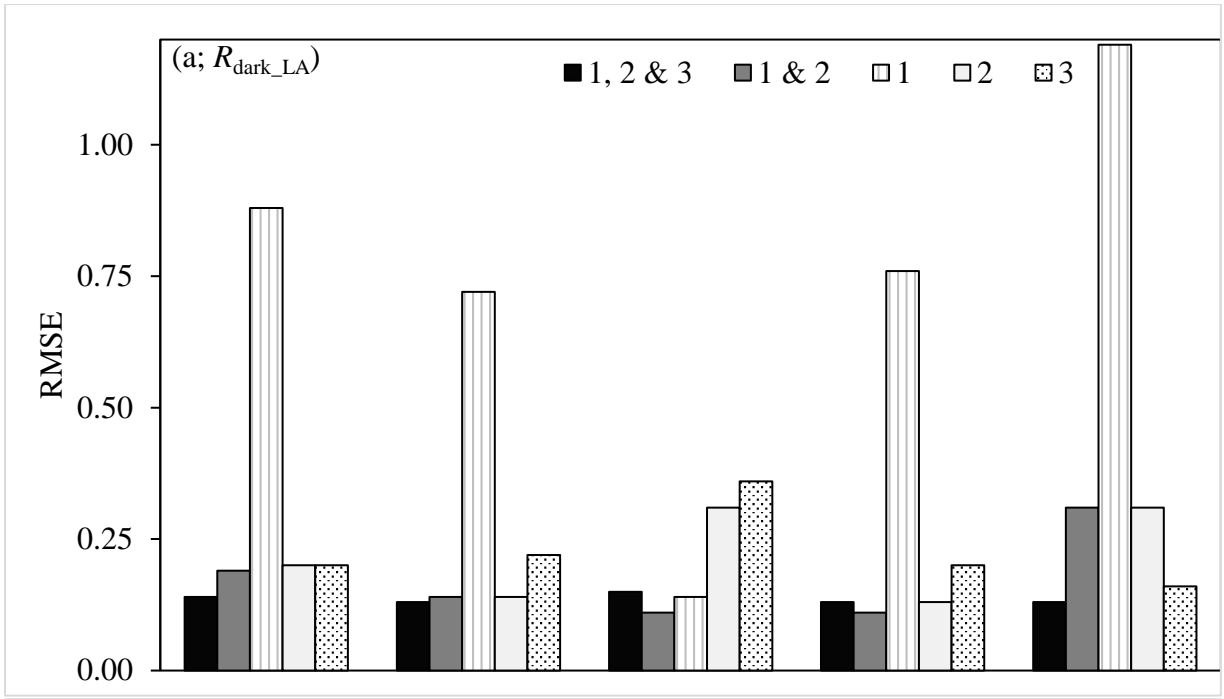


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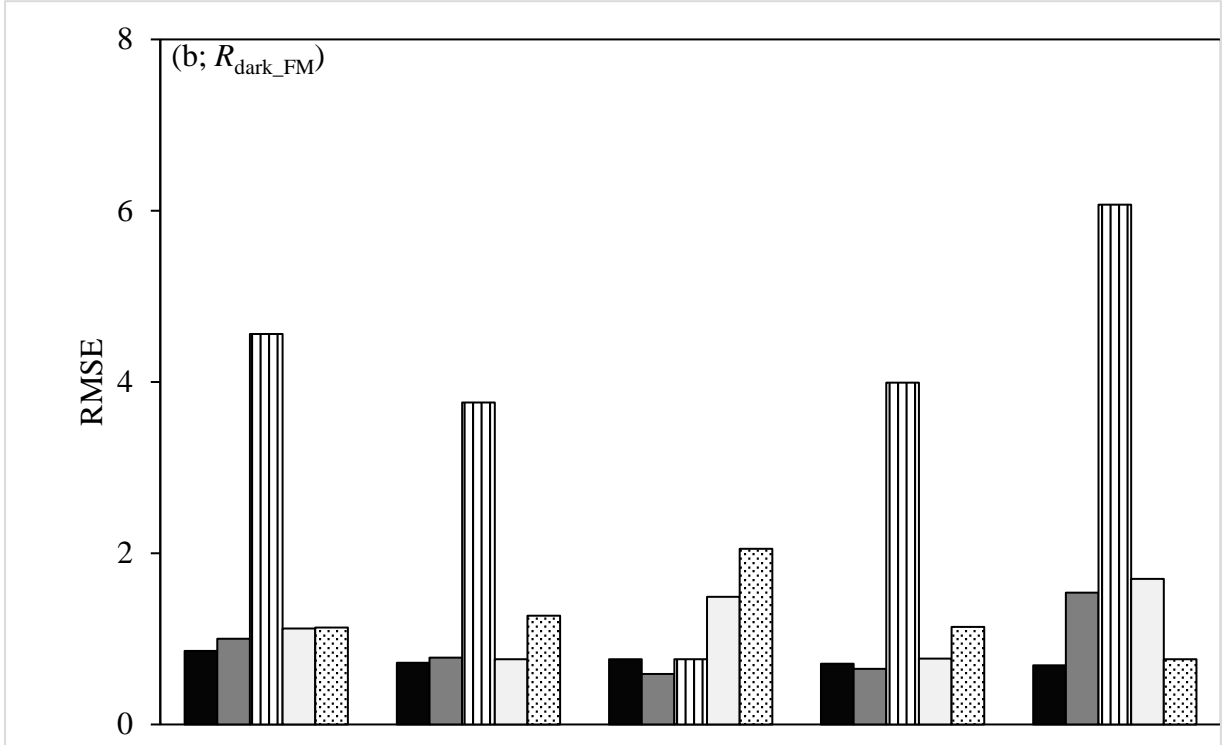


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33 **FIGURE S4** Relationship between leaf nitrogen (per square metre of leaf area, N_{area}) and leaf
 34 mass per area (LMA) for Experiment 1 **(a)**, Experiment 2 **(b)** and Experiment 3 **(c)**. For the
 35 pooled data Pearson correlation coefficients (r) was 0.12 ($P < 0.001$).

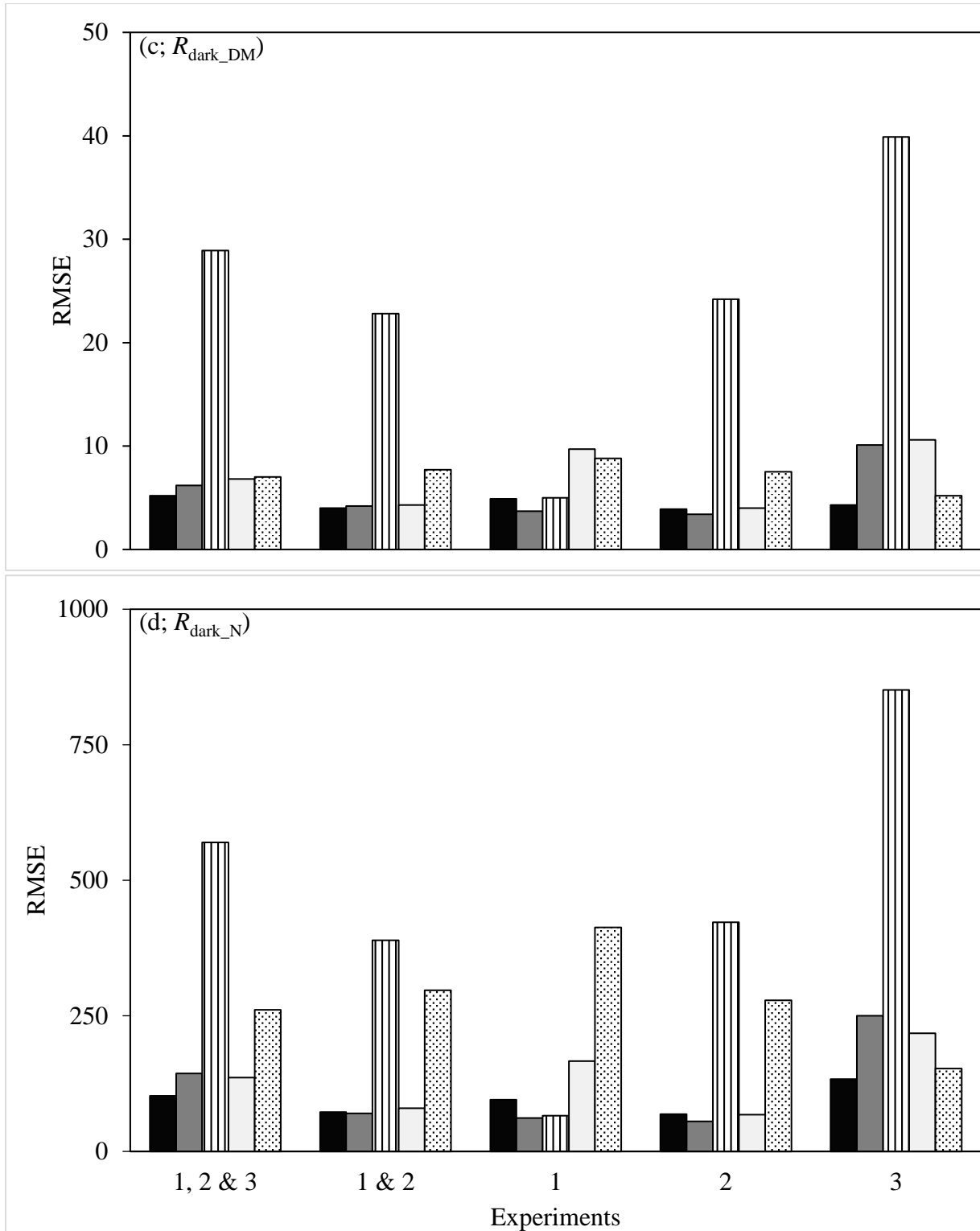


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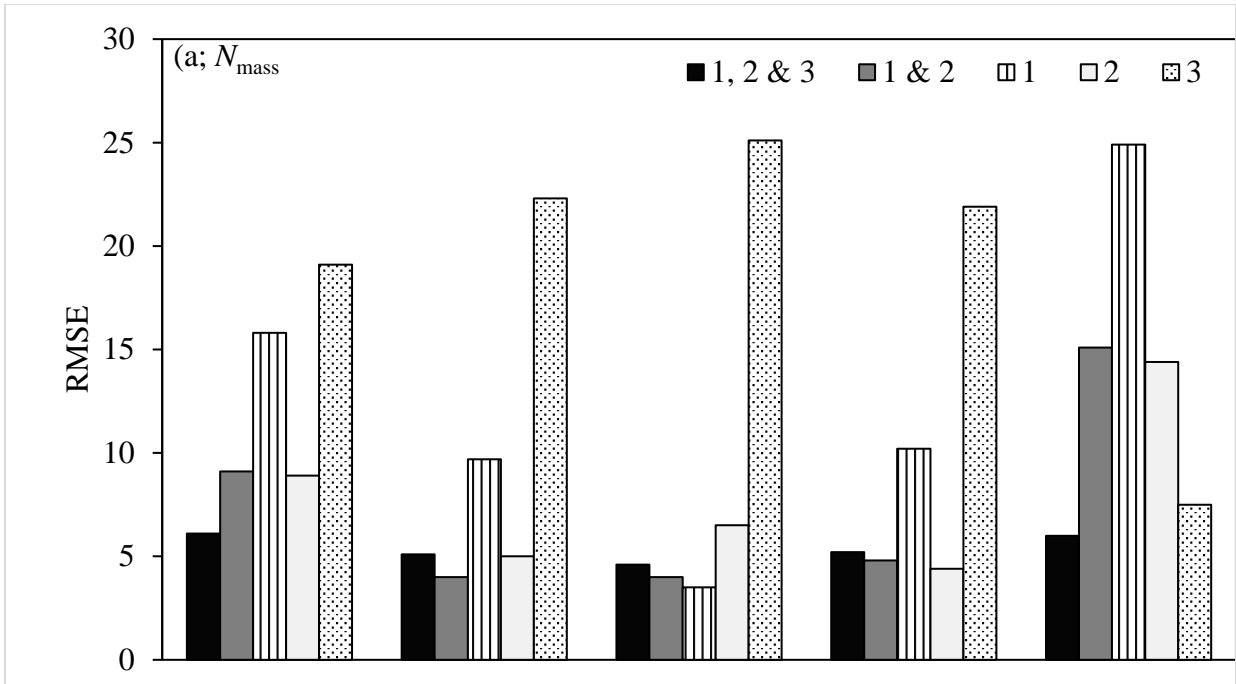
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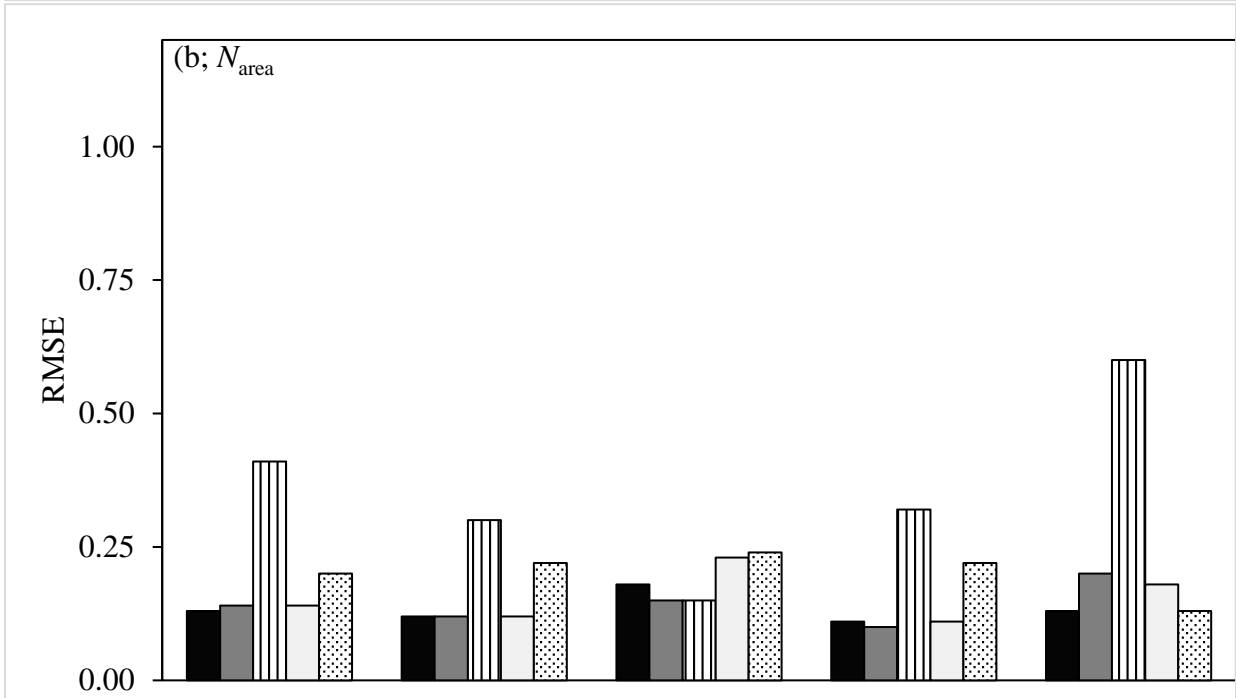
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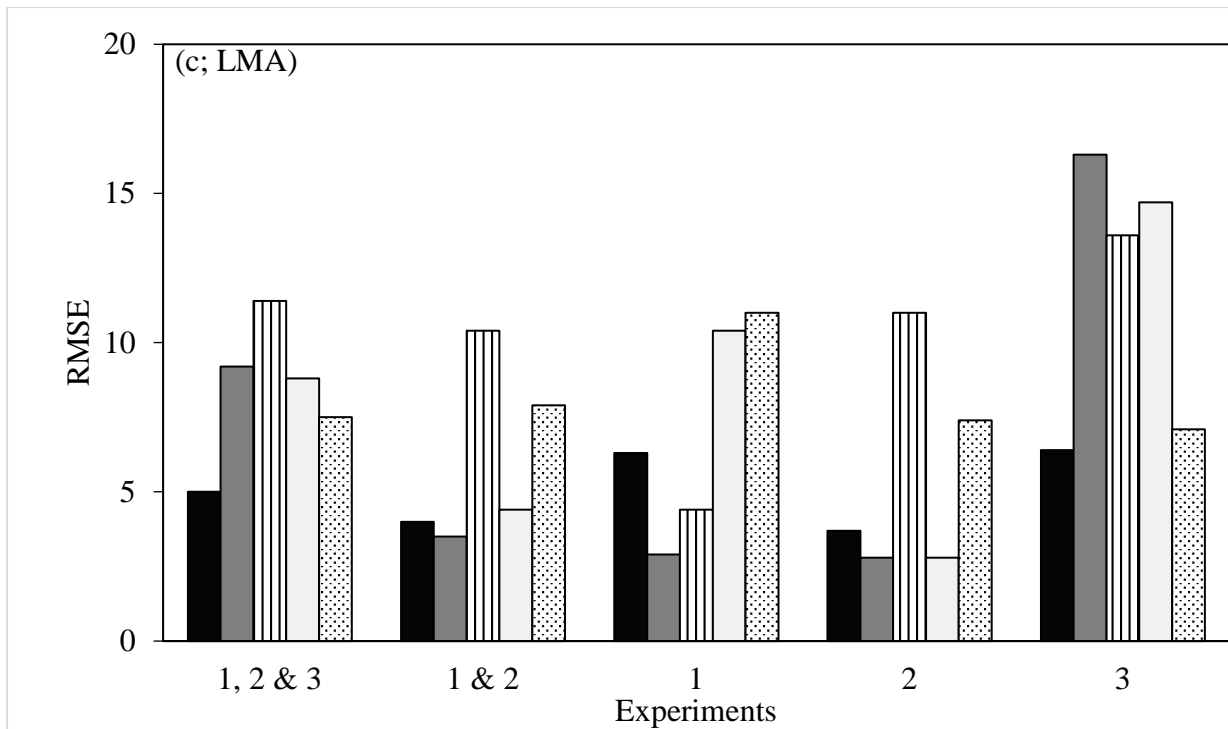
40 **FIGURE S5** Root mean squared error (RMSE) of PLSR model used for prediction of leaf
 41 dark respiration per square metre of leaf area ($R_{\text{dark_LA}}$; **a**), per gram of fresh mass ($R_{\text{dark_FM}}$;
 42 **b**), per gram of dry mass ($R_{\text{dark_DM}}$; **c**), or per gram of leaf nitrogen ($R_{\text{dark_N}}$; **d**). PLSR models
 43 were trained on 90% of data pooled from Experiments 1, 2 and 3 (black bars) or Experiments
 44 1 and 2 (grey bars) or from individual experiments (Experiment 1 (vertical striped bars),
 45 Experiment 2 (white bars), or Experiment 3 (dotted bars)) and validated on the test dataset
 46 (remaining 10%).

47



48





49

50 **FIGURE S6** Root mean squared error (RMSE) of PLSR model used for prediction of leaf
 51 nitrogen per gram of DM (N_{mass} ; **a**) or per square metre of LA (N_{area} ; **b**), and LMA (**c**). PLSR
 52 models were trained on 90% of data pooled from Experiments 1, 2 and 3 (black bars) or
 53 Experiments 1 and 2 (grey bars) or from individual experiments (Experiment 1 (vertical
 54 stripped bars), Experiment 2 (white bars), or Experiment 3 (dotted bars)) and validated on the
 55 test dataset (remaining 10%).

56 **Text S1** Multi-method ensemble

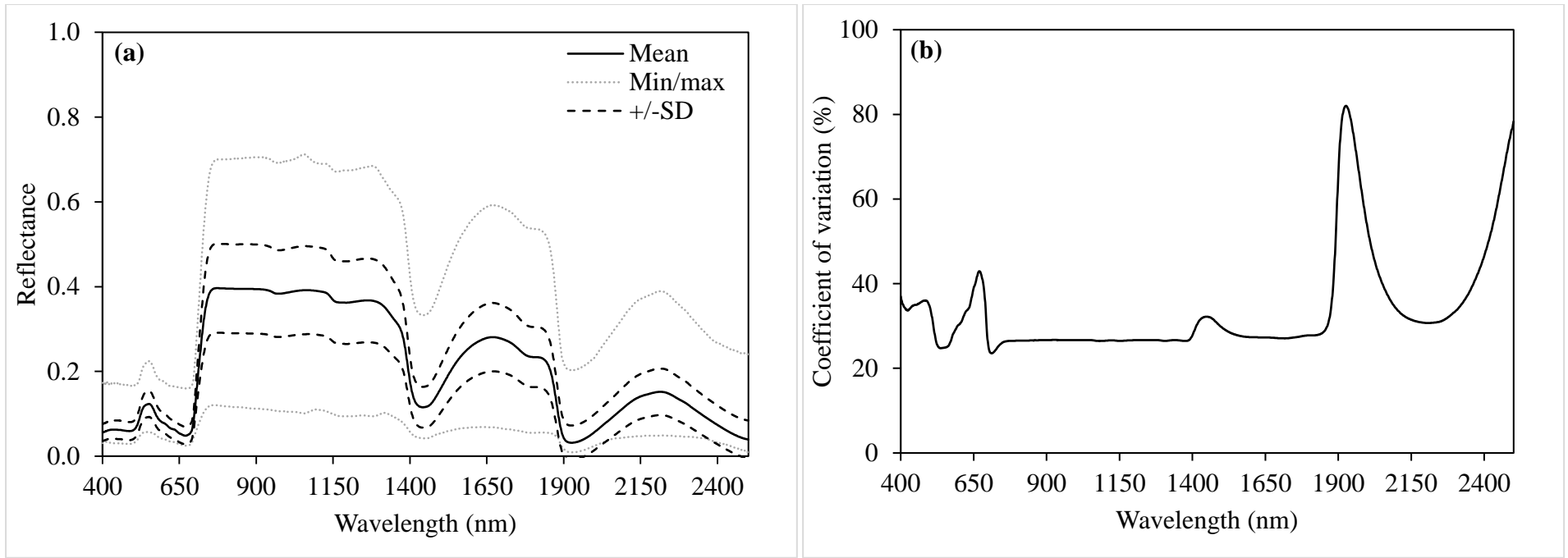
57 We attempted to reduce model complexity and improve model predictions by combining
58 different regression techniques into a multi-method ensemble, an approach first suggested by
59 Bates & Granger (1969) and shown to increase prediction accuracy (Waske & van der Linden,
60 2008; Du, Xia, Chanussot, & He, 2012). This is achieved by excluding bands showing low
61 sensitivity to the response variable in all three model types (Frenich et al., 1995; Wolter,
62 Townsend, Sturtevant, & Kingdon, 2008; Andersen & Bro, 2010). We employed the multi-
63 method ensemble that combines PLSR, SVMR and RFR, which was recently developed by
64 Feilhauer, Asner & Martin (2015). The ensemble identified distinct hyperspectral bands (with
65 elevated sensitivity to the response variable) for each of the PLSR, SVMR and RFR models.
66 These subsets of wavelengths were in turn used to model predictions of the different traits of
67 interest and predictions compared to those developed with the full spectrum.

68 In the ensemble, individual model predictions of leaf R_{dark} , N_{mass} , N_{area} and LMA using
69 either the continuous, full spectrum data or a spectral subset selected based on weightings in
70 the multi-method ensemble developed by Feilhauer et al. (2015) were mostly similar (Table
71 S6). Within models, differences in r^2 between full spectrum and selected subset of wavelengths
72 were at most ± 0.03 for R_{dark} and ± 0.04 for other leaf traits. The use of model coefficient
73 weighting resulted in the selection of between 173 and 271 wavelengths for R_{dark} and other leaf
74 traits. The selected wavelengths were spread across the whole spectrum of interest. Across
75 models the RFR performed less well in some instances (e.g. $R_{\text{dark_LA}}$, $R_{\text{dark_FM}}$ and LMA)
76 compared to PLSR and SVRM (Table S6). We found that the PLSR model exhibited better
77 performance relative to RFR but similar performance to the SVMR model.

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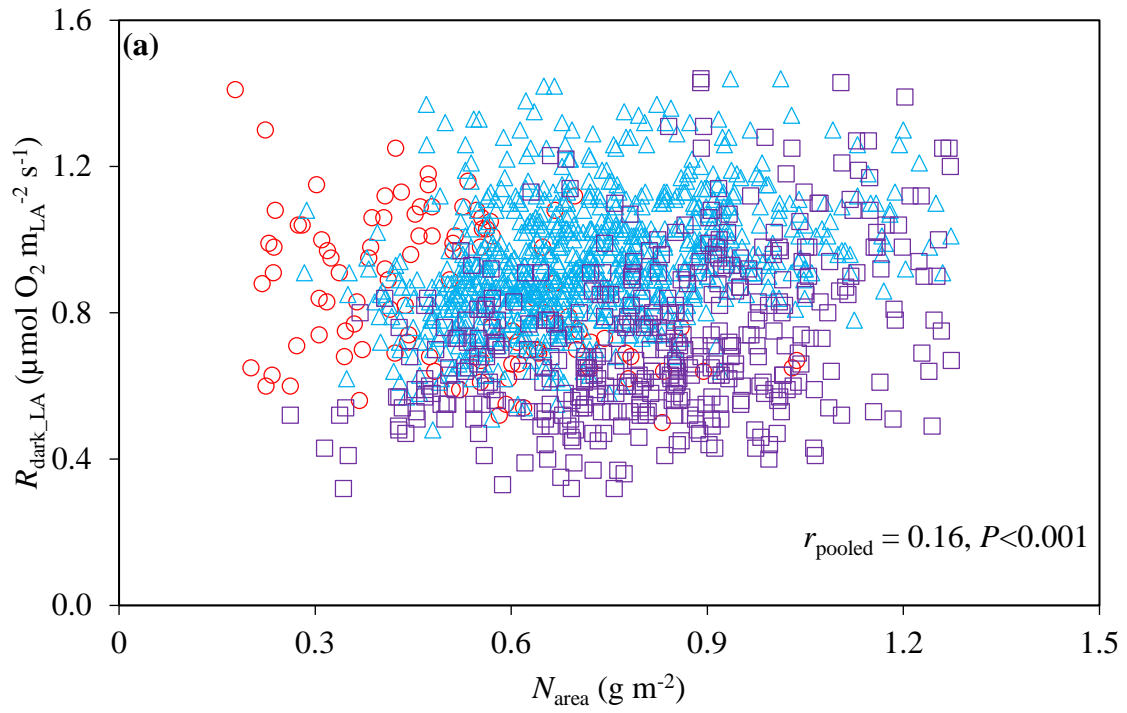
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97 distribution and abundance of host species for spruce budworm in Northern
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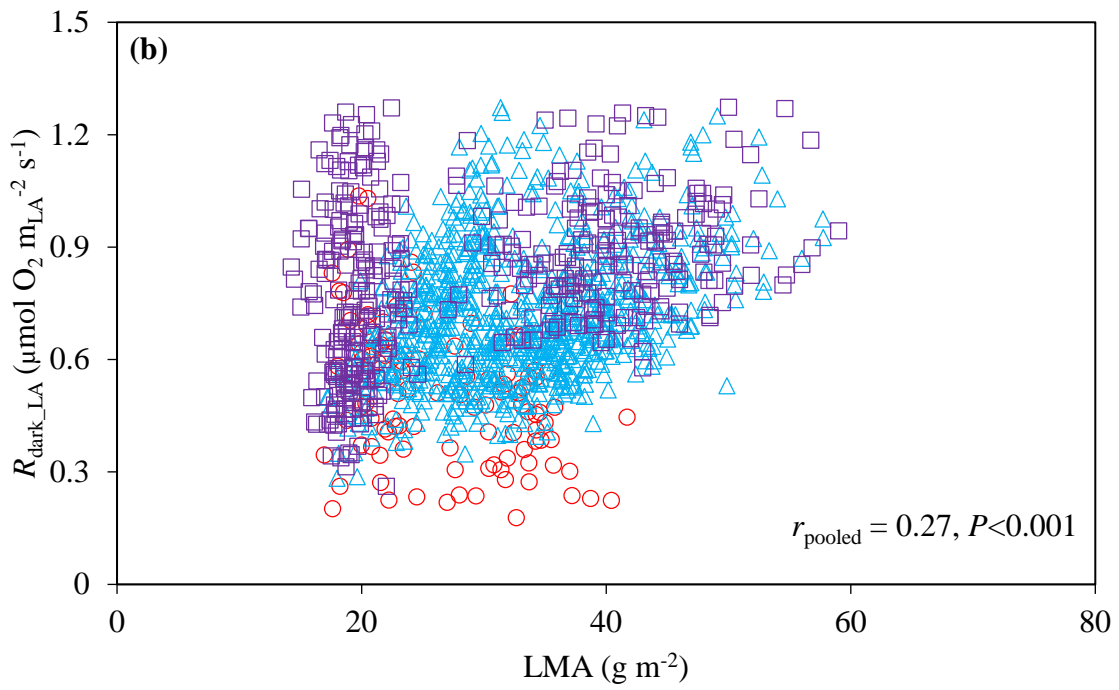


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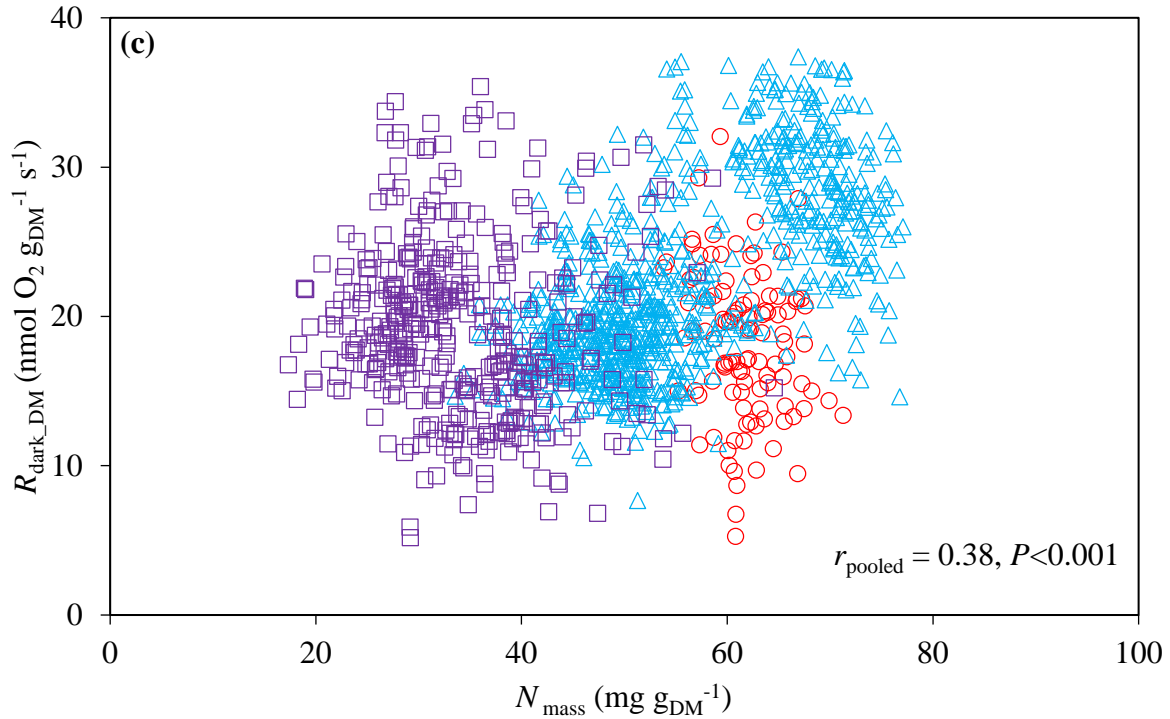
100 **FIGURE 1** Mean (\pm standard deviation), minimum and maximum leaf reflectance **(a)** of wheat and spectral coefficients of variation **(b)** for three
 101 experiments (Experiments 1, 2 and 3) combined.



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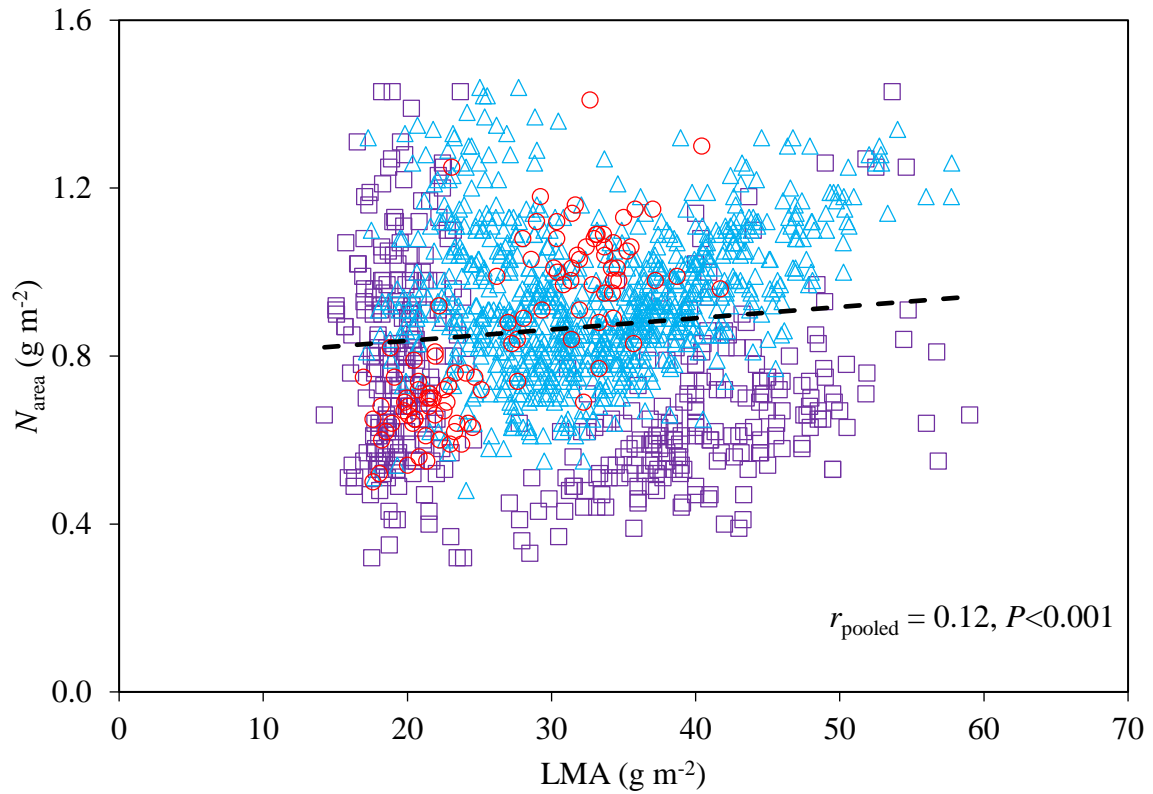


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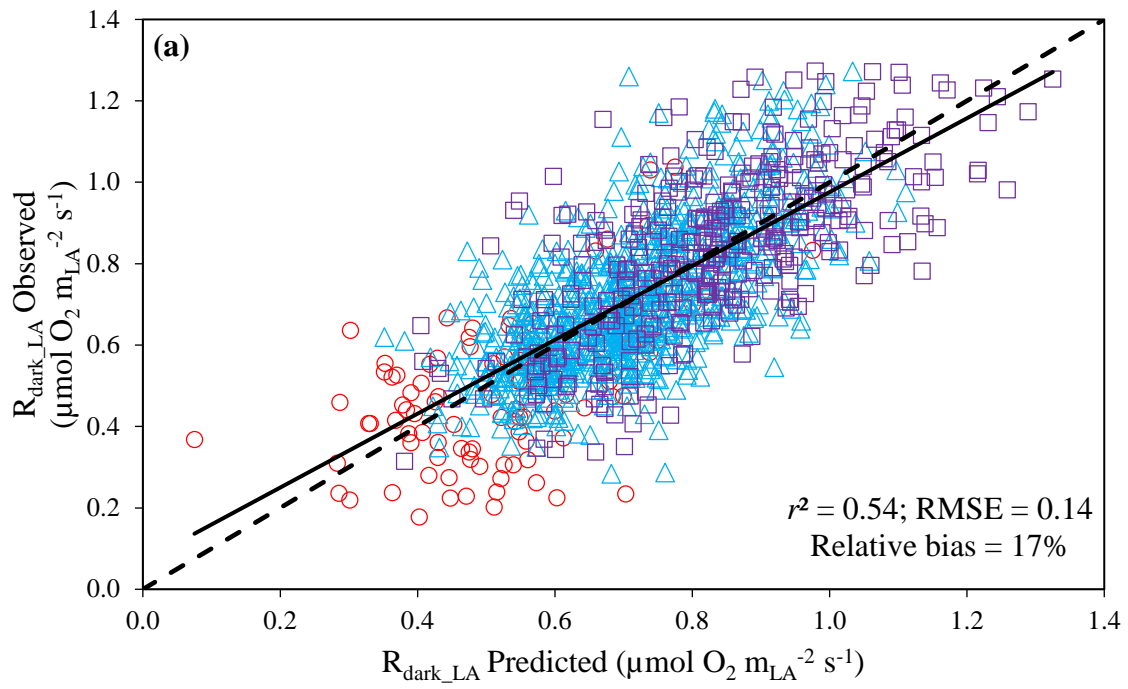
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105 **FIGURE 2** Relationships between $R_{\text{dark_LA}}$ and (a) nitrogen content per unit leaf area (N_{area}),
 106 (b) leaf dry mass per unit leaf area (LMA), and (c) between $R_{\text{dark_DM}}$ and nitrogen concentration
 107 per unit leaf dry mass (N_{mass}). Pearson correlation coefficients (r) for data pooled from
 108 Experiments 1, 2 and 3 are presented in the plots. For each of Experiment 1 (red circles),
 109 Experiment 2 (blue triangles) and Experiment 3 (purple squares) the respective r were -0.36,
 110 0.36 and 0.40 for $R_{\text{dark_LA}}$ vs N_{area} , -0.37, 0.33 and 0.33 for $R_{\text{dark_LA}}$ vs LMA, and -0.20, 0.63
 111 and -0.10 for $R_{\text{dark_DM}}$ vs N_{mass} .

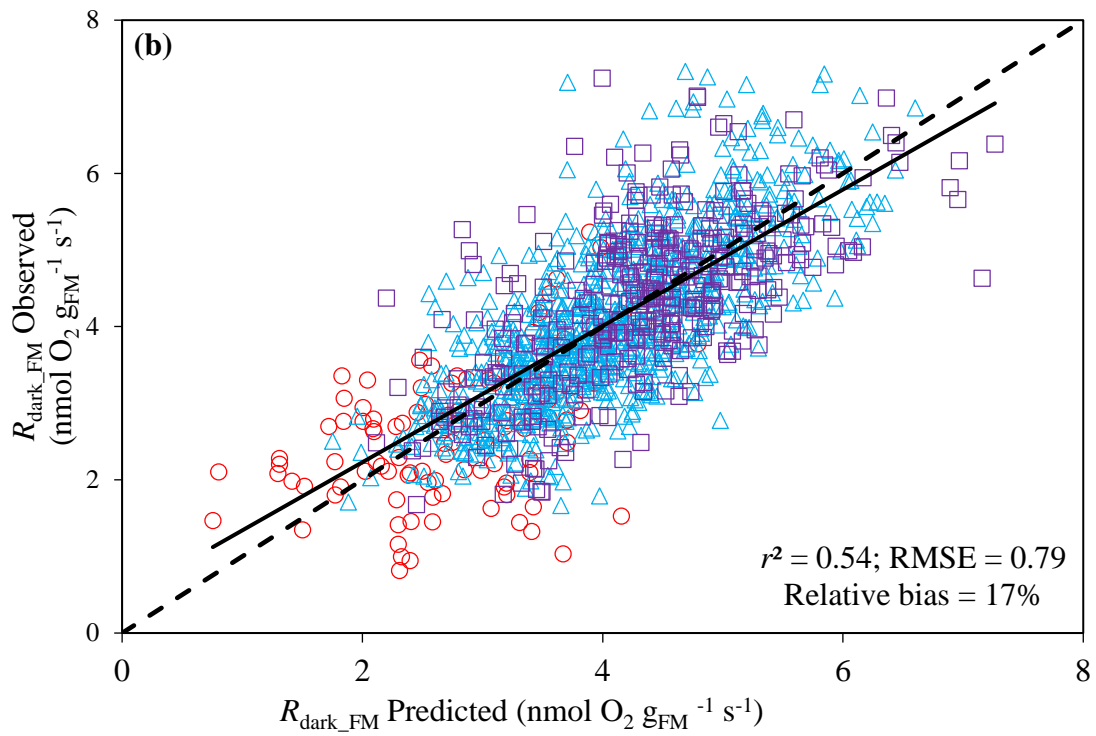


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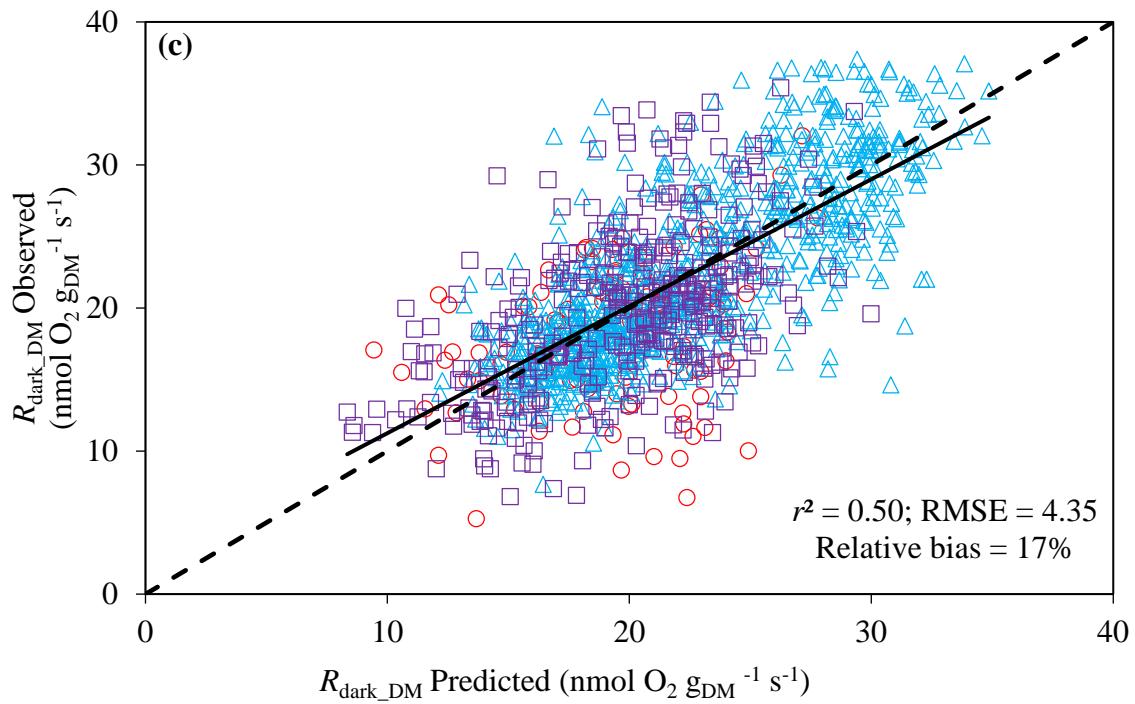
113 **FIGURE 3** Relationship between nitrogen content per unit leaf area (N_{area}) and leaf dry mass
 114 per unit leaf area (LMA) for all three experiments combined. Pearson correlation coefficients
 115 (r) for data pooled from Experiments 1, 2 and 3 are presented in the plots. Pearson correlation
 116 coefficients (r) for each of Experiment 1 (red circles), Experiment 2 (blue triangles) and
 117 Experiment 3 (purple squares) were 0.78, 0.22 and -0.19, respectively. For all bivariate
 118 relationships between traits across all experiments, see Table 3.



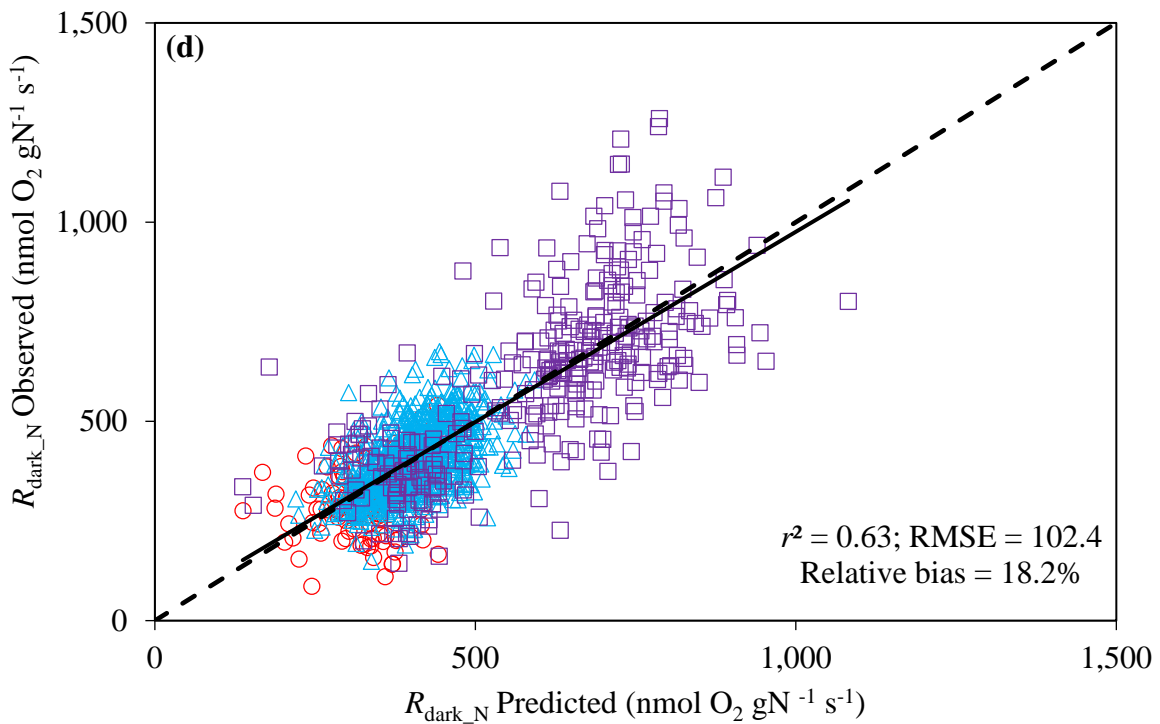
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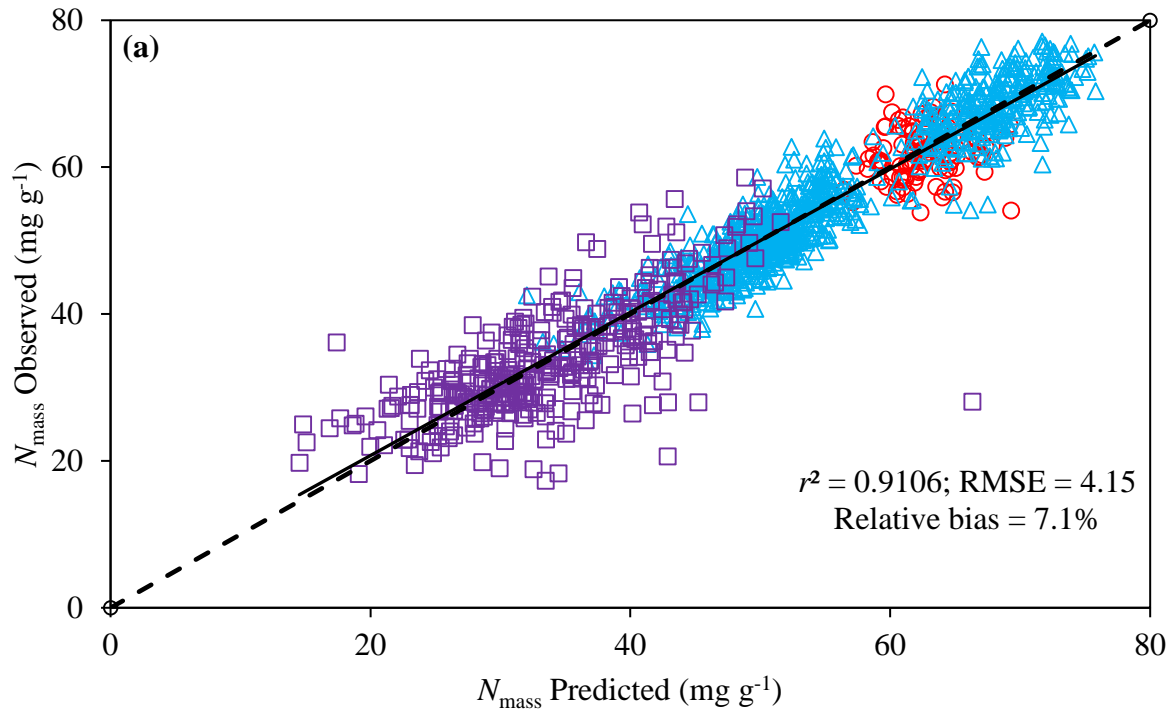


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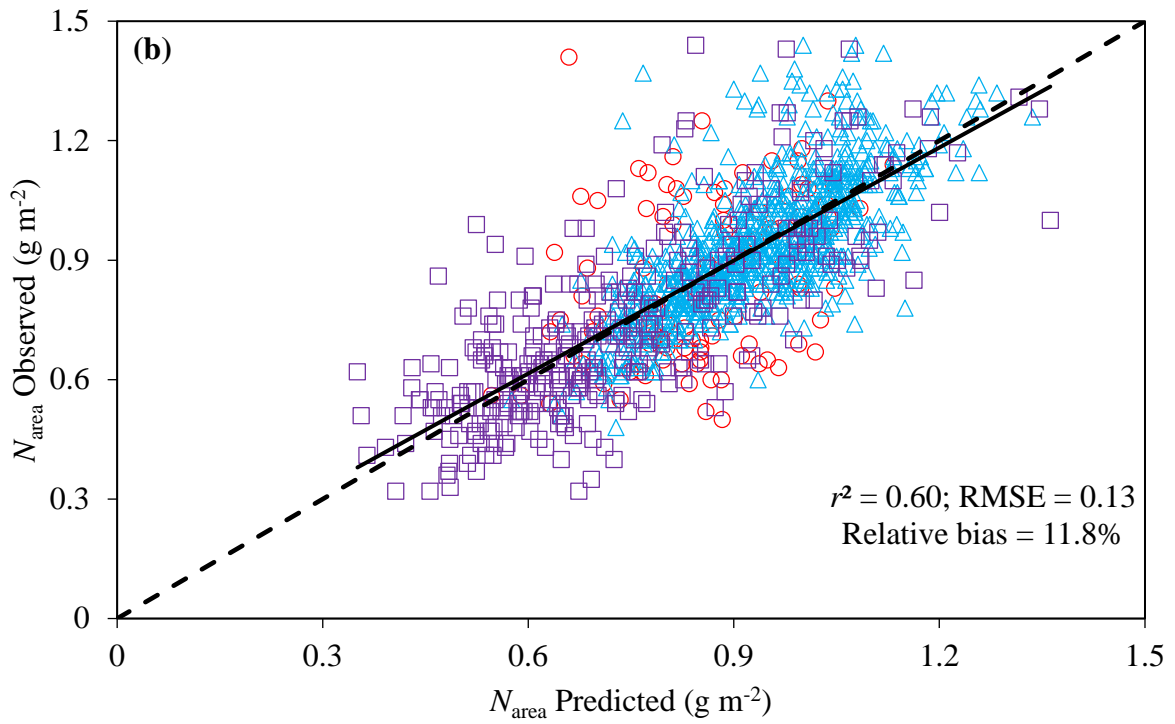


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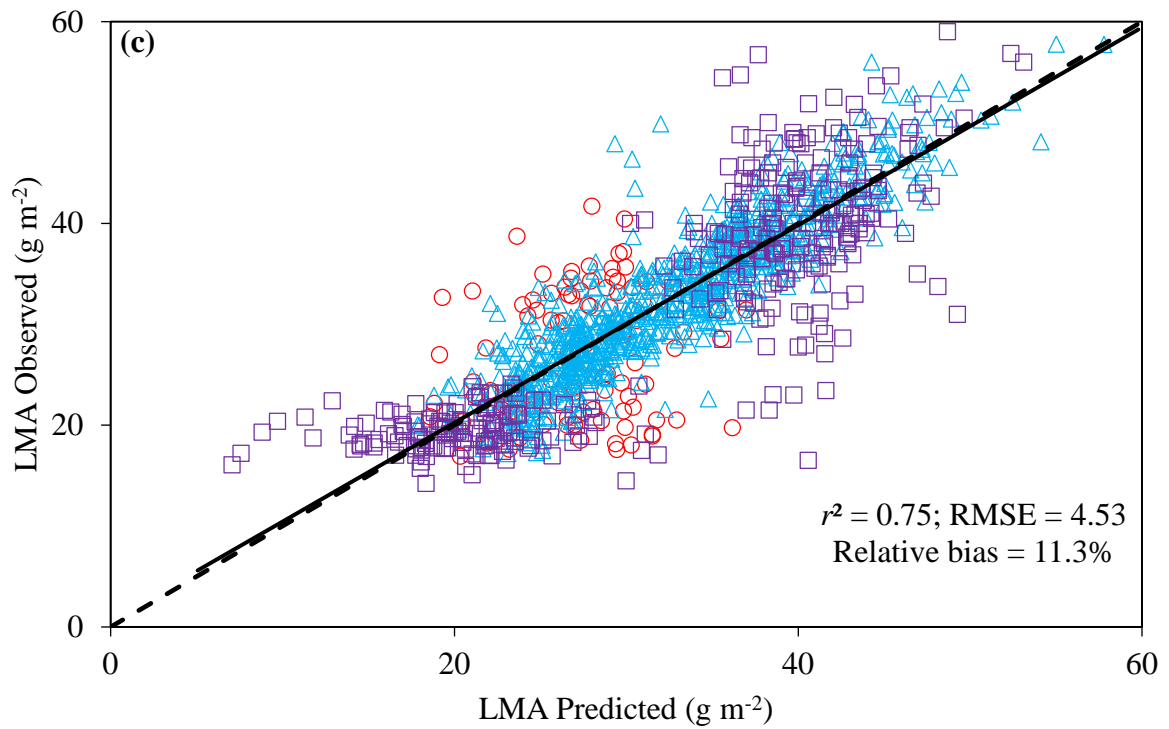
123 **FIGURE 4** Validation of PLSR model prediction for $R_{\text{dark_LA}}$ (a), $R_{\text{dark_FM}}$ (b), $R_{\text{dark_DM}}$ (c)
 124 and $R_{\text{dark_N}}$ (d) using 10% of pooled data from Experiment 1 (red circles), Experiment 2 (blue
 125 triangles) and Experiment 3 (purple squares) that were not used in developing the model.



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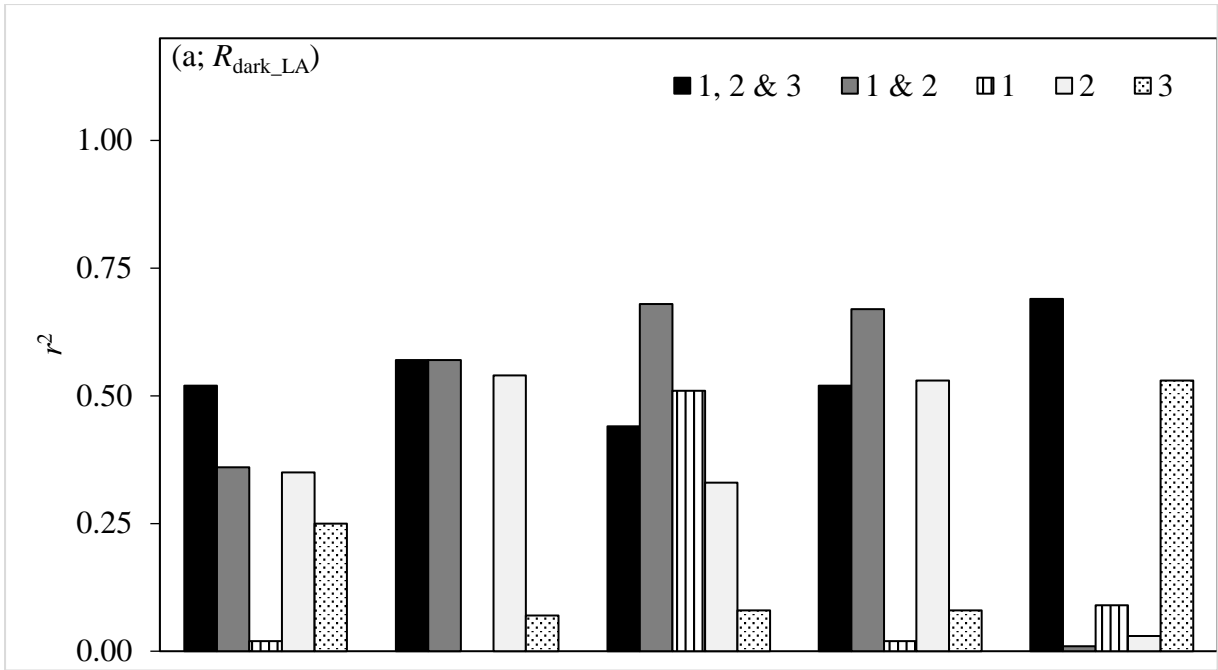
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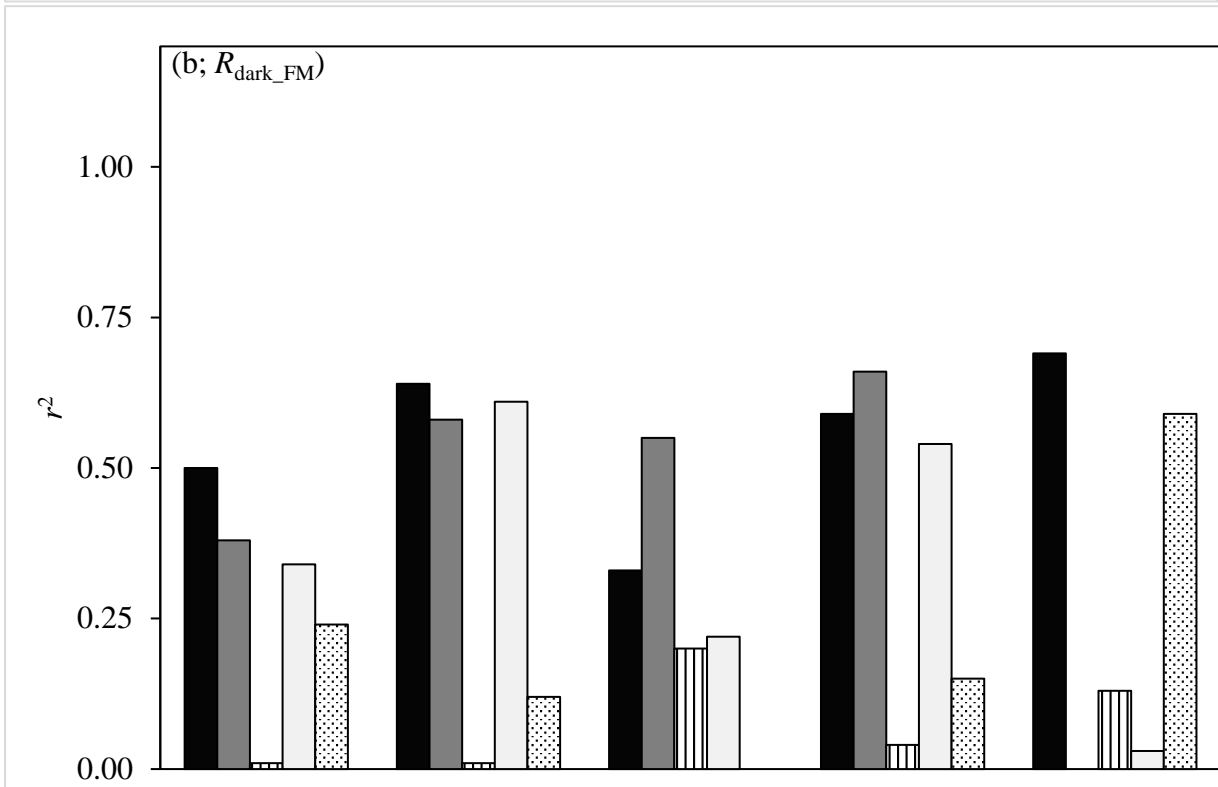
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129 **FIGURE 5** Validation of PLSR model prediction for nitrogen concentration per unit leaf dry
 130 mass (N_{mass} ; **a**), nitrogen content per unit leaf area (N_{area} ; **b**) and leaf dry mass per unit area
 131 (LMA; **c**), using 10% of pooled data from Experiment 1 (red circles), Experiment 2 (blue
 132 triangles) and Experiment 3 (purple squares) that were not used in developing the model.

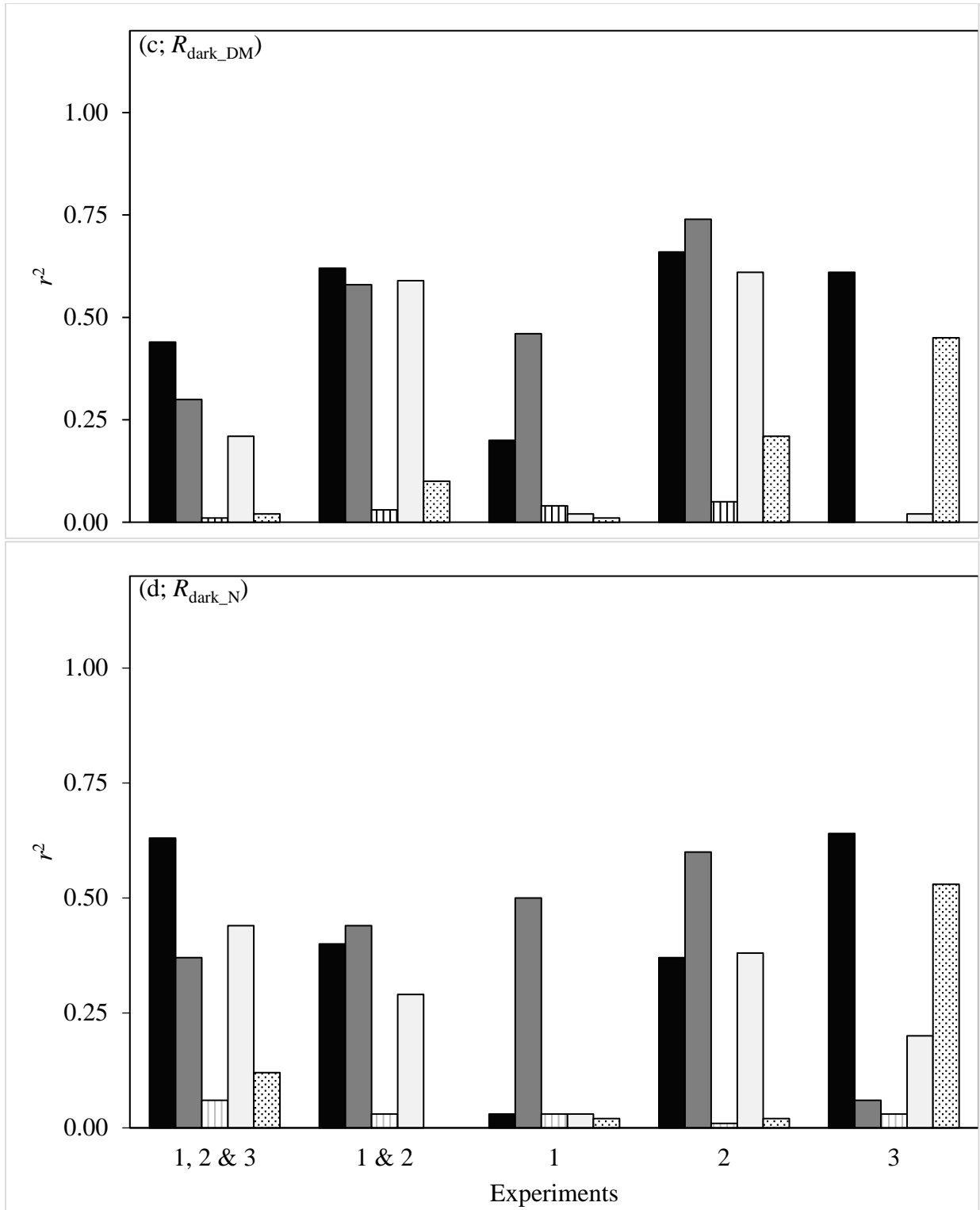
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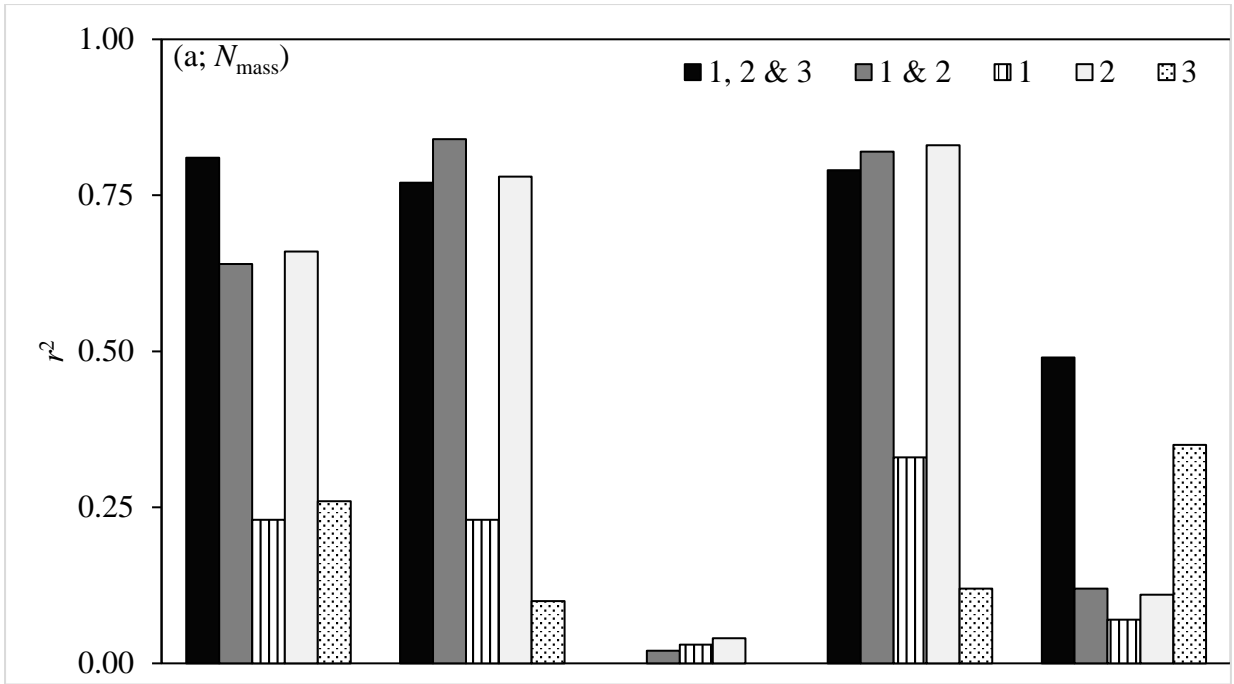
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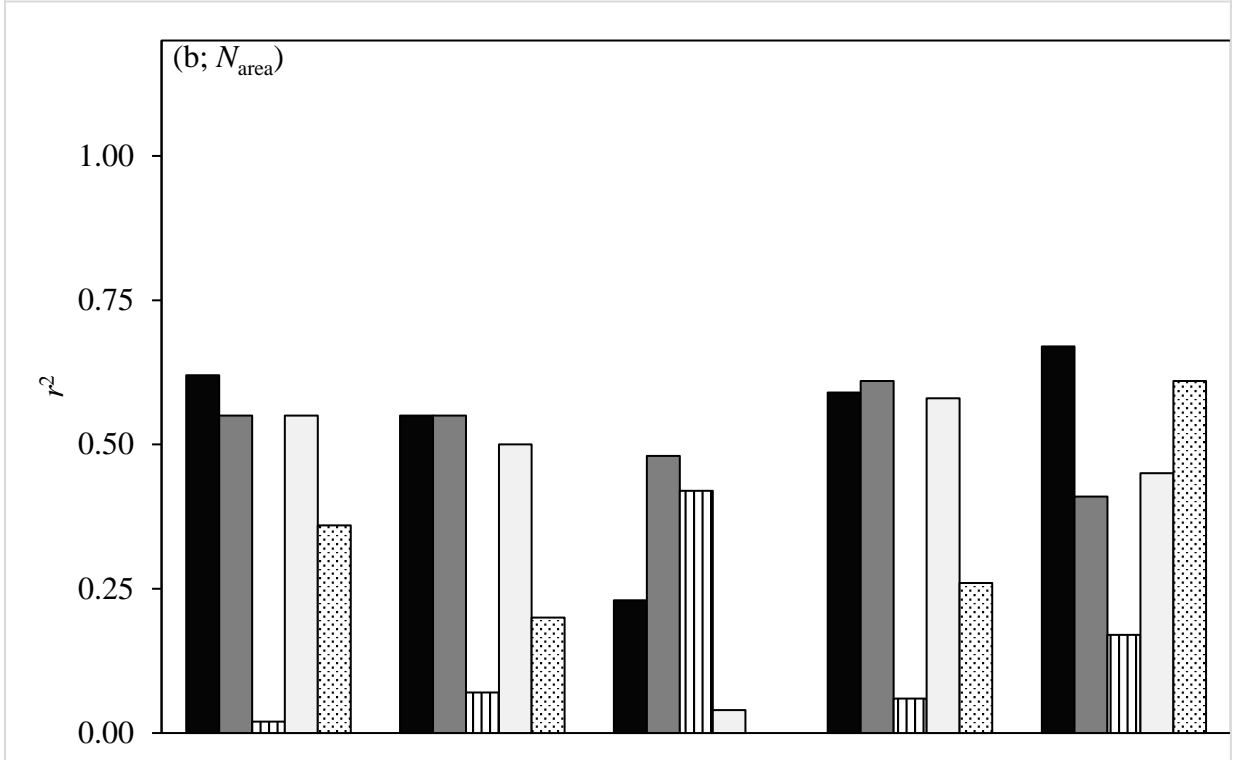
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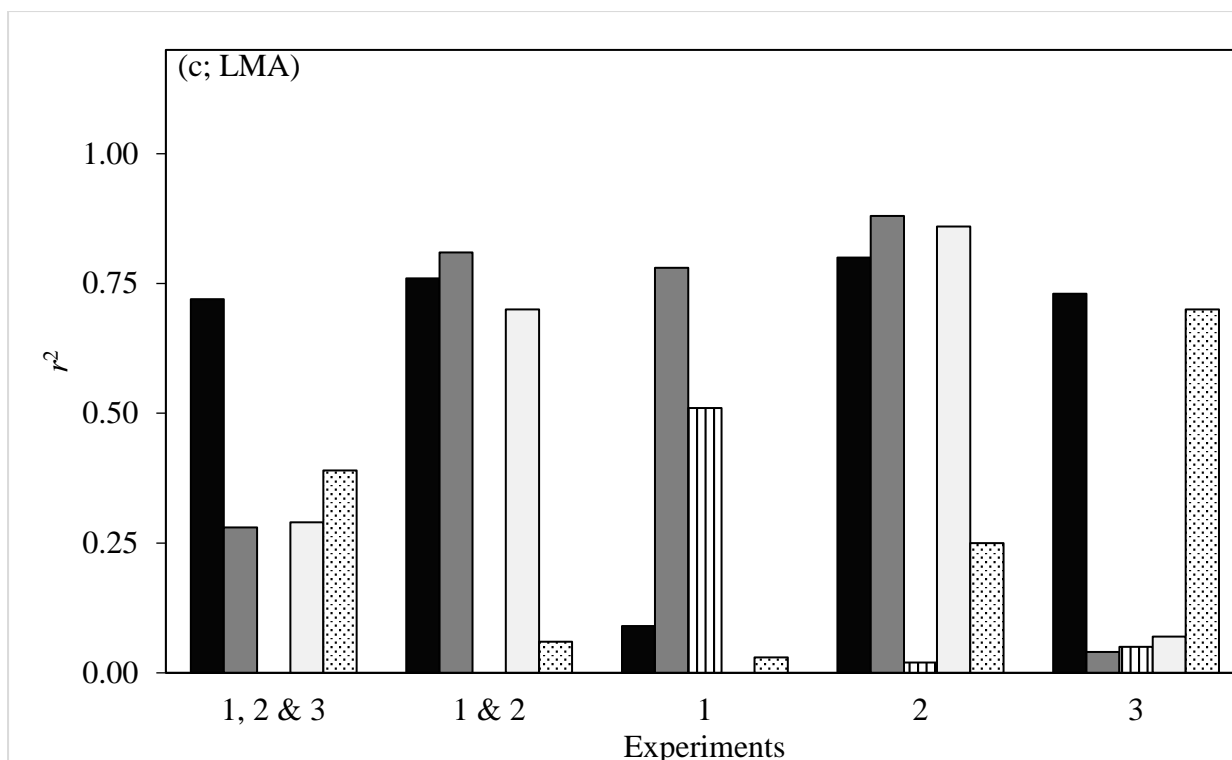
137 **FIGURE 6** Coefficient of determination (r^2) of PLSR model used for prediction of leaf dark
 138 respiration expressed per square metre of leaf area ($R_{\text{dark_LA}}$; **a**), per gram of fresh mass
 139 ($R_{\text{dark_FM}}$; **b**), per gram of dry mass ($R_{\text{dark_DM}}$; **c**), or per gram of leaf nitrogen ($R_{\text{dark_N}}$; **d**).
 140 PLSR models were trained on 90% of data pooled from Experiments 1, 2 and 3 (black bars)
 141 or Experiments 1 and 2 (grey bars) or from individual experiments (Experiment 1 (vertical
 142 striped bars), Experiment 2 (white bars), or Experiment 3 (dotted bars)) and validated on the
 143 test dataset (remaining 10%). See Fig S5 for root mean squared error of PLSR models for
 144 predictions of same traits.

145



146





147
 148 **FIGURE 7** Coefficient of determination (r^2) of PLSR model used for prediction of leaf
 149 nitrogen expressed per gram of DM (N_{mass} ; **a**) or per square metre of LA (N_{area} ; **b**), and LMA
 150 (**c**). PLSR models were trained on 90% of data pooled from Experiments 1, 2 and 3 (black
 151 bars) or Experiments 1 and 2 (grey bars) or from individual experiments (Experiment 1
 152 (vertical striped bars), Experiment 2 (white bars), or Experiment 3 (dotted bars)) and
 153 validated on the test dataset (remaining 10%). See Fig S6 for root mean squared error of
 154 PLSR models for predictions of same traits.