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1 Floral Odors and the Interaction Between Pollinating

2 Ceratopogonid Midges and Cacao

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22 **Authors' contributions** - PCS, SRB and SEJA devised the program of work; SEJA, SJF, DRH,
23 DIF, DPB, SRB and PCS developed the protocols and experimental designs; SEJA, SJF, DRH,
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26 DRH, DIF, DPB, SRB and PCS wrote the manuscript text. All authors contributed critically to
27 the drafts and gave final approval for publication.

28

29 **Abstract** - Most plant species depend upon insect pollination services, including many cash
30 and subsistence crops. Plants compete to attract those insects using visual cues and floral
31 odor which pollinators associate with a reward. The cacao tree, *Theobroma cacao*, has a
32 highly specialized floral morphology permitting pollination primarily by Ceratopogonid
33 midges. However, these insects do not depend upon cacao flowers for their life cycle, and
34 can use other sugar sources. To understand how floral cues mediate pollination in cacao we
35 developed a method for rearing Ceratopogonidae through several complete lifecycles to
36 provide material for bioassays. We carried out collection and analysis of cacao floral
37 volatiles, and identified a bouquet made up exclusively of saturated and unsaturated,
38 straight-chain hydrocarbons, which is unusual among floral odors. The most abundant
39 components were tridecane, pentadecane, (Z)-7-pentadecene and (Z)-8-heptadecene with a
40 heptadecadiene and heptadecatriene as minor components. We presented adult midges,
41 *Forcipomyia* sp. (subgen. *Forcipomyia*), *Culicoides paraensis* and *Dasyhelea borgmeieri*, with
42 natural and synthetic cacao flower odors in choice assays. Midges showed weak attraction
43 to the complete natural floral odor in the assay, with no significant evidence of interspecific
44 differences. This suggests that cacao floral volatiles play a role in pollinator behavior.
45 Midges were not attracted to a synthetic blend of the above four major components of
46 cacao flower odor, indicating that a more complete blend is required for attraction. Our
47 findings indicate that cacao pollination is likely facilitated by the volatile blend released by
48 flowers, and that the system involves a generalized odor response common to different
49 species of Ceratopogonidae.

50 **Key words** - Floral traits; Flower odor; Cacao; Ceratopogonidae; Cocoa midges; Tropical
51 agriculture; Behavioral ecology; (Z)-7-Pentadecene; (Z)-8-Heptadecene

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54 Introduction

55 A variety of cues can be used by pollinators to locate flowers, including color (Arnold et al.
56 2010), shape (Dafni et al. 1997), pattern (Van Kleunen et al. 2007), temperature (Dyer et al.
57 2006), and odor (Raguso 2008; Schiestl 2010). Floral characteristics such as odor can evolve
58 in order to favor more efficient pollinators over less efficient ones. For example, *Mimulus*
59 *lewisii* Pursh emits D-limonene, β -myrcene, and (*E*)- β -ocimene, a blend which attracts
60 bumblebees preferentially. Conversely, its sister species *M. cardinalis* Douglas ex. Benth
61 emits very low levels of these compounds and receives few bumblebee visits, but is readily
62 pollinated by hummingbirds (Byers et al. 2014a; Byers et al. 2014b). The odor blend thus
63 serves as a selective filter to pollinators, increasing pollination efficacy.

64 One flower with a specialized morphology is that produced by the cacao tree,
65 *Theobroma cacao* L. (Malvaceae). The small flowers have the anthers concealed within tiny,
66 cup-shaped “petal hoods”, making the pollen inaccessible to larger pollinators, while the
67 pistil is surrounded by long, usually dark red, staminodes (Supplementary Material Fig. S1).
68 Compared to many crops such as temperate soft- and top-fruit, the plant-pollinator
69 interactions in this crop species are relatively poorly understood. This lack of knowledge
70 persists despite cacao’s global importance that stems from its widespread cultivation across
71 the tropics as the source of cocoa. Pollination rates in cacao are often low (Forbes and
72 Northfield 2017; Groeneveld et al. 2010) and yields are poor in many countries.

73 The insect pollinators of cultivated varieties of cacao are generally thought to be
74 midges in the family Ceratopogonidae (Ceratopogonidae previously recorded visiting cacao
75 flowers will henceforth be termed “cocoa midges” for ease), with different species
76 performing this service in different regions (Winder 1978a). The reasons for visiting the
77 flowers remain unclear, but access to flowers increases female longevity (Saunders 1959).
78 Wherever cacao has been introduced, native midge species are recorded visiting the
79 flowers, and where inspected, transferring pollen (O’Doherty and Zoll 2012). In locations
80 where multiple midge species are present, e.g. Ghana (Kaufmann 1975), the Caribbean
81 (Arnold et al. 2018), Costa Rica, and Brazil (Winder 1977), evidence is often limited as to
82 which are the most efficient pollinators. A secondary pollination service may be contributed
83 by other small Diptera (Kaufmann 1973; Winder 1978b), but there is less evidence of the

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84 importance of non-ceratopogonid flies on most plantations. Recent studies of wild trees also
85 indicate high levels of visitation by small Hymenoptera, such as chalcid wasps (Chumacero
86 de Schawe et al. 2018), but there is little evidence of this occurring to a large extent in
87 cultivated systems (Frimpong et al. 2009; Winder 1978a). The midge-cacao pollination
88 system is of particular interest because different life stages of Ceratopogonidae can make
89 use of different parts of the same cacao plant: adults benefit from visits to the flowers,
90 while larvae can develop in discarded rotting cacao pods (Winder 1978a). Nonetheless, with
91 the exception of some limited studies (Brew 1988; Erickson et al. 1987; Young et al. 1989),
92 there remains a lack of knowledge about the mechanisms mediating the midge-flower
93 interactions, particularly the cues inducing midges to land on and enter flowers.

94 Only a small number of studies have examined the odor bouquet of cacao flowers. In
95 studies from Costa Rica, Erickson et al. (1987) and Young and Severson (1994) reported 1-
96 pentadecene, *n*-pentadecane and 1-heptadecene as major components. However, the
97 authors were unable to demonstrate that these compounds mediated any behavioral
98 responses of the main pollinating taxon (Diptera: Ceratopogonidae) in the field (Young et al.
99 1989). Cacao-visiting midges have proven very difficult to rear in the laboratory across
100 multiple generations (Saunders 1959), making controlled behavioral studies difficult or
101 impossible.

102 In this study we describe the volatile compounds sampled from flowers of cacao
103 plants from farms in the Caribbean. We report a methodology that resulted in reliable
104 emergence of ceratopogonid midges for several months in a laboratory environment,
105 providing sufficient adults for bioassays. We describe the results of controlled choice-tests
106 in a Y-tube olfactometer using cocoa midges, in which adult females were allowed to choose
107 between control odors or natural and synthetic odors of cacao flowers to test whether
108 cacao floral volatiles are attractive to the midges and whether a synthetic blend could elicit
109 comparable behaviors.

112 **Methods and Materials**

113 **Sampling Floral Odors**

114 Odors were sampled from cacao trees of Imperial Mixed Calabacillo (IMC) and Trinidad
115 Selected Hybrid (TSH) cultivars. The trees were located on farms in St Mary Parish, Jamaica
116 (18° 13' 59" N 76° 52' 55" W), and Gran Couva (10° 25' 17" N 61° 20' 8" W) and La Réunion
117 (10° 35' 30" N 61° 18' 15"W), Trinidad and Tobago (Arnold et al. 2018). Sampling took place
118 in Trinidad in 2012 and Jamaica in 2013, providing samples from both the wet and dry
119 seasons (Supplementary Material Table S1).

120 Cacao trees are cauliflorous with flowers emerging from flower cushions directly on
121 the trunk and branches. Accessible branches or trunk sections with 1-4 open, fresh flowers
122 were selected for sampling, and comparable sections without flowers were also sampled.
123 These sections, approximately 20 cm long, were enclosed in a poly(ethyleneterephthalate)
124 oven bag (37 x 25 cm x 12 µm thick; J Sainsbury plc, UK) (Stewart-Jones and Poppy 2006).
125 The oven bags had been previously tested for volatile emissions and determined to be
126 odorless. Two battery-powered pumps (NMP 830 KNDC-B; KNF Neuberger, Freiburg,
127 Germany) were used, one to pump charcoal-filtered air through Teflon tubing (1.6 mm i.d. x
128 3.2 mm o.d.) into the bag (600 cm³ min⁻¹) and the other to draw air out of the bag (500 cm³
129 min⁻¹) through a collection filter, thus maintaining a positive pressure in the bag to avoid
130 introduction of impurities. Collection filters consisted of a Pasteur pipette (4 mm i.d.)
131 containing Porapak Q (200 mg, 50–80 mesh; Supelco, Gillingham, Dorset, UK) held between
132 plugs of silanized glass wool. The Porapak Q was purified by Soxhlet extraction with
133 dichloromethane (Pesticide Residue Grade, Fisher Scientific, Loughborough, UK) for 8 h and
134 washing with dichloromethane before use. Cacao flowers ordinarily commence anthesis in
135 late afternoon/evening, are fully open from early in the morning the following day, and
136 remain receptive into the afternoon (Sampayan 1966). They senesce over the following 24-
137 48 h (Aneja et al. 1999). Volatiles were collected for 24 h, starting at 09:00-12:00, after
138 which collection filters were wrapped in aluminum foil and transported to the Natural
139 Resources Institute (NRI), Chatham Maritime, UK. Volatiles were eluted with
140 dichloromethane (1 ml) and samples stored at -20 °C until analysis.

141

142 **Chemical Analyses**

143 Samples were initially analyzed on an Agilent 6890 gas chromatograph (GC) coupled to an
144 Agilent 5973 mass spectrometer (MS) (Agilent Technologies, Manchester, UK) fitted with a
145 fused silica capillary column (30 m x 0.25 mm i.d. x 0.25 μm film thickness) coated with DB-5
146 (Agilent). Carrier gas was helium (1 ml min^{-1}), injection was splitless (220 $^{\circ}\text{C}$) and the oven
147 temperature was held at 50 $^{\circ}\text{C}$ for 2 min, then heated to 250 $^{\circ}\text{C}$ at 6 $^{\circ}\text{C min}^{-1}$ and held for 5
148 min. The ion source was held at 230 $^{\circ}\text{C}$, and the transfer line was at 250 $^{\circ}\text{C}$.

149 Compound identifications were confirmed by analyses on a polar GC column using a
150 Varian CP-3800 GC coupled directly to a Saturn 2200 MS (Varian, now Agilent). This was
151 fitted with two fused silica capillary columns (30 m x 0.25 mm i.d. x 0.25 μm film thickness)
152 coated with polar DBWax (Agilent) and non-polar VF5 (Varian) respectively, and a column
153 switching device. Carrier gas was helium (1 ml min^{-1}), injection was splitless (220 $^{\circ}\text{C}$ and 250
154 $^{\circ}\text{C}$ respectively) and oven temperature was held at 40 $^{\circ}\text{C}$ for 2 min then programmed at 10
155 $^{\circ}\text{C min}^{-1}$ to 250 $^{\circ}\text{C}$ and held for 5 min.

156 Retention indices of compounds were calculated from their retention times relative
157 to those of *n*-alkanes analyzed under the same conditions. Compounds were identified by
158 comparison of their mass spectra and retention indices relative to those of authentic
159 synthesized standards on both columns.

160 Positions of double bonds in unsaturated compounds were determined by GC-MS
161 analyses of their dimethyl disulfide (DMDS) derivatives (Buser et al., 1983; Carlson et al.,
162 1989). An aliquot (100 μl) of a collection of volatiles estimated to contain approximately 1
163 μg of the major alkene was evaporated just to dryness under a gentle stream of nitrogen
164 and then treated with dimethyl disulfide (10 μl ; SigmaAldrich, Gillingham, Dorset, UK) and a
165 5% solution of iodine in diethyl ether (10 μl) in a sealed vial. After heating at 40 $^{\circ}\text{C}$ for 4 h
166 the mixture was dissolved in hexane (100 μl) and extracted twice with 5% aqueous sodium
167 thiosulfate solution (100 μl) before analysis by GC-MS on the VF5 column above.

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169 **Synthetic Compounds**

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3 170 Tridecane, tetradecane, pentadecane, hexadecane, heptadecane and 1-pentadecene were
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5 171 purchased from SigmaAldrich.

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8 172 (Z)-7-Pentadecene and (Z)-8-heptadecene were synthesized by Wittig reaction
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10 173 between octyl(triphenylphosphonium) bromide and freshly-distilled heptanal or nonanal,
11
12 174 respectively, with potassium *t*-butoxide in tetrahydrofuran at 0 °C. Products were purified
13
14 175 by flash chromatography on silica gel in hexane followed by kugelrohr distillation, and were
15
16 176 98% chemically pure (95% isomerically pure, with approximately 5% of the (*E*)-isomers).

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18 177 (Z,Z)-6,9-Heptadecadiene and (Z,Z,Z)-3,6,9-heptadecatriene were synthesized by
19
20 178 decarboxylation of linoleic acid ((Z,Z)-9,12-octadecadienoic acid) and linolenic acid ((Z,Z,Z)-
21
22 179 9,12,15-octadecatrienoic acid) respectively, and characterized according to van der Klis et al.
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24 180 (2011). Yields were low (approx 10%), as reported by Klis et al. (2011), but purities were
25
26 181 remarkably high (> 97% by GC analysis) after flash chromatography and kugelrohr
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28 182 distillation.

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32 33 34 184 **Collection of Cocoa Midges**

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37 185 Ceratopogonid cocoa midges were obtained from detritus materials (rotting cacao pods,
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39 186 banana pseudostem) collected from a plantation in Gran Couva, Trinidad, (10° 25' 17" N, 61°
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41 187 20' 8" W). The detritus was brought to an insectary facility at the University of Trinidad and
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43 188 Tobago and placed in emergence cages (*N* = 10, 475 x 475 mm Bugdorm, Taichung, Taiwan)
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45 189 under ambient conditions. The cages were misted with 10% sucrose solution 3-4 times per
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47 190 week and slices of organic apple were provided for moisture and sugar.

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49 191 To encourage pupal eclosion prior to midge collection (approximately 6 weeks after
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51 192 the commencement of rearing), the detritus was heavily wetted with 250 ml distilled water
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53 193 per cage, resulting in high pupal eclosion rates approximately two days later. Collections
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55 194 were conducted using a mouth-operated aspirator (pooter) to transfer midges into
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57 195 collection tubes lined with dampened filter paper (10% sucrose solution in water) and
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59 196 sealed with cheesecloth material to permit air diffusion. In total, around 500 adult

197 Ceratopogonidae were collected over two days. Larvae and pupae were also observed in the
198 detritus by visual inspection, collected using fine forceps and transferred into collection
199 vials, also totaling around 500 individuals between 10 vials. Each vial was provisioned with a
200 “cacao substrate ball”, made from rotting cacao husk rolled into a 10-15 g ball, to provide
201 food and habitat for developing larvae during transit. The adults, larvae and around 250
202 pupae were then transported to NRI, UK, in October 2015. A second collection of larvae and
203 pupae was made in March 2016 and added to the culture to boost populations.

204 Upon arrival in the UK, midges and detritus materials were transferred to smaller
205 cages (300 x 300 x 300 mm) in a controlled temperature room (26 °C and 60% RH and a
206 14:10 L:D cycle). Each cage was placed completely within a large transparent polythene bag
207 to retain moisture, which was secured with an elastic band. High mortality of adults was
208 observed in the 1-2 days following transit, but larvae/pupae survived transit better.
209 Maintenance proceeded as previously, and the cages were provided with damp leaf litter
210 and rotten pumpkin as potential breeding sites.

211 The three main species in this experiment were *Dasyhelea borgmeieri* Wirth,
212 *Culicoides paraenesis* Goeldi and *Forcipomyia* sp. Meigen. Specimens of all three species
213 were identified at the Museo de La Plata by a Ceratopogonidae specialist (GRS) using
214 morphological species descriptions and taxonomic keys for neotropical Ceratopogonidae.

215 Cacao pod could not be obtained in the UK, but the three main species in this
216 experiment have been previously reported from a variety of decomposing vegetable
217 material including leaf litter and plant detritus (Winder 1978a). The walls of the cage and
218 the inside of the polythene bag were inspected daily to monitor for emergence.

219

220 **Olfactometry**

221 The olfactometry study aimed to characterize the responses of cocoa midges to both natural
222 cacao flower odors and a synthetic blend. Individuals were used only once for an
223 experiment. While the insects were kept in mixed-sex cages, males were infrequently
224 recorded and since individuals were typically tested soon after emergence, it is possible that
225 not all the females were mated. However, as rearing was difficult and little is known about

226 the mating ecology of the species tested, it was not feasible to eclose adults singly and force
227 mating before the tests. Nevertheless, unmated and mated females both need to sugar-feed
228 and therefore were expected to exhibit similar behaviors in response to floral odors.

229 Sex was determined by inspection of antennae using a hand lens: male
230 Ceratopogonidae have plumed antennae resembling a fine paintbrush and females have
231 simple antennae with short hairs only (Borkent and Spinelli 2007). As females are reported
232 to be the major pollinators (Winder 1978a), the availability of predominantly females was
233 considered not to affect the ecological relevance of this study. In total, 156 female
234 ceratopogonid individuals were trialed. We elected not to test male individuals because
235 very few were observed in culture cages. Individual adult females of *Dasyhelea borgmeieri*
236 Wirth (throughout) and *Culicoides paraensis* Goeldi/*Forcipomyia* sp. Meigen (during the
237 first month) were thus collected within a week of emergence for trials by capturing them
238 inside a pipette tip (Supplementary Material Fig. S2). In total, 66 females of three different
239 species were tested for their preference between natural odor or solvent control: four of
240 *Forcipomyia* sp., 19 of *C. paraensis* and 43 of *D. borgmeieri*. A further 90 females of *D.*
241 *borgmeieri* only (as this species was most numerous in the culture) were trialed against
242 synthetic odors versus solvent control, of which 44 were tested using the natural equivalent
243 concentration (synthetic cacao floral odour, "SCFO 100%") and 46 at 10% of this
244 concentration ("SCFO 10%").

245 Individuals were tested singly in a glass Y-tube olfactometer (Supplementary
246 Material Fig. S3) (arm length 70 mm, angle between the arms 120°, 8 mm i.d.). Air was
247 pushed by a pump (FB65540, Fisher Scientific) through a charcoal filter (1) to remove
248 volatile contaminants. The airstream was then split (2) and passed through two gas-wash
249 bottles (3), one containing the stimulus and the other the solvent control, and then into
250 each of the two arms of the olfactometer (4), at a flow rate of 100 cm³ min⁻¹ through each
251 arm. The two arms met and merged (5) ("decision point") into the third arm of the
252 apparatus (6) ("approach arm"). Components were connected with Tygon tubing (internal
253 i.d. 8 or 6 mm; Saint-Gobain, Paris, France). A lamp positioned centrally between the two
254 arms illuminated the whole setup as Ceratopogonidae are strongly positively phototactic
255 (Blackwell et al. 1994). The entire apparatus was shrouded by black plastic on three sides
256 and the top to minimize visual distractions.

257 Individual insects were introduced into the approach arm of the olfactometer using
258 an adapted pipette tip plugged with cotton wool; they left this readily and proceeded
259 towards the decision point. The approach arm was then covered with dark plastic to
260 discourage the midges from returning to the start point and leaving the apparatus. A
261 decision was recorded when the insect entered one or the other arm of the olfactometer,
262 and the time taken by the insect from the pipette tip to decision point was also noted. If the
263 insect did not make a decision within 10 min, the trial was terminated and the insect
264 excluded from analysis.

265 Tests were carried out between 09:00 and 12:00, as preliminary experiments
266 indicated that midges tested after 12:00 were less likely to make a decision within the 10
267 min observation period. Observations of ceratopogonid midges associated with cacao
268 flowers in the field have, similarly, observed an activity peak in the morning (Frimpong et al.
269 2009). Tests were performed at 26 °C and 60% RH.

270 For bioassays, the collection of cacao flower volatiles containing most material was
271 used. This was estimated to contain 10 ng μl^{-1} of the major component, (Z)-7-pentadecene,
272 by GC analysis in comparison with analyses of known concentrations of the synthetic
273 compound. For the synthetic cacao flower odor (SCFO), a blend of the four major
274 components of cacao floral odor was prepared, containing tridecane, pentadecane, (Z)-7-
275 pentadecene and (Z)-8-heptadecene in 0.5 : 1 : 1 : 0.5 ratio, in dichloromethane like the
276 collection of natural flower volatiles. This was tested at two concentrations, one with the
277 (Z)-7-pentadecene at 10 ng μl^{-1} (SCFO 100%), making it equivalent to natural odor intensity,
278 and after the second after dilution x 10 (SCFO 10%).

279 For each test, an odor solution or a dichloromethane blank (solvent control) (10 μl)
280 was pipetted onto a 20 mm diameter filter paper in the gas-wash bottle (preliminary
281 experiments with 1 μl of stimulus did not provoke a response). After every fifth trial, odor
282 stimuli were replaced, and stimuli and control bottle positions were switched. After every 8-
283 10 individuals, the Y-tube apparatus was flushed through with industrial methylated spirits
284 (IMS; denatured ethanol) and allowed to air-dry to remove any odor cues from previous
285 midges.

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287 **Statistics**

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3 288 For each floral odor stimulus (natural floral odor, SCFO 100%, SCFO 10%) tested in the
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5 289 olfactometer assays, differences in the number of midges choosing stimulus versus control
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7 290 were analyzed for significance using a binomial test. X^2 tests were used to determine
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9 291 whether there were differences among the three genera of Ceratopogonidae in their
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11 292 preference for the odor stimulus over control, when tested against natural cacao floral odor.
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13 293 A partial correlation, controlling for the identity of the odor blend, was also performed, to
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15 294 evaluate whether there was a within-day effect on midges' preferences. The correlation
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17 295 evaluated the relationship between the number of trials since the last cleaning of the Y-tube
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19 296 ("trial stage"), and the probability of a midge at a given trial stage choosing the odor. All
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21 297 tests were performed in SPSS version 23 (IBM Corp., NY, USA).

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26 299 **Results**

29 300 **Analysis of Floral Volatiles.**

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32 301 During 2012, volatiles were collected from three samples with flowers and one with bark
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34 302 only. In 2013, collections were made from 10 flower samples and eight with bark only.
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36 303 Collections were analyzed by GC-MS and only those made from cacao flowers contained
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38 304 reliably quantifiable amounts of volatile compounds. These were identified as a series of
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40 305 saturated and unsaturated, straight-chain hydrocarbons (Fig. 1, Table 1; Supplementary
41
42 306 Material Fig. S4, S5). The main components were tridecane, pentadecane, a mono-
43
44 307 unsaturated 15-carbon and a mono-unsaturated 17-carbon hydrocarbon. Mono-
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46 308 unsaturated 13-carbon, 14-carbon and 16-carbon hydrocarbons were also detected. The
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48 309 mono-unsaturated hydrocarbons were characterized by their GC retention indices (Table 1)
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50 310 and their mass spectra, showing small molecular ions (m/z 210 and 238 for the 15- and 17-
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52 311 carbon compounds, respectively), and major ions at m/z 138, 125, 111, 97, 83, 69, 55
53
54 312 (Supplementary Material Fig. S6). Traces of di-unsaturated 16-carbon and 17-carbon
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56 313 hydrocarbons (molecular ions at m/z 222 and 236, respectively, other ions at m/z 138, 123,
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58 314 109, 95, 81, 67 (base peak); Supplementary Material Fig. S7) were detected, as was a tri-

1 315 unsaturated 17-carbon hydrocarbon (molecular ion at m/z 234, other ions at m/z 135, 121,
2 316 108, 93, 79 (base peak), 67; Supplementary Material Fig. S7).

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5 317 GC-MS analysis of the derivatives resulting from the reaction of a representative
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7 318 collection of volatiles with dimethyldisulfide (DMDS) showed the two major mono-
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9 319 unsaturated compounds were 7-pentadecene and 8-heptadecene respectively (Table 1,
10
11 320 Supplementary Material Fig. S8). Comparison of retention indices with those of synthetic
12
13 321 standards on both non-polar and polar GC columns confirmed that they were mainly the (Z)-
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15 322 isomers, but approximately 5% of the corresponding (*E*)-isomers were also present, as
16
17 323 evident in analyses of both underivatized and derivatized samples (Table 1, Supplementary
18
19 324 Material Fig. S4).

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21 325 The above GC-MS analyses of the collection of cacao flower volatiles treated with
22
23 326 DMDS showed derivatives with GC retention times and mass spectra (Table 1) indicating
24
25 327 that the 13-carbon mono-unsaturated hydrocarbon was the 5-isomer, the 14-carbon
26
27 328 homologue was a mixture of approximately equal quantities of the 6- and 7-isomers, and
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29 329 the 16-carbon homologue was a mixture of the 7- and 8-isomers.

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31 330 1-Pentadecene was reported to be the major component of volatiles from cacao
32
33 331 flowers by Erickson et al. (1987) and Young and Severson (1994). This compound had a
34
35 332 similar mass spectrum to that of the major, mono-unsaturated 15-carbon hydrocarbon
36
37 333 present in our volatile collections (Supplementary Material Fig. S6), but it had clearly
38
39 334 different GC retention indices on both non-polar and polar GC columns (1495 on DB5 and
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41 335 1546 on DBWax) and the DMDS derivative had a different retention index (2288 on VF5) and
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43 336 fragmentation pattern (m/z 243, 304) (Table 1, Supplementary Material Fig. S8). 1-
44
45 337 Pentadecene could not be detected in any of the collections of volatiles (< 0.1% of (Z)-7-
46
47 338 pentadecene).

48
49
50 339 The di-unsaturated, 17-carbon hydrocarbon had identical retention times on both
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52 340 non-polar and polar GC columns (Table 1) and mass spectrum (Supplementary Material Fig.
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54 341 S7) to that of authentic (Z,Z)-6,9-heptadecadiene. Although other isomers were not
55
56 342 available for comparison, the di-unsaturated, 17-carbon compound in the cacao flower
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58 343 volatiles is proposed to be (Z,Z)-6,9-heptadecadiene. The di-unsaturated 16-carbon
59
60 344 compound is thus inferred to be (Z,Z)-6,9-hexadecadiene.

345 Similarly, the tri-unsaturated 17-carbon hydrocarbon had identical retention times
1 346 on both non-polar and polar GC columns (Table 1) and mass spectrum (Supplementary
2 347 Material Fig. S7) to that of authentic (Z,Z,Z)-3,6,9-heptadecatriene. Although other isomers
3 348 were not available for comparison, the tri-unsaturated, 17-carbon compound in the cacao
4 349 flower volatiles is proposed to be (Z,Z,Z)-3,6,9-heptadecatriene.
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10 350 **Midge Rearing and Olfactometry**

11 351 Three Ceratopogonidae species were recorded emerging from detritus: *Dasyhelea*
12 352 *borgmeieri*, *Forcipomyia* (undetermined species of subgenus *Forcipomyia*) and *Culicoides*
13 353 *paraensis* (Supplementary Material Fig. S2). Adults of *D. borgmeieri* continued to emerge
14 354 intermittently until May 2016 (6 months after initial transport to UK), with emergence peaks
15 355 indicating a generation time of around one month.
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24 356 In the Y-tube bioassays there were no significant differences among the three
25 357 species in the proportion of individuals choosing the natural cacao odor over the control
26 358 (26/43, 14/19, 3/4 for *D. borgmeieri*, *C. paraensis*, *F. sp.* respectively; chi square test, $X^2 =$
27 359 1.20, $df = 2$, $P = 0.550$). Overall, significantly more midges across the three species pooled
28 360 (43/66; 65.1%) chose natural cacao flower odor over the solvent control (binomial, $N = 66$, P
29 361 = 0.019) (Fig. 2). Individually, *C. paraensis* significantly preferred the natural odour blend to
30 362 the control (binomial, $N = 19$, $P = 0.0033$), whereas *D. borgmeieri* showed a non-significant
31 363 preference overall (binomial, $N = 19$, $P = 0.111$), but with a generation-dependent pattern
32 364 (Supplementary Material Fig. S9). Conversely, the number of *D. borgmeieri* choosing the
33 365 synthetic blend of cacao floral odors compared to the solvent control did not differ
34 366 significantly and did not change with time: 38.6% (17/44) chose the SCFO 100% odor blend
35 367 (binomial, $N = 44$, $P = 0.174$) and 47.8% (22/46) chose the SCFO blend at 10% concentration
36 368 (binomial, $N = 46$, $P = 0.883$) (Fig. 2). There was no clear or significant effect of number of
37 369 previous trials since last washing of Y-tube on the choice behavior (Supplementary Material
38 370 Fig. S10) (partial correlation controlling for odor blend, $P = 0.427$).
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373 Discussion

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3 374 The volatiles from cacao flowers can, alongside other stimuli, play an important role in
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5 375 mediating attraction of flower visitors and subsequently pollination. We sought to explore
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7 376 the interaction between the odor of cacao flowers and some of the insects that pollinate
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9 377 them. We reared ceratopogonid midges over multiple generations, and, by testing them in a
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11 378 binary choice assay in Y-tube olfactometer, determined that there was a weak positive
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13 379 response to the natural odor of cacao flowers in some species (particularly *C. paraensis*).
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15 380 Our data indicate that the odors may be generally attractive to wild-caught and first-
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17 381 generation midges, although responses were lost in later generations of midges; even
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19 382 though the numbers of *Forcipomyia* sp. we were able to test were very low, 4 out of 6
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21 383 selected the odor over the control. We did not observe any attraction to a partial synthetic
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23 384 blend we created to replicate this odor. This suggests that in the field the odor of the cacao
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25 385 flower will play a role in enabling flower-visiting midges to locate flowers and thus facilitate
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27 386 pollination. All three species we tested (*D. borgmeieri*, *C. paraensis*, *Forcipomyia* sp.), are
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29 387 known cacao flower visitors (Winder 1977; Young 1986) and so their behavior has
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31 388 implications in cocoa production.

32
33 389 Volatile compounds collected from cacao flowers in this study were shown to consist
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35 390 of a suite of saturated and unsaturated hydrocarbons, as reported by Erickson et al. (1987)
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37 391 and Young and Severson (1994). These authors identified the major components as 1-
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39 392 pentadecene and 1-heptadecene, whereas we identified those in our samples conclusively
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41 393 as (Z)-7-pentadecene and the homologous (Z)-8-heptadecene. The 1-isomers could not be
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43 394 detected. Erickson et al. (1987) obtained samples by steam distillation of cut flowers from
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45 395 another Trinitario variety, whereas our samples were collected by direct aeration of flowers
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47 396 on the stem. It is possible that the resulting samples obtained by Erickson et al. (1987) were
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49 397 different from ours, but they reported identification of compounds only by comparison of
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51 398 their mass spectra with those in the mass spectra database, and this is insufficient evidence
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53 399 to ascertain the position of unsaturation. It thus seems possible that the compounds were
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55 400 misidentified and the errors were promulgated in the subsequent paper by Young and
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57 401 Severson (1994).

402 Other significant components in the volatile collections were the saturated *n*-alkanes
1 tridecane, tetradecane and pentadecane. Minor components included mono-unsaturated 5-
2 403 tridecene, a homologue of the two major monoenes, and 6- and 7-tetradecenes and 8- and
3 404 7-hexadecenes. In the absence of the synthetic compounds, it was not possible to assign the
4 405 configurations of the minor, mono-unsaturated components of cacao flower volatiles, but it
5 406 is likely to be (*Z*) in comparison with that of the major mono-unsaturated compounds.
6 407

11 408 The major components, (*Z*)-7-pentadecene and (*Z*)-8-heptadecene, are probably
12 409 derived biosynthetically by decarboxylation of palmitoleic acid ((*Z*)-9-hexadecenoic acid) and
13 410 oleic acid ((*Z*)-9-octadecenoic acid), respectively, a previously reported plant biosynthetic
14 411 pathway (Jurenka 2004). The other mono-unsaturated hydrocarbons could be derived
15 412 biosynthetically by similar decarboxylations.
16 413

17 414 Other minor components were a di-unsaturated 16-carbon and a di-unsaturated 17-
18 415 carbon atom compound. The latter had GC retention indices and mass spectrum consistent
19 416 with it being (*Z,Z*)-6,9-heptadecadiene, and the 16-carbon homolog is thus probably (*Z,Z*)-
20 417 6,9-hexadecadiene. These could be derived biosynthetically by successive decarboxylations
21 418 of linoleic acid ((*Z,Z*)-9,12-octadecadienoic acid). A tri-unsaturated 17-carbon compound
22 419 was also detected with identical GC retention indices and mass spectrum to those of (*Z,Z,Z*)-
23 420 3,6,9-heptadecatriene, which could be derived biosynthetically by decarboxylation of
24 421 linolenic acid ((*Z,Z,Z*)-9,12,15-octadecatrienoic acid) via known plant biosynthetic pathways.
25 422

26 423 (*Z*)-8-Heptadecene was reported as a component of the fragrance of flowers of
27 424 orchids of the *Stanhopea* genus (Asparagales: Orchidaceae) by Whitten and Williams (1992),
28 425 where it was accompanied by saturated hydrocarbons as well as a wide range of different
29 426 terpenoid and phenylpropanoid compounds. A blend of hydrocarbons and terpenoids was
30 427 also identified in volatiles collected from flowers of *Yucca glauca* (Asparagales:
31 428 Asparagaceae) by Svensson et al. (2011) and, more recently, Tröger et al. (2019) reported
32 429 flowers of *Y. reverchonii* produced a blend of saturated and unsaturated hydrocarbons only.
33 430 This blend was similar to that reported here from cacao flowers with the major component
34 431 identified as (*Z*)-8-heptadecene, accompanied by (*Z,Z*)-6,9-heptadecadiene and heptadecane
35 432 as minor components (Tröger et al. 2019). These authors admitted that they had wrongly
36 433 identified the heptadecene as 1-heptadecene in their earlier paper (Svensson et al. 2011).
37 434

432 Our synthetic blend consisted of the major components tridecane, pentadecane, (Z)-
1 7-pentadecene and (Z)-8-heptadecene. We tested it at two different concentrations, but
2 433 neither mediated attraction behavior from the midges. This indicates that the major
3 434 components alone are not sufficient for eliciting the directed movement towards an odor
4 435 source that we observed from the natural odor blend. It is likely that minor components are
5 436 necessary for flower recognition. This has been reported in a few other study systems of
6 437 pollinator-plant interactions, especially with specialist and non-bee pollinators such as fig
7 438 wasps (Chen and Song 2008) or the role of DMDS and dimethyl trisulfide (DMTS) as part of
8 439 an overall more complex blend in mediating attraction by flies versus wasps in African
9 440 *Eucomis* species (Shuttleworth and Johnson 2010). We anticipate that the dienes and triene
10 441 detected in the cacao floral odor blends could be important, either singly or in combination
11 442 with major components, in facilitating midge attraction. These were not available for testing
12 443 in this study, but dienes are known to mediate behavior in other Diptera such as *Drosophila*
13 444 *melanogaster* Meigen, where 7,11-dienes mediate mating behavior (Marcillac and Ferveur
14 445 2004).
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30 447 The odor composition of cacao flowers contains compounds more typical of insect
31 448 cuticle, waxes and pheromones (Blomquist and Jackson 1979). (Z)-7-pentadecene was
32 449 isolated from ant Dufour glands, along with tridecane, tetradecane, pentadecane, and
33 450 heptadecanes and heptadecenes (Billen et al. 1986), and (Z)-8-heptadecene and analogous
34 451 saturated hydrocarbons were found in the anal secretions of *Holothrips* species (Suzuki et al.
35 452 2004). This suggests a possible connection to other aspects of midge's life history. The
36 453 relationship between cocoa midges and cacao is of particular note because they interact
37 454 with their plant in different ways at different life-stages, the larvae developing in the rotting
38 455 pods of the tree and the adults feeding in the flowers. However, adult females of many
39 456 Ceratopogonidae genera (including *Forcipomyia* and *Culicoides*) also feed on blood or insect
40 457 haemolymph. Volatiles associated with insects (cuticle, frass or pheromones) often function
41 458 as kairomones for parasitoids and ectoparasites (Steidle et al. 2003). As the components of
42 459 cacao floral odor resemble many insect kairomones, the blend may exploit pre-existing
43 460 biases in the olfactory systems of midges related to host-feeding. The key volatiles may also
44 461 have biological relevance for Ceratopogonidae, in conspecific interactions; as oviposition
45 462 cues, e.g. those associated with certain bacterial biofilms on which midge larvae feed
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1 463 (Besemer and Soria 1978; Saunders 1959); or indicating other sources of nutrition for adult
2 464 female midges. However, there remains insufficient data either about cacao pollination in
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4 465 natural habitats, or about cocoa midge biology and behavior, to conclude anything with
5
6 466 certainty. Electroantennography (EAG) was attempted with *D. borgmeieri* and *Forcipomyia*
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8 467 sp. in order to further explore their test antennal responses to the different odor
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10 468 components, but it was not possible to obtain reliable results due to their small size and
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12 469 presence of moving mouthparts.

13
14 470 The study provides several important new advances. Firstly, we demonstrate that
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16 471 rearing cocoa midge potential pollinators (*D. borgmeieri*) over several generations in a
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18 472 laboratory is possible. This invites future research areas on the behavior and physiology of
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20 473 this species. It also means that mass-rearing of this species for managed pollination services
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22 474 may one day become possible. Secondly, we have updated our knowledge of the volatiles
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24 475 produced by flowers of this major crop.

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27 476 By improving our understanding the chemical ecology of cacao pollination we are
28
29 477 better placed to understand the poor pollination rates in many cacao plantations and relate
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31 478 this to midge-cacao interactions. Cacao pollination is understudied, with little known about
32
33 479 the plant-insect interactions involved. Even less is known about pollination of wild trees
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35 480 (Chumacero de Schawe et al. 2018) in its native range (Amazon basin) (Richardson et al.
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37 481 2015), although the importance of small Hymenoptera on wild cacao may have been
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39 482 underestimated in the past, compared to agroforestry systems where Diptera are usually
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41 483 anticipated to be most important (Entwistle 1972; Winder 1978a). The information we have
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43 484 acquired in this study can be further expanded and perhaps even used in future breeding
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45 485 programs.

46
47 486 There is increasing global interest developing in precision pollination systems,
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49 487 including via optimizing commercially reared pollinators (Molet et al. 2009), integrated
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51 488 pollination and pest management systems (Karise et al. 2016; Smagghe et al. 2013) and
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53 489 deployment of agricultural technologies in improvement of pollination (Olombria Ltd. 2019).
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55 490 This may open up future opportunities to use an improved understanding of non-bee
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57 491 pollinators in commercial settings to improve crop production, especially in high-value crops
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59 492 with growing global demand such as cacao.

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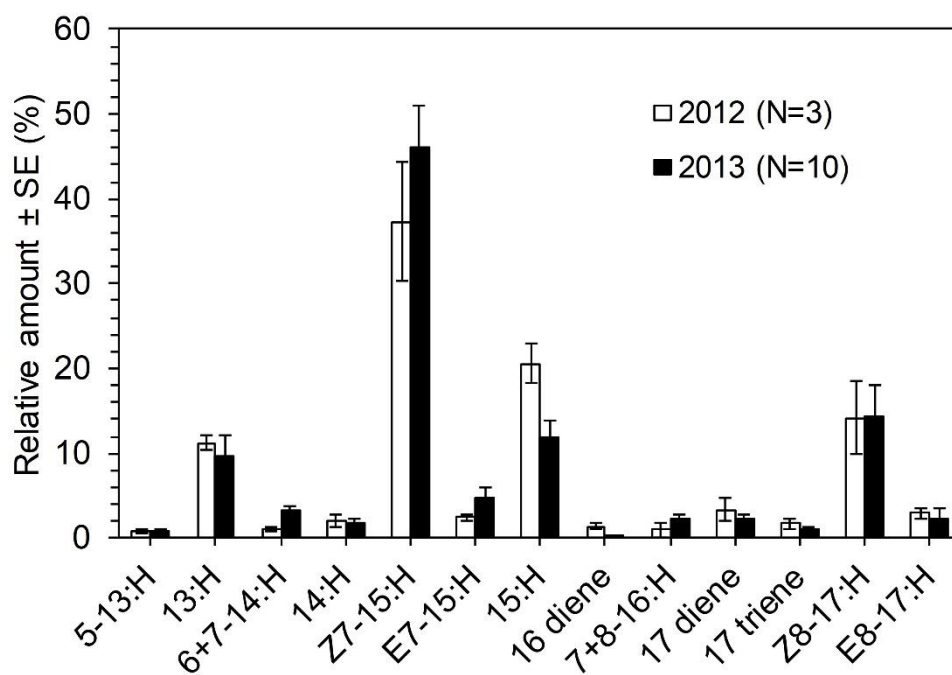
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631 **Figure legends**

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3 632 **Fig 1** Relative amounts of compounds present in volatile collections from cacao flowers
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5 633 from GC-MS analyses on a non-polar DB5 GC column (the amounts of 17-triene were
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7 634 determined from analyses on a polar DBWax column; compound abbreviations: **5-13:H** 5-
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9 635 tridecene; **13:H** tridecane; **6+7-14:H** 6- and 7-tetradecene; **14:H** tetradecane; **Z7-15:H** (Z)-7-
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11 636 pentadecene; **E7-15:H** (E)-7-pentadecene; **15:H** pentadecane; **16 diene** diunsaturated 16-
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13 637 carbon hydrocarbon; **7+8-16:H** 7- and 8-hexadecene; **17 diene** diunsaturated 17-carbon
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15 638 hydrocarbon; **17 triene** triunsaturated 17-carbon hydrocarbon; **Z8-17:H** (Z)-8-heptadecene;
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17 639 **E8-17:H** (E)-8-heptadecene)

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19 640 **Fig 2** Cocoa midge preferences for (a) natural cacao flower odor and (b) synthetic cacao
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21 641 flower odor blend (SCFO) in a Y-tube olfactometer, with solvent control in the opposing arm.
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23 642 * indicates significant preference, $p < 0.05$.

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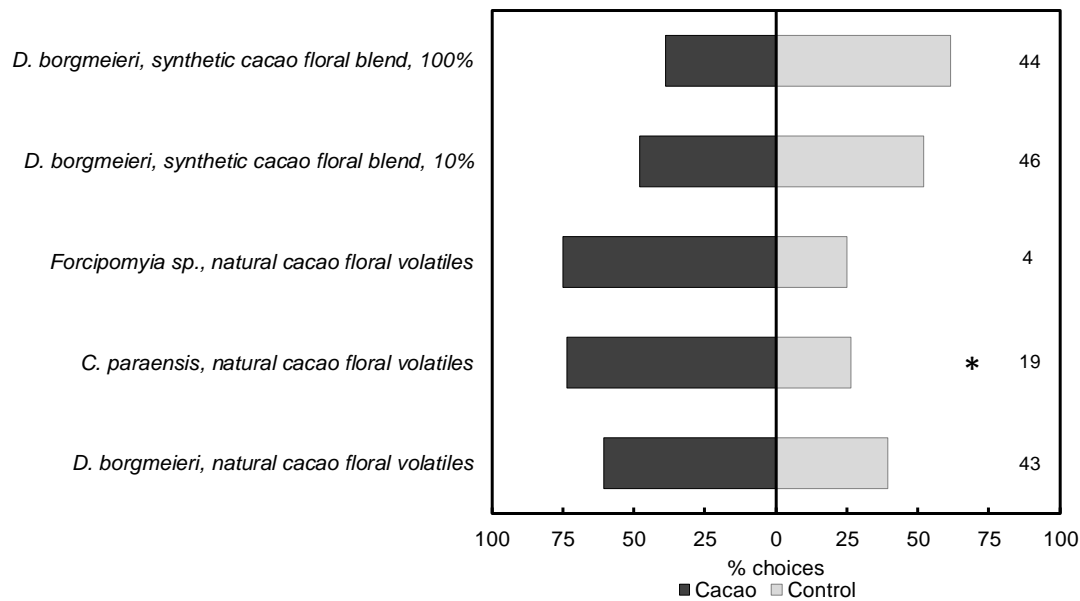


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645 **Fig 1** Relative amounts of compounds present in volatile collections from cacao flowers
 646 from GC-MS analyses on a non-polar DB5 GC column (the amounts of 17-triene were
 647 determined from analyses on a polar DBWax column; compound abbreviations: **5-13:H** 5-
 648 tridecene; **13:H** tridecane; **6+7-14:H** 6- and 7-tetradecene; **14:H** tetradecane; **Z7-15:H** (Z)-7-
 649 pentadecene; **E7-15:H** (E)-7-pentadecene; **15:H** pentadecane; **16 diene** diunsaturated 16-
 650 carbon hydrocarbon; **7+8-16:H** 7- and 8-hexadecene; **17 diene** diunsaturated 17-carbon
 651 hydrocarbon; **17 triene** triunsaturated 17-carbon hydrocarbon; **Z8-17:H** (Z)-8-heptadecene;
 652 **E8-17:H** (E)-8-heptadecene)

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Fig 2 Cocoa midge preferences for the species tested, for natural cacao flower odor and synthetic cacao flower odor blend (SCFO) in a Y-tube olfactometer, with solvent control in the opposing arm. Figures on bars indicate sample size. * indicates $p < 0.05$ significant preference.

660 **TABLE 1** Compounds identified in volatiles from cacao flowers and their GC retention
 661 indices (RI) on non-polar DB5 and polar DBWax columns, with retention indices and
 662 characteristic mass spectral ions of the dimethyldisulfide (DMDS) derivatives of unsaturated
 663 compounds

	Compound ¹	Retention Index (RI)		DMDS Derivative	
		DB5	DBWax	RI (VF5)	Ions (<i>m/z</i>)
1	5-13:H	1291	1321	1929	117, 159, 276
2	13:H	1300	1300		
3	6-14:H	1382	1426	2022	131, 159, 290
4	7-14:H	1384	1426	2019	145, 290
5	14:H	1400	1400		
6	Z7-15:H	1485	1521	2118	145, 159, 304
7	E7-15:H	1488	1521	2132	145, 159, 304
8	15:H	1500	1500		
9	16 diene	1576	1659	ND ²	
10	7-16:H	1580	1619	2218	159, 318
11	8-16:H	1582	1619	2218	145, 173, 318
12	17 diene	1674	1758	2296	131, 199; 159, 171; 235, 282
13	17 triene	1679	1813	ND ²	
14	Z8-17:H	1681	1719	2314	159, 173, 332
15	E8-17:H	1687	1719	2323	159, 173, 332

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 665 ¹ Compounds numbered according to elution order on DB5 column; abbreviations: 13:H
 666 tridecane; Z5-13:H (Z)-5-tridecene; 17 diene diunsaturated 17-carbon hydrocarbon; 17
 667 triene triunsaturated 17-carbon hydrocarbon; etc (see Fig. 1).

668 ² ND not detected

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