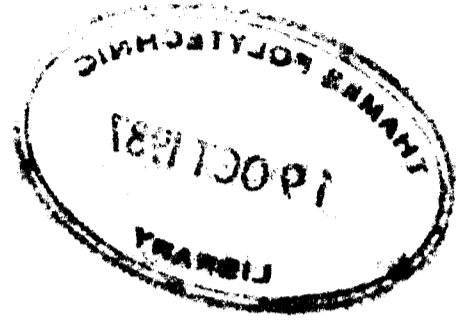# VALIDATION OF QUERIES

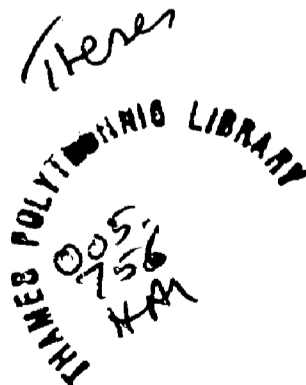# TO A RELATIONAL DATA BASE

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Validation of Queries to a Relational Data Base

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This thesis addresses the problem of preventing users of a data base system from interrogating it with query language expressions which are syntactically and semantically valid but which do not match the user's intentions. A method of assisting users of a relational data base to formulate query language expressions which are valid representations of the abstract query which the user wishes to put is developed.

The central focus of the thesis is a method of communicating the critical aspects of the semantics of the relation which would be generated in response to a user's proposed operations on the data base. Certain classes of user error which can arise when using a relational algebra query system are identified, and a method of demonstrating their invalidity is demonstrated. This is achieved by representing via a graph the consequences of operations on relations. Also developed are techniques allowing the generation of pseudo-natural language text describing the relations which would be created as the result of the user's proposed query language operations.

A method of allowing the creators of data base relations to incorporate informative semantic data about their relations is developed. A method of permitting this data to be modified by query language operations is specified. Pragmatic linguistic considerations which arise when this data is used to generate pseudo-natural language statements are addressed, and examples of the system's use are given.

ACKNOWLEDGEMENTS

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SUMMARY

This thesis describes an approach to providing a significant measure of semantic validation for user queries to a relational database. The problem addressed is the question of how to provide assurance to the casual user of a formal query language that a particular query expression constructed by him corresponds to his intentions. The query language chosen to illustrate the approach is relational algebra.

The method expounded in the thesis has been realised in a computer program. This program accepts relational algebra queries typed in by the user, and returns a pseudo-natural language "reverse translation" of the query. The following is an example of the system in operation:

Consider a database consisting of two two-column relations: PSL, associating Persons and the Languages spoken by them; and PRL, associating Persons and the Languages they read. The system described in this thesis can take as input the superficially-similar relational algebra query expressions (PSL % [Person]) - PRL % [Person]) and (PSL - PRL) % [Person] (a difference between two projected relations, and a projection of two differenced relations, respectively) and generate text which will allow the user to see the difference between

the semantics of the two queries. The first expression yields persons who speak a language but read none. The second expression returns the broader set (which includes the first group) of persons who speak a language which they do not read.

The methods developed in this thesis to accomplish the "reverse translation" are a novel approach to query validation and constitute an original contribution to knowledge. The layout of the thesis is as follows:

Chapter I places the problem of query validation within the context of validation in general, reviews research into the types of errors that database users make in placing queries, distinguishes among three levels of reference for the term 'query', analyzes queries in terms of their component features, and surveys related work.

Chapter II expounds the foundations of the approach developed in the remainder of the thesis by setting out precise definitions for the relational approach to data base design, and discussing the relevance of the Entity/Relationship approach to the present work. It then summarises the method used (translation of Relational Algebra expressions into information-bearing graphs), and discusses the kind of validating information conveyed to the user by the process.

Summary

Chapter III describes the abstract data structure (a directed graph) which is the underlying mechanism through which "reverse translation" is achieved.

Chapter IV continues the exposition of the techniques of reverse translation by describing the effect of each Relational Algebra operator on the graphs attached to the relations upon which these operators are applied. Example queries using each operator demonstrate the pseudo-natural language text generated from the graphs of the relations derived from Relational Algebra queries.

Chapter V takes up the methods needed to deal with "qualified entities" (those which have been affected directly or indirectly by a Relational selection operation).

Chapter VI deals with the methods needed to handle Relational set operations.

Chapter VII provides a set of example queries illustrating various possible user "traps", and demonstrates the application of the techniques developed in previous chapters to each of them.

Chapter VIII concludes with a discussion of the promise and limitations of the approach developed in the thesis, with particular reference to possibilities for further research.

Summary

A list of references is followed by appendices containing
listings of the Pascal code used to implement the approach
of the thesis, and examples of sessions creating domains
and base relations.

Summary

CHAPTER ONE

INTRODUCTION AND BACKGROUND

1.1 Introduction

The evolution of computer technology has steadily expanded both the scope of applications for which computers can be used, and the number of people brought into direct contact with computer-based systems. The development of direct-access mass storage technology allowed the modelling of complex systems in data bases, while visual display units allowed new layers of users casual access to this data, mediated by query languages.

The relationships and properties of the objects represented in a database can be modelled via several formalisms. One of the simplest of these, sustaining a large proportion of database-oriented research, is the relational approach, which provides the data model assumed in this thesis.

Each relation in a relational data base is normally intended to represent collections of real-world objects. Each relation also has an "intention" which can be expressed by a user who understands the application and the data base model of it. A user who understands both the semantics of a query language and the intention of the relations which are used as arguments to query language expressions will be able to describe the intention of the relation which is yielded by the query process.

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The fact that human beings can "generate" descriptions of derived relations suggests that it would be useful to investigate the possibility that a machine can do the same thing. A machine-implementable method for generating descriptions of derived relations would be a powerful method of validating that the relation derived as the result of a complex query expression was indeed the relation desired by the user.

In pursuit of this goal, this thesis develops a method of constructing, for the base relations of a relational data base, graph structures which can be used to assist users of the data base in formulating query language expressions which are valid representations of the abstract query which the user wishes to make. The base relation graphs can be manipulated by expressions of the relational algebra to produce modified graph structures corresponding to the derived relations which would be produced by the relational algebra expression. These structures can in turn be used to generate pseudo-natural language text for understanding the meaning of the derived relations. This technique gives an end user the possibility of checking that his proposed query language expressions correspond to his intensions.

1.2 Background to Query Language Research

1.2.1 Motivation for research into validation

One of the central trends which has characterised the evolution of computing systems is the expansion of the class of users who can interact directly with the system. Strong economic imperatives motivate this trend. The CODASYL End User Facilties Committee summarises this development in the following words:

> Data processing hardware trends are well known. There continues to be steady and dramatic decreases in costs coupled with equally steady and dramatic improvement in raw performances. The non-hardware costs of data processing, however, are primarily people related costs which are rising due to both inflation and scarcity of trained Programming professionals.... To adapt to these trends, it is desirable to bring the end user into the act in partnership with data processing professionals to shorten the application development cycle. At the same time, it is necessary to develop facilities that enable the end users to do a great deal of their data processing, independent of data processing personnel. [CODASYL EUF 1979]

The Committee distinguished "end users" who "are generally not trained in programming or other data processing technologies" from "system users," and divides the former group into three broad classes.

(1) Indirect users, who deal with the system through other people.

(2) Intermediate end users, who specify the information requirements to be provided by data processing.

(3) Direct end users, who are "functional professionals and their personnel who directly interact with the computer in accomplishing their work. They include accountants, engineers, salesmen,

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etc." [CODASYL, ibid.]

It is "direct end users" in the CODASYL EUFC sense to whom the research results in this thesis are expected to be relevant.

A large proportion of direct end user/computer system interactions will involve integrated data base management systems, accessed in the ad hoc query mode (excluding modifications of the data base such as updates). In order to perform successful retrievals from a data base, a user must be familiar with the application being modelled, with the data model used to provide a logical organisation of the data, and with the query system used to manipulate the data model.

Familiarity with the application on the part of the user will be assumed in this study, although "familiarity" will not be taken to mean "perfect knowledge". Methods of teaching new users about the semantics of a particular data base model of a real world system are worthy of separate investigation. Some of the techniques developed here could be used to impart information about base relations and domains, but a detailed investigation of this possibility is beyond the scope of this thesis.

The core of the query system used to manipulate the data model will be a relational algebra, similar to the Information System Base Language (ISBL) developed at the

IBM UK Research Laboratories to interface with the Peterlee Relational Test Vehicle (PRTV), a working data base management system. [Todd, 1976]

1.2.2 Validation

1.2.2.1 Overview

Data is a representation via symbols of some aspect of the world. In the typical data processing system data is transformed by programs. The input data and the transforming programs are valid when they represent the world according to our intentions. Human intentions are the ultimate measuring stick for every dimension of validity.

Validity is not necessarily an absolute concept. Data which is valid for one application may be invalid for another due to lack of precision. [Crowe and Jones, 1975] An error in occurences of one kind of data may of much greater consequence than an error in occurences of another kind. (For example, customer bank balance in comparison to customer date of birth). A program may work for normal sets of inputs, but not for unusual sets. A statement about the validity of a program must refer to the range of data for which the program is claimed to be valid. The choice of this range is a human decision.

1.2.2.2 Data Validation

Data is validated by applying logically redundant techniques: it is recorded more than once, perhaps in different modes, and corresponding values matched. It is checked to see if it contravenes known constraints. [Hammer and McLeod, 1975; Hammer, 1976] Where data is processed repeatedly and output to a user community familiar with the application that the data is supposed to model, the user community itself can serve as a validation mechanism by calling attention to anomalous results.

1.2.2.3 Program Validation

The validation of programs in realistic applications environments is usually done by comparing the output from sets of test data with precomputed results. [Beizer, 1983; Myers, 1979] Another approach is to apply techniques to create a formal proof that a program is correct. [Anderson, 1979] Closely related to the technique of program-proving is the approach which has concentrated on developing formal specification methods, which will permit designers to precisely delineate a proposed program's behaviour prior to its creation. [Hoare, 1984] However, neither program proving nor formal specification methods have, as yet, been widely applicable to programs and systems of programs of

realistic size. For very large systems, even the more pragmatic test data method may not be adequate to test all realistic possibilities. This problem has stirred research into programming language design, and programming practice studies, whose aim is to facilitate the writing of programs which are easy to understand and thus, presumably, less prone to logical errors. [Green, 1980] Current work in this whole area is concentrated on large-scale software systems, whose very size and complexity introduce problems which are not present in small programs.

1.2.2.4 Validation in the data base context

Those who employ a query language face the problem of the validation of their query language expressions just as professional programmers face the problem of the validation of their programs. Query language users have both advantages and disadvantages as compared to programmers.

On the one hand, an ad hoc query expression will not be, in the nature of things, subject to the kind of testing and checking that can be applied to programs of professional programmers. It is unlikely to be run with sets of test data. Anomalous results from a wrongly-constructed query will not necessarily be recognised as such.

On the other hand, a query language is a simpler construct than a fully general programming language. The relational algebra, for instance, has no transfers of control and no recursion. It has only one data structure, the relation. In the query context, these are constructed entirely from pre-defined relations. Queries in the algebra can always be constructed as a series of single operations on singlets or pairs of relations. This simplicity makes possible a high degree of syntactic and semantic error detection, to trap queries which cannot be valid. Of greater difficulty is the task of validating queries which may or may not be valid, according to the user's intentions. As one researcher has commented,

> Since syntactic errors will probably be caught by the system, semantic bugs are the main object of study...the main problem in database access debugging will be the determination that a semantic error has occurred: [since] syntactically correct functions will produce a reasonable event, how are users to know that there is a bug? [Shneiderman, 1978b]

1.2.3 Query Validation Techniques

1.2.3.1 Syntax checking

A query language expression which does not conform to the structural constraints of the query language processor cannot be processed at all, and the invalidity of the query will be obvious.

1.2.3.2 Data base object existence and type checking

A query which makes reference to non-existent data base objects is certainly invalid if those objects are relation or attribute names, and may be invalid if those objects are values. Where a domain has a limited number of values a query which makes reference to that domain can be scanned before processing , and the non-existence of the value referred to (as a necessary and not a contingent fact) can be indicated to the user.

A system which has more than one data type can flag data-type errors. Where constraints such as minimum and maximum values exist on data, constraint-breaking queries can be signalled.

One approach of interest has been to design a "co-operative" query system which spots user misconceptions. For example, where a query would result in a necessarily null result, the system explains why

this is so. [Janas, 1979; Kaplan, 1982]

Previous query language studies uniformly report poor spelling by users as a major cause of errors, suggesting that the incorporation of spelling correctors will be standard in future systems which must deal with non-professional users. [Durham, Lamb and Saxe, 1983; Peterson, 1980] Related to simple misspelling errors are synonym errors, in which users give an alternate, synonymous, name to a data base function, relation, or attribute.

A query expression which conforms to the syntax of the query language and the semantic constraints of the data base will execute and produce results. This does not guarantee that the expression is the embodiment of the query the user wants to ask. Additional techniques can be marshalled to allow the user to check the conformity of the query language expression to the user's intentions.

1.2.3.3 Display of execution tree

Some queries can only be satisfied by a group of operations, whether formulated as a single complex expression or as a series of unary or binary operations with explicitly-named intermediate relations. It may be conjectured that the sub-set of such queries which

include some operations which can be carried out in parallel with each other will be more difficult to comprehend than those in which the chain of operations has a definite linear ordering. A graphical display of the actual tree of the order of execution could be useful in making the logic of the query explicit. Semantically-equivalent alternate execution trees (such as are generated by a query optimiser) might also prove of value in demonstrating to a user the semantics of a query expression.

1.2.3.4 Run time analysis of the executing query

As a query is executed, the cardinality of the intermediate relations may convey information to the user, who will possibly have some rough idea of the cardinality to be expected from them. Values which are orders of magnitude larger or smaller than expected would signal possible faulty logic in the formulation of the query. (An evaluation of the PRTV system reported that its users found the ability to see the cardinality of intermediate relations "particularly useful" [Storey, 1979]).

1.3. User interfaces with data bases

1.3.1 Natural language communication with a data base

One approach to minimising incorrect queries is to bypass

the necessity for the user to learn a conventional query language by giving the computer the ability to understand the user's language. Proponents of this approach argue that a typical user "has only ... [a] vague understanding of the actual structure of the data base" and that we cannot "expect him to express his queries in a langage that requires knowledge of how the data is actually structured" [Harris, 1977] The attempt to develop "natural language front ends" to data base systems was begun in the mid-1960s, and is currently the focus of renewed research and development [Blanning, 1984; Bolc, 1980].

The ability of a computer to deal usefully with a realistic range of natural language input is closely related to its ability to store information about the world to which that input refers. This has meant that, so far, natural language systems have been able to accept input about very limited domains only. [Rich, 1984]. However, precisely because data base applications can indeed be about limited domains, there have been successful, albeit restricted, applications of natural language processing in this field. Most natural language research relevant to data base studies has centered on systems which include a deduction capability as a central feature. A deductive system allows the user to store information of the kind "Every X is a Y", "A is an X", and then ask "Is A a Y?" without having to store the

fact that "A is a Y" explicitly. Davey surveys a number
of these systems and discusses their limitations. [Davey,
1978] Chang and Lee provide an introduction to the field
of mechanical theorem proving upon which "deductive"
query systems are built, and classify the kinds of
queries such systems are designed to answer. [Chang,
1973] Although we may expect future data base systems,
particularly those with natural language interfaces, to
include a deductive component, most current practical
systems leave deduction to the user. (The deduction may
be embedded in the process of query language expression
formulation.)

For casual users, whose access to the data base is
infrequent and who have a low tolerance for difficulties
in using a database interface, some form of natural
language dialogue system is indicated. Pioneering work
in this field was begun by E.F. Codd, who notes

> To have any hope of being viable, a natural
> language query system must "talk back" to the user
> using the same natural language he uses, except
> that its style has to be much more precise than
> that of the average user. Accordingly, there must
> be a natural language generator as well as a
> natural language analyzer in a viable natural
> language query system. ...
> Incidentally, very little work on the
> generation of natural language has been
> published...and none of this appears to treat the
> problem in the context of query formulation. [Codd,
> 1978]

The question must be asked, if dialogue-based natural
language systems are sucessfully developed, will they not
render obsolete all other query language systems? That

the answer is no is suggested by two observations about such systems:

(1) the computer resources required by natural language analyzers will confine their implementation to a restricted set of systems for some time to come. Natural language interpretation by computer requires representation, storage and rapid access to real-world knowledge by the interpreting program, in order to supplement syntactical analysis with semantic analysis. So far this has only been practical where the world to which the natural language conversation refers is a very limited one. Certain data base applications do fit this requirement but the rather limited practical application of such systems to date suggests that we are far from seeing them replace formal query languages.

(2) the dialogue mode, necessary because of the inherent ambiguity of natural language, may be bothersome to a user who is capable of formulating queries in a formal query language. We include "direct end users," in the CODASYL EUFC sense, in this category. As M. Zloof has observed:

> ...there is no one language which satisfies
> the needs of the entire spectrum of potential
> users, but rather classes of languages suitable
> to classes of users. We start by dividing the
> potential user community into three fuzzy
> categories:
>
>   1. Casual users;
>   2. Non-programmer professionals;
>   3. Application programmers.
>
> ... A non-programmer professional...is a person
> motivated by the job and familiar with the
> particular application. One can expect such a

> user to learn an easy-to-use formal language and
> be familiar with the concepts normally required
> by a relational model. In this category one can
> include secretaries, clerks, engineers,
> analysts, etc. [Zloof, 1978]

This view is in contrast to the categorical exclusion of

formal query languages as end-user interfaces expressed by

Harris above.

1.3.2 Pseudo-natural language systems

Many query languages, including several designed for a

relational data base, use English keywords to achieve a

superficially English-like appearance. Whether this is an

improvement over a purely symbolic language is an open

question. As one researcher notes:

> The pseudo-English used in query systems has
> often been more unnatural than computer languages
> themselves...[and] The actual effectiveness of
> pseudo-natural language systems has to be
> carefully evaluated, since the examples presented
> in the literature are generally chosen to convey
> the strength rather than the weakness of the
> given approach. [Wiederhold, 1977]

Another potential difficulty with pseudo-natural language

systems was highlighted by a study made by three

researchers investigating programming errors. [Green,

1980] They reported the results of an experiment to test

the design of conditional statements, comparing user

errors made with a language requiring ALGOL 60-like

conditionals (IF B1 T@EN BEGIN S1;S2 END ELSE BEGIN S3

END) against those made with a language permitting a more

"natural" structure (IF B1 THEN S1; S2 ELSE S3 END).

This experiment found what the experimenters expected to

find with the first language: syntax errors and consequent debugging difficulty due to failure to match BEGINs and ENDs. However, to their surprise, the experimenters found that almost none of their subjects was able to use the second, "natural", language to program a solution that required the nesting of conditionals, even though the instructions had included worked examples of just such problems. As they report of their subjects' problems

> The clue to their strange inability seems to be given by their frequent complaint, when shown the solution, "But that's not English!" What seems to have happened is that subjects learning language 1 could see quite readily that the language was not English, so they were forced to read the instructions we gave them. Subjects learning language 2, however, decided that it was so like English that it was all quite obvious and natural, so they only needed to skim through the instructions, only to come to grief when they met a problem requiring the un-English construction,
> IF green THEN
> IF juicy THEN ...
> ...we seem to have an interesting suggestion that making programming languages look like natural languages may actually make them harder...

The last few years have seen rapid growth in the use of microcomputers with sufficient power to sustain query interfaces with a degree of (claimed) natural language capacity. The market is now providing both the impetus for the development of natural language interfaces and a test of their perceived usefulness. Thus the debates cited above may relatively soon receive an empirical judgement.

1.3.3 Query validity's relationship to data models and language choice

1.3.3.1 Query validity in the context of data models

Considered from the viewpoint of their predisposition towards valid use, query languages whose expressions must be formulated in the context of a complex data structure would intuitively seem to be at a disadvantage when compared to query languages whose arguments are simpler data structures. This argument was often advanced by advocates of the relational approach, and the assertion subjected to several attempts to test it empirically. [Date and Codd, 1974; Greenblatt and Waxman, 1978; Kuhn and Shneiderman, 1978; Lochovsky, 1978; Reisner, 1977]

Interest in, and theoretical elaboration of, the relational approach occurred just at the beginning of the era of, and was partially motivated by, the expansion of the number of end users of data base systems which has marked the last decade. There is an element of paradox in the fact that, although one of the main arguments for the relational system is its conceptual simplicity, just this very simplicity can give rise to new difficulties in the field of query validation. As one researcher has commented:

> Information obtained from traditional data processing systems regarding typical queries cannot be extrapolated to relational data base systems since the greater flexibility of the relational systems promotes more complex queries. No real experience of relational data base

systems over a wide variety of applications has
been obtained. [Hall, 1975]

1.3.3.2 Query validity and query language type

Relational data bases can sustain a variety of query

langages, including the types previously discussed.

These languages are usually elaborations of one of the

two approaches first delineated by E.F. Codd, and

called by him "relational algebra" and "relational

calculus". The distinction between the two lies in their

respective procedurality: the "algebra" defines the

resultant relation by explicitly specifying the

operations to be done on constituent relations to produce

it, where the "calculus" is simply an expression

specifying the resultant relation. Query languages have

been designed which are more or less direct

implementations of the algebra. Calculus-based systems

are more usually embedded in a front end which

shields the end user from the somewhat daunting

predicate calculus-style expressions. The power of the

two approaches is equivalent.

1.3.3.3 Suitability of Relational Algebra as a Query Language

It should not be assumed that professional

non-programmers will be unable or unwilling to learn a

simple, albeit mathematically-flavoured, query language.

Here experience with the programming language APL may be

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relevant. Despite its lack of control structures, its cryptic error messages and its limitation to a single general data type, the ease of use and simple syntax of this language has allowed it to be taken up by a substantial group of users falling into the "professional non-programmer" class, including not only engineers but also managers. The relational algebra is qualitatively more simple than APL and is thus not an unrealistic choice as a language for end-user oriented research.

One experiment which tested user performance using an algebraic language, a keyword-oriented "mapping" language (SEQUEL) and the forms-oriented Query-By-Example found better performance with Query-By-Example, whose users got 75% of their queries correct, as against 73% for the SEQUEL users and 67% for the algebra users. [Greenblatt and Waxman, 1978] However, as noted by another researcher in this field, the great variability among the groups of test subjects raises doubts about the applicability of the results claimed. [Shneiderman, 1978] (For instance, the algebra users were almost evenly divided between males and females, while the Query-By-Example users were three-quarters male.) Another criticism of this experiment is that the algebraic language used, SQUARE, has a rather opaque syntax: there are no distinct operators for each operation, but rather the location of the relation names and the type of brackets determines the kind of operation to be carried out. (In contrast,

the ISBL relational algebra used to illustrate this thesis has a distinct operator for each operation.)

1.3.4 A Review of User Performance Studies

In "The Use of Psychological Experimentation as an Aid to the Development of a Query Language" P. Reisner presents a number of conclusions and further hypotheses generated by an experiment testing the usability of two relational query languages, SQUARE and SEQUEL. [Reisner, 1977] Five types of "minor error" were identified:

(1) Ending Errors: users often employed "syntactic variants" of data base object names and keywords, such as "supplied" for "supplier", or "name" for "names".

(2) Straightforward spelling mistakes.

(3) Synonym errors: "AVR" for "AVG".

(4) Quotation Mark errors: the version of SEQUEL used in this experiment requires users to omit quotation marks from numeric data values, while requiring them around string values, a common programming language convention. However, users evidently found this "rule and exception" more difficult to apply than a simple requirement to enclose all data values of whatever type in quotation marks.

(5) Punctuation errors: omission of periods, commas, colons, etc. Reisner noted that "the high frequency of these came as a surprise," and recommended

that future systems include a spelling corrector, a synonym dictionary and a stem-matching procedure, perhaps in the form of an interactive dialogue interface.

Major errors were classified as "format errors", which would prevent the query from compiling, and "substance errors" which would run but produce a wrong answer. The latter were judged to be less serious than the former, since a format error showed that a user did not understand the syntax of the language at all. While this judgement is understandable in a teaching situation, in actual use the more serious error, in terms of its consequences, would be a "substance error", since it can go undetected. (A "format error" signals its presence unmistakably.)

One of the more interesting results of this experiment, bearing directly on the work of this thesis, was the remarkable consistency with which users made "substance errors". The test population was divided into four groups: non-programmers using SQUARE, non-programmers using SEQUEL, programmers using SQUARE and programmers using SEQUEL. Great variation was exhibited between programmers as a group and non-programmers (78% of programmers' queries were essentially correct, as against 60% of non-programmers). Choice of language, while of little importance for programmers, affected non-programmers performance significantly (using SQUARE,

non-programmers got only 43% of their queries correct; with SEQUEL, the percentage correct increased to 56.)

Showing little variation, however, was the percentage of queries called by the experimenters "substance errors," which produced an answer, but the wrong one. About one out of ten queries put by each group fell into this category, with both programmers and non-programmers, SEQUEL users and SQUARE users, erring with the same frequency. (The range was from 11.5% to 10.2%.)

If these results are indicative of what may be expected when casual users have access to a data base via a query language, the importance of developing methods to minimise the occurrence tf "subs
ance errors" is clear.

User errors were also classified by the experimenters according to their probable causes. Using this scheme, the following six types of causes of error were identified:

(1) <u>Intrusion</u> <u>errors</u>: these were errors "directly traceable to the wording of the English question." An example was a question asking for the "names" of personnel, held in the data base under the column NAME. Many users formulated their query using the term NAMES, evidently carrying the English

language phrase directly into the query.

(2) <u>Omission</u> <u>errors</u>: where the English sentence asked for information that required certain query language expressions, but contained no direct "clue" signalling that the particular expression was necessary. An example was the question "How many 747s are dispatched from LaGuardia Airport?" The SEQUEL expression required was

```
SELECT NUMBER-DISPATCHED
FROM DISPATCH
WHERE AIRPORT = "LAGUARDIA"
AND    PLANE   = 747
```

The experimeters conjectured that leaving out the word "plane" from the English expression caused some subjects to miss out the last clause in the formal query.

(3) <u>Prior-knowledge</u> <u>errors</u>: evidently, some subjects already "knew" certain computer-communication conventions which happened not to be the conventions being used by the query system. An example was using alternative abbreviations for common system functions, such as AVR for AVG.

(4) <u>Domain</u> <u>incompatibility</u> <u>errors</u>: where two columns appeared to hold values from the same underlying domain, but did not, subjects sometimes formed queries as if they did, being misled by similarity of attribute names. An example would be the attempt to intersect airport names with person names, each (incompatible) set being represented by a

column labeled NAME.

(5) <u>Consistency errors</u>: these were described as those arising from the assumption by subjects that the query language used was strictly consistent. An example would be the expectation that quote marks must be applied to all constants, regardless of their data type. The experimenters conjectured that this was "simply a preference for one rule over two."

(6) <u>Overgeneralisation errors</u>: Some errors seemed to be caused when "subjects appeared to develop rules for query writing (not necessarily consciously), and then used these rules in inappropriate places." An example would be using the table DISPATCH successfully to answer a query about "planes dispatched", and (falsely) assuming that "dispatched" in English is always rendered as "dispatch" in the query language, when in fact the system includes such column titles as NUMBER-DISPATCHED.

In this paper Reisner proposed a preliminary measure of query complexity, based on the number of "transformations" necessary to turn a natural language expression into a formal query language expression. This approach assumes that a "query" is identical to a particular natural language expression embodying it. It thus would be reasonable to assume that the more complex and difficult to comprehend the natural language

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expression is, the more errors are likely to be made by a user attempting to translate the natural language expression into a formal language. For example, if the user's query is "how many jumbo jets fly from Heathrow daily?" and the existing data base records information on "747s" instead of jumbo jets, then a transformation is needed at this point from "747" to "jumbo jet".

The above assumption may be criticised on the following grounds: many users do not begin with someone else"s natural language formulation of a query, but with an abstract query, which can take more than one natural language form. In the next section we discuss the concept of the abstract query.

1.4   Classification of Queries
1.4.1 The Abstract Query and its notations

The term "query" can have at least three distinct, although related, meanings when used to refer to manipulation of a data base. Although the meaning of the term in this thesis will usually be clear in context, a systematic exposition of its meanings and their implications is a necessary preface to further discussion.

In The Logic of Questions and Answers Belnap and Steel develop an approach which they name an

"eroteric logic" to capture the grammar and semantics of questions and answers. [Belnap and Steel, 1976] Although their work was "guided by the potential applicability of eroteric logic to current problems in data processing" it is at too high a level of abstraction to be directly applicable to current data base query studies. Nevertheless, a number of their insights and characterisations of questions illuminate the process of query specification. Particularly useful is their insistence that

> The meaning of a question addressed to a query system is not to be identified with how the system processes the query (and is not to be identified with a program at any level), but rather it is to be identified with the range of answers that the question permits. That is, for a query system and a user to agree on the meaning of a question is for there to be agreement as to what counts as an answer to the question, regardless of how, or if, any answer is produced. This conceptual feature is important because only if one has an analysis of questions that is independent of computers and programs can he sensibly ask such questions as these: What sorts of questions would I really like to ask? For various sorts of questions is my query system able to answer them? (Is it "complete" in these respects?)

We shall make use of their distinction between a question as an abstract object, and the notation which may be employed to represent that object (called by them an "interrogative"). We distinguish between the abstract query, and two forms of notation for it: its expression(s) in natural language, and its expression(s) in a query language. In general, there may be many equivalent forms of each of these two notations.

As an example, a particular abstract query may be expressed in the following equivalent natural language notations:

Find all salesmen who earn more than £10,000/year.

List every salesman with an annual salary greater than £10,000.

Find each employee who makes better than £10K and who is a salesman.

Of course these notations are only equivalent if certain assumptions are true. (For instance, that annual earnings are the same as annual salary.) Such assumptions are not marginal to the process of query formulation, but involve the way in which the data base view of the world being modelled has been organised. Allowing the user to comprehend this view -- to know the data base definitions, categories, business rules, relationships, constraints -- is critical to allowing him to formulate queries correctly.

The same abstract query, some of whose natural language representations are illustrated above will also, in general, have multiple possible correct query language notations. In fact, it will have "families" of notations, one family for each alternate schema of the data base affecting the objects referred to in the query. Thus if the categorisation of employees into employee-types (salesmen, clerks, production workers) is carried out by having a separate relation for each type, the following

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queries would be equivalent in the ISBL Relational Algebra:

LIST SALESMEN:[SALARY > 10000]%[E-NAME]

LIST SALESMEN%[E-NAME,SALARY]:[NOT(SALARY<=10000)])%[E-NAME]

A schema which grouped together all employees into a single relation, representing their type by an attribute value, would support the following equivalent queries:

LIST EMPLOYEES:[TYPE="SALESMAN" AND SALARY>10000]%[E-NAME]

LIST (EMPLOYEES:[TYPE="SALESMAN"]%[E-NAME])
     (EMPLOYEES:[SALARY > 10000]%[E-NAME])

In this thesis we shall, therefore, distinguish between

(1) the abstract query, for which there is no single representation,

(2) the abstract query expressed in a natural language notation, noting that there will in general be many possible equivalent natural language notations for a given abstract query, and

(3) the abstract query expressed as a query language expression, with the latter having many possible equivalent forms.

1.4.2 Meaningful and Meaningless Queries

Our concern is to assist the user in mapping an abstract query to a valid query language expression. Before we are able to engage this question, it is necessary to delineate the boundaries around the class of possible abstract queries which can in fact be considered. Clarification is again available from the work of Belnap

and Steel.

In the terminology of Belnap and Steel, data base queries
are _elementary_ _which_ _questions_. These are
questions to which there corresponds a set of statements
which are directly responsive to the question asked. What
counts as an answer to this sort of question must be
well-defined. (They contrast such questions to
"problem-solving situations" and "please relieve my vague
puzzlement situations". An example of the latter would be
"Who is that man living next door?", since it is not
clear what kind of answer would satisfy the questioner: a
proper name, some sort of description, or what?)
Which-questions implicitly present a set of
alternatives, one or more of which is the answer to the
question.

In the context of this thesis, a meaningful abstract
query is one that can be cast into at least one
semantically and syntactically valid query language
notation (in the sense of section 1.2.3.2 in this
chapter) such that the response to that query can in
principle be judged true or false. A query language
expression which elicits a false response from a data
base may still be a valid expression of a meaningful
query, since the software which implements the query
language may have faults, or incorrect data may be
present in the data base. We have asserted that it is

wrong to identify an abstract query with any string of symbols. Statements about the "meaning" of an abstract query are statements about the truth or falsity of the response to that query were it to be cast in the form of a query language expression and put to a data base. (For an analogous approach to the question of natural language semantics, see [Sampson, 1975].) From this it follows that assertions about the meaningfulness of an abstract query can only be made in the context of a particular query language system and a particular data base schema.

Given a relational database whose domains are entity-identifiers for persons and entity-identifiers for languages, and for which the query language is a pure relational algebra, queries about aircraft schedules are meaningless (because the relevant entities are not represented in the data base), and queries about number of persons represented in the data base are meaningless (because the only quantifiers sustained by the pure algebra are those of first-order predicate calculus: "some", "all" or "none"). An abstract query which specifies the retrieval of data, any part of which refers to non-existent data base objects, or which requires a non-existent query language function, is meaningless. (Note that an abstract query may be meaningful, but its query language expression be invalid, due to user misspellings, misconception of the data base schema, and so forth. This is not the same thing.) This thesis deals

with ensuring valid query language expressions for meaningful abstract queries.

1.4.3 Other Approaches to Query Classification

James Martin defined a simple query as one that may be made on a single file (or relation), considered to hold information on occurences of entities (E) in the form of values (V) formatted as attributes (A). [Martin, 1977] Letting A(E)=V symbolise the statement that attribute A of entity E has value(s) V. Six permutations of query types that result from replacing one, and then two, of the known values with query-indicators are shown in Figure 1.1 for the relation shown in Table 1.1.

S

SNum [SALESMEN]	Mon [MONTHS]	Income [MONEY]
23	Jan	850
256	Jan	455
271	Jan	970
.	.	.
.	.	.
.	.	.
23	Feb	670
256	Feb	480
.	.	.
.	.	.
.	.	.

Table 1.1   From Martin [1977].

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1. A(E)=?   What is the value of attribute A of entity E?
            How much did salesman 271 earn in January?

            ((S:[SNum=271]):[Mon="Jan"])X[Income]

2. A(?)=V   What entity E as a value of attribute A equal
            to V?
            What salesmen earned more than £1000 in January?

            ((S:[Income>1000]):[Mon="Jan"])X[Snum]

3. ?(E)=V   Which attribute or attributes of entity E have
            value V?
            In which months did salesman 271 earn more than
            £1000?

            ((S:[Income>1000]):[Snum=271])X[Mon]

4. ?(E)=?   What are the values of all attributes of entity
            E?
            What are salesman 271's earnings for each month?

            S:[Snum=271]X[Mon, Income]

5. A(?)=?   What are the values of attribute A for all
            entities?
            What did all salesmen earn in January?

            S:[Mon="Jan"]X[SNum, Income]

6. ?(?)=V   What are the attributes of all entities having
            value V?
            List the salesmen who earned more than £1000
            in any month, and the month itself.

            S:[Income>1000]X[SNum, Mon]

Figure 1.1: J. Martin's Classification of Query Types

Lacroix and Pirotte defined a query with respect to the "objects" and "properties" of the data retrieved as a result of the query:

> Very generally, a query requests one or
> several sets of objects which satisfy certain
> properties; these properties are in turn
> expressed with the help of other objects and
> properties.... In general, properties make
> reference to data base relations and objects of
> other domains. [Lacroix and Pirotte, 1977]

Sundgren distinguished between queries about the world being modelled in the data base, and queries about the data base itself, while noting that there need be no structural difference between these two types of query if their respective data bases are modeled on identical principles. [Sundgren, 1975] (In relational terms, this would require that the data dictionary be itself a set of relations.) He categorised queries along a second axis, dividing them into yes-no type queries, retrieval queries, and process queries. By the latter he meant queries in which

> The operator or the parameter part will contain
> a _processing request_, meaning that not only
> should a specified set of messages be retrieved,
> they would also be processed in a certain way
> before presentation. For instance, the processing
> request could imply aggregation and statistical
> analysis.

He noted that retrieval queries are the most fundamental type of query, inasmuch as most process queries will require an initial retrieval and yes-no queries may be considered a special case of retrieval queries. He observed that

> Many retrieval queries conform to the pattern,
> For objects having the property P, retrieve the

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values of the attributes A1,...,Am...

Sundgren drew a distinction between attributes involved in specifying the property P, so-called "alpha" attributes, and attributes to be displayed, the "beta" attributes. An example of both sorts of attributes would be the request to "PRINT the SNum [a beta attribute] of each salesman with Income > 850 [an alpha attribute].

A similar definition of a query is made by Ghosh , who described a query as having two parts,

> One is called the <u>qualification</u> <u>part</u> and the other the <u>target</u> <u>part</u>. The qualification part specifies the properties that have to be satisfied by an individual of the universe in order that it may be a relevant piece of information for the query. The target part of the query specifies what information about the individuals, who are relevant to a query, are [<u>sic</u>] needed for the answer. [Ghosh, 1977]

It is interesting to note that almost all of the above authors approach the defining of queries by assuming that the target of a valid query is a set of nameable objects/ entities/individuals which is to be retrieved. (The exception is James Martin, whose queries 5 and 6 call for the retrieval of tuples.) The general assumption is that it is a single column that will remain, a relation of degree one. But we often want to retrieve more complex tuples: for instance, persons, and the languages they speak. Not two relations, one holding persons and the other languages, but the person-language pairs. Outside of the data processing context, there is no word for such associations. [Kent, 1978] (Within the

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data processing context, we might call associations "records" or "tuples".) This lack of vocabulary for many kinds of associations implies that there is no "natural" mental concept for them. This in turn suggests that perhaps it would be easy to formulate queries where the objects used in associations were either single entity sets, or tuples which were intuitively one entity and a group of attributes -- and hard to formulate queries where genuine relationships among entities needed to be retrieved. This possible problem is relevant to one of the design choices made in designing the system described in this thesis, where the problem of articulating complex relationships among the objects described by a tuple is central.

Using the terminology of the authors just cited, we shall assume that it is in the specification of the <u>properties</u> or "<u>alpha attributes</u>" or <u>qualification parts</u> that the greatest difficulty lies for end users.

1.5 <u>Review of work related to Reverse Translation</u>

1.5.1 <u>Natural Language Generation: Background</u>

The algorithmic generation of grammatical sentences in natural language -- in contrast to its inverse, the algorithmic classification of any candidate natural language word-string as grammatical or not -- is a trivial matter, provided that two conditions are met:

First, that a sub-set of all possible grammatical sentence-forms is acceptable (since a complete grammar of a natural language involves thousands of rules). Second, that the definition of "grammar" is restricted to syntax rules only (permitting, for instance, the generation of sentences such as "colourless green ideas sleep furiously"). Given these limitations, a simple phrase-structure grammar implemented as a set of production rules and drawing on stocks of words as its terminal strings can generate natural language sentences. (There are also numerous other grammatical formalisms available for language generation, although most of these have been developed as part of research into natural language understanding.)

Of interest in the database query context are those natural language generation systems which have as their purpose the conveying of information about some computationally-tractabledomain of information held in machine-accessible form.

In such applications, the theoretically challenging aspect of natural language generation consists in defining the method of mapping between the domain about which one wishes to convey information, on the one hand, and a set of natural language sentences conveying the desired information, on the other.

1.5.2 Natural Language Generation: Non-Database Examples

Davey developed a computer program that produced English discourse, using a systemic grammar. [Davey, 1978] The program was described as "capable of describing in a sequence of English sentences any game of noughts and crosses (tic-tac-toe), whether given or actually played with the program." It was written in POP-2, and took between thirty seconds and three minutes to produce each sentence. The intension of the author was to model the way a human speaker chooses to present information in sentences, taking into account what has already been said and what the hearer can be expected to know.

As part of a user-friendly command interface, the UNCLE system generates natural language explanations of exception conditions which can occur when users make errors in operating system commands. [Efe, Hopper and Miller, 1983] The system analyzes operating system messages and maps them onto a meaning-representation system. This system consists of five "paradigms", which are supposed to be used by human beings when they speak, each one of which represents a different sort of entity relation. When one of these paradigms can be filled in by the message analyzer, and combined with a particular case structure, it corresponds to a particular

phrase-structure grammar production rule. This rule can then be invoked to generate a sentence which is semantically equivalent to the operating system message, but which uses the terminology, concepts, and assumptions of the particular user for whom the system is tailored.

The UC natural language help facility for the UNIX operating system includes a language generator, although at the time of the most recent report on the system its designers reported that it was "quite sketchy, largely because most of our effort has gone into request understanding rather than answer generation." [Arens, Chin and Wilensky, 1984]

1.5.3 Natural Language Generation: a Database Example

James Longstaff and colleagues produced a query system which generated natural language sentences corresponding to partial queries put in a relational calculus. [Longstaff, Poole and Roper, 1978] In response to an incomplete query calling for the retrieval of some of the attributes of a particular entity, the system would offer the user the chance to select either a universal or existential quantifier for each of the other entities to which the target entity was related.

Given a database with a schema consisting of three

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"entity relations" (SUPPLIER, with attributes SNO, SLOC, SNAME; PROJECT, with attributes JNO, JNAME, and JLOC; and PART, with attributes PNO and PTYPE) and one "relationship relation" (SUPPLY, with attributes SNO, JNO, PNO, and DR), a partial query put by a user might be

    SELECT SUPPLIER [SNAME, SLOC]

    WHERE PART [PTYPE] = A AND PROJECT [JLOC] = SJ

The system would respond,

    Select names and locations of suppliers where

    each supplier has supplied (all/a) part(s) of

    Type A to (all/a) project(s) located in SJ.

(The quantifiers enclosed in parentheses represent choices to be made by the user.)

It is not clear from the cited paper how the system as described would cope with negations, or with schemas where the same set of entity sets were related in more than one way (for instance, with an additional three-part relation, PROMISED, having the same attributes as SUPPLY).

The method utilised to generate natural language sentences depends critically on the fact that the so-called "relational calculus" query expression is a

description of the final relation desired by the user, as opposed to a specification of the procedures (relations and operations upon them) which should generate that relation. This work thus has no directly-transferable techniques which could be applied to a natural language generation system for a "relational algebra" query processor, where a query is put by the user explicitly specifying the relations and operations upon them which should yield the desired result. However, although the system described here was not developed further, it must be acknowledged as the first developed "Reverse Translation" approach to query validation.

CHAPTER TWO

FOUNDATIONS OF
REVERSE TRANSLATION

2.1 Introduction

This chapter introduces and defines the terms which will be used in the remainder of the thesis, and illustrates the relational algebra which will be employed in demonstrating reverse translation.

2.2 Data Models

2.2.1 The Relational Model

2.2.1.1 Definitions

Given the domains, not necessarily distinct, D1, D2,....,Dn, a relation on these domains is a set of tuples where for each tuple its first element is a member of D1, its second a member of D2 ... and its Nth a member of Dn. The value of "n" is in relational terminology the degree of the relation. (For reasons to be made clear, we shall henceforth use the term "Rdegree" for "degree" in the sense just defined.) The number of distinct tuples is the relation's cardinality. The i-th element of each tuple constitutes an attribute of the relation: attribute names must be distinct within a relation. Relation names must be distinct within a data base. A set of attributes which uniquely defines a tuple is a key of the relation.

As an example, consider the many:many relation PSL
(Table 2.1) which records persons and the languages
spoken by each person. (This example also displays the
conventions which will be followed henceforth in
displaying examples of relations, their names, domains,
attributes and tuple values. Relation names will be
displayed in upper-case type, attribute names will be
underlined, and domain names will be in upper case,
appearing beneath attribute names and enclosed in square
brackets.)

Relation
Name ------>   PSL

Attribute
Names ----->   P   L

Key   ----->   P,L

Domain
Names ----->   [PERSONS]   [LANGUAGES]

```
 ----------------------------------------------
 !   Adam        ! English          !
 !   Adam        ! French           !
 !   Gunther     ! German           !
 !   Jean        ! French           !
 !   Uli         ! English          !
 !   Uli         ! French           !
 !   Uli         ! German           !
 !   Zahid       ! English          !
 !_____!_____!
```

Table 2.1able 2.1.   The relation PSL.

2.2.1.2 The Relational Algebra

A set of relational algebra operators can be
defined which when combined with relations in expressions

yield relations as results. The following algebraic operators constitute a formal query language which has the power of the first-order predicate calculus. (Actual implementations which have used a relational algebra as a query language have supplemented it with additional functions. The following examples demonstrate the syntax of each relational operation which has been given a Reverse Translation extension. The syntax and conventions of the query language thus defined are based closely on, but not identical to, the Information System Base Language (ISBL) of the Peterlee Relational Test Vehicle. [Todd, 1976] They differ from ISBL in the following ways:

(1) The DIVISION operator, used for formulating queries with universal quantification, is not included. DIVISION is in fact a redundant operator, if DAFFERENCE, PROJECTION and JOIN (Cartesian Product) are available. The problem of Reverse Translation of queries involving universal quantification is taken up in Chapter Eight.

(2) PERMUTATION of a relation is handled in ISBL via a PROJECTION operation in which no attributes are dropped. Here, for clarity, it has been given a special operator of its own.

(3) RENAME of attributes, necessary to permit the set operations and join, has also been given a special

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operator, again for clarity. In ISBL its syntax was part of the definition of the PROJECTION operation.

(4) SELECTION in ISBL includes the possibility of complex expressions which include logical operators (AND, OR and NOT). Any relation yielded by such an expression can also be derived by an equivalent series of SELECTION, INTERSECTION, UNION and DIFFERENCE operations. In the interests of simplicity, the SELECTION demonstrated in this thesis will be defined for single comparisons of one attribute to a value from the domain of that attribute. (This also excludes the so-called RESTRICTION comparison between two attributes.)

(5) ISBL permits complex relational expressions, in which th result of one expression could be used as an argument to another. However, to facilitate discussion and illustration of the Reverse Translation method, all query examples in this thesis using more than one operator will be formed as multi-statement queries utilising explicit, named intermediate relations.

: __SELECTION__   Selection acts on a single relation

to produce a second relation which is a sub-set of the

first, having only those tuples which are specified in a

comparison operation between an attribute and a value

from the domain of that attribute.

An example of selection:

P1 <- PSL : [L = "French"]

Relation
Name ------>   P1

Attribute
Names ----->   __P__   __L__

Key   ----->   __P__,__L__

Domain
Names ----->   [PERSONS]   [LANGUAGES]

!	Adam	! French	!
!	Jean	! French	!
!	Uli	! French	!

Table 2.2. __The relation P1.__

% <u>PROJECTION</u>   PROJECTION   acts   on   a   single   relation

to produce **a** second relation having only those attributes

which were named in the PROJECTION operation.

An example of projection:

P2 <- P1 %[<u>P</u>]

Relation
Name ------>   P2

Attribute
Names ----->   <u>P</u>

Key   ----->   <u>P</u>

Domain
Names ----->   [PERSONS]

```
        _____
        !   Adam          !
        !   Jean          !
        !   Uli           !
        !_____!
```

Table 2.3.   <u>The relation P2</u>.

<u>Set   operations</u>   The   next   three   operations   take   as

operands   two   relations   of   identical   degree   whose

respective attributes must be drawn from the same domain.

To illustrate the set operations assume a relation P3,

formed by selecting all English-speakers from P1 and

then projecting on the <u>P</u> attribute.

```
Relation
Name  ------>      P3

Attribute
Names  ----->       P

Key    ----->       P

Domain
Names  ----->      [PERSONS]
```

```
 _____
!  Adam              !
!  Uli               !
!  Zahid             !
!_____!
```

Table 2.4. The relation P3.

U UNION   UNION acts on two relations to produce a
third containing all of the tuples appearing in either
operand.

An example of UNION:

P4 <- P2 U P3


```
Relation
Name  ------>      P4

Attribute
Names  ----->       P

Key    ----->       P

Domain
Names  ----->      [PERSONS]
```

```
 _____
!  Adam              !
!  Jean              !
!  Uli               !
!  Zahid             !
!_____!
```

Table 2.5. The relation P4.

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- DIFFERENCE   DIFFERENCE   produces   a   relation   whose
tuples   are   those which   are   in   the   left-hand   operand   but
not   in   the   right   hand   operand.

An   example   of   DIFFERENCE:

P5   <-   P2   -   P3


```
Relation
Name ------>        P5

Attribute
Names ----->         P

Key    ----->         P

Domain
Names ----->        [PERSONS]

                ┌──────────────────┐
                ! Jean             !
                !_____!
```

Table 2.6.   **The relation P5.**

^   INTERSECTION   INTERSECTION   produces   a   relation
having   only   those   tuples   which   are   in   both   the   left-hand
and   right-hand   operand   relations.   INTERSECTION   is
actually   redundant,   in   two   respects:

(1)   if   DIFFERENCE   is   defined,   INTERSECTION   is
unnecessary,   since A ^ B <=> A   -   (A - B);

(2)   if   JOIN   is   defined,   INTERSECTION   is   unnecessary
since   it   is   merely   an   equi-join   over   all   attributes.
However,   it   is   found   in   most   if   not   all   relational   query
languages   and   is   therefore   included   for   convenience.

An example of INTERSECTION:

P6 <- P2 ^ P3


```
Relation
Name ------>        P6

Attribute
Names ----->        P

Key    ----->       P

Domain
Names ----->        [PERSONS]
```

```
_____
!   Adam            !
!   Uli             !
!_____!
```

Table 2.7. <u>The relation P6</u>.

* <u>JOIN</u>   JOIN takes as operands two relations and
produces a relation which is the concatenation of the
operands except for specified attributes which are
shared. The tuples of the resultant relation are drawn
from those tuples of the operand relation sharing
identical values ("equi-join") in the specfied common
attributes. The attributes to be shared in each relation
are specified by having identical names. If no attributes
in each relation have names in common, a full Cartesian
product results (each tuple of the first relation is
joined to every tuple of the second relation).

To illustrate JOIN, assume we have a relation PRL,
recording persons and the languages they <u>read</u>, which we
wish to JOIN to the "speakers" relation PSL

illustrated in Table 2.1


```
PRL
P                    L
[PERSONS]            [LANGUAGES]
 _____
! Adam        ! English        !
! Gunther     ! German         !
! Mike        ! Danish         !
! Uli         ! English        !
! Uli         ! French         !
! Uli         ! German         !
! Zahid       ! Chinese        !
!_____!_____
```

Table 2.8. The relation PRL.


```
PSL @ [ L -> LS ]    (Rename the non-participating
PRL @ [ L -> LR ]     attributes.)
PSRL <- PSL * PRL
```

(JOIN PSL and PRL over the
commonly-named attribute, P.)

Relation Name ------>	PSRL		
Attribute Names ----->	P	LS	LR
Key ----->	P, LS, LR		
Domain Names ----->	[PERSONS]	[LANGUAGES]	[LANGUAGES]
	! Adam	! English	! English !
	! Adam	! French	! English !
	! Gunther	! German	! German !
	! Uli	! English	! English !
	! Uli	! French	! English !
	! Uli	! German	! English !
	! Uli	! English	! French !
	! Uli	! French	! French !
	! Uli	! German	! French !
	! Uli	! English	! German !
	! Uli	! French	! German !
	! Uli	! German	! German !
	! Zahid	! English	! Chinese !

Table 2.9. <u>The relation PSRL</u>.

<u>PERMUTATION</u> The PERMUTATION of a relation produces a new relation with the same population of tuples, but reordered according to the order specified in the PERMUTATION operation.

LSP <- PSL # [L, P]

Relation
Name ------>   LSP

Attribute
Names ----->   <u>L</u>   <u>P</u>

Key   ----->   <u>L</u>, <u>P</u>

Domain
Names ----->   [LANGUAGES]   [PERSONS]

!	English	!	Adam	!
!	French	!	Adam	!
!	German	!	Gunther	!
!	French	!	Jean	!
!	English	!	Uli	!
!	French	!	Uli	!
!	German	!	Uli	!
!	English	!	Zahid	!

Table 2.10. <u>The relation LSP</u>.

@ Rename   Like PERMUTATION, RENAME is not an operator proper but is a necessary auxiliary to permit operations whose syntax expects particular values for attribute names.

SSL <- PSL @ [P -> S]

```
Relation
Name ------>        SSL

Attribute
Names ----->    S    L

Key     ----->      S,L

Domain
Names ----->    [PERSONS]      [LANGUAGES]
```

```
        _____
        !  Adam      ! English        !
        !  Adam      ! French         !
        !  Gunther   ! German         !
        !  Jean      ! French         !
        !  Uli       ! English        !
        !  Uli       ! French         !
        !  Uli       ! German         !
        !  Zahid     ! English        !
        !_____!_____!
```

Table 2.11.   The relation SSL.

2.2.2 The Entity/Relationship Model and its Application in Reverse Translation

Because the relationships between the values in a tuple are critical to understanding the meaning of a relation, constant reference to them will be made in the remainder of this thesis. A reasonably well-understood vocabulary and rudimentary underlying semantic model with which to conduct this discussion is therefore needed, and a form

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of the Entity-Relationship model to supplement the
conceptual tools already defined has been chosen. In the
taxonomy of the principal author of the
Entity-Relationship approach, we will use a "Generalised
Entity-Relationship Model without Attributes" [Chen,
1981].

This thesis will refer frequently to entities and to the
relationships which hold among the entity occurences
represented in the tuples of a relation which has been
created as the result of the execution of a user's query.
The terms "entity occurence", and "entity set", will be
used as equivalent to "attribute value" and "domain",
respectively, in the standard relational terminology. The
possibility of distinguishing between an entity occurence
and its representative within data base is allowed, at
the level of text generation. The term "relationship",
with no formal relational equivalent, cannot be dealt
with so casually and this concept is thus the object of
more extensive treatment in section 3 of this chapter.

Although the Reverse Translation system is designed for
translation of relational algebra queries put to a
relational database, the relational terminological
apparatus alone, even as supplemented by the
Entity/Relationship model, is both too abstract and too
meagre to provide a sufficient basis for discussion of
methods of generating meaningful translations of

relational query language expressions. For example, as many others have pointed out, there is no place in the pure relational formalism for inclusion of information about the kind of relationship obtaining among the objects which make up a tuple of a relation. [Schmidt and Swenson, 1975; Sowa, 1975]

In the relation (Table 2.1) used to provide examples of the conventions used in this thesis, it will be necessary for the user of the database to know that the relationship recorded here is one of "speaks" (in the PERSON to LANGUAGE direction) and "is spoken by" in the LANGUAGE to PERSON direction. Since this information is not part of the relational apparatus, if it is to be used as a component of a Reverse Translation facility, it must be added as part of an extension made to the relational system. (In an unextended system, this sort of information may be held as unformatted descriptive text associated with a particular relation, or deduced by the user through applying his world-knowledge to the names given to the relation, its domains and its attributes.)

The subject of developing a data model powerful enough to incorporate in a natural manner real-world semantics of interest to users is one which is advancing rapidly. The data model assumed in this thesis is intensionally one which is shorn of the refinements which are possible, in order to concentrate on a single aspect of user interface

design.

2.2.3 Reduced Relations and Normal Forms

A relation embodies relationships among entities. The simplest embodiment of a relationship in a relation is one n-ary relationship per relation, and one relationship per n-ary relation. But perfectly valid relations may exist which complicate matters in two (not mutually exclusive) ways:

A relation could hold multiple relationships among the entity-identifiers of a given tuple. For example, the relation PRLA which recordswhich persons read which languages, and which languages use which alphabets.

PRLA

P [PERSONS]	L [LANGUAGES]	A [ALPHABETS]	
! Adam	! French	! Latin	!
! Adam	! German	! Latin	!
! Gunther	! English	! Latin	!
! Gunther	! German	! Latin	!
! Ivan	! Farsi	! Arabic	!
! Ivan	! French	! Latin	!
! Ivan	! Russian	! Cyrillic	!
! Mehmet	! Turkish	! Arabic	!
! Mehmet	! Turkish	! Latin	!

Table 2.8. The relation PRLA

In this case, the requirement that all relations be held in Third Normal Form would eliminate examples of the above type. But the Third Normal Form requirement is not sufficiently powerful to ensure the degree of simplicity in the relational schema required for the implementation of Reverse Translation. This is because a relation in Third Normal Form can still represent multiple relationships between the set of attributes making up the key, on the one hand, and any number of non-key attributes each of which is uniquely determined by the key. (An example would be a relation in which the first attribute is a unique identifier for a person, the second is that person's birthdate, the third is the name of the country in which the person was born, the fourth is the person's height, and so on.)

2.2.4 Relational Families

Any relation of relational degree N (N > 1) which has an attribute consisting of M entity identifiers can be decomposed into M constituent relations of relational degree N-1, by creating a new relation for each distinct value of the "absorbed" attribute. For example, instead of relation PSL, we could have relationsPSLF(everyone whospeaksFrench), PSLG (the German-speakers), and so on. These relations would constitute a "family", although as relational objects they would have the same properties and status as all

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other relations of Rdegree one drawn from the PERSONS domain. Intuitively we could recognise each of these relations as actually holding information about two entity types, one of which (the LANGUAGE entity-type) had been "absorbed" into the "meta-schema" of the system with the consequence that it is no longer recognised by the query language. (We cannot use the query language to formulate the query, "list everyone who speaks French," but rather must rely solely on our "meta-schema" knowledge about the meaning of each relation.) Where the "family" of language-speaking relations has been grouped together into one relation, our necessary "meta-schema" knowledge is restricted to awareness of the existence and "intension" of only one relation.

Conversely, any "family" (in the sense described in the preceding paragraph) of M relations (M > 1) of the same relational degree N and whose attributes are drawn from set-operation compatible domains, can be "fused" into a single relation of relational degree N+1 with a new attribute having M distinct values and drawn from a (possibly new) domain whose values express the relationship holding among the original attributes. Using the example from the previous paragraph, we could "fuse" the PSL relation with anyotherrelation of Rdegree two whose attributes were drawn from the PERSONS and LANGUAGES domains, provided that their key definitions

were identical, by adding a third attribute to make explicit the nature of the relationship between the entities in the first two attributes. Thus PRL and PSL could be "fused" in a new relation of Rdegree three, the new third attribute displaying values from a domain whose entities included the occurences "speaks" and "reads".

Logical schema design in practice must steer a middle course between these two possibilities, guided by the necessity not unnecessarily to multiply relations, by an anticipation of the kinds of queries users are likely to put on the data base and by an awareness of the way in which users "naturally" conceptualise the world being modelled in the data base.

2.2.5 Assumptions and Constraints

A "Base Relation" is one which cannot be derived from other relations, and has been input into the system ab initio. For the sake of simplicity we will impose the constraint that all Base Relations of our system exemplify one and only one relationship per relation. "Families" of relations in the above sense are also excluded. In the examples which illustrate this thesis, all relations other than Base Relations will be "derived relations," and will be considered to have been generated in response to a query. This requirement is more powerful than (but includes) the constraint that all base relations be held as reduced third normal form relations. (A reduced relation is one which cannot be split by projections into two relations which could then be joined to produce the original relation. It necessarily has a degree at most one greater than the degree of the key.) As will be demonstrated, certain queries on base relations will yield derived relations as answers to the query which do embody both types of simultaneous multiple relationships described above. Thus a tuple in a base relation will be an instance of a single relationship among its participating entities.

A further simplifying constraint, necessary if the previous one is to hold, will be the restriction of entities "eligible" for participation in the system to

those entity sets such that each entity can be represented in the system by a single identifying value. (For example, a "date" would have to be represented by a Julian value, as opposed to being a concatenation of values for day, month, and year.)

With this perspective, algebraic queries on base relations can be seen as specifying operations which modify the objects (relationships and entities) whose identifiers make up the tuples of the base relations which are the arguments to the query.

2.2.6 Aims and Limitations of Reverse Translation

It is necessary to distinguish two possible sources of user confusion when dealing with syntactically and semantically valid queries which, however, may not correspond with the user's intensions.

An expression in a formal query language may be difficult to understand because it involves a large number of operations on many relations. It is reasonable to assume that, everything else being equal, increasing the number of operations making up a query will tend to increase the difficulty of understanding the meaning of the resulting relation, even if this relationship is not linear. This source of difficulty is inherent in the problem. This thesis primarily concerns itself with a

second possible source of user confusion: user
uncertainty about or positive misunderstanding of the
semantics of a particular sequence of operations on a
relation or relations. The operators of relational
algebra, and their equivalents in any formal query
language, are simply not in sufficiently close
psychological congruence to their effects to be easily
and painlessly comprehended, especially by intermittent
users.

2.3 The Method of Reverse Translation

2.3.1 A Summary of the Reverse Translation Process

The Reverse Translation process accepts as input a
syntactically valid query (in Relational Algebra) put to
relations which have previously been created
incorporating additional extra-relational information
about the relationship among the entities which make up
the domains taking part in the relation. To aid precision
in the ensuing discussion, this "extra" information
will be called the "predication" of a given relation. A
"predication" as used in the remainder of this thesis
will refer to the descriptive information relevant to the
relationship among the entities in a relation. (The
word "relationship" must be used in other contexts when
discussing aspects of the Reverse Translation process,
and also has an abstract flavour which is misleading when
used to describe the actual strings of text which bear

the information needed for Reverse Translation. Therefore
we have chosen to conscript a word from philosophy which
has an original meaning not opposed to the meaning we
will make it use here, but which will not be confused
with any other sense of the information which exists
among objects.) All Base Relations are described by a
single unmodified predication. Each Base Relation has a
distinct predication. A derived relation will be
described by one or more modified or unmodified
predications. In the relation recorded in Table 2.1, for
example, the predication between the "persons" and
"languages" domains is represented by the strings
"speaks" and "is spoken by".

The essential idea behind Reverse Translation is to add
to the data base system the information which has been
"factored out" of the sets of values which recorded in
the system. This information -- the predication -- is
held in such a way that it may be manipulated by the
relational operations that are intended to be applied to
the data, either before or in parallel with the execution
of a query. The Reverse Translation system delivers as
output one or more statements in a stylised
pseudo-natural language format which may be interpreted
by the user as describing the "meaning" of the relation
which would be generated as a result of executing the
proposed query.

It is intended that the user will be able to compare his intended abstract query (using this term in the sense elucidated in Chapter I) with the abstract query described by the Reverse Translation. Any discrepancy between the two would signal a faulty query language expression, which may then be inspected, and, if necessary, corrected.

It is not a goal of Reverse Translation to fool the user into thinking that he is conversing with a pseudo-human entity. The "utterances" of Reverse Translation have as their goal the conveyance of precise information about possibly complex objects. The achievement of this goal will, in certain situations, require the generation of output text which is "unnatural", in the sense that no human would speak this way "naturally". Consideration of the pragmatics of text generation for maximising human understanding is beyond the scope of this thesis, and in any case would require large-scale empirical testing in realistic conditions. It is perhaps valuable, however, to point out that the use of stylised, pseudo-natural language in situations where precision is critical and users are in the "professional end-user" category has long been acceptable: examples include real-time military and civil aviation communications.

Since the ultimate aim of Reverse Translation is to aid

users to validate their queries, using computer-generated pseudo-natural language statements, there is clearly a good deal of scope for overlap with such topics as Man-Machine Interface studies, Cognitive Psychology, and Linguistics. Insights from these fields would potentially impact the _presentation_ of the information carried by Reverse Translations: the particular choice of words to convey meaning, the layout of text on the screen, the possibility of using different type fonts to distinguish among objects of different types, and so on. Similarly, the modes of use of a Reverse Translation system might fruitfully be subject to many experimentally-tested design variations: some or all users might benefit from a brief training period to become accustomed to the syntax and semantics of a Reverse Translation description of a relation, for instance. But the core of this thesis is the method proposed for allowing the underlying semantic structure of derived relations to be represented in terms of the way in which their original ancestor relations were described by these relation's creators and as a proposed query might modify these descriptions. This basic method -- Reverse Translation Graphs -- lends itself to sustaining many possible implementations, with respect to the questions of text presentation and modes of use mentioned previously. The particular implementation chosen to illustrate this thesis does not claim to be based on more than the designer's intuitions, and is in

any case easily modifiable.

2.3.2 Information carried by the Reverse Translation

2.3.2.1 Alternative approaches to descriptions of relations

From the standpoint of how a Reverse Translation could carry information to the user, two approaches are possible. These are identical in ultimate semantic content, but vary in their ease of interpretation.

(1) The Reverse Translation could be phrased in terms of an assertion about the derived relation as a whole.

(2) It could be phrased in terms of an assertion which is true for any single tuple in the relation.

Where the query operation has yielded a relation consisting solely of a pre-defined entity type (such as "persons" in the example above), both approaches are equivalent as regards the ease with which a Reverse Translation can be generated and the comprehensibility of the final result from the user's viewpoint. The advantage of the second approach is seen when the final relation to be translated consists of associations of entities, such that the association considered as a single "thing" has no natural name. In such a case clarity is aided by

generating a complete sentence wherein the entities involved in the relation play traditional grammatical roles.

It is worth noting that the attempts to define queries by various authorities quoted in Chapter I almost all assume that the final object to be retrieved is a set of identifiers of a single distinctly-named entity type. This is not always the case, however, and it may, incidentally, be hypothesized that the attempt to retrieve tuples which cannot be conceptualised as members of a single (composite) entity type may be particularly error-prone.

An example of the latter would be a request to retrieve tuples of degree two such that each tuple consists of the name of a person who speaks French or English, or both, and a language from that sub-set spoken by him. In practice this request will almost certainly always be given a natural language expression like "get the names of people who speak French or English, along with the languages they speak", leaving it to the user's world-knowledge to work out the particular valid query from the number of distinct valid queries which could, arguably, be a response to that request.

In this case, the relational algebra expression wanted is evidently the following:

A <- PSL : [L="French"] U PSL : [L="English"]

A consists of just those tuples from PSL where the value in the "L" attribute was "French", or "English".

But any of the following expressions, consisting of additional operations on the relation A derived above, could be presented as valid solutions also.

(1) A1 <- A % [P] and A2 <- A % [L]

Persons who speak French or English, in A1, and the languages in question, in A2.

(2) A3 <- A1 * PSL

Each tuple of A3 consists of a person who speaks French or English, plus a language spoken by him. The latter will include French, or English, but all of his other languages as well.

(3) A4 <- A3 % [P] and A5 <- A3 % [L]

The same misunderstanding as A1, but compounded with the misunderstanding of A3.

This thesis does not investigate methods of aiding users

to increase the precision of their formulation of natural language expressions of abstract queries prior to submitting them to a computer for processing. Rather, it is an exploration of a method of allowing a machine to formulate a human-comprehensible expression of a relational algebra expression of a query. In order to avoid what appears to be a possible source of confusion (the attempt to speak always of relations as if each tuple were a representation of some sort of nameable entity), Reverse Translations will be complete assertions about tuples. They will be intended to be assertions which apply to any single tuple in the relation they are describing, formulated in terms of the entity types, and relationships among them, which are directly or indirectly represented in each tuple.

2.3.2.2 Information about the "degree" of individual entity participation in a predication

A central part of the semantics of the relationship among entities in a tuple is the question of the "degree" of the relationship. In certain queries this may be the most crucial fact, and may play a major role in causing user confusion. The term "degree" in this context must <u>not</u> be confused with the completely different concept known as the "degree" of a relation. Rather, we want to consider the "degree of an entity within a relation". The concept we are concerned with is the following: holding all other attribute values

fixed, how many tuples differing only in having distinct individuals of the entity set under consideration can there legally be in a given data base? (A suggestive way of describing this concept might be to call it the "degree of freedom" of an entity in a given relation.) In a relation in third normal form, the relationships between any element of the key (assuming the key is a composite key made up of more than one attribute) is N:M; between the key as a whole and any non-key columns, N:1; between candidate keys, 1:1. In the persons-speaking-languages relation, for instance, both the person and language entity-types participate with degree N. (It would be possible to hold relationship information more precisely. For instance, to record that intra-key relationships are N:2. This possibility is not considered further in this thesis, although modifying the Reverse Translation system to incorporate such a refinement would appear to be straightforward.)

2.3.2.3 <u>Information</u> <u>about</u> <u>selections</u> <u>of</u> <u>sub-sets</u>
<u>of</u> <u>entity</u> <u>sets</u>

Many queries involve the selection of sub-sets of entity identifiers which fulfill a certain condition. Where such a sub-set would replace the full range of possible entity identifiers, this fact must be incorporated into the Reverse Translation. In the previously-cited example, a query might direct the selection from the base relation of all those tuples where the language value was

"French".

2.3.2.4 <u>Information   about   entity   set</u>
        <u>correspondence   in   complex   associations</u>

Even a very short sequence of operations can produce a
derived relation with very complex semantics as regards
the relationships existing among the entities
represented in it. This is especially liable to be the
case where some or all of these entities are no longer
represented by attributes in the final relation. Where
two or more such entities from the same domain are
present in a relation, there must be some way of
asserting either their identity, or lack of it. An
illustration of this problem, and a solution to it, are
taken up in Chapter Five.

CHAPTER THREE

DATA STRUCTURES TO
SUPPORT REVERSE TRANSLATION

3.1 Introduction

The goal of Reverse Translation is to be able to map any sequence of relational algebraic operations into a pseudo-natural language text which will convey to the user the meaning of the relation derived from these operations. In order to accomplish this an intermediate structure is needed which will simultaneously faithfully reflect the semantics of the sequence of operations and serve as a basis for text generation. In this chapter the intermediate data structure devised to play this role is described. First the data structure which is created by the user and which is associated with the Base Relations is shown, along with an example of the graph-creation process. Then the elaborated graphs associated with queries on Base Relations are shown for each Relational Algebraic operator, along with examples of the Reverse Translation output generated from each graph.

The information necessary to sustain Reverse Translation is represented by creating a labelled directed graph, henceforth termed a Reverse Translation (RT) graph, for each Base Relation at the time of the Base Relation's creation. With respect to its traversal by the Reverse Translation Generation algorithm, this graph is an n-ary tree made up of two kinds of nodes: Predication Nodes, and Entity Nodes. ("Non-navigational" links may

also exist bewteen Entity Nodes in graphs of relations which are derived from other relations.) Relational algebra operators applied to relations may add additional Logical Operator Nodes. Predication Nodes are used to store information solicited from the relation's creator about the relationship which obtains among the entity types whose occurrences will make up the attributes of the relation. Information about these entity types is accessed via Entity Nodes, which are descendants of Predication Nodes.

The actual generation of pseudo-Natural Language Reverse Translations requires in addition to RT graphs information specific to the entities (considered in isolation from other entity sets) making up the domains of each relation. This information is input at domain creation time and held with the usual information about the domain. (The terms "entity type" and "domain" are equivalent in this thesis.) The application of relational algebra operators to relations results in the creation of several kinds of Operator Nodes. Predication Nodes, Entity nodes and Operator nodes together make up a derived graph, for the derived relation. This derived graph records the modifications that the relational algebraic operations have made in the derived relation and is used to generate the Reverse Translation.

A Base Relation Graph consists solely of a Predication

Node and Entity Nodes which are the Predication
Node's only descendants. Derived graphs may in addition
have one or more Operator Nodes, consisting of Logical
Nodes, Comparison Nodes and Value Nodes. All information
is carried in the nodes, links being used merely to
navigate among them. A Reverse Translation is generated
by visiting, in order, each of the nodes of the graph and
generating at each node text which will in the aggregate
have the form of stylised pseudo-natural language
statements.

3.2 Base Relation Nodes: Entity Nodes and Predication Nodes

3.2.1 Predication Nodes

3.2.1.1 Role in Reverse Translation

Predication Nodes hold information about the kind of
relationship which obtains among the values of each
tuple. They express, in Sowa's terms, the "intension" of
each relation. [Sowa, 1983] Each Base Relation has one
and only one predication node. Predication Nodes are by
far the most complex type of node, since they must bear
most of the information conveyed by the reverse
translation process, and because they are the node most
subject to user definition.

Underpinning the Predication Node construct is the
following assumption: someone who creates a Base
Relation, as previously defined, must be able to generate
a sentence which will transmit the meaning, or

relation. (All tuples in a relation have equal status: for instance, there is no concept of ordering among tuples.) This sentence __must__ include a reference to each attribute, but a sentence which consisted __only__ of such references could not be a full description of a relation. Since attribute values are the only objects held in a relation, and since other relations with the same attributes (drawn from the same domains), in the same order, could always be created, there must be "something else" associated with each distinct relation which distinguishes it from all other relations with the same "surface structure".

This can be illustrated by the two relations representing speakers and readers of languages, FSL and FRL, whose "surface structure" of attributes is identical. The "something else" which justifies the existence of two distinct relations can be represented as strings of words which link the attribute values, and which are different for the two different relations. A PERSON __speaks__ the associated LANGUAGE in FSL, and __reads__ it in FRL. Anything which might represent the "speaks" relationship has been "factored out" of FSL precisely because it is true for every tuple. Ironically, it is precisely those strings which carry the meaning of a tuple which do not appear in a relation.

A Base Relation of Rdegree N will have a Predication Node
with N Entity Nodes as descendants. The Predication Node
will be termed a predication of Pdegree N. The number of
immediately-descendant Entity Nodes of a Predication Node
is fixed at the time of its creation by the user and does
not subsequently change.

```
            ----------
          /            \
         /      P1       \
         \               /
          \             /
           _____/
          /             \
      ___/____      _____
     !        ! !  !          !
     ! Entity-1 ! ! Entity-2  !
     !        ! !  !          !
     !_____! !  !_____!
```

Figure 3.1. A generalised   Predication Node (P1)
             with two descendant Entity Nodes.

3.2.1.2.1 Overview

A Predication Node consists of a set of Predication Phrases which are chosen by the Base Relation's creator to express the relationship existing among the values of each tuple in a Base Relation. Each phrase in the Phrase Set is in turn a set of strings. A "slot" precedes the first phrase, and follows it and all other phrases. The number of slots equals the degree of the Base Relation and the Pdegree of the Predication Node. Thus there are Pdegree-1 phrases in a Phrase Set. (Subsequent projection operations may change the degree of the relation being described by a Predication Node, but the latter's Pdegree remains constant.) This is equivalent to having one slot per Base Relation attribute. Each slot is a link to an Entity Node. Each collection of strings, when uttered with the appropriate Entity names appearing in the "slots", should be semantically equivalent to each other, differing only in that each enunciates the predication for a different permutation of the relation's attributes. (The responsibility for ensuring that this is the case belongs to the creator of the Base Relation.) The relation's creator supplies the appropriate Predication Phrase for each permutation of the relation's attributes, in both singular and plural forms, and in both positive and negative senses, at the time the relation is created. (See Figure 3.4)

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It is the relation creator's responsibility to ensure that all of the sets of phrases in each sense group are equivalent to each other, and that each accurately expresses the relationship obtaining among the entity-types they link. From the system standpoint, each phrase set consists of simple, uninterpreted strings. (The system does not, for instance, hold an English grammar "knowledge base" with information about the semantics of prepositions and verbs.)

Thus a Predication Node and its descendant Entity Nodes are intended to carry either strings or references to strings such that the "meaning" of their Base Relation can be conveyed. The particular set of strings which is generated during Reverse Translation will be termed "the Reverse Translation" of a relation. Occasionally the term "the description" of a relation will be used, as a synonym. All or part of a particular Reverse Translation will sometimes be referred to as an "utterance".

Base Relations of degree N will have N-factorial semantically-equivalent predication phrase sets. Each such set consists of a <u>main predication phrase</u>, and N-2 <u>case indicator phrases</u> which link the entities not linked by the main predication phrase.

3.2.1.2.2 <u>Main Predication Phrase Set</u>

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The **main** _predication_ _phrase_ is that phrase which carries the principal burden of communicating the "meaning" of the relation. It may be thought of as corresponding to the "verb" in a sentence. (The actual grammatical categories of the instances of domain representatives which occur in a tuple is irrelevant, because the Reverse Translation always refers to a domain representative as a member of a domain, i.e. as a noun. For example, the Reverse Translation graph of a binary relation recording VERBs in one column and possible ADVERBs modifying them in another, would have a Main Predication Phrase describing the relationship between them.)

Predication phrases will always be placed between phrases applying to entities. In a binary relation, the Main Predication Phrase will be the only component of a phrase. Note that a Main Predication Phrase may include "case indicating" prepositions, or any string whatsoever. In a binary relation which records a reflexive relationship between its two attributes, the Main Predication Phrase will be identical in both permutations. The Main Predication Phrase exists in both singular and plural forms, and in both positive and negative senses. (The conventions of English grammar do not require the other "case-indicating" phrases to be inflected for number or sense.)

Each permutation of the main predication phrase, unlike members of the Case Indicator Phrase Set described in the following section, exists in both a "positive" and "negative" sense. The "positive"sense is that which describes the relationship among the entities of a Base Relation tuple. The "negative" sense is useful in order to provide paraphrases of Reverse Translations of certain possible query expressions. For example, in a relation listing persons and the languages they read, it is necessary to be able to generate a phrase to indicate that "X reads Y", but also a phrase to communicate the negation of this fact. This can be done either by simply negating the user-supplied positive phrase ("it is not the case that X reads Y"), or by allowing the relation's creator to choose the phrase that he believes best transmits the intended negative sense (perhaps that "X does not read Y", "X cannot read Y", "X is illiterate in Y", etc.) and generating that instead. This will have advantages when confronting the problem of double negations.

3.2.1.2.3 Case Indicator Phrases Set

Case Indicator Phrases are those which, in relations of degree three and higher, are needed to supplement the information carried in the Main Predication Phrase. As with the Main Predication Phrase,

these phrases may be any string whatsoever (although they typically will be, or at least include, prepositions such as "to" or "with").

	Main Predication Phrase	Case Indicator Phrase

Positive Sense

[X]	supplies	[Y]	to	[Z]
[X]	supplies	[Z]	with	[Y]
[Y]	is supplied by	[X]	to	[Z]
[Y]	is supplied to	[Z]	by	[X]
[Z]	is supplied with	[Y]	by	[X]
[Z]	is supplied by	[X]	with	[Y]

Negative Sense

[X]	does not supply	[Y]	to	[Z]
[X]	does not supply	[Z]	with	[Y]
[Y]	is not supplied by	[X]	to	[Z]
[Y]	is not supplied to	[Z]	by	[X]
[Z]	is not supplied with	[Y]	by	[X]
[Z]	is not supplied by	[X]	with	[Y]

(X, Y, and Z are references to Entity Nodes.)

Figure 3.2   Predication Phrase Sets

3.2.1.2.4 Permutations and Mapping

The system has solicited a predication phrase set from the user at relation creation time for each permutation of the relation's attributes. Therefore, whatever permutation of the relation's attributes obtain when it is necessary to generate a Reverse Translation, the appropriate phrase set can be selected. However, a predication of degree three or higher, which has one or more arguments consisting of entities whose parent columns have been projected out, cannot simply take the permutation of its relation, as can predications of

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relations which have not had attributes projected out. This is because more than one permutation of the "missing" entities is possible which leaves the remaining entities in correspondence with their attributes. To see this, consider the relation SPJ, consisting of information about which Suppliers supply which Parts to which Projects. Now suppose that a relation R1 is defined, derived from SPJ by projecting out attribute P. There are three possible phrase sets which refer to the remaining entities Supplier and Project in the correct order: (Part), Supplier, Project; Supplier, (Part), Project; Supplier, Project, (Part). (The entity corresponding to the projected-out attribute is enclosed in parenthesis.) The choice of which of these phrase sets to utter is arbitrary from the point of view of their equivalence. The Reverse Translation system chooses a set which refers first to all of the remaining entities, in the order which corresponds to the order of their matching attributes, and only then to the "missing" ones. In this case there is only one such phrase set, the one corresponding to Supplier, Project, (Part). (Were the Supplier attribute to now be projected out, there would be two possible choices: Project, (Supplier), (Part) and Project, (Part), (Supplier). The choice is made by the system using an algorithm which returns the first permutation which meets the requirements stated above from the set of reverse lexicographically-ordered

Pdegree! permutations. [Page and Wilson, 1979]

Reverse lexicographical ordering is chosen because in this implementation a table of the twenty-four permutations of four objects is used, rather than an algorithm generating each permutation in turn. Holding the permutations in reverse lexicographical order allows the same table to be used for permutations of fewer than four objects also, since each permutation table for N items is are "nested" within the table for N+1 items. However, this does restrict predication nodes -- and therefore Base Relations -- to a maximum of four arguments. This maximum could be expanded to five, with a table having one hundred and twenty entries. Allowing predication nodes of any number of arguments would require replacing the table-lookup approach with a (slower) permutation-generation algorithm. Since predications are not dynamic with respect to the number of arguments they take, and since a full range of queries can be illustrated with predications of three arguments, the table-lookup approach was chosen.

3.2.2 Entity Nodes

3.2.2.1 Role in Reverse Translation

Entity nodes hold information about the objects represented in relational systems by tuple values. They are the things about which something is predicated by virtue of their appearance in the relation. Each node

holds a reference to a particular collection of information about the domain its entity set is drawn from. This information is not specific to any particular relation, but holds true for all occurrences of representatives of this domain in the data base. (This is described in detail in the next section.)

In addition to a domain reference, the Entity Node holds information specific to the particular appearance of occurrences of representatives of the domain in this relation: whether or not its original attribute has been projected out, or what attribute it appears under, if it has not been projected out; and its "Edegree": whether or not a particular value of a domain representative occurring in a tuple has been uniquely determined by the other tuple values, or not. Finally, when each Entity Node is originally created, it is given a unique internal identity value. (See Figure 3.4) Entity Nodes of derived relations may have descendant nodes carrying information about them.

3.2.2.2 Terminology

An Entity Node whose corresponding attribute has not been projected out will be termed a **Participating Entity Node**. An Entity Node whose corresponding attribute has been projected out will be termed a **Non-participating Entity**

Node.

A SELECTION operation may add information about an Entity
Node. The new information added as the result of a
SELECTION will be held in a graph, which will be termed
a <u>Qualification Sub-graph</u>. The Qualification
Sub-graph is a descendant of that Entity Node whose
corresponding attribute has been the argument of a
selection expression. This is described in detail in
Sections 4.4.3 and 5.2-5.3.

3.2.2.3 Predication Roles

If the Entity Node is going to play a role in the
relation such that it could more usefully be Reverse
Translated with a name other than its domain name, then
this alternative name is held in the Entity Node. This
"Predication Role name" can be helpful in overcoming
ambiguity where a relation has more than one attribute
drawn from the same domain. In a Base Relation the
requirement that each attribute have a distinct name
prevents intra-entity ambiguity from occurring,
especially if the relation's creator chooses attribute
names which are suggestive of the role played by the
entities filling that attribute. But if one or more of
the common-domain attributes gets projected out, the
attribute name as such is no longer available. In this
case the Predication Role name helps maintain the

distinction between entities from the same domain.

```
            / -- ———— \
           /           \
          /             /
          \            /
           _____/
           /          \
      ____/____     _____
     !         ! !         !
     ! Entity-1 ! ! Entity-2 !
     !         ! !         !
     !_____! !_____!
```

Figure 3.3. <u>Entity nodes.</u>

3.2.2.2 <u>Domain information</u>

Each Entity Node refers to a particular domain. Each domain in the system have the following information recorded about it at domain creation time:

<u>entity set name</u>, both singular and plural forms.

<u>animation flag</u>, is an individual of this domain "animate"? The only practical effect of this is in the choice of pronouns: animate domains are referred to by "who", inanimate ones by "which".

<u>representation flag</u>: whether or not individuals are represented in this domain by their "own" names, or by special codes. If they are represented, the singular and plural forms of their representative's names are recorded. As an example, languages are recorded directly using their own names ("French", "German",

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etc.) but suppliers are represented by Supplier Codes. This information is used when uttering qualifying graphs: thus the system will describe "a Language, which is French," but "a Supplier, whose Supplier Code is S123".

self-identification flag: a Boolean value which is TRUE if the values which represent individual entities can always appear in a Reverse Translation without a prior reference to their domain or domain-representative. If they can be, the domain is said to be "self-represented" and the Reverse Translation generation algorithm may optionally omit reference to the domain (or domain representative) from which the values are taken. An example of the distinction in practice would be, assuming that the domain of persons is not self-representing but the domain of languages is, a Reverse Translation fragment consisting of "at least one PERSON, whose name is Smith, speaks [a LANGUAGE, which is] French." In this fragment, domains are in upper case, domain representatives are underscored, and the part of the Reverse Translation phrase which can be optionally omitted (given that the domain of LANGUAGES is self-representing) is enclosed in square brackets.

data type and range data: what datatype the values which will represent individual entities in the system will be.

<u>comparison phrases</u>: a default set of phrases for the relational comparison operators ("<", "=", etc.) is available, but the user can override these and supply his own if a tailor-made set of phrases would add clarity. (This is most likely to occur where a domain's values are judged to be self-identifying, as described above. For instance, objects and values from a domain of AGE might be usefully compared with the phrases " is younger than", "is", etc.)

3.2.2.3 <u>Domain Information Storage</u>

All information about domains is stored in an array of domain records where it may be accessed by the Reverse Translation generator whenever the latter must utter information relating to a particular entity node.

3.3 <u>An Example of a Base Relation Graph and its</u> <u>Reverse Translation</u>

3.3.1 <u>Generalised RT Base Relation Graph</u>

```
    / 1: <predication>  (a phrase set)      \
   /  2: <permutation>  (1..pdegree!)        \
  /   3: <sense>     (positive or negative)   \
  \   4: <pdegree>   (= number of descendant  /
   \                    nodes -- fixed)      /
    _____/
      /                  !
     /                   !
    /_____  !_____
! 1: <domain>     !  !            !  !            !
! 2: <Edegree>    !  !            !  !            !
! 3: <attribute>  !  !            !  !            !
! 4: <id>         !  !            !  !            !
! 5: <role>       !  !            !  !            !
```

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Figure 3.4   **Information Held in Predication and Entity Nodes**

3.3.2 An Instance of a Base Relation Graph

SPJ

```
                    _____
                 / 1: <predication>: (See Figure 3.2)  \
                 / 2: <permutation>: 1                   \
                 /  3: <sense>     : positive             \
                 \  4: <pdegree>   : 3                     /
                  \                                       /
                   \                                     /
                    _____/
                    /                    !
                   /                     !
                  /                      !
        _____         _____        _____
<domain>   ! SUPPLIER    !    ! PART          !    ! PROJECT         !
<Edegree>  ! N           !    ! N             !    ! N               !
<attribute>! 1 [SNO]     !    ! 2 [PNO]       !    ! 3 [JNO]         !
<id>       ! 003         !    ! 023           !    ! 019             !
<role>     ! none        !    ! none          !    ! none            !
           !             !    !               !    !                 !
           !_____!    !_____!    !_____!
```

Figure 3.5   **The Base Relation Graph for SPJ**

3.3.3 Generating a Reverse Translation from an RT Graph

3.3.3.1 Discussion and Justification of the Method

A Reverse Translation is a generated by an in-order traversal of a Reverse Translation Graph. At each node, text is output which depends on the state of the node, and for Derived Relation Graphs (discussed in the following chapters) on the particular state of a "sense marker" which is global to the node. The principles involved may be seen by an examination of the considerations involved in choosing the wording of each phrase generated from the graph illustrated in Figure 3.5.

3.3.3.2 Predication Phrases

The Predication Phrases ("supplies", "to" etc.) are simply those supplied by the relation's creator, with the proper sense, number and permutation.

3.3.3.3 Indicator Phrases

The user must be able to see to which attribute value an Entity Node whose attribute has not been projected out is referring, and must be able to distinguish between these Entity Nodes and those whose attributes have been lost through projection. If a relation has attributes from common domains, generating their domain names alone will not distinguish between them. Both of these

objectives can be accomplished simultaneously by
including in the Reverse Translation of Participating
Entity Nodes, the name of the attribute to which they
correspond. This is done by enclosing the attribute name
in square brackets and putting it immediately after the
Entity Node's domain name in the Reverse Translation. As
a possibly useful measure of linguistic redundancy, the
domain name of Participating Entity Nodes is also
preceded by the Indicator Phrase, "the indicated". This
is done to reduce the possibility of one-character
attribute names in the Reverse Translation being
overlooked.

3.3.3.4 Edegree Phrases

The degree of participation of the entity in the
predication is signalled by an "Edegree phrase" which is
the final phrase in the Reverse Translation of the Entity
Node. For Participating Entity Nodes of Edegree N the
phrase is "and possibly other" followed by the domain
name.

3.3.4 Reverse Translation of the RT Graph of SPJ

The indicated Supplier [SNO], and possibly other Suppliers,
supplies
the indicated Part [PNO], and possibly other Parts
to
the indicated Project [JNO], and possibly other Projects

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3.4 Derived Relation Nodes: Logical Nodes, Comparison Nodes, and Value Nodes

3.4.1 Logical Nodes

The Logical Nodes are those which result from one of the three set operations, UNION, INTERSECTION, and, DIFFERENCE, and from the JOIN. UNION creates an OR Logical Node, DIFFERENCE a NOT Logical Node, while both INTERSECTION and JOIN create an AND Logical Node. A Logical Node is not intended to represent the algebraic operation which created it, but rather holds a token for the logical relationship brought into being between the RT graphs of the operand relations by the relational algebraic operation which operated on their parent relations. This token is illustrated in Figure 3.4 by "<L>". A Logical Node becomes the root node of the (derived) RT graph which is composed as the result of the operation. A Logical Node always has as descendant graphs in such a case the graphs of the two operand relations. The left descendant graph is the graph of the relation on the left of the operator in the Relational Algebra expression, and the right-hand graph is the graph of relation to the right of the operator. The complete graph of the derived relation may sometimes be transformed into an equivalent graph, possibly with fewer constituent elements, according to rules explained in Chapter Five. As a result of the algorithms which create Reverse Translation graphs, OR nodes can also be the parent nodes of Comparison Nodes, or of OR or AND nodes, while AND nodes can be the parent nodes only of Comparison Nodes or

AND nodes. Examples of these graphs used to represent
derived relations can be found in the following chapter,
with a justification for the special status of OR nodes.

```
                  ___
                 !   !
        /--------! <L> !----------\
       /         !___!             \
      /                             \
     /                               \
```

Figure 3.4. A Logical Operation Node.

3.4.2 Comparison nodes

A Comparison Node is a node with a single descendant
Value Node, holding a token for the comparison operator
used in a SELECTION operation, illustrated by "<c>"
in Figure 3.4. In the SELECTION expression,

      R1 <- P1 : [P="French"]

a Comparison Node holding a token for the "=" operator is
created.

```
        !
       _!_
      / <c> \
      \___/
        !
        .
        .
```

Figure 3.4.1. Comparison Node.

3.4.3 Value nodes

A Value Node is a simple leaf node which holds a string equivalent of the value in a SELECTION operation (illustrated in Figure 3.4.1 by "<v>" . In the SELECTION expression,

    R1   <-   P1   :   [P="French"]

a Value Node holding the string "French" is created.

```
         !
       __!__
       ! <v> !
       !___!
```

Figure   3.6   A Value Node.

CHAPTER FOUR

RELATIONAL OPERATIONS

AND REVERSE TRANSLATION

4.1 Introduction

This chapter introduces the actual process of Reverse Translation by examining the effect of each relational operation on an example Base Relation Graph (BRG), (defined in Sections 3.1 - 3.2) illustrating the "before" and "after" states of the graphs associated with the parent relation(s) and the derived relation.

A relation which is derived from a monadic relational algebra operation (a PROJECTION, PERMUTATION, or SELECTION on a single relation) will inherit a graph which is composed of a modified variant of the ancestor relation's graph. (See Figures 4.1 - 4.2) A relation derived from a dyadic operation (UNION, DIFFERENCE, INTERSECTION or JOIN) will inherit a graph composed from both graphs of its parent relations. (See Figures 4.3 - 4.9)

The derived graph of the resultant relation is emphatically not an "execution tree", although occasionally it may bear a superficial resemblance to one. (An execution tree would add a new node for each new operation, with the last operation being the root node of the tree. An RT graph, although a tree, and affected by each new operation in which its parent relation takes part, does not necessarily have a new node added at the root of the tree as the result of an algebraic operation,

and in fact may not have new nodes added at all.)

For the derived relation's graph to be of value to the user, it must serve as the input to a Reverse Translation algorithm. A given RT graph can be Reverse Translated in more than one semantically-equivalent way, given the conventions of English. It may also be subject to prior manipulations which preserve its semantics but enhance the user's comprehension of the graph's meaning when its Reverse Translation is generated. The full Reverse Translation system incorporates both further graph manipulations and additional linquistic choices incorporated in the Reverse Translation algorithm itself, which are not illustrated in this chapter. The pragmatic considerations that guide the choices made in further graph manipulation and in the generation of a Reverse Translation are taken up in detail in Chapters Five and Six. In this chapter each derived graph is accompanied by the Reverse Translation which would be generated in the absence of further meaning-preserving manipulations and without regard to possible simplifications in the generation of its Reverse Translation.

4.2 PROJECTION

4.2.1 Effect on relation semantics

A PROJECTION strips out one or more attributes from a

relation. In terms of the user-supplied tuple-description of the relation, a PROJECTION withdraws the specific occurrence of an entity value from each "slot" in the relation corresponding to the attribute or attributes projected out. If before a PROJECTION a relation told us that John speaks French and English, and Mike English and German, then projecting out the attribute holding the language values leaves us with a relation from which we can learn only that John and Mike speak one or more languages.

PROJECTION is the operation which, viewed from the Reverse Translation perspective, has the most radical impact on relation semantics. This will be seen to be especially so, where the attributes projected out have been created by compounding two or more attributes from distinct relations in previous intersections, differences or joins. This will be demonstrated in Chapter Five.

4.2.3 Effect on Graph

The effect of a PROJECTION on the RT graph of the derived relation is to set the relevant attribute value of the Entity Node of each discarded entity-set in each Predication Node to zero. (To enhance the visual impact of the PROJECTION operation, the convention by which the effect of PROJECTION on an Entity Node will be illustrated in this thesis is a small open box at the

point of attachment between the projected-out Entity Node
and the link by which it is attached to its
Predication Node. This is merely a presentation device.)
The effect of PROJECTION is illustrated by Figure 4.1
where the Base Relation SPJ is projected on attributes
SNO, PNO, with attribute JNO (representing Projects)
being discarded. All information relating to JNO is lost
from the tuples of the resulting relation, SP, but is
retained in the graph of SP . In the case of a
PROJECTION on a Derived Relation Graph (DRG) to produce a
further DRG, where a given projected-out attribute may
be referred to by more than one Entity Node (the
descendants of different Predication Nodes), every such
Entity Node's attribute value must be set to indicate a
missing attribute.

4.2.4 Example Queries

SP <- SPJ X [SNO, PNO]   (Graphs illustrated in
                                 Figure 4.1.)

P <- PC X [P]   (Graphs not illustrated.)

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```
SPJ
       _____---- 
      /               \
     /     supply       \
     \                  /
      _____/
       /      !          !
   ___/___  ___!___  ___ ___
   !      !!  !   !!!      !
   ! Supplier !! Part  !! Project !
   !      !!  !   !!!      !
   !_____!!_____!!_____!

            !!
          \ !! /
           \!!/
            V

SP
       _____\
      /            \
     /    supply     \
     \               /
      _____/
       /    !         \
   ___/___  ___!___  ___!___   [ ]    <--  the effect
   !      !!  !   !!      !            of
   ! Supplier !! Part  !! Project !    PROJECTION
   !      !!  !   !!      !            on PNO
   !_____!!_____!!_____!
```

Figure 4.1   The effect of a PROJECT

4.2.5 Effect on Translation

The disappearance of an entity through PROJECTION may impact its Reverse Translation in three ways.

(1) An entity which has been projected out of a tuple (a Non-participating Entity Node) must now have its current absence (but previous existence) signalled by a different Indicator Phrase. The exact phrase used to replace it depends on several factors which are discussed in Chapter Seven. The Indicator Phrases shown here are those which are generated for Base Relation Graphs. The two possibilities, corresponding to Entity Nodes of Edegree

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1, and Nodes of Edegree N, are discussed in Section
4.2.5.1.

(2) The Attribute Reference (" ([<attribute name>]) ")
must vanish, since the corresponding attribute has been
eliminated through the PROJECTION.

(3) The Edegree Phrase may be changed, depending on the
Edegree of the affected Entity Node (1 or N). Both cases
are discussed, and the choices made justified, in the
next two sections.

4.2.5.1 <u>The Edegree of Entities</u>

4.2.5.1.1 <u>Entities of Edegree N</u>

In the case of a BRG, the Indicator Phrase for a
PROJECTED-out Entity Node of Edegree N will be "at
least one". This particular Indicator Phrase incorporates
the Edegree information which, for Participating Entity
Nodes, is carried in the Edegree Phrase ("and possibly
others"), which can, consequently, be dropped in the
interests of minimising verboseness. This is illustrated
in Section 4.2.6.1.

4.2.5.1.2 <u>Entities of Edegree 1</u>

An Entity Node of Edegree 1 in a BRG cannot have an
Indicator Phrase of "at least one" since it represented

in fact the _only_ entity occurrence which could have
appeared in this column. Its Indicator Phrase will
accordingly be "a/an". Unlike the case of Entity Nodes of
Edegree N, the Edegree information is not clearly
signalled by the new Indicator Phrase, and so the Edegree
Phrase is retained. This is illustrated in the example in
Section 4.2.6.2.

4.2.6 Reverse Translation Examples

4.2.6.1 Reverse Translation of SP

> The indicated Supplier [SNO], and possibly other Suppliers,
> supplies
> the indicated Part [PNO], and possibly other Parts,
> to
> at least one Project

4.2.6.2 Reverse Translation of P

> The indicated Person [P], and possibly other Persons,
> was born in
> a Country, and it alone

4.3 PERMUTATION

4.3.1 Effect on relation semantics

The PERMUTATION of a relation A to produce a relation
B will affect B's semantics with respect to its
participation in any operation whose outcome is dependent
on the order of the participant relation's attributes.
In the syntax of the relational algebra used to
illustrate this thesis, this is the case for the set
operations UNION, DIFFERENCE and INTERSECTION. For
instance, consider a relation of degree two, with both

attributes drawn from the domain of PERSONS, representing
the relationship of teacher-student between the first and
second attributes. Now consider a second relation, also
of degree two and with both attributes drawn from the
domain of persons, representing parents and offspring. If
the first relation is intersected with the second, the
resultant relation represents all pairs of PERSONs such
that the first person teaches and is the parent of the
second. If the first relation is now permuted (so
that they "change places"), then its intersection with
the second (parents-offspring) relation gives a relation
representing all pairs of PERSONs such that the first
person teaches and is the offspring of the second.

4.3.2 Effect on Graph

The PERMUTATION of a relation's attributes will have two
effects on its graph:

(1) it will notionally re-set the order of the
Predication Node's pointers to the entity argument slots.
The practical effect of this will be to present the
descendant Entity Nodes of the Predication Node to any
processing algorithm in a revised order, reflecting the
permutation of the relation. (Figure 4.2)

(2) it will change the permutation indicator value
and therefore will alter the particular predication

Phrase Set which will be generated in the Reverse
Translation. As described in Section 3.2, the Predication
Node has been supplied by the user, at relation creation
time, with a set of descriptive phrases for each
permutation of the entity arguments of the relation. The
current phrase set is indicated by the permutation
indicator value.

4.3.3 Example Query

JPS <- SPJ # [JNO, PNO, SNO]

Figure 4.2   The effect of a PERMUTATION.

The order of entity appearance is altered to correspond to the new attribute order, and the appropriate predication phrase (corresponding to the new entity order) will be generated.

4.3.5 Reverse Translation of JPS

> The indicated Project [JNO], and possibly other Projects,
> is supplied with
> the indicated Part [PNO], and possibly other Parts
> by
> the indicated Supplier [SNO], and possibly other Suppliers

4.4 SELECTION

4.4.1 Effect on relation semantics

A SELECTION chooses a sub-set of the tuples of the operand relation. In terms of the user-supplied description of the tuples of a relation B created as the result of SELECTION on a relation A, a SELECTION provides us with additional information about the entity occurrence represented by the attribute selected on. In a Base Relation we know only that what is true for the domain from which entity representative occurrences are drawn is true for each entity occurrence. In the derived relation we are able to assert additionally that the value of each occurrence of an entity representative corresponds in a manner specified by the SELECTION expression to a. sub-set of the possible entity representative values which might occur in this position.

Thus the practical effect of SELECTION on a domain with well-ordered values is to tell us the following: either what particular domain value every occurrence of that domain in this attribute is, for a SELECTION expression with the equality operator; or, to tell us either or both of the inclusive or exclusive upper and lower limits of the domain values which may be taken by occurrences found in this attribute (the lesser-than, lesser-than-or-equal, greater-than-or-equal and greater-than operators), with zero or more values which the occurrence may not have (the not-equality operator).

There is more than one way to represent such knowledge of permitted and non-permitted domain values internally. The method chosen in the work reported in this thesis was to hold the information in a way maximally consistent with the rest of the RT apparatus. This is as a graph.

4.4.3 Effect on RT Graph

A SELECTION on a given attribute in a Base Relation Graph has the effect of attaching a Qualification Sub-graph to the selected-on attribute's Corresponding Entity Node. A SELECTION made on an attribute which has not previously been the argument in a SELECTION expression adds a single Comparison Node with a Value Node as its sole descendant to the Corresponding Entity Node in the

4.4.4 Example

SPJ1 <- SPJ : [PNO > "P1"]

4.4.5 Effect on translation

The effect of attaching a Qualification Sub-graph to an Entity Node is to insert a qualifying phrase (underscored in the example below) into the Reverse Translation following the entity reference and preceding the Edegree phrase. If the domain which is represented by the thus-modified Entity Node is one whose entity occurrence values have been declared at domain-creation time to be represented by values from another domain, then the phrase "whose" is generated, followed by the of the name of the domain's representative, followed by the RT phrase for the value of the Comparison Node, followed by the string held in the Value Node.

The cases of more complex Qualification Sub-graphs, and of Qualification Sub-graphs after their parent Entity Nodes have been made Non-Participant nodes through projections, are taken up in Chapter Five.

```
                 /‾‾‾‾‾‾‾‾‾‾‾‾‾‾‾\
                 /   supply       \
                 \                 /
                  _____/
                  /      !       \
              ___/___   !    _____
             !       ! ! !   !       !
             ! Supplier ! ! Part ! ! Project !
             !_____! ! !   ! !_____!

                   !! /
                   !!/
                    /
```

```
                 /‾‾‾‾‾‾‾‾‾‾‾‾‾‾‾\
                 /   supply       \
                 \                 /
                  _____/
                  /      !       \
              ___/___   !    _____
             !       ! ! !   !       !
             ! Supplier ! ! Part ! ! Project !
             !_____! ! !   ! !_____!

                     _!_
                    / >        <-- the effect of a
                    ___/            SELECTION
                     _!_
                    ! P1 !
                    !___!
```

Figure 4.3   The effect of a SELECTION on an unqualified Entity Node.

4.4.6 Reverse Translation of SPJ1

The indicated Supplier [SNO], and possibly other Suppliers,
supplies
the indicated Part [PNO],
whose Part-number is greater than P1,
and possibly other Parts
to
the indicated Project [JNO], and possibly other Projects

4.5 The Set Operations

The set operations will be illustrated two relations: One
will be the relation PSL,PERSONs and the LANGUAGEs they
speak, introduced in Chapter Two and illustrated in
Table 2.1. The other will be the relation PRL,

4.5.1 INTERSECTION

4.5.1.1 Effect on relation semantics

The effect of intersecting two relations A and B to produce a relation C is to produce a relation in which the following can be said of each of its tuples: everything which was true for a tuple of relation A is true for a tuple of relation C, and everything which was true for a tuple of relation B is true for a tuple of relation C.

4.5.1.2 Effect on RT Graph

The effect of intersecting two Base Relation RT graphs is to unite them via an AND Logical node, and to link each participating Entity Node (any Entity Node which corresponds to an existing attribute in its relation) in the left-hand graph to its equivalent in the right-hand graph. This is illustrated in the diagrams by arcs between node boxes, but actually implemented via setting the unique node identity value of each participating Entity Node in the right-hand graph to the value of its equivalent in the left-hand graph. The necessity for this linkage, and its effect on the Reverse Translation of the derived graph is explained in Section 4.5.1.7.

The resulting list of (notionally) linked Entity Nodes will be termed an Entity Node Chain, and the left-most Entity Node will be termed the PrincipalEntityNode. In the immediately-following example graph there are two Entity Node Chains. The Entity Nodes referring to the same (non-projected) attribute will be termed Corresponding Entity Nodes. The Entity Nodes in a given Entity Node Chain will be termed Equivalent Entity Nodes. Equivalent Entity Nodes are all Corresponding Entity Nodes (assuming that they refer to a non-projected out attribute) but not all Corresponding Entity Nodes are necessarily Equivalent Entity Nodes. Note that Equivalent and Corresponding Entity Nodes must be drawn from the same domain (although not all Entity Nodes drawn from the same domain are necessarily Equivalent or Corresponding Entity Nodes).

Although not illustrated in this chapter, a further process of transferring Equivalent Entity Nodes' Qualification Sub-graphs, if such exist, to the Principal Entity Node will also take place, as described in Chapter Five. The information which is thereby recorded, linking Equivalent Entity Nodes, will be used by the RT generation algorithm, as explained in Chapter Six.

4.5.1.4 Example Query

PL1 <- PRL ^ PSL

4.5.1.5 Effect on translation

Where the derived graph has not been reduced, the left descendant graph will be uttered. Then a linking phrase is generated, which will be "and" in the case of RT Graphs derived strictly from Base Relation Graphs. Then the right descendant graph will be uttered. The existence of an Entity Node Chain (Entity Nodes in the right-hand graph corresponding to an Entity Node in the left hand graph) has no effect on the Reverse Translation where the Entity Nodes concerned represent attributes which have not been projected out. The justification for this is the following: where a Reverse Translation is about an existing entity representative, it is sufficient to make reference to the particular attribute it occurs in, to be unambiguous about which possible entity representative is being referred to. The opposite case is considered in the next Section.

.

PLi

(Note that Entity node links are not implemented
as pointers but rather via equating Entity Node
identity values.)

Figure 4.4   The effect of INTERSECTION

4.5.1.6 Reverse Translation of   PLi

The indicated Person [P], and possibly other Persons,
reads
the indicated Language [L], and possibly other Languages,
and
the indicated Person [P], and possibly other Persons,
speaks
the indicated Language [L], and possibly other Languages

4.5.1.7 Entity Node Chains and Relation Semantics

The crucial role of Entity Node Chains in maintaining a true representation of the semantics of relational operations may be seen if we consider the following two sequences of operations:

Sequence I

```
P1 <- PSL % [P]
P2 <- PRL % [P]
R1 <- P1 ^ P2
```

Sequence II

R2 <- PLi % [P]   (See Section 4.5.1.4 and Figure 4.4)

```
        R1              R2
         P               P
      [PERSONS]       [PERSONS]

   _____    _____
  ! Ada■      !   ! Ada■      !
  ! Gunther   !   ! Gunther   !
  ! Uli       !   ! Uli       !
  ! Zahid     !   !_____!
  !_____!
```

Table 4.2.   The relations R1 and R2.

R1

Figure 4.5   The RT Graph of R1

```
              _____
             |      |
      _ _ _ _|  ^   |_ _ _ _
     /       |_____|       \
    /                        \
   /  _____          _____  \
  /  /      \        /      \  \
 /  /  reads \      /  speaks \  \
    \         /    \          /
     _____/      _____/
    /       \ [ ]  /         \  [ ]
 __/____  __ __ __   __/____  __ __ __
|       | |       | |       | |       |
| Person| |Language| | Person| |Language|
|_____| |_____| |_____| |_____|
    ^         ^          !         !
    !         !_____ ) _____!
    !_____!
```

Figure 4.6   The RT graph of R2

The differences in these two graphs represent crucial differences in the semantics of the sequence of relational operations: PROJECTIONs, on the one hand, and DIFFERENCE, INTERSECTION, and JOIN, on the other, are not commutative. The two relations R1 and R2 are distinguished by the fact that the first was created by projecting and then intersecting, and the second was created by intersecting and then projecting. If both sequences of operations yielded identical RT graphs, then these graphs would not be accurate representations of the semantics of their relations. The practical effect of switching the order in which PROJECTION is done is to make R1 contain all those people who speak one or more languages, and read one or more languages (but not necessarily the same ones), while R2 contains those people who speak one or more languages which they

also **read**. This critical distinction, resulting from
a single reversal in the sequence of relational
operations, would appear to be fertile ground for user
confusion. The Reverse Translation process must be able
to handle the distinction.

The problem can be seen to arise in the text generated
for any Non-principal, Non-participating Entity Node.
Recalling the Reverse Translation for the graph of the
relation PL1 (Figure 4.4), we see that projecting out
the Language Entity Nodes (yielding R2) will raise the
question: how to indicate that the Language referred to
in the second predication is the same Language as the one
referred to in the first predication, now that we are
deprived of the ability to refer to its attribute name?

R1 does not present such a problem. The Languages read
and spoken are not necessarily the same ones, and thus
the second mention of a Language requires no reference
back to the previous mention of a Language. (Of course,
the Indicator Phrase given must not imply such a
connection.)

Reverse Translation of R1

The indicated Person [P], and possibly other Persons,
reads
at least one Language,
<u>and</u>
the indicated Person [P], and possibly other Persons,
speaks
at least one Language

In the case of R2, such a reference must be included

Node is uttered, it must be put on a stack of previously-uttered Entity Nodes. Prior to uttering an Entity Node, we must search this stack to see if a Node with the same Node-id (that is, one which precedes the current node in a chain) has already been uttered. If so, the Indicator Phrase must refer to this previously-uttered node. The effect of this solution is seen in the Reverse Translation of R2.

Reverse Translation of R2

The indicated Person [P], and possibly other Persons,
reads
at least one Language,
and
the indicated Person [P], and possibly other Persons,
speaks
that Language

4.6   DIFFERENCE

4.6.1 Effect on relation semantics

Theeffect of differencing relation B from relation A to produce a relation C is to produce a relation for which the following can be said for each of its tuples: everything which was true for a tuple of A is still true for a tuple of C, but whatever was true for a tuple of B is not true for a tuple of C.

4.6.2 Effect on RT Graph

descendant the graph of the relation being differenced from (the relational expression which occurs on the left side of the NOT Operator), and as its right descendant the graph of the relation being differenced. Participating Entity Nodes in the right-hand graph are linked in an Entity Node Chain to their equivalent Entity Nodes in the left-hand graph, in the fashion illustrated in Section 4.6.

4.6.3 Terminology

A predication which is the immediate right descendant of a NOT Logical Operator will be termed a Negated Predication. (This is not to be confused with the "negative sense" set of Main Predication Phrases held in the Predication Node, although the negative sense phrases are intended to provide an optional means of generating the Main Predication Phrase of a Negated Predication.)

4.6.4 Example Query

PLd <- PRL - PSL

Figure 4.7.   The effect of a DIFFERENCE.

4.6.5   Effect on translation

If no transformations are carried out on the deri
graph, then its Reverse Translation will simply be
Reverse Translation of the left descendant of the
node, followed by the conjunction phrase "but it is
the case that", followed by the utterance of the ri
descendant Predication Node. The latter is a Nega
Predication. Its descendant Entity Nodes will have th
Reverse Translation effected in the following ways:

(1) The Indicator Phrase of Non-participating Entity Nodes of Negated Predications is altered from "at least one" to "any". Were no alterations to be made, we would be presented with Reverse Translations like "but it is not the case that the indicated Person ... speaks at least one Language", which does not convey the required meaning so clearly as the word "any".

(2) The Edegree information of each Participating Entity Node is omitted. It is superfluous, if it is the same as the Edegree information of the left-hand graph's Equivalent Entity Nodes, and incorrect, if it is different. Were the key of PSL to be the attribute P alone, then the Reverse Translation of the LANGUAGE Entity Node would be "the indicated Language [L], and it alone." But this would not be correct if carried over to the Entity Nodes of the Negated Predication in the derived relation PLd, as it would possibly lead the user to infer that the negation applied to the Edegree phrase, rather than to the whole predication. The Reverse Translation fragment "but it is not the case that the indicated Person [P], and he alone, speaks the indicated Language [L], and it alone," raises the possibility that multiple speakers and/or multiple-language speaking capacity is being asserted, which is not the case. Therefore the Edegree phrases of Non-principal Entity Nodes which are the immediate descendants of negated

predications are omitted.

4.5.2.6 Reverse Translation of PLd

> The indicated Person [P], and possibly other Persons,
> reads
> the indicated Language [L], and possibly other Languages,
> but it is not the case that
> the indicated Person [P],
> speaks
> the indicated Language [L]

4.5.3 UNION

4.5.3.1 Effect on Relation Semantics

The effect of unioning a relation A with a relation B to produce a relation C is to produce a relation for which the following can be said for each of its tuples: either everything which was true for a tuple of A is still true for a tuple of C, or everything which was true for tuple of B is still true for a tuple of C. "Or" is "weak" or "inclusive" or, and thus both assertions may be valid for a tuple of C.

4.5.3.2 Effect on Graph

A UNION operation on Base Relation graphs creates a graph which is composed of the graphs of the parent relations as left and right descendants from an OR Logical Node. [Fig. 4.8] Corresponding Entity Nodes (Entity Nodes referring to the same attribute, as defined in Section 4.5.1.3) in the left and right descendant graphs are not linked by an Entity Node Chain. (Note that this is

in contrast to their treatment in INTERSECTION and
DIFFERENCE operations.) This is because the predications
in the left-hand graph do not necessarily apply to the
entities represented in the right-hand graph, and vice
versa. For any given tuple (the object of all Reverse
Translation text), all that can be said is that either
the Reverse Translation of one operand relation describes
it, or the Reverse Translation of the other does, or
possibly both do.

4.5.3.3 Example Query

PLu <- PRL   U   PSL

Figure 4.8. The effect of a union.

4.5.3.4 Effect on translation

The phrase "either" is uttered, followed by the utterance of the left descendant graph, followed by the utterance of the phrase "or", followed by the utterance of the right descendant graph, followed by the phrase "or both".

4.5.3.5 Reverse Translation of PLu

either
the indicated Person [P], and possibly other Persons,
reads
the indicated Language [L], and possibly other Languages,
or,
the indicated Person [P], and possibly other Persons,
speaks
the indicated Language [L], and possibly other Languages
or both.

4.6 JOIN

4.6.1 Effect on Relation Semantics

The effect of joining a relation A with a relation B over a common attribute to produce a relation C is to produce a relation for which the following can be said for each of its tuples: everything which was true for a tuple of A is still true for the inherited partial tuple of A which forms part of a tuple of C, and whatever was true for a tuple of B is also true for its incarnation as part of a tuple of C. The two part-tuples making up a complete tuple of C share a common tuple value.

4.6.2 Effect on RT Graph

A JOIN operation over two relations unites the graphs of
the participating relations with an AND Logical Node,
and connects the Equivalent Entity Nodes, which
correspond to those attributes in each relation over
which the JOIN has been made, in an Entity Node
Chain. This is done with notional identity links by
setting the right-hand graph's Entity Node identity
values equal to the left-hand graph's corresponding
Entity Node identity values. Note that an intersection
operation is identical to a JOIN where all attributes
participate in the JOIN. It is instructive to compare the
graph illustrated in Figure 4.9 with, in the first
instance, that of a full INTERSECTION (illustrated in
Figure 4.4), and in the second instance, that of a
zero-common-attributes JOIN, (illustrated in Figure
4.10). The only visible distinction among the
respective RT graphs is in the notional links between
Equivalent Entity Nodes in the left- and right-hand
graphs, but the semantic differences (which RT must
communicate) are crucial.

4.6.3 Example Query

(To conform to the required syntax for the JOIN
operation, we must assure that attributes not
participating in the JOIN have different names. This is

done using the rename facility, which is illustrated first.)

PSL @ [L -> LS]   (Rename the non-participating

PRL @ [L -> LR]   attributes.)

PSRL <- PSL * PRL

(JOIN PSL and PRL over the commonly-named attribute, P.)

Figure 4.9 RT Graph of the relation shown in Table 2.8

4.8.4 Effect on translation

Because the root node of an RT graph produced by a JOIN
operation is an AND Logical Node, the algorithm for
generating its Reverse Translation is identical to
that for INTERSECTION operations: the Reverse Translation
of the left-hand descendant graph will be generated,
followed by "and", followed by the Reverse Translation of
the right-hand graph. Note that in a JOIN, unlike an
intersection, not all attributes are merged, and
thus not all Participating Entity Nodes are linked. In
this example this is reflected in the Reverse
Translation's generation of the attribute names following
each entity. The generation of the attribute names is
crucial for drawing the user's attention to the
distinction between the first, spoken, Language, (in
attribute LR), and the second, read, Language, (in
attribute LS). It is instructive to compare the Reverse
Translation for the above operation to the Reverse
Translation for the intersection of the same two
relations. In the latter case, "the indicated language"
is the same language, the single value appearing in the
column of the attribute L. In the operation under
scrutiny here, there are two distinct languages being
referred to (whether or not they have the same value):
the language held by attribute LR, and the language
held by attribute LS.

The question then arises, what happens if the attributes

LS and **LR** are projected out, leaving only

attribute **P**? The solution to this problem is taken up

in the next Chapter.

4.8.5 **Reverse Translation of PSRL**

> The indicated Person [P], and possibly other Persons,
> speaks
> the indicated Language [LS], and possibly other Languages,
> and,
> the indicated Person [P], and possibly other Persons,
> reads
> the indicated Language [LR], and possibly other Languages.

4.8.6 **Cartesian Product**

4.8.6.1 **Effect on RT Graph and Translation**

A JOIN of two relations with no commonly-named attributes

results in a Cartesian product of the two relations. The

RT graph of the derived relation is exactly identical to

the RT graph of the equi-join illustrated above, but

there are no "sideways links" between Entity Nodes,

because there are no shared attributes. Entity Node links

denote that the Entity Node in question is described by

more than one Predication.

This graph may be compared to the RT graph of a

UNION. The graph of a UNION is likewise devoid of Entity

Node links,because it asserts that a tuple of the derived

relation is described by one or the other of the

descriptions of its parent relations. A Cartesian JOIN

asserts that a tuple of the derived relation is described

by **both** descriptions, but that the first description applies to the first elements of the new tuple (derived from the left-hand parent relation), the other description applying to the remainder of the tuple (derived from the other parent).

```
PSL  @ [ L -> LS ]  (Rename all attributes. As there are
PSL  @ [ P -> PS ]   now no commonly-named attributes a
                     full Cartesian product will result
PRL  @ [ L -> LR ]   when PSL and PRL are JOINed.)
PRL  @ [ P -> PR ]

PSPRL  <-  PSL  *  PRL
```


Figure 4.10 **The RT Graph of the relation shown in Table 2.9**

4.8.6.2 <u>Reverse Translation of   PSPRL</u>

The indicated Person [PS], and possibly other Persons,
speaks
the indicated   Language [LS], and   possibly other Languages,
<u>and</u>,
the indicated Person [PR], and possibly other Persons,
reads
the indicated Language [LR], and possibly other Languages.

CHAPTER FIVE

ENTITY QUALIFICATION

5.1 Introduction

Chapter Four introduced the concept of Qualification Sub-graphs modifying Entity Nodes as a method of representing the effect of a SELECTION. In that chapter, only the attaching of a Qualification Sub-graph to an Entity Node in a Base Relation Graph was considered. An Entity Node in a Base Relation Graph does not have a Qualification Sub-graph prior to participating in an operation, and thus the attaching to it of a descendant graph is a straightforward matter. This chapter completes the discussion of Qualification Sub-graphs by extending it to cover all cases where an Entity Node might be modified by the attachment to it of a Qualification Sub-graph. The ground prepared in this chapter will be a necessary foundation for discussing the processing of derived relations in the general case, which is taken up in the following chapter.

5.2 Terminology introduced in this Chapter

5.2.1 Qualification Sub-graph types

A Qualification Sub-graph [introduced in Section 3.2.2.2] will be termed a Simple Comparison Sub-graph if its root node (pointed to by the Entity Node which it modifies) is a Comparison Node. A single Logical Operator Node with two Simple Comparison Sub-Graphs as descendants will be called a Simple Logical Sub-graph. All other

cases, where more than one Logical Node (AND or OR nodes)
appears in the sub-graph, and which will not have a
Comparison Node as the root node, will be termed Complex
Qualification Sub-graphs.

5.2.2 Canonical form

In the course of creating RT graphs, whenever two Simple
Comparison Sub-graphs are first united by a Logical Node,
the resulting pair of (leaf) Value Nodes are put into
"canonical form". This is done by comparing the left-hand
Value Node to its right-hand Value Node, and reversing
pointers from the Logical Node if the left-hand Value Node
holds a value which is lexicographically greater than the
right-hand Value Node. Note that the concept of
lexicographical ordering operates at the level of the
internal representation of the entity identifiers, and
thus is valid even for those domains which are declared by
the user not to be ordered. (For example, the value
"French" is less than the value "German", even if the
domain of languages has been declared to be one which does
not permit such comparisons at the query language level.)

Holding Sub-graphs in canonical form is necessary for the
efficient operation of Sub-graph reduction, described in
the next section.

```
        _'_
       ! <L> !        Where <L> is either
       !___!          '^' or 'U'.
      /     \
     /       \
    /         \
   _'_       _'_
  / <C₁>    / <C₂>    Where <Cₙ> is any
  ___/       ___/     comparison operator.
    !          !
   _'_        _'_
  !<V₁> !    ! <V₂>!  Where 'V₁' and
  !___!      !___!    'V₂' are values.
```

This graph is in canonical form iff $V_2 \geq V_1$.

Figure 5.1 <u>A canonical form for Simple Logical Sub-graphs</u>

5.2.3 <u>Sub-graph Reduction</u>

If a Simple Comparison Sub-graphs in Canonical Form is replaced by a single Simple Logical Sub-graph with the same truth value, then the former Sub-graph will be said to have been <u>reduced</u>.

5.2.4 <u>Sub-graph Transferral</u>

If a Qualification Sub-graph which is a descendant of an Entity Node is detached from that node and attached to another Entity Node, the graph is said to have been <u>transferred</u>.

5.3 <u>Attaching Qualification Sub-Graphs to each other</u>

5.3.1 <u>Introduction</u>

The example of a SELECTION in Chapter Four was on a Entity Node which had not yet been the object of a

SELECTION, or of any other operation which would attach a Qualification Sub-graph to it. Attaching a Qualification Sub-graph to a previously "un-Qualified" Entity Node thus did not involve any graph manipulation. In this section the problem of attaching Qualification Sub-graphs to Entity Nodes which already have Qualification Sub-graphs is addressed. As the next chapter will demonstrate, the necessity for attaching a Qualification Sub-graph to an already modified Entity Node arises when a SELECTION occurs on that Entity Node's corresponding attribute, or when a JOIN or INTERSECTION operation adds qualified Entity Nodes to an Entity Node Chain through Sub-graph Transferral.

First the case of Simple Comparison Sub-graphs is illustrated, followed by the case of Complex Qualification Sub-graphs. Then the notion of Sub-graph reduction is explained.

5.3.2 Attaching Simple Comparison Sub-Graphs to Simple Comparison Sub-graphs

Attaching a Simple Comparison Sub-graph to an Entity Node having a descendant Simple Comparison Sub-graph will create a Simple Logical Sub-graph, with each of the original Sub-graphs descendants of an AND Logical Node. (This graph may, however, be reduced as explained in Section 5.4.) The justification for this is straightforward. The original Qualification Sub-graph carried information about the particular values that the

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modified Entity Node's representatives in a tuple can take. The information carried by the new Qualification Sub-graph must be added in a way that preserves the previous information. An uncomplicated way to do this is simply to unite the two graphs by making them descendants of an AND Logical Node. This allows us to take advantage of the fact that we already have created the apparatus to create and process trees which descend from AND Logical Nodes, including the generation of Reverse Translations from them. (See Chapter 4.5.1.)

As an example, consider the relation SPJ1 (Section 4.4.2), which consists of all those tuples from SPJ such that the Part Numbers representing Parts in the PNO attribute are greater than 1. Let SPJ2 be the relation consisting of all tuples from SPJ1 such that the Part Numbers representing Parts in the PNO attribute are less than 6.

SPJ2 <- SPJ1 : [PNO < 6]

Figure 5.2   <u>Attaching a Simple Comparison</u>
<u>Sub-graph to an Entity Node with an</u>
<u>existing Simple Comparison Sub-graph</u>

5.3.3 Reverse Translation of SPJ2

The indicated Supplier [SNO], and possibly other Suppliers,
supplies
the indicated Part [PNO],
whose Part-number
is greater than 1
and
is less than 6
and possibly other Parts
to
the indicated Project [JNO], and possibly other Projects

5.4 Reduction and Checking of Qualification Sub-Graphs

The previous example assumed that the AND-composition of two Simple Comparison Sub-graphs was a straightforward operation, as indeed it was for the comparison operators and values (" > 1" and " < 6") selected. However, we must consider other cases. There is nothing to prevent the user from constructing query expressions which would yield the AND-composition of Simple Comparison Sub-graphs with such comparisons as (" > 1" and " > 4"). This particular example would in fact be equivalent to a single Simple Comparison Sub-graph with the comparison "> 4". There are two query paths by which this position could be reached.

Sequence I

```
S1 <- SPJ : [ SNO > 1 ]
S2 <- S1  : [ SNO > 4 ]
```

Sequence II

```
R1 <- SPJ : [ SNO > 1 ]
R2 <- SPJ : [ SNO > 4 ]
R3 <- R1 ^ R2
```

Either of these would yield the following Simple

Logical Sub-graph attached to the Principal Supplier

Entity Node:

Figure 5.3 Redundant Information

The fragment of Reverse Translation that would be

generated therefrom, is

```
the indicated Supplier [SNO],
whose Supplier-Code
is greater than 1
and
is greater than 4
```

This is undesirable for two reasons. Firstly, it is

redundant, since if a Supplier's Supplier-Code is greater

than 4, it is necessarily also greater than 1 and it is

unnecessary to say so. Secondly, and more serious, a

casual reading by a user might lead him to believe that

the derived relation contained the Supplier Codes of

Suppliers whose Supplier Codes were greater than 1, and

the Supplier Codes of Suppliers whose Supplier Codes were

greater than 4. The decision to make a Reverse Translation

take the form of an assertion about a single tuple, and

thus make the text generated from Qualification Graphs

refer explicitly to single individuals, was motivated in

part to overcome problems of this kind. (This is a

classic illustration of the difference between the way in which the terms "and" and "or" may be understood in the casual use of natural language, as contrasted to their precise use in logic.)

It may be noted here that Sequence I could be a perfectly valid sequence of operations, motivated, perhaps, by a desire to first view the subset of all tuples with Suppliers whose Supplier Number was greater than 1, and then to view the subset within this subset of all those with a Supplier Number greater than four. Getting this sequence backwards (as in Sequence IA) yields a final relation S2A with the same semantics as S2, but should signal user confusion, since the final operation cannot change its operand relation S1A.

Sequence IA

```
S1A <-  SPJ  : [ SNO > 4 ]
S2A <-  S1A  : [ SNO > 1 ]
```

But an even worse mistake can occur, which can be seen if we consider the following sequences:

Sequence III

```
S1 <- SPJ : [ SNO > 4 ]
S2 <- S1  : [ SNO < 3 ]
```

Sequence IV

```
R1 <- SPJ : [ SNO > 4 ]
R2 <- SPJ : [ SNO < 3 ]
R3 <- R1 ^ R2
```

In Sequence III, S2 will necessarily be an empty relation, since S1 can have no Suppliers whose Supplier

Codes are less than 3. (Like Sequence IA, the final operation could not affect its operand relation.) Likewise, in the semantically-identical Sequence IV, R1 and R2 are disjoint, and therefore R3 will necessarily be an empty relation. Either of these queries could result from user confusion about the effect of the "AND" (INTERSECTION) operator in relational algebra, which is by no means a formal counterpart of the word "and" in English. It may be counter-intuitive that to get a relation containing both Suppliers whose Supplier Codes are greater than 4, and Suppliers whose Supplier Codes are less than 3, we must not use the AND (INTERSECTION) operator.

Within a "pure" Reverse Translation approach, we might be justified in ignoring the anomalous cases illustrated above. The RT graphs which would be created for the derived relations in Sequences I-IV, and the actual text generated from them, would still be valid descriptions of their associated relations. The description would be redundant in the first case, and would be a description of an impossible relation in the second case. (However, the description would still be valid. A relation defined as having tuple values which are some value and are not that value simultaneously is an empty relation.)

```
        ____'____
    '           '
    ! Supplier  !
    '           '
    '_____'
         _'_
      '  ^  '
      '     '
      '_____'
     /       \
    _'_       _'_
   /  <      /  >
   ___/      ___/
    _'_       _'_
   '  3  '   '  4  '
   '_____'   '_____'
```

Figure 5.4   An Impossible Entity

5.4.2 Reduction Tables

If the aim of Reverse Translation is to provide validation
of queries, to permit the user to formulate the
kind of query expressions described in the previous
section would be perverse if a solution can be found. The
solution to the problems raised in the previous sections
is to implement a method whereby the proposed composition
of two Simple Comparison Sub-graphs can be checked for
redundancy or impossibility. The approach described allows
us to simplify Qualification Sub-graphs where the
proposed operation is evidently purposeful (as in Sequence
I, where a smaller sub-set is being extracted from within
a sub-set), and to signal evidently purposeless
operations, (as in Sequences Ia - IV, where the result
relation is either necessarily empty or identical to one
of its parents.)

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The method of solution involves implementing the procedure illustrated in Tables 5.1 - 5.4. These record the actions to be taken upon input of all possible variants of two Simple Logical Sub-graph arguments, together with the Logical Node (an AND or OR node) with which it is proposed to compose them. (These tables are actually implemented as a procedure.) The procedure they illustrate must be invoked whenever there is an attempt to attach a Simple Comparison Sub-graph to an existing Qualification Sub-graph. The procedure will return one of the following:

(1) an indication that an error has occured. An attempt to AND the Simple Logical Sub-graphs of Sequences III and IV would yield this result.

(2) a pointer to a Simple Comparison Sub-graph which will be either one of the argument sub-graphs, and one of the three "no change" tokens for "left", "right", "either". This will occur if one of the qualifications is subsumed in the other, or if they are both the same. An attempt to AND the Simple Logical Sub-graphs of Sequences I and II would yield this result. (To anticipate discussion in the next Chapter, it can be noted here that if attaching Sub-graphs to _every_ Principal Entity Node gives the _same_ "no change" token we have a "sub-set error".)

(3) a pointer to a Sub-graph which will be composed from

the Simple Logical Sub-graphs which are input to the procedure as its arguments, and a "new graph" token. This pointer may be to either a Simple Logical Sub-graph composed from the two Simple Comparison Sub-graph arguments, or to a Simple Comparison Sub-graph whose operator token is composed from the operator tokens of its arguments.

The use of these Reduction Tables will prevent the creation of RT graphs with the kind of redundant Qualification Graphs illustrated in Figure 5.3. Not only can Qualification Graphs be reduced to equivalent but more compact forms, but with them we are able to detect queries which would create "impossible" (necessarily empty) or "redundant" (identical to an operand) relations. The next chapter demonstrates the use of these tables in this way.

Key to Tables 5.1 -- 5.4

L: denotes that the left-hand argument graph replaces the proposed composed graph.

R: denotes that the right-hand argument graph replaces the proposed composed graph.

E: either argument graph may replace the proposed composed graph.

N: a Simple Logical Sub-graph replaces the proposed composed graph, with a Comparison Node different from the Comparison Nodes of either argument graph.

error: the proposed composed graph is disjoint.

null: the proposed composed graph is nil.

Table 5.1 Reduction of Intersections, k1 < k2

```
===============================================================================
;          ;;       '       ;       '       ;       '       ;       '     ;     '     ;       '     ;;
; right:   ;;     / < \      ;     /<= \     ;     /< >\      ;     / = \   ; />= \   ;     / > \   ;;
; l        ;;     \___/      ;     \___/     ;     \___/      ;     \___/   ; \___/   ;     \___/   ;;
; e        ;;       '        ;       '       ;       '        ;       '     ;   '     ;       '     ;;
; f        ;;     !k2 !      ;     !k2 !     ;     !k2 !      ;     !k2 !   ; !k2 !   ;     !k2 !   ;;
; t        ;;     !___!      ;     !___!     ;     !___!      ;     !___!   ; !___!   ;     !___!   ;;
;==========;;==============;================;================;=========;=========;========;;
;    '     ;;      '       ;       '        ;       '        ;              ;             ;;
;  / < \   ;;    / < \     ;     / < \      ;     / <        ;              ;             ;;
;  \___/   ;;    \___/     ;     \___/      ;        _/      ;    error     ;    error    ;    error  ;;
;    '     ;;      '       ;       '        ;       '        ;              ;             ;;
;  !k1 !   ;;    ! k !     ;     !k1 !      ;     !k1 !      ;              ;             ;;
;  !___!   ;;    !___! E   ;     !___! L    ;     !___! L    ;              ;             ;;
;----------;;--------------;----------------;----------------;---------;---------;--------;;
;    '     ;;      '       ;       '        ;       '        ;     '    ;    '    ;;
;  /<= \   ;;    / < \     ;     /<=        ;     / <        ;   / = \  ;  / =    ;;
;  \___/   ;;    \___/     ;     \___/      ;     \___/      ;   \___/  ;  \___/  ;    error  ;;
;    '     ;;      '       ;       '        ;       '        ;     '    ;    '    ;;
;  !k1 !   ;;    !k2 !     ;     ! k !      ;     ! k !      ;   ! k !  ;  ! k !  ;;
;  !___!   ;;    !___! R   ;     !___! E    ;     !___! N    ;   !___!R ;  !___!N ;;
;----------;;--------------;----------------;----------------;---------;---------;--------;;
;    '     ;;      '       ;       '        ;       '        ;          ;    '    ;    '    ;;
;  /< >\   ;;    / <       ;     / <        ;     /< >\      ;          ;  / > \  ;  / >    ;;
;  \___/   ;;    \___/     ;     \___/      ;     \___/      ;          ;  \___/  ;  \___/  ;;
;    '     ;;      '       ;       '        ;       '        ;   error  ;    '    ;    '    ;;
;  !k1 !   ;;    !k2 !     ;     ! k !      ;     ! k !      ;          ;  ! k !  ;  ! k !  ;;
;  !___!   ;;    !___! R   ;     !___! N    ;     !___! E    ;          ;  !___!N ;  !___!R ;;
;----------;;--------------;----------------;----------------;---------;---------;--------;;
;    '     ;;              ;       '        ;                ;     '    ;    '    ;;
;  / = \   ;;              ;     / =        ;                ;   / = \  ;  / = \  ;;
;  \___/   ;;    error     ;     \___/      ;     error      ;   \___/  ;  \___/  ;    error  ;;
;    '     ;;              ;       '        ;                ;     '    ;    '    ;;
;  !k1 !   ;;              ;     !k2 !      ;                ;   ! k  ! ;  !k1 !  ;;
;  !___!   ;;              ;     !___! L    ;                ;   !___!E ;  !___!L ;;
;----------;;--------------;----------------;----------------;---------;---------;--------;;
;    '     ;;              ;       '        ;       '        ;     '    ;    '    ;    '    ;;
;  />= \   ;;    error     ;     / =        ;     / > \      ;   / = \  ;  />= \  ;  / > \  ;;
;  \___/   ;;              ;     \___/      ;     \___/      ;   \___/  ;  \___/  ;  \___/  ;;
;    '     ;;              ;       '        ;       '        ;     '    ;    '    ;    '    ;;
;  !k1 !   ;;              ;     ! k !      ;     ! k !      ;   !k1 !  ;  ! k !  ;  !k2 !  ;;
;  !___!   ;;              ;     !___! N    ;     !___! N    ;   !___!R ;  !___!E ;  !___!R ;;
;----------;;--------------;----------------;----------------;---------;---------;--------;;
;    '     ;;              ;                ;       '        ;          ;    '    ;    '    ;;
;  / > \   ;;    error     ;     error      ;     / > \      ;   error  ;  / > \  ;  / > \  ;;
;  \___/   ;;              ;                ;     \___/      ;          ;  \___/  ;  \___/  ;;
;    '     ;;              ;                ;       '        ;          ;    '    ;    '    ;;
;  !k1 !   ;;              ;                ;     !k2 !      ;          ;  !k2 !  ;  ! k !  ;;
;  !___!   ;;              ;                ;     !___! L    ;          ;  !___!N ;  !___!E ;;
-------------------------------------------------------------------------------
```

Table 5.2   Reduction of Intersections, k1 = k2

```
=================================================================================
 :             ::      _       :     _      :     _     :    _     :    _     :    _     :
 : right:      ::    / < \     :   /<= \    :   /< >\   :   / = \  :  />= \   :  / > \   :
 : l           ::    \_ _/     :   \_ _/    :   \_ _/   :   \_ _/  :  \_ _/   :  \_ _/   :
 : e           ::     _        :    _       :    _      :   _      :   _      :   _      :
 : f           ::    !k2 !     :   !k2 !    :   !k2 !   :  !k2 !   :  !k2 !   :  !k2 !   :
 : t           ::    !___!     :   !___!    :   !___!   :  !___!   :  !___!   :  !___!   :
=========================================================================================
 :    _        ::    _       :    _        :    _      :    _     :    _     :    _      :
 :  /< \       ::  / < \     :  /<= \      :  /< >\    :   ! U !   :   ! U !  :   ! U !  :
 :  \_ _/      ::  \_ _/     :  \_ _/      :  \_ _/    :    _      :    _     :    _     :
 :   _         ::   _        :   _         :   _       :  / \     :  / \     :  / \      :
 :  !k1 !      ::  !k2 !     :  !k2 !      :  !k2 !    : /< \ / = \: /< \ />=\: /< \ / > \:
 :  !___!      ::  !___! R   :  !___! R    :  !___! R  : \_/ \_/  : \_/ \_/  : \_/ \_/   :
 :             ::            :             :           :  _   _   :  _   _   :  _   _    :
 :             ::            :             :           : !k1 !k2 !: !k1 !k2 !: !k1 !k2 ! :
 :             ::            :             :           : !__ !__ !: !__ !__ !: !__ !__ ! :
-----------------------------------------------------------------------------------------
 :    _        ::    _       :    _        :    _      :    _     :    _     :    _      :
 : /<= \       ::  / < \     :  /<= \      :  /< >\    :   ! U !   :   ! U !  :   ! U !  :
 :  \_ _/      ::  \_ _/     :  \_ _/      :  \_ _/    :    _      :    _     :    _     :
 :   _         ::   _        :   _         :   _       :  / \     :  / \     :  / \      :
 :  !k1 !      ::  !k2 !     :  !k2 !      :  !k2 !    :/<= / = \ :/<= \ />= \:/<= \ / > \:
 :  !___!      ::  !___! R   :  !___! R    :  !___! R  : \_/ \_/  : \_/ \_/  : \_/ \_/   :
 :             ::            :             :           :  _   _   :  _   _   :  _   _    :
 :             ::            :             :           : !k1 !k2 !: !k1 !k2 !: !k1 !k2 ! :
 :             ::            :             :           : !__ !__ !: !__ !__ !: !__ !__ ! :
-----------------------------------------------------------------------------------------
 :    _        ::            :             :           :    _     :    _     :    _      :
 : /< >\       ::   null     :   null      :   null    :  /< >\   :  /< >\   :  /< >\    :
 :  \_ _/      ::            :             :           :  \_ _/   :  \_ _/   :  \_ _/    :
 :   _         ::            :             :           :   _      :   _      :   _       :
 :  !k1 !      ::            :             :           :  !k1 !   :  !k1 !   :  !k1 !    :
 :  !___!      ::            :             :           :  !___! L :  !___! L :  !___! L  :
-----------------------------------------------------------------------------------------
 :    _        ::    _       :    _        :    _      :    _     :    _     :    _      :
 : / = \       ::  / < \     :  /<= \      :  /< >\    :   ! U !   :   ! U !  :   ! U !  :
 :  \_ _/      ::  \_ _/     :  \_ _/      :  \_ _/    :    _      :    _     :    _     :
 :   _         ::   _        :   _         :   _       :  / \     :  / \     :  / \      :
 :  !k1 !      ::  !k2 !     :  !k2 !      :  !k2 !    :/ = \ / = \:/ = \ />=\:/ = \ / > \:
 :  !___!      ::  !___! R   :  !___! R    :  !___! R  : \_/ \_/  : \_/ \_/  : \_/ \_/   :
 :             ::            :             :           :  _   _   :  _   _   :  _   _    :
 :             ::            :             :           : !k1 !k2 !: !k1 !k2 !: !k1 !k2 ! :
 :             ::            :             :           : !__ !__ !: !__ !__ !: !__ !__ ! :
-----------------------------------------------------------------------------------------
 :    _        ::            :             :           :    _     :    _     :    _      :
 : />= \       ::   null     :   null      :   null    :  />= \   :  />= \   :  / > \    :
 :  \_ _/      ::            :             :           :  \_ _/   :  \_ _/   :  \_ _/    :
 :   _         ::            :             :           :   _      :   _      :   _       :
 :  !k1 !      ::            :             :           :  !k1 !   :  !k1 !   :  !k1 !    :
 :  !___!      ::            :             :           :  !___! L :  !___! L :  !___! L  :
-----------------------------------------------------------------------------------------
 :    _        ::            :             :           :    _     :    _     :    _      :
 : / > \       ::   null     :   null      :   null    :  / > \   :  / > \   :  / > \    :
 :  \_ _/      ::            :             :           :  \_ _/   :  \_ _/   :  \_ _/    :
 :   _         ::            :             :           :   _      :   _      :   _       :
 :  !k1 !      ::            :             :           :  !k1 !   :  !k1 !   :  !k1 !    :
 :  !___!      ::            :             :           :  !___! L :  !___! L :  !___! L  :
-----------------------------------------------------------------------------------------
```

Table 5.3 Reduction of unions, k1 < k2

```
===============================================================================================
:           ::       _!_       :      _!_      :      _!_      :    _!_     :  _!_    :   _!_    ::
: right:    ::      / < \      :     /(= \     :     /< >\     :   / = \    : /)= \   :  / >\    ::
: l         ::      \_ /       :     \_ /      :     \_ /      :   \_ /     : \_ /    :  \_ /    ::
: e         ::       _!_       :      _!      :       _!      :    _!_     :  _!_    :   _!_    ::
: f         ::      !k2 !      :     !k2 !     :     !k2 !     :   !k2 !    : !k2 !   :  !k2 !   ::
: t         ::      !___!      :     !___!     :     !___!     :   !___!    : !___!   :  !___!   ::
===========::=================:===============:===============:==========:=========:=========::
:   _!_     ::       _!_       :      _!_      :      _!      :    _!_     :         :   _!_    ::
:  / < \    ::      / < \      :     /(= \     :     /< >\     :  /(= \    :         :  /< >\   ::
:  \_ /     ::      \_ /       :     \__/      :     \_ /      :  \__/     :  null   :  \_ /    ::
:   _!_     ::       _!_       :      _!_      :      _!_      :    _!_     :         :   _!_    ::
:  !k1 !    ::      !k2 !      :     !k2 !     :     !k2 !     :  ! k !    :         :  ! k !   ::
:  !___!    ::      !___! R    :     !___! R   :     !___! R   :  !___! N  :         :  !___! N ::
:----------::----------------:---------------:---------------:---------:---------:---------::
:   _!_     ::       _!_       :      _!_      :              :    _!_     :         :          ::
:  /(= \    ::      /(= \      :     /(= \     :     null     :  /(= \    :  null   :  null    ::
:  \_ /     ::      \_ /       :     \_ /      :              :  \_ /     :         :          ::
:   _!      ::       _!      :      _!      :              :    _!      :         :          ::
:  !k1 !    ::      !k1 !      :     ! k !     :              :  ! k !    :         :          ::
:  !___!    ::      !___! R    :     !___! E   :              :  !___! R  :         :          ::
:----------::----------------:---------------:---------------:---------:---------:---------::
:   _!_     ::       _!_       :              :      _!_      :         :         :   _!_    ::
:  /< >\    ::      /< >\      :     null     :     /< >\     :  null   :  null   :  /< >\   ::
:  \_ /     ::      \_ /       :              :     \_ /      :         :         :  \_ /    ::
:   _!      ::       _!      :              :      _!_      :         :         :   _!     ::
:  !k1 !    ::      !k1 !      :              :     ! k !    :         :         :  !k1 !   ::
:  !___!    ::      !___! R    :              :     !___! E   :         :         :  !___!R  ::
:----------::----------------:---------------:---------------:---------:---------:---------::
:   _!_     ::       _!_       :      _!      :              :    _!      :  _!_    :   _!_    ::
:  / = \    ::      /(= \      :     /(= \     :     null     :  / = \    : /)= \   :  /)= \   ::
:  \_ /     ::      \_ /       :     \_ /      :              :  \_ /     : \_ /    :  \_ /    ::
:   _!_     ::       _!      :      _!      :              :    _!      :  _!_    :   _!     ::
:  !k1 !    ::      ! k !      :     !k2 !     :              :  ! k !    : !k2 !   :  ! k !   ::
:  !___!    ::      !___! N    :     !___! R   :              :  !___! E  : !___!R  :  !___!N  ::
:----------::----------------:---------------:---------------:---------:---------:---------::
:   _!      ::              :              :              :    _!      :  _!     :   _!_    ::
:  /)= \    ::     null      :     null     :     null     :  /)=      : /)=     :  /)= \   ::
:  \_ /     ::              :              :              :   _/      :  _/     :  \_ /    ::
:   _!      ::              :              :              :    _!      :  _!     :   _!     ::
:  !k1 !    ::              :              :              :  !k2 !    : ! k !   :  !k2 !   ::
:  !___!    ::              :              :              :  !___! R  : !___!E  :  !___!R  ::
:----------::----------------:---------------:---------------:---------:---------:---------::
:   _!      ::       _!      :              :      _!      :    _!_     :  _!_    :   _!     ::
:  / >\     ::      /< >\     :     null     :     /< >\     :  /)= \    : /)= \   :  / >\    ::
:  \_ /     ::      \_ /      :              :     \_ /      :  \_ /     : \_ /    :  \_ /    ::
:   _!      ::       _!      :              :      _!_      :    _!_     :  _!_    :   _!     ::
:  !k1 !    ::      ! k !     :              :     !k2 !     :  ! k !    : !k2 !   :  !k1 !   ::
:  !___!    ::      !___! N   :              :     !___! R   :  !___! N  : !___!R  :  !___! L ::
:----------::----------------:---------------:---------------:---------:---------:---------::
```

Table 5.4   Reduction of Unions, k1 = k2

5.4.3 Attaching a Simple Comparison Sub-graph to a Complex Qualification Sub-Graph

Previous examples in this chapter have assumed that, at most, an Entity Node prior to its final processing is qualified with a Simple Comparison Sub-graph (a single Comparison Node pointing to a single Value Node). Now the case of Complex Qualification Sub-graphs is considered.

If a Complex Qualification Sub-graph already exists, then its root node is either an OR Logical Node or an AND Logical Node. If the root node is an OR, then this algorithm is invoked again for both of this node's left and right descendants. This algorithm guarantees that if a Qualification Sub-graph has OR nodes, they will always occur above all other nodes. It also implies that whenever an OR node is encountered, then both the descendant sub-trees of the OR node will have the new Simple Comparison Sub-graph attached as a component (although the resulting Sub-graph may be reduced). This is equivalent to the distributive logical identity,

(A OR B) AND C   <=>   (A AND C) OR (B AND C)

If the node pointed to is an AND Logical Node, then if the Comparison Node of the Simple Comparison Sub-graph to be attached is a token for the ">" or ">=" comparators, then descend to the AND node's left leaf Simple Comparison Sub-graph, reduce the left leaf Simple Comparison Sub-graph with the new Simple Comparison Sub-graph, and reduce the result (which will be a Simple Comparison

Graph) again with the AND node's right leaf Simple Comparison Sub-graph.

If the Comparison operator is "<" or "<=", apply this procedure to the right leaf Simple Comparison Sub-graph.

If the Comparison operator is the equality operator, apply the procedure to both leaves, which will eliminate them both. Then apply it to the results, which will eliminate one of them. This is equivalent to replacing the AND Logical Node with the new Simple Comparison Sub-graph.

If the Comparison operator of the Simple Logical Sub-graph to be added is the inequality operator, then if its leaf value is greater than or equal to the leaf value of the AND node's right descendant Simple Comparison Sub-graph, or less than or equal to the value of the left descendant Simple Comparison Sub-graph, then reduce it with the appropriate one of these Sub-graphs (to allow the reduction procedure to generate a warning or error message). Otherwise, create a new AND node pointed to by the immediate ancestor AND node. Let the Simple Comparison Sub-graph be the new AND node's right descendant and let the original AND node's left descendant be the new AND node's left descendant. If the left descendant of the new AND node is a Simple Comparison Sub-graph, then stop. Otherwise (the left desecendant is an AND node), compare the current AND node's right

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descendant value with the right descendant value of its left descendant AND node, and put into lexicographical order by swapping value nodes if necessary. If the value nodes hold identical values eliminate the current AND node and its right descendant and issue a "redundant operation" message. This guarantees that if there is an "AND node chain" the chain will always have Simple Comparison Sub-Graphs as descendants of its right-hand pointers and AND nodes as descendants of its left-hand pointers, except for the final leaf. The Value Nodes of such a chain will be in lexicographical order. Such a chain will only be the case if there are Comparison Nodes holding inequality operator tokens, since otherwise the Query Graph reduction procedure will always reduce the graph to a Simple Logical Sub-Graph or Simple Comparison Sub-Graph.

5.4.4 Attaching a Simple Logical Sub-graph to an Entity Node with a Complex Qualification Sub-graph

SPJ3 <- SPJ2 : [PNO < > 3]

Figure 5.5   The effect of selection on an Entity Node with a Complex Qualification Sub-graph

5.4.5 Transferring Complex Qualification Sub-graphs

The next chapter demonstrates the necessity for transferring a Complex Qualification Sub-graph from one Entity Node to another Entity Node which may already have its own Complex Qualification Sub-graph. This transferral is accomplished by decomposing the graph to be transferred into one Simple Comparison Sub-graph at a time, and then attaching it to the target Entity Node using the algorithm given in Section 5.4.3. Each Simple Comparison Sub-graph taken from the Complex Qualification Sub-graph being transferred results in the contraction of the graph through loss of the transferred sub-graph and its AND node.

The "before" and "after" positions are illustrated in Figure 5.6.

Figure 5.6 Transferral of a Complex Qualification Sub-graph

CHAPTER SIX

SET OPERATIONS

6.1 Introduction

Chapter Four described the Reverse Translation approach to generating Reverse Translation graphs for derived relations. Only Base Relations were used to illustrate the effect of each relational operation. The concepts advanced in that chapter were:

(1) PROJECTION is represented in a graph by setting the attribute reference of the relevant Entity Nodes to zero.

(2) SELECTION is represented by attaching a Qualification Sub-graph to the relevant Entity Node. Chapter Five extended the work on SELECTION by demonstrating how repeated SELECTIONs built a Sub-graph tree, whose construction required the possible simplification of Sub-graphs and perhaps the flagging of semantic errors.

(3) UNION is represented by making the operand graphs the descendants of an OR Logical Node.

(4) DIFFERENCE is represented by making the operand graphs the descendants of a NOT Logical Node, and connecting corresponding Entity Nodes in an Entity Node Chain.

(5) INTERSECTION and JOIN are represented by making the operand graphs the descendants of an AND Logical Node, and connecting corresponding Entity Nodes (if any) in an Entity Node Chain.

These operations may be divided into two classes from the

viewpoint of Reverse Translation: (1) those operations which take as an argument a single relation (PROJECTION, PERMUTATION and SELECTION), and (2) those which take as arguments two relations (UNION, INTERSECTION, DIFFERENCE and JOIN). An operation of the first class generates an RT graph which is a simple modification of the graph of its parent relation and which requires no further transformation beyond the measures described in Chapter Four, and in the case of SELECTION, a test/reduction of the selected-on attribute's corresponding Principal Entity Node's Qualification Graph as described in Chapter Five. An operation of the second class is a more complex matter, since it produces a derived relation whose graph must, to reflect the semantics of its parent relation, be composed from the graphs of the operand relations. This chapter takes up the creation, and possible reduction, of RT graphs whose parent graphs may be Complex RT graphs.

The three set operations UNION, DIFFERENCE and INTERSECTION are considered first. The discussion of each particular operation is preceded with an explanation of the treatment that is applicable to any set operation, to trap queries which must signal user confusion. Chapter Five described a mechanism for reducing redundant Qualification Sub-graphs and also checking for "no-change" or "necessarily empty" result relations. In that chapter, this mechanism was applied to cases of repeated SELECTION on a single relation. In this chapter the same mechanism

is extended to cover each of the set operations.

6.2 Predication-identical Graphs

6.2.1 Terminology introduced in this Chapter

Two graphs are predication identical if and only if they are identical down to Entity Node level. This means they are predication-identical if each corresponding pair of Predication Nodes are the same permutation and the same sense of the same predication, if each Predication Node has the same configuration of present and absent Entity Nodes and if each corresponding pair of Entity Nodes has the same entity identifier. (The effect of the latter requirement is to ensure that each graph has the same configuration of Entity Node Chains.)

6.2.2 Examples

6.2.2.1 Examples of Relations with Non-Predication-Identical Graphs

Assume P1 is a relation of degree two, with both attributes (PNO1 and PNO2) drawn from the domain of Parts, related by the predication "includes" in the PNO1 to PNO2 direction and "is included in" in the PNO2 to PNO1 direction. Then let

P2 <- P1 # [PNO2, PNO1]

The graphs of P1 and P2 meet every criterion for

predication-identicality <u>except</u> for their not being of the same permutation. Let

P1A   <-   P1   % [PNO1]   P1B   <-   P1   % [PNO2]

The graphs of P1A and P2A meet every criterion for predication-identicality <u>except</u> for not having the same configuration of present and absent Entity Nodes. (The PROJECTION operation on each relation has projected-out non-corresponding Entity Nodes.)

6.2.2.2 <u>Examples of Relations with</u>
        <u>Predication-Identical Graphs</u>

        P3   <-   P1   :   [PNO1 > 10]

        P4   <-   P1   :   [PNO2 = 5]

        P5   <-   P4   :   [PNO1 < 20]

P1, P3, P4, P5 are all predication-identical.

        P6 <- P3 - P5

        P7 <- P1 - P4

P6 and P7 are predication-identical.

6.2.3 <u>The Significance of Predication-Identicality</u>

Two predication-identical graphs may be reducable to the left-hand graph augmented with the (possibly transformed)

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Qualification Sub-graphs of the right-hand graph.

6.3 Trapping Errors in Set Operations on Relations known to conform to certain constraints

6.3.1 Known set relationships among relations

In general, we may note that some set operations can be syntactically valid but yield a result which is tautological, that is, which contains no information not present before the operation. Any operation which necessarily yields an empty relation, or a relation which is identical to one of the operand relations, should be identified and reported as such to the user. (Reverse Translation as such is not the only possible method for signalling the existence of an invalid query in these cases However, the techniques used for implementing Reverse Translation lend themselves easily to use in flagging such invalid queries.) Table 6.3 records semantically invalid operations on relations for which it is known (either directly or by deduction) that the following relationships apply:

A: R2 is a proper subset of R1.

B: R1 is a proper subset of R2.

C: R1 and R2 are disjoint.

D: R1 and R2 intersect.

E: R1 is identical to R2.

Table 6.1 Possible relationships between any two set-operation compatible relations R1 and R2

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6.3.2 Valid and Invalid Operations on Sets With Known Relationships

The validity of these five cases under the three set operations is recorded in the following table, where "V" means (potentially) valid and a number refers to a footnote explaining why this operation is a tautology:

Case	R1 U R2	R1 ^ R2	R1 - R2
A	1	2	V
B	2	1	3
C	V	3	3
D	V	V	V
E	1/2	1/2	3

1: Yields R1.
2: Yields R2.
3: Yields a relation with cardinality zero.

Table 6.3   Tautological set operations between two relations, R1 and R2

6.4 Tests Applicable to Set Operations

6.4.1 Testing for Relation Identity

We must first check to see that the user is not dealing with two identical relations, by comparing their current graphs using the function "Compare" [Appendix, Program Listing.] This function takes as a parameter two pointers to RT graphs and compares the graphs pointed to on a node-by-node basis, returning TRUE if the graphs are identical in every respect. If this occurs, an appropriate error message is generated. (This is Case "E" of Table 6.1.) If we chose not to distinguish between

cases of set operations between identical relations and maladroit attempts to combine relations, one of which is a proper sub-set of the other, this comparison for graph identity could be dispensed with since identical relation errors would be caught by the sub-set test.

6.4.2 Testing for inclusion and disjointness

6.4.2.1 General Algorithm

Assuming that the query passes the "identity test" of the previous section the following algorithm can be applied:

For each of the right-hand graph's Entity Nodes do the following:
    IF it corresponds to an existing attribute,
    THEN
        IF it has a Qualification Sub-graph
        THEN
            Copy its Qualification Sub-graph

            Copy the Qualification Sub-graph of the
            Node which would be its Principal Entity Node were
            they to be linked in an Entity Node Chain.

            Attempt to merge them using the algorithm
            described in Chapter Five.

            Keep count of the number of left graph, right
            graph, new graph, and error conditions returned.

6.4.3.2 Testing for disjointness

If one or more errors were returned during the course of Qualification Sub-graph transfer, then the two relations are disjoint. (Case "C" of Table 5.2) (If an RT graph includes OR Logical Nodes above Predication Nodes, then the disjoint test is failed only if both

descendants of the OR node return disjoint indicators.)
Unlike subset errors, disjoint errors can be detected
whether or not the graphs of operand relations are
predication-identical. If we know that all values of the
attribute of the first relation in the example mentioned
above are less than ten, and all corresponding values in
the second relation are greater than ten, then we do know
that the attributes in question have no values in common,
making the relations disjoint.

6.4.3.3 Testing for set inclusion

If the two operand RT graphs are predication-identical,
the count of the number of "no change" values returned
while transferring the right-hand graph's Qualification
Sub-graphs to the Principal Entity Nodes of the left-hand
graph is significant: if after the entire operation,
there were zero changes, then one operand is a sub-set of
the other. (Cases "A" or "B" of Table 6.1.) If the two
relations are not predication-identical, then it is not
possible to test whether one was necessarily a sub-set of
the other, within the terms of the data model used in
this thesis.

For example, consider two relations, not
predication-identical. Assume that, for each relation,
only one attribute has been the object of a previous
SELECTION. One relation might have an attribute for which

is recorded in its corresponding Entity's Qualification Sub-graph, created via a previous SELECTION, that all its values are less than 10. The second relation's equivalent attribute might have a similar Qualification Sub-graph recording values of less than 5. But the second relation could have values in its attribute not present in the first. Therefore it is not necessarily a sub-set of the first.

If set relationships among relations were among the constraints enforced and recorded, then it would be possible to carry out the sub-set test on those relations known, via the system schema, to be identical. For example, if we knew that a relation SP % [SNO] was identical to a relation SD % [SNO], then sequences of SELECTIONS on these relations followed by INTERSECTIONS, JOINS or DIFFERENCES could be put to the sub-set test.

6.5 Processing an INTERSECTION

6.5.1 Predication identical and non-identical graphs

The derived graph of an INTERSECTION operation which has passed the tests for identity, the sub-set condition (if applicable) and disjointness will be composed of the two operand relation's graphs, in the following way:

Create an AND Logical Node and make it the root node of the derived relation's graph. Create a copy of the

right-hand relation's graph and make it the right
descendant of the AND node. Make a copy of the left-hand
relation's graph and make it the left descendant. Link
all Equivalent Entity Nodes in an Entity Node Chain.
Transfer all Qualification Sub-graphs from the right-hand
graph to their Principal Entity Nodes. If the right hand
graph is not predication-identical to the left-hand
graph, then stop. (If predication-identicallity does
obtain between the two descendant graphs, carry out the
operations spelled out in Section 6.5.2.) The new graph
will be composed of the two operand graphs as
descendants of an AND Logical Node, all
corresponding Entity Nodes linked in an Entity Node
Chain, with the Qualification Sub-graphs of the of
Entity Nodes representing non-projected out attributes in
the right-hand graph transferred to their Principal
Entity Node and dropped from the contributing Equivalent
Entity Node.

Such transferral for Entity Nodes linked in an Entity
Node Chain is justified on the grounds that all of the
Entity Nodes in a particular chain correspond to the same
attribute, and thus bear information about every value of
that attribute in a tuple of its relation. In a chain
that has been created by an INTERSECTION or JOIN
operation this information is simultaneously true for any
value of that attribute. Put another way, whatever can be
said about one Entity Node in such a chain can be said
about every other Entity Node in the chain. Thus no
information is lost by putting all Qualification
Sub-graphs on one Entity Node in the chain. The positive

justification for doing so is to allow the Reverse
Translation to give all of the "qualifying" information
held by Qualification Sub-graphs at the first encounter
with a particular entity's Entity Node chain, rather than
dispersing this information throughout the Reverse
Translation.

6.5.2 Graph Reduction Following Intersection

The INTERSECTION of two predication-identical graphs can
always be reduced, as follows.

(1) Carry out the algorithm for INTERSECTION, above.

(2) Now transfer any remaining Qualification Sub-graphs of
projected-out Entity Nodes in the right hand graph to
their corresponding Entity Nodes in the left hand graph.
(The two graphs are identical down to Entity Node level,
so this may be accomplished by visiting each Entity Node
in the right hand graph in tandem with an identical
traversal of the left hand graph. Where a projected-out
Entity Node has a Qualification Sub-graph, this is
transferred to its corresponding Entity Node in left hand
graph. The attachment is via an AND Logical Node which
becomes the root node of the left hand Entity Node's
Qualification Sub-graph, with this Entity Node's previous
Qualification Sub-graph as the left descendant and the
transferred Qualification Sub-graph as the right

descendant. The two sub-graphs are reduced as for the transferral of Qualification Sub-graphs between non-projected out Entity Nodes, except that the tables for the UNION operation are used instead of the tables for the INTERSECTION operation. (The Logical Node linking reduced graphs remains an AND Logical Node, however.) The justification for this is given in Section 6.5.3.

(3) Delete the root AND Logical Node and the right hand graph, and make the augmented left hand graph's root node the root node of the relation.

6.5.3 Attaching Qualification Sub-graphs to Entity Nodes of projected-out attributes

An Entity Node of an attribute which has not been projected out refers to an existing value. It tells the range within which this value can lie, and possibly what values it is not. An Entity Node of a projected-out attribute, unless it is of Edegree 1, refers to many possible values. The logic of set union applies.

Reduction of predication-identical graphs with no projected-out nodes. Following the algorithm for INTERSECTION, first the corresponding Entity Nodes are linked. Then the Qualification Sub-graphs of the right-hand graph are transferred to the Principal Entity Nodes of the left-hand graph.

As the two graphs are predication-identical, they may now be reduced to:

```
            _____
          /                          \
         /           Supply           \
         \                            /
          \ _____ /
          /            !             \
         /             !              \
    ____/____     _____!_____     _____
   !         ! !           ! !           !
   ! Supplier ! !   Part   ! ! Project  !
   ! _____ ! ! _____ ! ! _____ !
        !                         !
       _!_                       _!_
     !  ^  !                   !  ^  !
     !_____!                   !_____!
      /    \                    /    \
     !      !                  !      !
    _!__   _!__              _!__   _!__
   / > \  / < \            / > \  / < \
   \___/  \___/            \___/  \___/
    _!_    _!_              _!_    _!_
   ! 2 !  ! 5 !            ! 4 !  ! 8 !
   !___!  !___!            !___!  !___!
```

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CHAPTER SEVEN

APPLICATIONS OF REVERSE TRANSLATION

7.1 Introduction

In this chapter the Reverse Translation method is applied to several situations which can constitute "traps" for the naive user. The first trap considered is the well-known "Connection Trap", whose existence was documented simultaneously with the original formulation of the relational model. Then a family of traps which will be termed "commutation traps", for predications of degrees two and three is examined.

7.2 The Connection Trap

The "connection trap" was first discussed by EF Codd in the paper which introduced the relational model to the computing community [Codd, 1970]. "Connection traps" can arise when performing JOIN operations on relations which are themselves projections of other relations. In this situation, a resulting relation may have the "surface structure" of the base relation, but contain spurious tuples not in the original. The following example illustrates the problem.

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Given the relation

```
           SPJ
        SNO          PNO       JNO
  [SUPPLIER]  [PART]   [PROJECT]

  _____
  !  1      !  1     !  1              !
  !  1      !  1     !  2              !
  !  2      !  1     !  1              !
  !         !        !                 !
  !_____!_____!_____!
```

with the meaning that Supplier SNO supplies Part-type PNO

to Project JNO, let

  S1   <--   SPJ %[SNO, PNO]
  S2   <--   SPJ %[PNO, JNO]

then

```
  S1
    SNO          PNO
  [SUPPLIER]  [PART]

  _____
  !  1      !  1            !
  !  2      !  1            !
  !         !               !
  !_____!_____!
```

(The meaning of S1 is that Supplier SNO supplies

Part-type PNO to one or more projects.)

and

```
  S2
    PNO          JNO
  [PART]      [PROJECT]

  _____
  !  1      !  1            !
  !  1      !  2            !
  !         !               !
  !_____!_____!
```

(The meaning of S2 is that Part-type PNO is supplied to

Project JNO by one or more Suppliers.) The connection

trap can arise if S1 and S2 are re-joined, with PNO

as the common attribute.

S3   <--   S1   *   S2   (Join over PNO.)

```
  S3
SNO        PNO        JNO
[SUPPLIER] [PART]     [PROJECT]
 _____
! 1       ! 1        ! 1         !
! 1       ! 1        ! 2         !
! 2       ! 1        ! 1         !
! 2       ! 1        ! 2         !
!_____!_____!_____!
```

The last tuple did not exist in the original relation.
Its appearance here is an artefact of the semantics of
the JOIN operation. The application of Reverse
Translation graphs to generate descriptions of the
relations provides a way to avoid confusing S3 with SPJ:

Figure 7.1   The graph of   SPJ

Figure 7.2   The graph of S1

```
           _____
         /                          \
S2      /            supply          \
        \                           /
         _____/
          /           !          \
      ___[ ]___    ___!____    ___!____
     !        ! !        ! !        !
     ! Supplier ! !  Part  ! ! Project !
     !_____! !_____! !_____!
```

Figure   7.3   The graph of   S2

```
                         _____
                        !      !
                /-------!  ^   !-------\
              /         !      !        \
S3          /           !_____!          \
          /                                  \
       _____              _____
      /              \            /              \
     /     supply     \          /     supply     \
     \                /          \                /
      _____/            _____/
      /      !       \            /      !       \
     !       !        !          !       !        !
     !       !        !          !       !        !
   __!___  __!___  __[ ]___   _[ ]___  __!___  __!___
  !      ! !      ! !       ! !       ! !      ! !      !
  ! Supplier! ! Part ! ! Project ! ! Supplier! ! Part ! ! Project !
  !_____! !_____! !_____! !_____! !_____! !_____!
              ^                              !
              !_____!
```

Figure   7.4.   The graph of   S3

The semantics of SPJ are clearly visible in Figure 7.1. The entities within a given tuple are clearly bound up in a single, indivisible relationship. The effect of projection on SPJ is also clearly seen in Figure 7.2 and Figure 7.3, and the effect of the JOIN in creating a relation with a quite different meaning from SPJ is illustrated by the graph shown in Figure 7.4, which contrasts clearly with the graph of SPJ shown in Figure 7.1.

The actual Reverse Translations which will be generated

when the two RT graphs are processed likewise stand in

sharp contrast to each other.

The Reverse Translation of SPJ:

> The indicated Supplier [SNO], and possibly other Suppliers, supplies
> the indicated Part [PNO], and possibly other Parts,
> to
> the indicated Project [JNO], and possibly other Projects.

The Reverse Translation of S3:

> The indicated Supplier [SNO], and possibly others, supplies
> the indicated Part [PNO], and possibly others,
> to
> at least one Project
> and
> At least one Supplier supplies
> the indicated Part [PNO], and possibly other Parts,
> to
> the indicated Project [JNO], and possibly other Projects.

7.3 The Selection Trap

7.3.1 Background

Another possible trap due to user misunderstanding of the

semantics of query expressions can arise when the

SELECTION operation is invoked to extract all attribute

values in an N:M relationship which do not take part in

the relationship with a designated value of another

attribute. This might be conjectured to be particularly

liable to occur if the selection is followed by a

PROJECTION prior to display of the final result, since,

as in the "connection trap", the "surface structure" of

the resulting relation will be identical to that yielded by a correctly-formulated query. Example 4.5.7, introduced to illustrate the concept of the Entity Node Chain and its reflection in Reverse Translation text generation was an example of this trap, as applied to two different relations with different predications. In this section the importance of the problem and its solution is elucidated by positing a query environment of extreme simplicity, and demonstrating how the Selection Trap can arise even here. The data to be queried is held in a single, binary relation incorporating a simple predication: the relation PSL, illustrated in Table 2.1. The importance of the Selection Trap can be seen by the following demonstration of four quite simple queries which could be put to this single relation.

7.3.2 Example queries on a single binary relation

A natural language representation of a query.	A sequence of Relational Algebra operations answering the query.
Find...	LIST

1. Persons who speak French.

```
P1  <-  PSL  : [L = "French"]
L1  <-  P1   % [P]
```

2. Persons who speak only French.

```
P2  <-  PSL  % [P]
R1  <-  PSL  : [L <> "French"]
R2  <-  R1   % [P]
L2  <-  P2   -  R2
```

3. Persons who speak a language other than French.

```
R1  <-  PSL  : [L <> "French"]
L3  <-  R1   % [P]
```

4. Persons who do not speak French.

```
P2  <-  PSL  % [P]
P1  <-  PSL  : [L = "French"]
L1  <-  P1   % [P]
L4  <-  P2   -  L1
```

7.3.3 Discussion of Example Queries

The first and third queries have relatively straightforward Relational Algebra solutions, inasmuch as the sequence of operators and arguments correlates closely to their equivalent natural language expressions. Even if the final projection -- which, strictly speaking, is required if the derived relation is to match exactly the request -- is omitted, the extra column of information in the resulting binary tuples does not obscure the desired information. But the second and fourth expressions are not at all obvious, and this is particularly the case when seen in the context of the "easy" queries one and three. The natural language expression of the fourth query, so linguistically close to the expression for the first query, invites the unwary user to attempt its solution by making a correspondingly simple modification to the Relational Algebra expression of the first query. Af the first query ("Persons who speak French") was satisfied by the expression "L='French'", then what could be more "natural" than to assume that "Persons who don't speak French" can be found by the expression "L <> 'French'". The result will be a valid query but not the query the user wanted to make. (It will in fact be the solution to Query 3.)

The predisposition to fall into this trap will be all the greater if the user has previously encountered and

successfully queried a "many:one" relation of degree 2 (for example, a relation recording persons and their countries of birth), for which the simple substitution of "<>" for "=" <u>will</u> yield semantically valid results. "Persons not born in France" can be thus found but not those who lack French as a language.

All of these queries involve the SELECTION operation. SELECTION is, arguably, the easiest of the relational operators to understand. It is an operation which is implemented on every single-file, micro-computer "database" system. It is interesting to note, then, that the most obvious characteristic of the "difficult" queries, two and four, is that the SELECTION expression involved is exactly the opposite of that suggested in the accompanying natural language expression of the query. To find persons who speak only French, it is necessary to SELECT out those who speak anything else and remove them from the total population. Thus to find the monolingual French-speakers, it is necessary, counter-intuitively, to construct a SELECTION expression where the Language does <u>not</u> equal French. And to find those who don't speak French, it is necessary to first SELECT those for whom "Language = French", and then extract them. Again, the query operation which appears easiest, the SELECTION, has as its most prominent component an expression which appears to be the opposite of its nearest lexical linguistic counterpart in any

likely natural language expression. Of course, if we normally expressed ourselves with compounded negations, the previous argument would not hold. If most users tended towards formulating Query 2 in terms like "those persons for whom it is not the case that they speak a non-French Language", then the corresponding sequence of relational operations would exhibit a kind of linguistic/psychological congruency to the natural language expression. This discussion assumes that compounded negations are more difficult for human beings to process, and will therefore be avoided by users in the formulation of their queries. (For a discussion of this question and a brief review of experimental work verifying this assumption, see Johnson-Laird [1983].)

The key operation for the "difficult" queries, two and four, is the final DIFFERENCE operation, the fourth step in the query. Even moderately sophisticated users (the user population assumed by this thesis) are likely by this point to want to confirm their assumptions about the meaning of the relation that would be have been created by this stage.

The Reverse Translation approach to query validation is to give the user another means, in addition to the formal query language, to see what the meaning of his query expression is. The following are the graphs, and Reverse Translations generated from them, associated with

each of the queries above.

7.3.4.1 Persons who speak French.

```
P1   <-   PSL   :  [L = "French"]
L1   <-   P1    %  [P]
```

L1

Figure 7.4 The RT graph of L1.

Reverse Translation of L1.

The indicated Person [P], and possibly other Persons,
speaks
at least one Language,
which is French,
and possibly other Languages.

7.3.4.2. Persons who speak only French.

```
P2   <-    PSL   %  [P]
R1   <-    PSL   :  [L <> "French"]
R2   <-    R1    %  [P]
L2   <-    P2    -  R2
```

L2

Figure   7.5   The RT graph of   L2

Reverse Translation of L2

The indicated Person [P], and possibly other Persons,
speaks
at least one Language
but it is not the case that
the indicated Person [P]
speaks
any Language
which is not French

7.3.4.3 Persons who speak a language other than French.

```
R1   <-   PSL   :  [L <> "French"]
L3   <-   R1    %  [P]
```

L3

```
              _____
            /            \
          /     speak      \
          \                /
           _____/
          /              \
   _____/_____      ____[ ]_____
   '           ' '   '            '
   !  Person   ! !   ! Language   !
   '           ' '   '            '
   '_____' '   '_____'
                         !
                         !
                       __!__
                      / < > \
                      \_____/
                         !
                         !
                       __!___
                      !French!
                      '_____'
```

Figure 7.6   The RT graph of L3

Reverse Translation of L3.

The indicated Person [P], and possibly other Persons,
speaks
at least one Language,
which is not French

7.3.4.4. Persons who don't speak French.

```
P2  <-  PSL  %  [P]
P1  <-  PSL  :  [L = "French"]
L1  <-  P1   %  [P]
L4  <-  P2   -  L1
```

                              L4

Figure   7.7   The RT graph of L4.

Reverse Translation of L4

The indicated Person [P], and possibly other Persons,
speaks
at least one Language
but it is not the case that
the indicated Person [P]
speaks
any Language
which is French

If the domain of Languages has been marked as "self-identifying" by its creator at domain-creation time, then the Reverse Translation generation algorithm will generate the following, less clumsy, version of the above:

Alternate Reverse Translation of L4

The indicated Person [P], and possibly other Persons,
speaks
at least one Language
but it is not the case that
the indicated Person [P]
speaks
French

7.4   The "Difference Trap"

Section 7.3 illustrated the "Selection Trap" for the simplest possible case, a single binary relation. There it was suggested that the counter-intuitive quality of the SELECTION operation needed to formulate certain queries was a possible cause of confusion which Reverse Translation could help to counter. However, an equally plausible argument could be made that the real culprit was the DIFFERENCE operation, involving as it does the the requirement to answer a query by first formulating intermediate relations and then differencing them. Scope for confusion can exist whether or not a SELECTION expression is involved.

This section puts Reverse Translation to a test of its efficacy when DIFFERENCE without SELECTION is involved. The method is applied to "Difference Traps" which can arise when querying a more complex data base, comprising in this example two distinct predications, each with three arguments. The following examples will

utilise two relations: Relation P records the promises that suppliers have made to deliver parts to projects. Relation D records those deliveries that were actually made. Both relations have the same surface structure, but different predications. A user wishing to find out about the reliability of Suppliers -- how well promises matched deliveries -- could conceive of a number of queries to put which would yield lists of Suppliers who had demonstrated various modes of unreliability. The following examples demonstrate how Reverse Translation delineates the precise distinction among each of four different ways in which a Supplier can be unreliable.

P			D		
SNO	PNO	JNO	SNO	PNO	JNO
[SUPPLIERS]	[PARTS]	[PROJECTS]	[SUPPLIERS]	[PARTS]	[PROJECTS]
1	1	1	1	1	1
1	1	2	1	1	2
1	2	1	1	2	1
1	2	2	1	2	2
2	1	1	2	1	1
2	1	2	2	1	2
2	2	1	2	2	1
2	2	2			
3	1	1	3	1	1
3	1	2	3	1	2
3	2	1			
3	2	2			
4	1	1	4	1	1
4	1	2			
4	2	1			
4	2	2			
5	1	1			
5	1	2			
5	2	1			
5	2	2			

Table 7.1   Promises and Deliveries

(Note that the tuples of relation D have been aligned with their equivalents in relation P purely as a presentation device to aid the reader in checking the results of differencing D from P. The empty lines in D are not intended to have any other significance.)

Now consider the problem of querying this data base to find out about the performance of suppliers. Who, if any, are completely reliable, who not at all, what are the particular ways in which those suppliers in between the extremes express their reliability and unreliability?

A DIFFERENCE is the simplest meaningful query on this pair of relations which will yield information mentioned in the previous paragraph.

R1   <-   P   -   D

R1

SNO	PNO	JNO
[SUPPLIERS]	[PARTS]	[PROJECTS]
2	2	2
3	2	1
3	2	2
4	1	2
4	2	1
4	2	2
5	1	1
5	1	2
5	2	1
5	2	2

Table 7.2   Unfilled promises

Figure 7.8 illustrates the RT graph yielded by this operation.

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```
                         !‾‾‾‾!
              /---------!  -  !---------\
             /          !____!           \
            /                             \
      _____/___                    _____
     /            \                  /            \
    /   promised   \                /   delivered  \
    \              /                \              /
     _____/                  _____/
     /      !      \                 /      !      \
  __/___  __!___  __\___          __/___  __!___  __\___
 !      !!      !!      !        !      !!      !!      !
 !Supplier!! Part !! Project !   !Supplier!! Part !! Project !
 !_____!!_____!!_____!        !_____!!_____!!_____!
     ^       ^       ^               !       !
     !_____)_____)_____!       !       !
         !_____)_____!   !       !
             !_____!       !
                 !_____!
```

Figure 7.8   The RT Graph of   R1

The Reverse Translation of this graph is:

The indicated Supplier [SNO], and possibly other Suppliers,
promised
the indicated Part [PNO], and possibly other Parts,
to
the indicated Project [JNO], and possibly other Projects
but it is not the case that
The indicated Supplier [SNO],
delivered
the indicated Part [PNO],
to
the indicated Project [JNO]

—

Now let

.

R2   <-   R1   % [SNO]

R2

SNO

[SUPPLIERS]

2

3

4

5

Table 7.3   Unreliable Suppliers I

The RT graph of R1 will now be modified as illustrated in Figure 7.9.

R2

Figure 7.9   The RT Graph of   R2

The Reverse Translation of this graph is:

The indicated Supplier [SNO], and possibly others,
promised
at least one Part, and possibly others,
to
at least one Project, and possibly others
but it is not the case that
The indicated Supplier [SNO],
delivered
that Part,
to
that Project

It is useful to contrast the RT generated from the above operations with that generated by a superficially-similar query.

```
S3   <-   P   %[SNO]
S4   <-   D   %[SNO]
R3   <-   S3   -   S4
```

```
   S3                S4                 R3

SNO              SNO              SNO

[SUPPLIERS]      [SUPPLIERS]      [SUPPLIERS]

   1                1
   2                2
   3                3
   4                4
   5                               5
```

Table 7.4   Unreliable Suppliers II (in   R3)

The RT graph which would be created as a result of the above query is illustrated in Figure 7.10.

```
                      _____
                     !       !
          /----------!   ^   !-------------\
         /           !_____!              \
        /                                     \
       /                                       \
      /                                         \
  _____/                          _____\
 /          \                         /           \
/  promised   \                     /   delivered   \
\            /                      \             /
 _____/                        _____/
 /     !    \                        /     !     \
/___   _[ ]__   __[ ]___         ___/___   __[ ]___   __[ ]___
!   !  !  !     !       !       !       !  !  !      !       !
! Supplier ! ! Part  ! ! Project !  ! Supplier ! ! Part   ! ! Project !
!_____! !_____! !_____!    !_____! !_____! !_____!
     ^
     !_____!
```

Figure 7.10   The RT Graph of R3

The Reverse Translation of this graph is:

```
The indicated Supplier [SNO], and possibly other Suppliers,
promised
at least one Part, and possibly other Parts,
to
at least one Project, and possibly other Projects
but it is not the case that
The indicated Supplier [SNO],
delivered
any Part,
to
any Project
```

The points to note here are:

(1) the second occurence of Part and Project do not refer back to the first occurences of these entities.

(2) the use of the phrase "any" when quantifying the projected-out entities of the right-hand graph. (The use of the phrase "at least one" instead of "any" would definitely convey the wrong impression.)

Two other queries to find sometimes unreliable suppliers

are:

```
S4    <-    P  %[SNO,  PNO]
S5    <-    D  %[SNO,  PNO]
R5    <-    S4  -  S5
```

S4		S5		R5	
SNO	PNO	SNO	PNO	SNO	PNO
[SUPPLIERS]	[PARTS]	[SUPPLIERS]	[PARTS]	[SUPPLIERS]	[PARTS]
1	1	1	1		
1	2	1	2		
2	1	2	1		
2	2	2	2		
3	1	3	1		
3	2			3	2
4	1	4	1		
4	2			4	2
5	1			5	1
5	2			5	2

Table 7.5   Unreliable Suppliers (III) and Parts

Extracting the Supplier Numbers of delinquent suppliers through a projection,

```
R6    <-    R5   %[SNO]
```

R6

SNO
[SUPPLIERS]
3
4
5

Table 7.6   Unreliable Suppliers III

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```
                          _____
                      !          !
              /-------------! ∧ !-------------\
             /            !          !            \
            /             !_____!             \
           /                                       \
          /                                         \
    _____ /                              _____ _____
   /                \                      /                    \
  /     promised     \                    /     delivered        \
  \                   /                    \                     /
   _____ /                      _____ /
   /              !      \                  /        !         \
 ____/____    ___[ ]___  ___[ ]___      ____/____  ___[ ]___   ___[ ]___
!        ! !  ! !       ! !        !   ! !       ! !       ! !  !       !
! Supplier ! ! ! Part   ! ! Project !  ! Supplier ! ! Part  ! ! Project !
!_____! !  ! !_____! !_____!   ! !_____! !_____! !  !_____!
     ∧          ∧                          !            !
     !          !_____!            !
     !_____)_____!            !
     !                                                  !
     !_____!
```

Figure 7.11   The RT Graph of   R6

The Reverse Translation generated by this graph is:

The indicated Supplier [SNO], and possibly other Suppliers,
promised
at least one Part,
to
at least one Project,
but it is not the case that
The indicated Supplier [SNO],
delivered
that Part,
to
any Project

Another kind of defaulter would be found with the following expression.

```
S6  <-  P   % [SNO, JNO]
S7  <-  D   % [SNO, JNO]
R7  <-  S6  -  S7
```

	S6			S7			R7	
SNO	JNO		SNO	JNO		SNO	JNO	
[SUPPLIERS]	[PROJECTS]		[SUPPLIERS]	[PROJECTS]		[SUPPLIERS]	[PROJECTS]	
1	1		1	1				
1	2		1	2				
2	1		2	1				
2	2		2	2				
3	1		3	1				
3	2		3	2				
4	1		4	1				
4	2					4	2	
5	1					5	1	
5	2					5	2	

Table 7.7   **Unreliable Suppliers IV (R7)**
                and Projects

Extracting   the   supplier numbers of delinquent suppliers

through a projection,

          R8   <-   R7   %[SNO]

we get

          R8

SNO

[SUPPLIERS]

     4
     5

Table   7.8   **Unreliable Suppliers IV**

The corresponding graph is displayed in Figure 7.12.
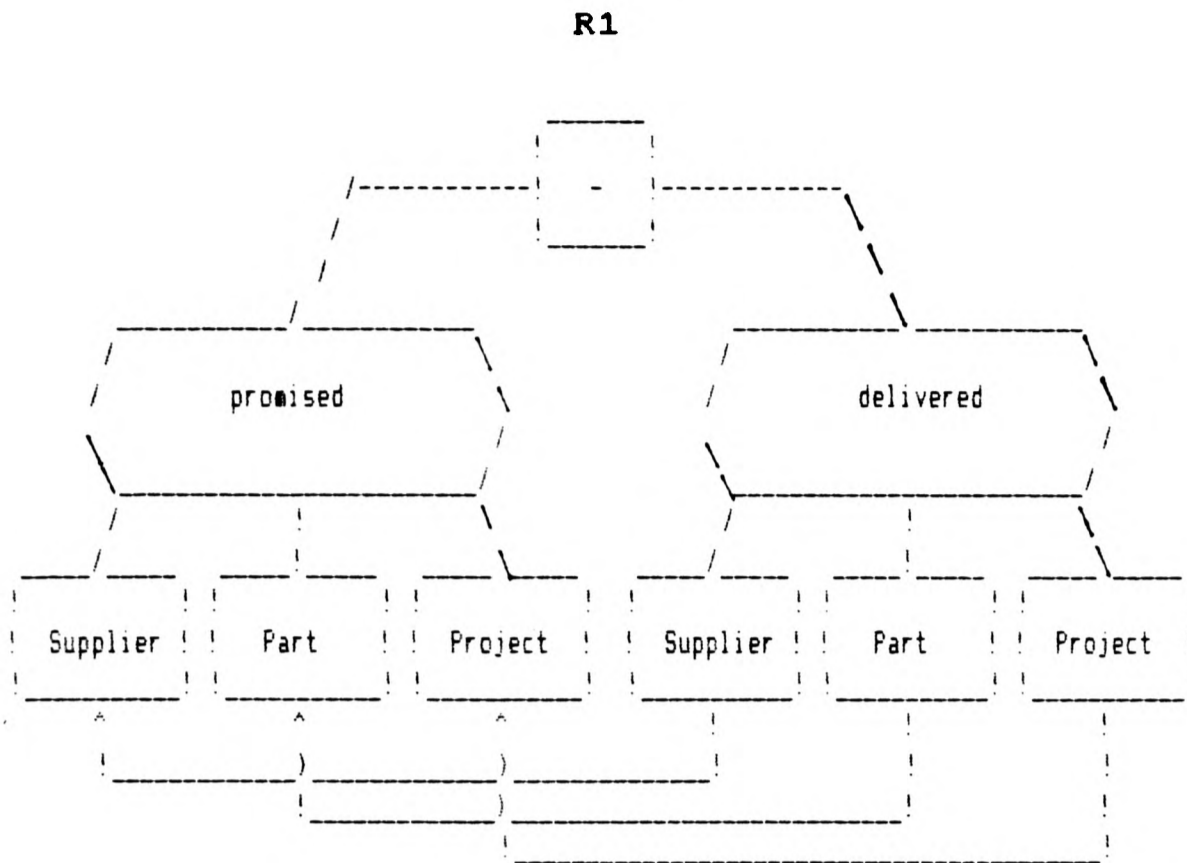
R8

Figure 7.12   The RT Graph of   R8

The Reverse Translation generated by this graph is:

The indicated Supplier [SNO], and possibly other Suppliers,
promised
at least one Part,
to
at least one Project,
but it is not the case that
The indicated Supplier [SNO],
delivered
any Part,
to
that Project

CHAPTER EIGHT

CONCLUSIONS

8.1 Introduction

The data structure and associated algorithms described in the preceding chapters generate useful Reverse Translations for the kinds of relational algebra expressions which have been used to illustrate the technique. These expressions include non-trivial queries, for which clarification might plausibly be argued to be helpful to a non-sophisticated user. However, the Reverse Translation method as so far developed has limitations when it confronts certain classes of query expression. In the final chapter some of these limitations are considered and possible solutions to the problems they presentare outlined. Directions for further research are indicated and an overall assessment of the practical usability of Reverse Translation is made.

8.2 Methods for simplifying utterances

8.2.1 Predication Lowering

The present Reverse Translation system gives all Predication Nodes "equal status" as nodes in a Reverse Translation tree. Joins and set operations will compose Predication Nodes via Logical Nodes of which they will be the immediate descendants. No Predication Node can be a descendant of an Entity Node. This has the consequence that certain plausible sequences of operations will yield

unwieldy   Reverse   Translations.

Consider   a   personnel   database   with   several
binarypredications,   relating   PERSONS   located-in   CITIES,
speaking LANGUAGES,   qualified-in PROGRAMMING-LANGUAGES,   and
 assigned-to   PROJECTS.   Assume   that   Edegree   information
("and possibly others")   is   suppressed and that   all domains
except   PROJECTS   have   been   declared   self-identifying.   Even
then,   a series   of   selections,   joins   and projections to
extract everyone who is located in London,   speaks   French,
is qualified in COBOL and assigned to Project P1 will yield
a   Reverse   Translation   tree   generating   the   following   Reverse
Translation: "The   indicated   PERSON   [P]   is   located   in
London,   AND the indicated Person [P] speaks French,   AND the
indicated   PERSON   [P]   is   qualified   in   Cobol   AND   the
indicated PERSON [P] is assigned to a PROJECT whose Project
Number equals P1."   (Of course the exact order in which each
predication   is   uttered   will   depend   on   the   order   in   which
the relation embodying it   is   joined   to   the   final derived
relation.)

This   is   arguably   a   clumsy   construction,   and   could be
improved if   we had a rule like the   following: when   two
predication nodes   are   descendants   of an AND   node,   and are
linked by one,   and   only   one   Entity   Node Chain,and if
this graph is then a participant in   the   creation of a new
AND-linked   graph,   first   do   the   following   to it: transfer
the right-hand Predication Node   (and   its descendants)   to

the Principal Entity Node using the Qualification Sub-Graph transferral algorithm. Mark the (now disconnected) Entity Node in the transferred sub-graph with a "dummy" marker so that the RT generation algorithm will utter its relative pronoun when it is encountered during text generation. Carrying out these transformations on the hypothetical graph posited above at each operation would yield a graph giving the following Reverse Translation: "The indicated PERSON [P] who is located in London AND who speaks French AND who is qualified in COBOL is assigned to a PROJECT whose Project Number equals P1..." Figure 8.1 demonstrates the effect of this transformation.

8.2.2 Entity Sub-set naming

Another method for preventing a Reverse Translation from becoming unintelligbly complex, would be to allow the user to intervene at points in the process of query composition to "trim" entity nodes and rename them. This intervention would be equivalent to the user's re-defining a dervied relation as a Base Relation, using a set of

Figure 8.1 Predication-lowering

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Predication phrases or Entity names meaningful to him and bearing adequate semantic information to distinguish the derived relation from any other. This would be done once the user is satisfied that the derived relation is the desired one. The information carried in the complex graphs of the particular derived relation would be transferred to the predication phrase set alone, and the relation would "start over" with a minimal graph. This may be analogised to the way in which new nouns and adjectives are evolved in natural language through a process of spontaneous creation, to replace involved subordinate descriptive clauses.

The example Reverse Translation cited in the previous section might, under this approach, be re-defined by a user to something like the following: "The indicated French-speaking London Cobol-programmer is assigned to a Project whose Project number is P1."

Figure 8.2 demonstrates the effect of such a transformation on an RT graph.

```
          -------------
         /             \
        /  located-in   \
        \               /
         \-------------/
        /               \
     __/_____      _____
     !       ! !    !           !
     ! Person ! !    ! City      !
     !_____! !    !_____!
         :
       __:___
      /  speaks \
      _____/
     __/___     ___ _____
     ! <Person> ! ! Language !
     !_____! !_____!
                     :
                    [=]
                     :
                  [French]

                    ::
                 \  ::  /
                  \ :: /
                   \::/
                    \/

          -------------
         /             \
        /  located-in   \
        \               /
         \-------------/
        /               \
     __/_____      _____
     ! French- ! !    !           !
     ! speaker ! !    ! City      !
     !_____! !    !_____!
```

Figure 8.2 **Turning a Qualified Entity into an
Entity Sub-Set**

8.3 **Indicating Scope**

The system as currently implemented does not provide any
indication of the scope of logical connectives. This may be
seen by considering a query placed on the relations
introduced in Chapter 7, PSL and PRL. Suppose a user wants
to compose a query expression to find those who speak at

least one language, but do not read any which they speak. (They may read some, but none which they speak.) A sequence of expressions which will yield the desired answer is

```
R1 <- PSL ^ PRL

R2 <- R1  % [P]

R3 <- PSL % [P]

R4 <- R3  - R2
```

The RT graph resulting from this sequence is shown in Figure 8.3.

Figure 8.3 The RT graph of R4.

Reverse Translation of R4

The indicated Person [P], and possibly other Persons,
speaks
at least one Language
but it is not the case that
the indicated Person [P]
speaks
at least one Language
and
the indicated Person [P]
reads
that Language

The Reverse Translation as illustrated is ambiguous. There

are two possible readings, which are illustrated by indenting to indicate the scope of the NOT Logical Node.

Interpretation I:

```
The indicated Person [P], and possibly other Persons,
speaks
at least one Language
but it is not the case that
      the indicated Person [P]
      speaks
      at least one Language
and
      the indicated Person [P]
      reads
      that Language
```

Interpretation II:

```
The indicated Person [P], and possibly other Persons,
speaks
at least one Language
but it is not the case that
      the indicated Person [P]
      speaks
      at least one Language
      and
            the indicated Person [P]
            reads
            that Language
```

The second interpretation is the correct one. (Interpretation I is self-contradictory.) Adding a facility to the current system for some sort of automatic indenting of Reverse Translation text would not be difficult. However, its actual effect on aiding correct user perception of connector scope may not be clearcut and would require empirical verification. (Other methods of graphically indicating scope, such as enclosing logically-connected blocks within brackets, using a distinct typeface, or setting them apart with blank lines, or some combination of these, might be equally efficacious

or superiour.) The pragmatics of aiding user perception are beyond the scope of this thesis, but obviously are a fruitful field for research.

8.4 Handling Double Negations

It can occur that a query will yield a graph in which the descendant of a NOT Logical Node is itself a NOT Logical Node. (This happens, for example, in certain queries with universal quantifiers.) The speakers and readers example from the previous section can provide us with an example of this problem, too. Consider the query sequence yielding those who speak at least one language, and who read all which they speak.

R1 <- PSL - PRL (Person-language pairs where the person speaks a language he does not read.)

R2 <- R1 % [P] (Persons from the above set.)

R3 <- PSL % [P] (All persons who speak a language.)

R4 <- R3 - R2 (Persons who speak a language, purged of those who speak one they do not read.)

This sequence will yield the graph in Figure 8.4:

Figure 8.4 The RT graph of R4

The Reverse Translation of R4, indented to show the scope of the

Logical Nodes, is

```
The indicated Person [P], and possibly other Persons,
speaks
at least one Language
but it is not the case that
    the indicated Person [P]
    speaks          .
    at least one Language
    but it is not the case that
        the indicated Person [P]
        reads
        that Language
```

The Predication Node defining procedure solicited from the user both positive and negative senses of the Main Predication Phrase. @ere is where the latter could be used. Whenever the Reverse Translation algorithm encounters a double negation situation, as defined above, it could alter the phraseology it generates for the second NOT Logical Node from the standard "but it is not the case that" to simply "but", and then utter the negative sense of the descendant predication node. Such a strategy applied to the graph in Figure 8.3 would yield the following Reverse Translation:

```
The indicated Person [P], and possibly other Persons,
speaks
at least one Language
but it is not the case that
      the indicated Person [P]
      speaks
      at least one Language
      but
            the indicated Person [P]
            does not read
            that Language
```

The second translation (combined with some method of indicating scope) is perhaps marginally clearer than the first, although the improvement is not overwhelming.

8.5 <u>Ambiguous reference</u>

The potential of multiple reference arise, consider a binary relation T, with attributes Tutor and Student, recording who tutors whom. Both attributes are drawn from

the domain PERSONS. If we wish to find all those who are
tutored by someone who is himself a tutee, we must, after
suitable renaming of attributes, join this relation to
itself over the tutee attribute in one relation and the
tutor attribute in the other.


```
R1 <- @ Tutors [ Student -> Both ]
R2 <- @ Tutors [ Tutor   -> Both ]
R3 <- R1 * R2
R4 <- R3 % [ Student ]
```

A graph of R4 is

R4

The Reverse Translation generated from the above graph is

At least one Person
tutors
at least one Person
and
that Person
tutors
the indicated Person [P] and possibly other Persons

This is unsatisfactory because it is not clear to whom the

"that" refers. A possible solution to this problem, albeit a clumsy one, is to print Node-id values after each domain name. This would be going some distance from the "spirit" of Reverse Translation. It could be argued that if it were necessary to generate such "unnatural" natural language, then the cause of user understanding would be just as well served by a display of the Reverse Translation graph as well. As in the discussion of connective scope, the actual solution chosen should be a pragmatic question settled by experimentation.

Another possible solution would be to modify the utterance algorithm to recognise such ambiguous situations by scanning the stack of uttered Entity Nodes as it is built up, and generating additional clarifying text when appropriate (for instance, "the second one" after the ambiguous "that person" in the example above).

8.6 Universal Quantification

It is possible to construct queries which require a complicated sequence of operations resulting in a correspondingly complex graph which will host a correspondingly baroque Reverse Translation, but for which a relatively simple meaning can be assigned. A good example of this would be queries involving universal quantification, obtained via a Cartesian product and a

repeated difference. The Reverse Translation of such a query, although accurate, is of little value in validating the query sequence.

Consider just the relation PRL, relating PERSONs and the LANGUAGEs they read. In the absence of an explict universal quantification operator, the following sequence of operations must be performed to find those who can read all languages.

```
R1 <- PRL % [P]   (those who have read  at least one
                   language, and, )

R2 <- PRL % [L]   (the  languages  they have  read)

R3 <- R1 * R2     ( all possible reader-language
                   pairs, existing and non-existant --
                   the Cartesian product of R1 and R2)

R4 <- R3 - PRL    (remove  from   R3    all   actual

                   reader-language pairs -- a person
                   who has read all languages will
                   thus have every instance of his
                   name removed from R4)

R5 <- R4 % [P]    (leaving  those who  have  not
                   read all languages)

R6 <- R1 - R5     (remove these from the general student
                   population to  get   persons who have
                   read all languages)
```

This sequence results in the graph in Figure 8.5:

Figure 8.5   The Graph of R6 -- polyglot readers

The Reverse Translation, indented to show scope, that is
generated from this graph is:

```
The indicated Person [P]
reads
at least one Language
but it is not the case that
     the indicated Person [P]
     reads at least one Language
     and
          at least one Person
          reads
          at least one Language
but it is not the case that
     the indicated Person [P]
     reads
     that Language
```

It does not require field-testing to assert that this is
not helpful. What is wanted is a Reverse Translation along
the lines of "The indicated Person [P] reads all
Languages". Of course, provision of an explicit universal
quantification operator in the query language available to
the user would allow him to avoid the necessity of creating
such complex sequences as the example. But the point is,
there is nothing to prevent a naive user from constructing
the above query and we would, ideally, like to be able to
handle it elegantly. An "intelligent" Reverse Translation
system could recognise such sequences as the above as and
generate suitably terse but meaningful text.

One approach to a solution to this problem might be to
attempt to devise a special "fix", possibly involving
pattern recognition techniques, which would specifically
trap and handle instances of the above graph. But universal
quantification can occur in indefinitely many other
queries as well, including some which can appear

deceptively simple. To see a striking example of this, we

may follow through the steps necessary to answer Query

number 27 in Chapter 7 of [Date, 1981].

"Get JNO values for projects which obtain at least
some of every part they use from supplier S1."

This query assumes the existence of the following relation:

(Sample data values for the base relation and each

intermediate relation are also provide.)

```
SPJ
SNO        PNO  JNO
[SUPPLIERS]  [PARTS]  [PROJECTS]
     SNO     PNO    JNO

   _____
   !  S1  !  P1  !  J1  !
   !  S1  !  P1  !  J2  !
   !  S1  !  P1  !  J3  !
   !  S1  !  P2  !  J1  !
   !  S1  !  P2  !  J2  !
   !  S1  !  P3  !  J2  !
   !  S2  !  P1  !  J2  !
   !  S2  !  P1  !  J4  !
   !  S2  !  P2  !  J4  !
   !  S3  !  P2  !  J2  !
   !  S3  !  P2  !  J4  !
   !  S3  !  P3  !  J4  !
   !  S4  !  P3  !  J2  !
   !  S4  !  P3  !  J3  !
   !  S4  !  P4  !  J3  !
   !_____!_____!_____!
```

Table 8.1   Suppliers, parts, projects

T1   <- SPJ : [SNO = 'S1']

```
     SNO   PNO   JNO

   _____
   !  S1  !  P1  !  J1  !
   !  S1  !  P1  !  J2  !
   !  S1  !  P1  !  J3  !
   !  S1  !  P2  !  J1  !
   !  S1  !  P2  !  J2  !
   !  S1  !  P3  !  J2  !
   !_____!_____!_____!
```

T2 <- T1%[PNO,JNO]

PNO	JNO
P1	J1
P1	J2
P1	J3
P2	J1
P2	J2
P3	J2

T3 <-SPJ%[PNO,JNO]

PNO	JNO
P1	J1
P1	J2
P1	J3
P1	J4
P2	J1
P2	J2
P2	J4
P3	J2
P3	J3
P3	J4
P4	J3

T4 <- T3 - T2

PNO	JNO
P1	J4
P2	J4
P3	J3
P3	J4
P4	J3

T5 <- T4 %[JNO]

JNO
J3
J4

T6 <- SPJ %[JNO]

JNO
J1
J2
J3
J4

T7 <- T6 - T5

JNO
J1
J2

Table 8.2 Intermediate relations

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Figure 8.6   RT Graph of T7

The Reverse Translation (indented to indicate   scope) which
this graph would generate is:

```
At least one Supplier
supplies
at least one Part
to
the indicated Project [PNO], and possibly other Projects
but it is not the case that
    at least one Supplier
    supplies
    at least one Part
    to the indicated Project [PNO]
    but it is not the case that
        at least one Supplier
        whose Supplier-Number = S1
        supplies
        that Part
        to the indicated Project
```

As in the case of the straightforward universal quantification query illustrated in the previous section, this is not helpful. There is too great disparity, in terms of the mental effort needed to equate them, between the "description" of T7's semantics generated by the Reverse Translation technique, and our common sense rendering of this relation as "projects which get at least some of every part they use from Supplier S1". In the next section this problem is taken up more generally and its implications discussed.

8.7 An Assessment of Reverse Translation and Directions for Further Research

The Reverse Translation system presented in this thesis shares the limitations of all Natural Language processing systems which work by syntactic rules alone. Natural Language is a medium which pre-supposes a rich corpus of shared knowledge on the part of its direct or indirect

originator and its receiver. The classic illustration of this truism is the problem of algorithmically determining the reference for the pronoun "he". Consider the sentence, "The boy ran towards the river, and then he fell". A language processing algorithm can easily be imagined which could correctly substitute "the boy" for "he" in a system-generated paraphrase of this sentence. A rule like "substitute the most recently-generated animate noun" would suffice. Now consider the sentence, "The gunman fired at the victim, and then he calmly reloaded." Whereas the human information processor would have no difficulty in substituting "the gunman" for "he", no algorithm based purely on syntax and limited properties of words could decide whether it was the gunman or his victim who reloaded. Knowledge about the world of gunmen, guns, firing and victims is necessary to handle this sentence, which is superficially similar to the first example.

Turning to the problem of simplifying Reverse Translations, we may consider the example shown in Chapter Seven, Section 7.3.4.2. The Reverse Translation describes a person for whom "it is not the case that the indicated Person speaks any language which is not French." A moment's reflection yields the much tighter and easier to understand paraphrase "speaks only French". As suggested in the preceding section, it is possible that further purely structural graph manipulations could be added to the Reverse Translation system, this time to deal with this class of

query. For instance, a close equivalent to the quoted paraphrase would be generated by a rule like, "for a projected-out Entity Node which is a descendant of a negated Predication Node and which is not part of an Entity Node Chain, invert all Logical and Comparison Nodes in its Qualification Sub-graph and set its Edegree to one."

However, an ever-more-elaborate set of rules to cover multiple special cases is an unsatisfying method of approach to this problem. One possible approach to a solution is some sort of deductive component in the system, with a relatively small set of powerful, general rules of the type, "if some X has a relationship with a set Y, but does not have a relationship with a sub-set of Y, then it has an exclusive relationship with the complement of that sub-set." It may be conjectured that rules of this type, perhaps embodied as mental models, are what allow humans to deduce that "if someone speaks a language, but he doesn't speak any language that isn't French, then he speaks French (and it alone)." Further elaboration of such a system is beyond the scope of this thesis, but should be noted as a potentially fruitful research direction.

8.7 Practical Applications of Reverse Translation

For the reasons delineated in the previous section it is unlikely that any Reverse Translation system based on

purely syntactic manipulations could ever serve as an unmediated and general-purpose validation system for naive users placing unrestrictedly-complex queries on databases. However, a useful application of a robust Reverse Translation, elaborated in the directions indicated in this chapter, might be found in the area of user training. Currently, a user who wishes to learn the semantics of a formal query language without expert human assistance has two learning techniques available. He can follow examples provided in written or on-line tutorial exercises, if these are available. In this case he may find that the examples provided are taken from an unfamiliar application domain, or do not illustrate in sufficient detail the kind of query sequences which are of interest to him. A second learning approach would be for the user to create and populate his own database schema and then apply simple query operations to it, studying the results and generalising from them. This has the disadvantage that false generalisations can be drawn, taking what may only be true contingently -- due to the particular data values present in a relation -- for a necessary truth.

A Reverse Translation tutorial session would allow the user to create his own database schema, including the predication strings linking the domains present in each relation. He could then see the effect, illustrated by Reverse Translations, of increasingly-complex query expressions. Of course, at some point, given the

limitations of purely syntax-based natural language generation, he would be confronted with Reverse Translations whose complexity rendered them of little pedagogic utility. If, however, the semantics of each query language operation had been illustrated and taught before this point was reached, then Reverse Translation would have proved to be a useful learning device. Whether or not this scenario is practical is itself a matter for further research.

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Contents

QUERY VALIDATION: REVERSE TRANSLATION AND THE CONNECTION AND SELECTION TRAP

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This paper describes some aspects of research into the validation of queries using Reverse Translation and in particular how the problems of the connection trap and selection trap can be overcome.

QUEVAL is a prototype software system developed to validate queries and so help naive end-users to assert the validity of their queries when using a query language. The development of QUEVAL necessitated the development of a relational database and its associated relational query language on a DEC-10 system. This paper explores in particular the use of Reverse Translation for the connection and selection operations.

1. INTRODUCTION

Query languages are an increasingly important end-user facility in the use of DBMS for the retrieval of information. This paper describes some aspects of research into the validation of such queries using Reverse Translation (RT) and in particular how RT can be used to overcome the problem of the connection trap as described by Codd and the selection trap.

Traditionally the concept of validation has been applied to the situation where raw data is validated prior to its use by tested and proven programs. With the increasing use of databases the reverse is likely to be the case. One-off queries, ie unproven programs will access the database of validated

data. End-users will need help in asserting the validity of such programs.

The approach taken in this research programme was to build a prototype software system, QUEVAL, to effect such validation. To this end a relational database with its associated relational algebraic query language, has been developed on a DEC-10 system. To date all the relational algebraic operations, with the exception of division, have been implemented. The relational approach was used because it offered a formalism appropriate to research and the query language is well documented in the literature. There are many techniques that can be applied to help in the validation of a query and it is planned in this research programme to investigate them by implementing them in stages. The first technique to be implemented was that of RT.

A user who has initiated the query is able to see that meaning explicated in a set of natural language sentences generated by the validation system. This process of understanding extends to the final relation which is the answer to the query. In fact, the query in declarative form will be one of the many possible valid descriptions of the final relation. This provides a method of checking the semantic validity of a query expression: the user matches his understanding of the derived relations against the machine.

There are many interesting aspects to this research work, particularly in the 'data dictionary' area where it is necessary to have graph structures to support the RT process. However, this paper deals with the connection trap and the selection trap and how they can be overcome using RT.

Codd [1] describes the connection trap, where a potentially misleading meaning can be attributed to a relation resulting from the re-joining of the projected components of an all-key relation. (RT can call attention to the difference in meaning). An equally misleading result can arise from syntactically valid selection operations on certain relations.

2. VALIDATION

The error prone and expensive part of any data processing system is usually the inclusion of new components, whether these be new programs that must be written and tested or data that has to be captured and validated. In the context of traditional data processing the process of validation of data is reasonably well understood [2]. [see Figure 1].

Fig. 1

Our research has been concerned with the validation, not of the data but of programs and, in particular, queries.

Figure 2 illustrates a typical systems environment in which a query language would be used. In such a situation, the queries are novel and unproven whilst the data tends to be static and correct (for the intended query).

Fig. 2

It is thus the query (ie the program) that needs to be verified. This is the converse of the batch data validation and is rapidly becoming more common as data is collected into integrated databases and made accessible to new groups of users through Management Information Systems.

In this situation, the database user is a programmer; his query will be executed, and the results produced. However, the classical methods of validating programs are not available. The query is unlikely to be tested with sets of test data. There is no previous system, acting as a standard, with which to compare the output. There is no user community quick

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to spot anomalies in the output. The queries created by the competent casual user will require a different approach to validation, if they are to be validated at all.

3. THE RESEARCH APPROACH

The approach taken in this research has been both developmental and theoretical. QUEVAL, a software package, has been developed to provide an end user facility for the validation of queries in the belief that the development of such a package would generate theoretical problems and lead to a better understanding of errors inherent in the query process. QUEVAL has a relational database which stores and manipulates the data.

The Relational approach was adopted in this research because it is a formal approach that is both well understood and well documented.

Following the authors' experience with PRTV [3], a similar but simplified relational algebra query language was used. The authors feel that the relational algebra system could also be considered as a model of a range of other query languages.

The symbols used in our relational algebra expressions and used in examples in this paper are:

-	Difference	*	Natural join
%	Projection	+	Union
:	Selection	.	Intersection
<-	Assignment	->	Rename of entity

There are many areas of error control that could be researched, ranging from the well understood techniques of syntax error detection to an understanding of the propensity of humans to make errors. This paper concentrates on one aspect of this range that is the use of Reverse Translation (RT). The computer system states in English what it understands the query to be by giving a description in English of the generated answer. In fact, the query in declarative form will be one of the many possible valid descriptions of the final relation. This provides a method for checking the semantic validity of a query expression: the user matches his understanding of the derived relation against the machine's Reverse Translation.

The pioneering effort in this field is, of course, the RENDEZVOUS system being developed by E F Codd and others, [4] which includes natural language generation as part of dialogue with the user to enable him to formulate his query precisely in natural language.

E F Codd notes that "... very little work on the generation of natural language has been published... and none of this appears to treat the problem in the context of query formula-

tion". Recent work in this country includes a system which gives a narrative description of any noughts-and-crosses game [5], and, closest to our own approach, the system being developed by Longstaff, Poole and Roper [6] which aims at reverse translation into natural language of queries formulated in a relational calculus - like language.

The provision of reverse translation of query language expressions requires two things: the existence of a data structure capable of capturing the aspects of meaning not derivable directly from a relation, and the existence of an "analyser" capable of generating the most comprehensible natural language expression from this data structure. These two requirements are in large part separable. An adequate solution to the first problem in no way guarantees an adequate solution to the second.

4. THE MEANING OF A RELATION

The relations in QUEVAL are in first normal form and the meaning of a relation is not deducable from a knowledge of the domains.

A database does not only contain data but usually has data about the data, ie a meta database, the data dictionary. In QUEVAL we have used the data dictionary to contain a clear understanding of meaning of the relations and by modest additions to assist in the RT process

In QUEVAL, in addition to the usual information in the data dictionary for each domain, there is stored the singular and plural forms of the name of each entity of which the domain values are representatives. In addition it is recorded whether the entity is animate or inanimate.

For example:

          Domain name: EMP
          Singular entity name: EMPLOYEE
          Plural entity name: EMPLOYEES
          Animate: YES

For each attribute in a relation its domain is recorded. All the relationships embedded in each relation are recorded. For each relationship, the domain which fills each argument of the relationship
is recorded and the attribute in the relation that represents that role.

For example:

          EMPLOYEE (EMP, EMPNAM,   LANG, EMPGDE)

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There is a many to one relationship of speaking as a native language between the employee and the native language:

```
Relationship:   SPEAKS AS A NATIVE
Argument 1:     EMP
Argument 2:     LANG
Complexity:     MANY TO 1
```

Thus the relation A, shown in Fig. 3A, gives rise to the following piece of text, which is expressed as a description of a single tuple in the relation.

Attribute name	EMP	LANG
	A003	French
	A007	English
	A010	English
	A016	French
	A027	German
	A030	English

Fig. 3A   Relation A

Thus QUEVAL can generate an English description of relation A:

The indicated EMPLOYEE (EMP) and possibly others, speaks as a native the indicated LANGUAGE (LANG) and it only.

This sentence it can be seen has been generated from the data in the data dictionary. Suppose now that a slightly different design had been adopted in which all languages spoken by employees were recorded (Relation B, Fig. 3B). The complexity would have been MANY TO MANY and the resulting text would have been:

The indicated EMPLOYEE (EMP) and possibly others, speaks the indicated LANGUAGE (LANG) and possibly others.

```
Complexity:     N:M

Relation B
```

Attribute	EMP	LANG
	A611	English
	B021	English
	B021	French
	C512	German
	C512	French
	C512	English

Fig. 3B - Relation B

5. AN EXAMPLE OF A RELATIONAL OPERATION

The full range of operations is described in Johnson [7]. When the user makes a query he manipulates one or more relations. QUEVAL manipulates the relations and the resulting tuple descriptions in parallel. Thus taking the relation B in Fig. 3B consider the simple operation of projection.

        B1 ← B [LANG]

The resulting relation is shown in Fig. 4 and lists the languages spoken by employees.

        Attribute name: LANG

                           French
                           English
                           German

            Fig. 4   Relation B1

The text that would be produced from this query is:

        At least one EMPLOYEE speaks the indicated
        LANGUAGE (LANG), and possibly others.

As can be seen with the above example, reference to the attributes which have been projected out must not simply disappear from the reverse translation for that would not be faithful to the actual meaning of the derived relation. For the relation B1 in Fig. 4, it is not known which employees have learned these languages, but the history of this relation proves that for each of these languages, at least one employee can be found who has learned it.

6. THE SELECTION TRAP

E F Codd [1] described the "connection trap", where a potentially misleading meaning can be attributed to a relation resulting from the re-joining of the projected components of an all-key relation. (We will show later how RT can help to protect against such a trap).

An equally misleading result can arise from syntactically valid selection operations on certain relations.

Consider a user wishing to find employees whose native language is French. He would receive the desired information from the following query:

        F1 ← (A: [LANG = "French"]) % [EMP]

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To find employees whose native language is not French, a symmetrical expression is used:

F2 ← (A: [LANG # "French"]) % [EMP]

This slightly more complicated query, which includes a negation, has an algebraic expression which parallels the previous, positive, query, with "#" substituted for "=". This is probably the relationship that most casual users would expect to exist between the two queries, and their algebraic expressions, and they would in this case be correct.

Now suppose the user wants the employee numbers of all employees who have learned French. He again uses a selection followed by a projection:

F3 ← (B: [LANG = "French"]) % [EMP]

If the user wants the employee numbers of all employees who have not learned French, a request apparently symmetrical to the previous request, he may be tempted to use the following:

F4 ← (B: [LANG#"French"]) % [EMP]

This formulation is syntactically valid, and does not involve a violation of data constraints. The resulting relation will contain many employee numbers, and has a coherent meaning. However, it was not what was intended.

The user has obtained, not employees who have not learned French, but employees who have learned one or more languages other than French.

A user using the reverse translation facility to check the previous two queries would have received the following interpretation of F2

    The indicated EMPLOYEE, (EMP) and possibly
    others, speaks as a native a LANGUAGE which
    is not French, and it alone.

F4 would have been rendered

    The indicated EMPLOYEE, (EMP) and possibly
    others, speaks a LANGUAGE which is not
    French, and possibly others.

The latter translation is at least a flag on the selection trap. More can be done: the natural language rendering of the complement of the selection expression can be incorporated into the "and possibly others" phrase which follows a selection translation in a complex relationship. This would yield a translation of the last phrase above as "... the

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indicated LANGUAGE, which is not French, and possibly others, including French".

To correctly find employees who have not learned French, the user first creates a relation consisting of those who have done so, and then differences it from a relation containing all employees who have learned languages.

F5 ← (B% [EMP]) - ((B: [LANG = 'French']) % [EMP])

THE RT of F5:

The indicated EMPLOYEE (EMP) and possibly
others, speaks one or more LANGUAGES,
but does not speak a LANGUAGE which is French.

7. THE CONNECTION TRAP

It is useful to see how RT might illuminate "connection trap" problems.

These can arise when components of a relation previously projected out are re-joined, resulting in a relation whose "surface structure" is identical to the original relation, but whose underlying meaning is different.

The following relation and series of operations illustrates this well-known problem.

Complexity:	N:N:N		
Relation	S		
Domain	S.CODE (Supplier)	P.CODE (Part)	J.CODE (Job)
Attribute	SNO	PNO	JNO
	S1	P1	J1
	S1	P1	J2
	S2	P1	J1

The intended meaning of this relation is that supplier SNO supplies part PNO to job JNO.

A graphical representation of the relation.

S:

Let

        S1 ← Supply % [SNO,PNO]
        S2 ← Supply % [PNO,JNO]

Complexity: N:N

Relation   S1
                S.CODE   P.CODE
Domain

Attribute   SNO   PNO

                S1   P1
                S2   P1

S1:

Complexity: N:N

Relation	S2	
	S.CODE	P.CODE
Domain		
Attribute	PNO	JNO
	P1	J1
	P1	J2

```
            ┌──────────────────────────────┐
          N │      N      SUPPLY      N     │ N
       ┌────┤             N                 ├────┐
       ○    └──────────────┬───────────────┘    │
   ┌───┴──────┐     ┌───────┴──────┐     ┌───────┴──────┐
   │  S.CODE  │     │    P.CODE    │     │    J.CODE    │
   ├──────────┤     ├──────────────┤     ├──────────────┤
S2:│          │     │     PNO      │     │     JNO      │
   └──────────┘     └──────────────┘     └──────────────┘
```

The Connection Trap can arise when S1 and S2 are re-joined:

S3 ← S1 * S2

Complexity: N:N:N

Relation	S3		
	S.CODE	P.CODE	J.CODE
Domain			
Attribute	SNO	PNO	JNO
	S1	P1	J1
	S1	P1	J2
	S2	P1	J1
	S2	P1	J2

A graphical representation of S3:

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The RT of S:

    The indicated SUPPLIER (SNO), and possibly
others, supplies the indicated PARTS (PNO),
and possibly others, to the indicated JOB
(JNO) and possibly others.

The RT of S3:

    The indicated SUPPLIER (SNO), and possibly
others, supplies the indicated PART (PNO),
and possibly others, to at least one JOB.

    One or more SUPPLIERS supplies the indicated
PART (PNO), and possibly others, to the
indicated JOB (JNO), and possibly others.

The actual relationships embodied in the two relations, S1 and
S3, are made explicit by the RT of each.

Of course, the availability of an RT, or of any other device
for explicating the underlying structure of a relation cannot
guarantee a correct user understanding of a relation's
meaning.

8. CONCLUSIONS

The authors believe that use of reverse translation provides a
significant advance in the development of query languages.
While inexperienced users have a higher error rate, all users
can benefit from the opportunity provided of comparing their
intuition for a particular query with an alternative textual
formulation of that query.

The research on this paper, together with the other research mentioned, confirms the authors in their belief that reverse translation is both necessary and valuable for query language systems. This paper has shown the text produced from relational algebra expressions. No previous work known to the authors has given detailed consideration to this problem.

The system described here is a prototype system and further research is needed to improve the natural language text and to fully develop other facilities. However, the authors believe the present system represents an advance on other query systems currently available.

Query languages are not designed specifically to avoid errors in the formulation of the query, except insofar as good design inherently must serve that end, and it could be that this research would lead to a reconsideration of the design of query languages or more possibly, query systems.

9. ACKNOWLEDGEMENT

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APPENDIX II

Reverse Translation Grammar

A Reverse Translation Graph has the following structure:

```
RT ::= Entity-Node-RT
       + (Predication-Node-RT + Entity-Node-RT) 1..n

Entity-Node-RT ::= Indicator-Phrase
                   + Domain-Reference
                   + ( Attribute-Name )
                   + ( Role-Phrase )
                   + ( Qualification-Phrase )
                   + ( EDegree-Phrase )
```

```
Indicator-Phrase          ::= [ Participating-Phrase : Non-Participating-Phrase ]
Domain-Reference          ::= name of domain this node corresponds to
Attribute-Name            ::= name of attribute this node corresponds to
Role-Phrase               ::= "acting as a" + role-name
Qualification-Phrase      ::= Introducer + Qualifier-tree
EDegree-Phrase            ::= [ N-phrase : 1-phrase ]


Predication-Node-RT       ::= [ Main-Predication-Phrase : Case-Indicator-Phrase ]
Main-Predication-Phrase   ::= [ Positive-Predication-Phrase :
                                Negative-Predication-Phrase ]
N-phrase                  ::= "and possibly others"
1-phrase                  ::= "and it alone"
Introducer                ::= [ Representer-phrase : Rel-pro ]
Representer-phrase        ::= "whose" + Representative-Name
Rel-pro                   ::= [ "which" : "who" ]
Participating-Phrase      ::= "the indicated"
Non-Participating-Phrase::= [ Principal-Entity-Phrase : Non-Principal-Entity-Phrase ]
Principal-Entity-Phrase   ::= "At least one"
Non-Principal-Entity-Phrase::= [ UnQual-Phrase : Qualif-Phrase ]
Unqual-Phrase             ::= "that"
Qualif-Phrase             ::= "those"
Qualifier-tree            ::= [Simple logical sub-graph : RT
```

APPENDIX III

Program Listing

```pascal
PROGRAM revTrans(INPUT,OUTPUT, F);
CONST
  strmax     = 20;          {max length of any string}
  maxreal    = 536870911.0; {scientific notation for all higher values}
  menumax    = 20;          {used in menu routines -- max choices permitted}
  stackmax   = 24;          {max number of Enodes which can be uttered}
  maxvalues  = 16;
  degmax     = 6;           {max degree of any relation}
  attmax     = 8;           {max number of columns in any relation}
  maxpreds   = 1;           {max number of root predicates a relation can have}
  permax     = 6;           {Must always be factorial of largest base relation}
                            {degree permitted}
  dmax       = 6;           {max number of entity/domains allowed in data dictionary}
  rmax       = 16;          {max number of relations -- base and derived -- allowed}

  {The following are synonyms used in type definitions
   They are the equivalent of user-defined enumerated
   types. However, unlike enumerated types, they can
   be input and output to files/the screen, using
   standard read and write procedures, which saves writing a
   special I/O procedure for each type (at the expense
   of a certain amount of built-in error checking)}

  no  = 0;  { my own read/writeable boolean type }
  yes = 1;

  negative = 0; { for "sense" (q.v.) of a predication }
  positive = 1;

  {attribute    = 0;} { for future research -- seeing if "entity-entity" }
  {relationship = 1;} {relations should be translated differently from }
                      {entity-attribute predications}

  singular = 0; {to mark those elements of RT which need the }
  plural   = 1; {distinction }

  exported = 0; {"degree" (q.v.) values }
  one      = 1;
  many     = 2; {Only the middle two used in present system }
  all      = 3;

  ent_att = 0;
  ent_ent = 1;

  nom = 0; { markers for case }
  obj = 1;

  inanimate = 0; { markers for who/which distinction }
  animate   = 1;

  Bool = 0; { the different data types allowed in current system }
  int  = 1;
  rel  = 2;
  cha  = 3;
  str  = 4;
  dat  = 5;

  ropnode   = 1; { the different node types }
  copnode   = 2;
  pnode     = 3;
  enode     = 4;
  valuenode = 5;

  orx  = 0; { the values a Logical Node (ropnode) can take }
  andx = 1;
  notx = 2;

  Stl  = 1; { the different values a Comparison Node can take }
  Sel  = 2;
  Neql = 3;
  Eql  = 4;
  Gel  = 5;
  Gtl  = 6;
  Ssl  = 7;

  {LP}
TYPE
  { when two Simple Logical Sub-Graphs are presented
    as candidates for composition, these are the possible
```

```
result_type = (empty, values, which, the, procedure, Qreduce, can, generate )
               compose, newop, incompatible_operators);

File_name_type = ARRAY [ 1..32] OF char; { PRIMOS definition of
                                           a path-name }

StColType = RECORD { holds attribute names for relational operations }
              count: 0..attmax;          { see explanations for each set}
              names: ARRAY[1..attmax] OF string[strmax];
            END;

animate_type = inanimate..animate;     { see explanations for each set}
number_type  = singular..plural;       { of values, above }
case_type    = nom..obj;

Domindex_type = 1..dmax;        { references to domain definitions }
rel_index_type = 1..rmax;       { ditto for relations }
col_index_type = 1..degmax;     { ditto for attributes }
cols_type = RECORD { holds references to attributes }
              Num: col_index_type;
              cols: ARRAY[col_index_type] OF col_index_type;
            END;

{ visit_count_type = 0 .. 12;}{ not used in current system }

att_index_type  = 1..attmax;
perm_type       = 1..permmax;
Edegree_type    = exported .. all;

menu_Choice_type = 0..menumax;
menu_type = RECORD
              Choices : menu_Choice_type;
              Options : ARRAY [ menu_Choice_type] OF string[strmax];
            END;

p_table_type = ARRAY [ 1..24, 1..4 ] OF integer;{ permutation table}
Pro_table_type = ARRAY [ inanimate..animate, nom..obj] OF string[strmax];

yesno_type = no .. yes ;

{Here are all the type definitions relating to the RT apparatus}

nodepointer = ^node;
node_id_type = 0..maxint;{each node has unique id}

pointerstack = RECORD
                 nf: 1..stackmax;
                 pointers: ARRAY [ 1.. stackmax] OF nodepointer;
               END;

nodetype = ropnode .. valuenode;
setofnodetypes = SET Of nodetype;
setofchar = SET OF char;

roptype = orx .. notx ; { Logical Node type }
coptype = Stl .. Ssi ; { Comparison Node type }

Sense_type = negative .. positive;

phrase_type = RECORD { for holding predication phrase strings }
                Main: yesno_type;
                CASE Main:yesno_type OF
                  no: ( case_phrase : String[strmax]);
                  yes: ( Alt_phrases : ARRAY[Sensetype, number_type]
                                       OF String[strmax]);
              END;

Sentence_type = ARRAY [ 1..degmax] OF phrase_type;

node = RECORD
         descendants : 1..degmax; {number of pointers not NIL}
         ptr         : ARRAY[1..degmax] OF nodepointer;
         typeofnode  : nodetype;

         CASE typeofnode:nodetype OF
           ropnode  : (op:roptype);
           copnode  : (cop:coptype;
           copnode  : (cdegree: Edegree_type; )
```

```
pnode   : (Pred_id  : 1..rmax; (unique predication identifier)
         pperm    : Perm_type ; (which permutation it is)
                                ( next field not used in
                                  current implementation.)
         pred_type : ent_att..ent_ent; (some predications of
                                        degree two are entity/
                                        attribute ones)
         psense    : Sense_type;
         pnumber   : singular .. plural;
         Alt_sentences : ARRAY [perm_type] OF Sentence_type);

enode   : ( attnum    : 0 .. degmax; ( attribute  entity refers to)
          entdomain_id : domindex-type; (domain of this argument)
          dummy       : yesno_type; (for future research --
                                      for implementing predication lowering)
          edegree     : edegree-type;
          node_id     : node_id-type;
          role        : ARRAY [ number_type] OF string[strmax];
          trimmed     : yesno_type;(for future research --
                                    for implementing user re-definition of
                                    verbose reverse translations )
          shortdesc : string[strmax]); ( ditto above )

valuenode: (value:string[strmax])
END; (of •node•)


(These are the data dictionary types which hold information about
each relation -- both base relations and derived relations -- and
each domain -- note that Domain is synonymous with entity as far
as reverse translation is concerned.)

attHdrtype = RECORD  (info. on each attribute in a relation)
             aname : string[strmax];
             Attdomain_id : 1..dmax; (location of domain in data dict)
             END;

rhdrtype = RECORD
           Rname     : string[strmax];
           Rdegree   : 1..degmax;
           Key_cols  : cols_type;
           Rperm     : Perm_type;
           attribute : ARRAY[1..attmax] OF attHdrtype;
           preds     : 1..maxPreds; (every relation has at least one)
           ptr       : ARRAY [1..maxPreds] OF nodepointer;
           END;

DomDictype = ( info. on each domain )
RECORD entity_set_name  : ARRAY [ number_type ] OF string[strmax];
       animate_value    : inanimate .. animate;
       Self_id          : yesno_type;

repdata : RECORD
          represented : yesno_type;
          CASE represented : yesno_type OF
          no: ( );
          yes: ( repName : ARRAY [ singular .. plural] OF string[strmax]);
          END; (of repdata record)

Rangedata : RECORD
            datatype : Bool .. dat;
            CASE datatype: Bool .. dat  OF
            Bool: ( );
            Char: ( );
            Str : ( );
            int : ( rmin, rmax : REAL);
                   ( imin, imax : integer );
            END;(of Rangedata record)

orderdata : RECORD
            ordered : yesno_type;
            compphrases : ARRAY [St[..Ss[] OF string[strmax];
            END; (of orderdata)

valuesetdata : RECORD
               Limited : yesno_type;
               CASE Limited: yesno_type OF
               no: ( ); values : RECORD
               yes: ( ); count : 1..maxvalues;
```

```pascal
            String : ARRAY [ 1..maxvalues ]
                       OF string[strmax] ;
        END; (of valuesetdata)      END; (of values record) ;

END;( of RECORD)

ra_type = ARRAY [1..rmax] OF rhdtype;
da_type = ARRAY [1..dmax] OF DomDictype;

(end of TYPE definitions)

(fP)
VAR
dd : dd_type;
ysense : sense_type; (for controlling determinant phrases)
Gsuppress: boolean; (for suppressing utterance of entity names
                    and relative pronouns when the entity is
                    self-identifying and there are values present)
glevel : 0..24; (controls indentation depth of RT phrases)
aprojected_out: boolean; (controls comparison phrases)
attribute_names    : StColType;
operation          : char;
cols               : cols_type;
midnodestack, leftnodestack, rightnodestack : pointerstack;
p_table            : P_table_type; (hold permutations )
p_To_table         : ProTabTe_type; (holds pronouns )
row, col           : integer;(row and column index variables for
                              loading tables )
I          : 0..maxint; (loop control variable)
debug      : boolean; (to switch debugging statements on and off )
Q          : menu_choice_type; (holds user's menu choice)
rd         : rd_type; (holds relation headers )
rinf, ddnf : integer; (next free location in rd and dd)
next_node_id : integer; (holds relation location in menu choice 4)
next       : node_id_type;

f_name     : TEXT ; ( our all-purpose file variable)
f          : File_name_type;
main_menu,
ops_menu,
datatype_menu : menu_type;

           : relindex_type;
           : domindex_type; (dummy variable for initial call of
                             utter)
```

```
(fP)
x*include*HD12xTxpersonalxextnrocs*;
(x*include*HD12x-Xpersonal>p-yreduce*;)
x*include*HD12xTxpersonalxH-utter*;
x*include*HD12xTxpersonalxH-select*;
x*include*HD12xTxpersonalxH-setops*;
x*include*HD12xTxpersonalxH-permute*;
x*include*HD12xTxpersonalxH-join*;
x*include*HD12xTxpersonalxH-project*;
x*include*HD12xTxpersonalxH-command*;
```

```
(fP)
BEGIN (main body)
debug := QUery  (*stall T switch the debugging facility on?*);
reset(F,'TABLE.PRONOUNS*);
FOR row := inanimate to animate DO
FOR col := nom to vbj DO  BEGIN
readln(F, pro_table[row,col]);
IF debug THEN
writeln('row, col, pronoun =',row, col, pro_table[row,col]);
END;
close(F);

reset (F,'TABLE.PERMS');
FOR row := 1 TO 24 DO
FOR col := 1 TO 4 DO
READ (F, p_table [row,col]);
close(F);

reset (F,'MENU.MAIN');
```

```
load_menu (F,Main_menu);
close(F);

reset(F, 'MENU.OPS');
load_menu(F, Ops_menu);
close(F);

reset '( F, 'MENU.DATATYPES');
load_menu (F, datatype_menu);
close (F);

get_file_name(F_name  );
reset (F,F_name);
load_dict(fT);
close (F);

((P)
REPEAT
  Q :=iquery('Your choice ', 0, main_menu.choices-1);

CASE Q OF
  0: BEGIN  END;

  1: create_domain;

  2: create_relation;

  3: BEGIN
     REPEAT
       newr:=rdnt;
       writeln;
       glevel:=0; gsense:=positive; gsuppress:=FALSE;
       gprojected_out:=FALSE;
       processcommand;
       writeln;
       IF newr < rdnt THEN BEGIN  show_relation( newr);
                                  writeln; utter( rd[newr].ptr[1], newr, 0)  END;

     writeln;
     UNTIL NOT (Bquery('Another command?'));
     END;

  4: IF ddnt>1 THEN
       FOR i:=1 TO ddnt-1 DO BEGIN  show_domain( i); pause END
     ELSE writeln ('no domains defined as yet.');

  5: IF rdnt>1 THEN                                                BEGIN
       FOR R:= 1 TO rdnt-1 DO
         show_relation (r);
         writeln;
         writeln('==========================================');
         writeln;
         writeln('Reverse Translation: ');
         writeln;
         glevel:=0; gsense:=positive; gsuppress:=FALSE;
         gprojected_out:=FALSE;
         midnodestack.nf:=1; (reset)
         utter ( rd[R].ptr[1], R , 0);
         writeln; pause                                           END
     ELSE writeln('no relations defined as yet.');

  6: BEGIN  writeln('Switching debug status.');
            debug := NOT debug;
     END;

  otherwise  writeln('choose 0 to ', main_menu.choices-1:3);
  END (of CASE)
UNTIL (Q = 0);

rewrite(F, 'DATA.lastrun');
dump_dict(F);
close (F);

END.
PROCEDURE attach( op: optype; VAR p1: nodepointer;
                                  p2 : nodepointer);
(attaches a copy of tree pointed to by p2 to p1 )
```

```
IF ptr[i]^.descendants = 0 THEN  (no graph already attached)
BEGIN
  ptr[i]^.descendants := 1 ; (there will be now)
  NEW(ptr[i]^.ptr[i]^.copnode);
  WITH ptr[i]^.ptr[i]^.copnode^ DO BEGIN  (with the node we've just made)
    cop:=XOp;
    (Edegree :=
    descendants := 1;
    IF debug THEN BEGIN
    IF write(n(* we have created a comp.op node, whose value is *, cop);
  END;
  typeofnode := copnode;
  NEW (ptr[i]^.valuenode);(now create a valuenode, pointed to by the copnode)
  si :=(ptr[i]^.valuenode); (necessary step due to PRIME bug)
  ptr[i]^.value := si;
  ptr[i]^.descendants := 0;
  ptr[i]^.typeofnode := valuenode                  END
END
ELSE
BEGIN        (there is already a QGraph)
  oldgraph := pointers[nt-i]^.ptr[i]^.ptr[i]; (store temporary)
  NEW(ptr[i]^.ptr[i]^.Ropnode);
  WITH ptr[i]^.ptr[i]^ DO BEGIN
    op:= anax;
    typeofnode:=ropnode;
    descendants := 2;
    ptr[i]:=oldgraph;
    NEW(ptr[2]^.copnode);
  WITH ptr[2]^.copnode^ DO BEGIN
  IF debug THEN BEGIN
    write(n(*attaching Qgraph, type of node should be 4, is *, ptr[i]^.typeofnode);
    write(n(*number of descendants is *, ptr[i]^.descendants); END;
    op := 1; (there will be now)
  WITH ptr[i]^.ptr[i]^.copnode^ DO BEGIN  (with the node we've just made)
    cop:=XOp;
    (Edegree :=
    descendants := 1;
    IF debug THEN BEGIN
    IF write(n(* we have created a comp.op node, whose value is *, cop);
  END;
  typeofnode := copnode;(now create a valuenode, pointed to by the copnode)
  NEW (ptr[i]^.valuenode);(necessary step due to PRIME bug)
  si :=(ptr[i]^.value);
  ptr[i]^.value := si;
  IF debug THEN write(n(a valuenode was created,holding the value *, ptr[i]^.value); END;
  IF debug THEN write(n( ptr[i]^.value, * is the leaf.*);
  ptr[i]^.descendants := 0;
  ptr[i]^.typeofnode := valuenode                END
END                    END; (of WITH)
END                    END; (of J-loop )
midnodestack.nt:=midnodestack.nt-1;
END            END (of I-loop)
                (WITH)    END;

RF:=RF+1;
END; (of attach)

PROCEDURE processcommand;
(
PURPOSE:reads relational algebra query in from keyboard

INPUTS : string from keyboard
OUTPUTS:if input string is syntactically valid, calls
        appropriate relational algebra procedure
GLOBALS READ FROM:none
GLOBALS WRITTEN TO:none
CALLS    :Squery
         get_relation_num
         get_op_token
         get_name
         get_att_num
         project
         select
```

```
              union
              intersection
              difference
              rename
              join

IS CALLED BY: main program
LAST MODIFIED:
KNOWN BUGS:
NOTES: this is crude command line parser, for development
       use only. Expects a simple 'three-address' query
       (left of form A <- B binop C or A <- B monop [ ]
       [left discovers an error while processing it
       prints some debugging data and returns to calling
       routine)
}
VAR  S, value, CStr : STRING[strmax];
     NewR, LeftR, RightR: string[strmax];
     attnames : stcoltype;
     op, ch : char;
     L : integer;
     i : integer;
     r1, r2 :relindex type;
     col_left, col_right: cols_type;
     legalchars : setofchar;
     col : integer;
     compop : coptype;
     comlen :0..strmax;
     oksofar : boolean;

BEGIN
  oksofar := TRUE;
  CStr:=''; RightR:=''; LeftR:=''; NewR:=''; s:=''; value:='';
  CStr:=squery('enter command line');
  comlen:=length(CStr);
  i:=1;
  attnames.count:=0;
  NewR:= getname(i, CStr, ['0'..'9','A'..'Z','a'..'z']);
  IF i > comlen THEN BEGIN writeln('1 = ',i); oksofar:= FALSE;END;
  WHILE substr(CStr,i,1)=' ' DO i:=i+1;
  i:=i+2; (to jump over <-_)
  LeftR:=getname(i, CStr, ['0'..'9','A'..'Z','a'..'z']);
  r1:= get_relation num(LeftR);
  IF (r1=0)-OR (i>comlen) THEN
     BEGIN writeln(i = ', r1);
           writeln(r1 = ', r1);
           oksofar:=FALSE
     END;
  ch:=unstr(substr(CStr,i,1));
  WHILE NOT (ch IN['x','-','=','+','*','&']) DO
     BEGIN i:=i+1; ch:=unstr(substr(CStr,i,1)); END;
  IF i>comlen THEN BEGIN writeln('i = ',i); oksofar:=FALSE;END;
  op := ch;
  IF debug THEN
     writeln('command line read was:  ->', CStr,'<--');
     writeln('NewR:-->',NewR,'<--);
     writeln('LeftR:-->',LeftR,'<--);
     writeln('Op:-->',Op,'<--);
  pause;
  IF oksofar THEN
  CASE op OF
    'x','*': BEGIN
             IF debug THEN writeln('projecting');
             col_left.num:=0;
             WHILE substr(CStr,i,1)<>'[' DO i:=i+1;
             WHILE substr(CStr,i,1)<>']' DO BEGIN
             attnames.count:=attnames.count+1;
             s:=getname(i,CStr,['0'..'9','A'..'Z','a'..'z']);
             IF debug THEN writeln(attnames.count):=s;
             attnames.names[attnames.count]:=s;
             col_left.num:= col_left.num + 1;
             col_left.cols[col_left.num]:=get att num(s,r1);
             IF col_left.cols[col_left.num]= 0 THEN oksofar:=FALSE;
             IF NOT oksofar THEN
               writeln('No such attribute as ',s,' check spelling?');
             WHILE (substr(CStr,i,1)=' ') OR (substr(CStr,i,1)=',') DO i:=i+1;
             END;
             IF oksofar THEN project(NewR, r1,col_left);
          END;
    ':',':': BEGIN
             IF debug THEN writeln('selecting');
```

```
WHILE substr(Cstr, 1, 1) <> '[' DO i:= i+1; i:=i+1;
s := getname(, Cstr, [, ], 'A'..'Z', 'a'..'z']);
col := get_att_num(s, r1); 
IF debug THEN writeln('The attribute ->',s,'<-', is column ', col:3);
s:=;
s := getname(, Cstr, [<,=,=,=,>] );
compop := get_op(token(s));
IF debug THEN writeln('Operator and token = ',>',s,'<-',compop:2);
value := getname(, Cstr, [, ], 'A'..'Z', 'a'..'z']);
IF debug THEN writeln('And the value = ->', value,'<--');
select(NewR, r1, col, value, compop);
END;
'+', '-', '^': BEGIN
    IF debug THEN writeln('set operation');
    i:=i+1; (skip past op)
    RightR:=0;
    RightR := getname(, Cstr, ['0'..'9', 'A'..'Z', 'a'..'z']);
    IF debug THEN writeln('After getname  RightR ->',RightR,'<--');
    r2:=get_relation_num(RightR);
    IF (r2>=1) OR (r2 >= rdn) THEN oksofar := FALSE;
    IF oksofar THEN
    CASE op OF
        '+': union(NewR, r1, r2);
        '-': difference(NewR, r1, r2);
        '^': intersection(NewR, r1, r2);
    END
END;
'@': BEGIN
    RightR:=getname(, Cstr, ['0'..'9', 'A'..'Z', 'a'..'z']);
    r2:=get_relation_num(RightR);
    join(NewR, r1, r2, Eq[]);
END;
OTHERWISE writeln(op,' is an unknown operator.')
END; (of CASE op)
END;
PROCEDURE reduce( VAR n:nodepointer, op: optype);
(n is a ptr to a relop node called when
 pointers point to identical predications (including
 same sense and permutation)
VAR i,j,k: integer;
WITH n^ DO
FOR i := 1 TO ptr[1]^.descendants DO
    attach( op, ptr[1]^.ptr[i]^, ptr[2]^.ptr[i]^, ptr[2]^.ptr[i]^ );
END;

PROCEDURE join( r1, r2   : STRING; (name of new relation)
                op  : INTEGER; (RD location of operands)
                Op  : Coptype (# <(<=), =, #, <>, >=)));
(Returns new relation header entry in Rdict, plus its derived graph)
VAR i,j,k: integer;                                              BEGIN
    c2: integer; (temp fix)

BEGIN  WITH RD[rdn1] DO

Rname := S;
RDegree := RD[ r1 ].RDegree + RD[ r2 ].RDegree - 1;
rperm := 1;
key_cols.num := rdegree ;
FOR i := 1 TO key_cols.num DO
    key_cols.cols[1] := 1; (mark the key attributes )

FOR i := 1 TO RD[ r1 ].RDegree DO WITH attribute[i] DO      BEGIN
    (transfer r1's attribute names)
    Aname:=RD[ r1 ].Attribute[i].Aname;
    Attdomain_id := RD[ r1 ].attribute[i].Attdomain_id;        END;

(now go thru and get all the relevant attribute data from r2.
 this will be every attribute except the one being joined over.
 If we later permit multi-column joins this will have to be modified.)

k:= RD[ r1 ].RDegree + 1;
FOR i := 1 TO RD[ r2 ].RDegree DO WITH attribute[k] DO     BEGIN
    IF i <> c2 THEN
```

```
        Aname := RD[ r2 ].attribute[I].aname;
        Attdomain_ID := RD[ r1 ].attribute[I].Attdomain_ID  END;
  IF k-1 <> RDegree THEN writeln('error in attribute transfer in JOIN');

(now set all linked nodes on right = their partners nodes on left)

Findnodes(RD[rdn1].ptr[1], enode, midnodestack);
FOR I:=1 TO midnodestack.nf-1 DO
   FOR J:=1 TO midnodestack.nf-1 DO
      IF (midnodestack.pointers[I]^.attnum =
          midnodestack.pointers[J]^.attnum)
          AND
          (midnodestack.pointers[I]^.attnum>0)
      THEN
         midnodestack.pointers[J]^.node_id :=
              midnodestack.pointers[I]^.node_id ;

END                                              END; (of join)


PROCEDURE Project( S:STRING;(name of new relation)
                   r1 : INTEGER; (rd location of operand)
                   cols_1 : cols_type); (numbers of the columns to be
                                         projected out )

(Returns new relation header entry in rdict, plus its derived graph)

VAR
   Throwout: BOOLEAN; (for deciding to project out a column)
   I,J,k : INTEGER; (loop control and index variables)
   cols_2 : cols_type;
   Rname:=S;
BEGIN WITH rd[rdnf] DO
   rdegree := rd[ r1 ].rdegree - cols_1.Num;               BEGIN
   (new key,cols)
   Rperm:= rd[ r1 ].Rperm;
   Preds:= rd[ r1 ].preds;
   FOR I:=1 TO preds DO         ptr[I]:=copy_node(rd[ r1 ].ptr[I]);
   k:=1;
   FOR I:=1 TO rd[ r1 ].rdegree DO                          BEGIN  BEGIN
      WITH Attribute[k] DO                                    BEGIN
      Throwout:=FALSE;                                          BEGIN
      FOR J:=1 TO cols_1.Num DO
         IF I = cols_1.cols[J] THEN Throwout:=TRUE; BEGIN
         IF NOT Throwout THEN
            Aname := rd[ r1 ].Attribute[I].Aname;
            Attdomain_ID:= rd[ r1 ].Attribute[I].Attdomain_ID;
                                                    END; END END;
            k:=k+1;
                                                       END   END END;
   IF debug THEN writeln('k-1 should = rdegree :', k-1, rdegree);
  (Having copied all the information from the columns we are keeping,
   then go thru and set the attnums
   of the projected out nodes to 0 and reset the attnums of the others)

cols_2.num := rd[ r1 ].rdegree;
FOR I:= 1 TO cols_2.num DO cols_2.cols[I] := maxint;(unzero every attribute)

                                (then zero the projected out ones)
FOR I:= 1 TO cols_1.num DO cols_2.cols[ cols_1.cols[I] ] := 0;
j := 1;
FOR I:= 1 TO cols_2.num DO(now set the unzeroed ones to the new 1, 2, 3.. values)
   IF cols_2.cols[I] <> 0 THEN BEGIN
      cols_2.cols[I] := j;  j:= j+1 END;

FOR I:=1 TO preds DO                                      BEGIN
   ptr[I]:= copy_node(rd[ r1 ].ptr[I]);
   midnodestack.nf:=1;
   findnodes(ptr[I], pnode, midnodestack);
   WHILE j <   midnodestack.nf DO (for each pnode)
      midnodestack.pointers[j]^.perm := map (midnodestack.pointers[j]^.   BEGIN
      (for each Enode)
      FOR k:= 1 TO midnodestack.pointers[j]^.descendants DO BEGIN
         midnodestack.pointers[j]^.ptr[k]^.attnum:= cols_2.cols[k] END;
```

```
                                                    (WHILE) END
                                                          (of WITH) END;

        J:=J+1

rdnf:=rdnf+1;
END; (of project)

PROCEDURE Permute( S:STRING; (name of new relation)
                   R1: INTEGER; (RD location of operand)
                   VAR RD: RD_type;
                   VAR RF: INTEGER;
                   DD: DD_type;

        Cols_1 : Cols_type); (numbers of the columns to be
                              permuted, in their new order)

(Returns new relation header entry in Rdict, plus its derived graph)

VAR  I, J: col_index_type; (loop control and index variables)      BEGIN
BEGIN WITH RD[RF] DO
   Rname:=S;
   RDegree := RD[R1].RDegree ;
   Key_Cols.Num:= RD[R1].Key_Cols.Num;
   FOR I:=1 TO Key_Cols.Num DO
   FOR J:=1 TO Cols_1.Num DO
      IF RD[R1].Key_Cols.Cols[I]=Cols_1.Cols[J]
      THEN Key_Cols.Cols[I]:=J;
      Rperm:= RD[R1].Rperm; (shall we just change the Rperm?)      BEGIN
   FOR I:=1 TO Rdegree DO
   WITH Attribute[I] DO
      Aname   := RD[R1].Attribute[Cols_1.Cols[I]].Aname;
      Attdomain_ID:= RD[R1].Attribute[Cols_1.Cols[I]].Attdomain_ID  END;

      FOR I := 1 TO preds DO  ptr[1] := copy_node( rd[R1].ptr[1] );

                                                 (of WITH)    END;
RF:=RF+1;
END; (of permute)

PROCEDURE Union( S:string[strmax]); (name of new relation)
                 R1, R2: INTEGER); (RD location of operand)

(Returns new relation header entry in Rdict, plus its derived graph)

VAR  I, J: INTEGER;
BEGIN
RD[rdnf]:=RD[R1];
WITH RD[rdnf] DO
   Rname:=S;
   (Now create a new union node pointed to by ptr[1]'s graph.
    make its left descendant a copy of R1's graph.
    make its right descendant a copy of R2's graph.)
   New( ptr[1]);                                             BEGIN
   WITH ptr[1]^ DO
      typeofnode := Ropnode;
      op:= or;
      descendants := 2;
      ptr[1] := copy_node( RD[R1].ptr[1]); (left)
      ptr[2] := copy_node( RD[R2].ptr[1]); (right) END;
                                                    (WITH)      END;
rdnf:=rdnf+1;
END; (of Union)

PROCEDURE Difference( S:string[strmax]); (name of new relation)
                      R1, R2: INTEGER); (RD location of operand)

VAR  I, J: INTEGER;
BEGIN
RD[rdnf]:=RD[R1];
```

```
WITH RDC[rdn] DO                                         BEGIN
{Now create a new difference node pointed to by ptr[1]}
make its left descendant a copy of R1's graph.}
make its right descendant a copy of R2's graph.}
    New( ptr[1]); Ropnode);
    WITH ptr[1]^ DO                         BEGIN
    typeofnode := Ropnode;
    op := notx;
    descendants := 2;
    ptr[1] := copy_node( RDC[r1].ptr[1]); {left}
    ptr[2] := copy_node( RDC[r2].ptr[1]); {right}   END;
    {now set all linked nodes on right = their partners nodes on left}
    Findnodes( RDC[rdn].ptr[1], enode, midnodestack);
    FOR i:= 1 TO midnodestack.nf-1 DO
      FOR j:= 1 TO midnodestack.nf-1 DO
        IF (midnodestack.pointers[i]^.attnum =
            midnodestack.pointers[j]^.attnum)
         AND
            (midnodestack.pointers[i]^.attnum>0)
        THEN
            midnodestack.pointers[i]^.node_id :=
            midnodestack.pointers[j]^.node_id ;      END;
                                          {WITH}
    E rdn:=rdn+1;
    EnD; {of difference}


PROCEDURE intersection( S:string[strmax]; {name of new relation}
                        R1, R2: INTEGER); {RD location of operand}
{Returns new relation header entry in Rdict, plus its derived graph}
VAR
    i, j, k:INTEGER;
BEGIN
RDC[rdn]:=RDC[r1];
WITH RDC[rdn] DO                                         BEGIN
{Now create a new intersect node pointed to by ptr[1]
make its left descendant a copy of R1's graph.
make its right descendant a copy of R2's graph.}
    New( ptr[1]); Ropnode);
    WITH ptr[1]^ DO                         BEGIN
    typeofnode := Ropnode;
    op := andx;
    descendants := 2;
    ptr[1] := copy_node( RDC[r1].ptr[1]); {left}
    ptr[2] := copy_node( RDC[r2].ptr[1]); {right}   END;
    {now set all linked nodes on right = their partners nodes on left}
    Findnodes(RDC[rdn].ptr[1], enode, midnodestack);
    FOR i:= 1 TO midnodestack.nf-1 DO
      FOR j:= 1 TO midnodestack.nf-1 DO
        IF (midnodestack.pointers[i]^.attnum =
            midnodestack.pointers[j]^.attnum)
         AND
            (midnodestack.pointers[i]^.attnum>0)
        THEN                                    BEGIN
            midnodestack.pointers[i]^.node_id :=
            midnodestack.pointers[j]^.node_id ;
    {transfer Qgraph}
    FOR k:= 1 TO midnodestack.pointers[i]^.descendants DO
       ( transfer ( midnodestack.pointers[i]^.descendants
            midnodestack.pointers[j]^.ptr[k]); )
                                          END;
                      END;  {WITH}
```

```pascal
rdnt:=rdnt+1;
END; (of intersect)

PROCEDURE Select(S:string; (name of new relation)
         R1: integer; (RD location of operand)
         Col_num: ColIndexType; (Col to be selected on)
         SVaTue: string; (for selection value to be compared to)
         XOp: Coptype); (= <= =,# , >,>=)

(Returns new relation header entry in Rdict, plus its derived graph)

VAR
    i, j: integer;
    s: string[strmax];
    oldgraph: nodepointer;
BEGIN WITH RD[rdnt] DO                                        BEGIN
    Rname:=S;
    RDegree := RD[R1].RDegree; (degree remains the same)
    Rperm:= RD[R1].Rperm; (as does the permutation)
    Key_Cols.Num:=RD[R1].Key_Cols.Num; (nor does the key change)
    FOR i:= 1 TO Key_Cols.Num DO
        Key_Cols.Cols[i]:=RD[R1].Key_Cols.Cols[i];

    FOR i:= 1 TO RDegree DO
        WITH Attribute[i] DO BEGIN                            BEGIN
            Aname:=RD[R1].Attribute[i].Aname;
            Attdomain_1D:= RD[R1].Attribute[i].Attdomain_1D;
        END;

    Preds := RD[R1].Preds;
    FOR i:= 1 TO Preds DO
        PTR[i] := copy_node ( RD[R1].Ptr[i] );

    FOR i:= 1 TO preds DO                                     BEGIN
        midnodestack[nf] := 1;
        findnodes(RD[rdnt].Ptr[i], pnode, midnodestack);
        WHILE nf > 1 DO                                       BEGIN
            FOR j:= 1 TO pointers[nf-1].descendants DO BEGIN
            (for each of Pnode's arguments)
            IF pointers[nf-1].ptr[j].attnum =col_num THEN  BEGIN
                (attach Qgraph)
                IF pointers[nf-1].ptr[j].descendants = 0 THEN
                (no graph already attached)
                BEGIN
                    pointers[nf-1].ptr[j].descendants := 1 ;(there will be now)
                    NEW(pointers[nf-1].ptr[j].ptr[1].ptr[1].copnode);
                    WITH pointers[nf-1].ptr[j].ptr[1]^ DO BEGIN
                        cop:=XOp;
                        descendants := 1 ;
                        typeofnode := copnode;
                        NEW (ptr[1].valuenode); (necessary step due to PRIME bug)
                        s1 :=Svalue; (necessary step due to PRIME bug)
                        ptr[1].value := s1;
                        ptr[1].descendants := 0;
                        ptr[1].typeofnode := valuenode
                    END
            END
            ELSE
            BEGIN (there is already a Qgraph)
            (replace with fully-parameterised insert procedure)
            IF debug THEN BEGIN
            writeln('attaching Qgraph to Enode which has one already');
            END;
                oldgraph := pointers[nf-1].ptr[1]^.ptr[1]; (store temporary)
                NEW(pointers[nf-1].ptr[1]^.ptr[1]^.Ropnode);
                WITH pointers[nf-1].ptr[1]^.ptr[1]^ DO BEGIN
                    op:= andx;
                    typeofnode:=ropnode;
                    descendants := 2;
                    ptr[1] := oldgraph;
                    NEW(ptr[2].copnode);
                    WITH ptr[2]^ DO BEGIN
                        pointers[nf-1].ptr[j].descendants := 1;
                        NEW(pointers[nf-1].ptr[j].ptr[1].copnode);
                        WITH pointers[nf-1].ptr[j].ptr[1]^ DO BEGIN
                            cop:=XOp;
                            (Edegree :=
```

```
              descendants := 1 ;
              typeofnode := copnode;
              NEW (ptr[i].valuenode);
              si := Svalue; (necessary step due to PRIME bug)
              ptr[i].value := si;
              ptr[i].descendants := u;
              ptr[i].typeofnode := valuenode              END
           END

        END
      END; (of j-loop )
  midnodestack.nt:=midnodestack.nt-1;
                    END (of i-loop)
                                         (WITH)      END;

rant:=rant+1;
END;(ofSelect)

FUNCTION reduce (    ptr1, ptr2: nodepointer;
                     logicop: roptype;
                ): nodepointer;
VAR result: result_type

(ptr1 and ptr2 are ptrs to Compop nodes to a valuenode.
 each of which points to a valuenode.
 Must already be in canonical form.)

VAR
  k1, k2 : string[strmax ];
         : nodepointer;
BEGIN (WITH ptr1^.pointer^DO)
  k1:= ptr1^.ptr[1]^.value; (get left and right leaves)
  k2:= ptr2^.ptr[1]^.value;
  P:= NIL ;
  IF k1 < k2 THEN
    IF logicop = anax THEN                               BEGIN
    CASE ptr1^.cop OF
      Stf:
      CASE ptr2^.cop OF                   BEGIN
        Stf, Sef, Neqf : result:=left; (reduce to left)
        Gtf, Gef, Eqf  : result:= empty_set ;
        Ssf            : BEGIN END;
        otherwise        BEGIN writeln('error in P_reduce')END
      END (of CASE);                           BEGIN

      Sef:
      CASE ptr2^.cop OF                   BEGIN
        Stf, Sef, Neqf : result:=left;
        Gtf, Gef, Eqf  : result:= empty_set;
        Ssf            : BEGIN END;
        otherwise        BEGIN writeln('error in P_reduce')END
      END (of CASE);                           BEGIN

      Neqf:
      CASE ptr2^.cop OF                   BEGIN
        Stf, Sef, Neqf : result:=compose;
        Gtf, Gef, Eqf  : result:=right;(reduce to right tree)
        Ssf            : BEGIN END;
        otherwise        BEGIN writeln('error in P_reduce')END
      END (of CASE);                           BEGIN

      Eqf:
      CASE ptr2^.cop OF                   BEGIN
        Stf, Sef, Neqf : result:=left;
        Gtf, Gef, Eqf  : result:= empty_set;
        Ssf            : BEGIN END;
        otherwise        BEGIN writeln('error in P_reduce')END
      END (of CASE);                           BEGIN

      Gef:
      CASE ptr2^.cop OF                   BEGIN
        Stf, Sef, Neqf : result:=compose;
        Gtf, Gef, Eqf  : result:=right;
        Ssf            : BEGIN END;
        otherwise        BEGIN writeln('error in P_reduce')END
      END (of CASE);                           BEGIN

      Gtf:
      CASE ptr2^.cop OF
        Stf, Sef, Neqf : result:=compose;
        Gtf, Gef, Eqf  : result:=right;
```

```
                  Ssf        :  BEGIN END; writeln('error in P_reduce')END
                  otherwise     BEGIN writeln('error in P_reduce')END
                  END (of CASE);                    END;
                                                    BEGIN

Ssf:
         CASE ptr2^.cop OF
         Stf, Sef, Neqf,              result:=incompatible_operators;
         Gtf, Gef, Eqf :              BEGIN END;
         Ssf                          BEGIN writeln('error in P_reduce')END
         otherwise
         END (of CASE);
                                                    END;
                                                    BEGIN   END
         otherwise

         END (of CASE) ;                            END      BEGIN

ELSE (op = ox, so )
CASE ptr1^.cop OF
         Stf:                                       BEGIN
         CASE ptr2^.cop OF
         Stf, Sef, Neqf,              result:=right;
         Gtf, Gef, Eqf :              result:=compose;
         Ssf                          BEGIN END;
         otherwise                    BEGIN writeln('error in P_reduce')END
         END (of CASE);
                                                    END;
                                                    BEGIN

Sef:
         CASE ptr2^.cop OF
         Stf, Sef, Neqf,              result:=right;
         Gtf, Gef, Eqf :              result:=compose;
         Ssf                          BEGIN END;
         otherwise                    BEGIN writeln('error in P_reduce')END
         END (of CASE);
                                                    END;
                                                    BEGIN

Neqf:
         CASE ptr2^.cop OF
         Stf, Sef, Neqf,              result := universal_set;
         Gtf, Gef, Eqf :              result:= left;
         Ssf                          BEGIN END;
         otherwise                    BEGIN writeln('error in P_reduce')END
         END (of CASE);
                                                    END;
                                                    BEGIN

Eqf:
         CASE ptr2^.cop OF
         Stf, Sef, Neqf,              result:=right;
         Gtf, Gef, Eqf :              result:=compose ;
         Ssf                          BEGIN END;
         otherwise                    BEGIN writeln('error in P_reduce')END
         END (of CASE);
                                                    END;
                                                    BEGIN

Gef:
         CASE ptr2^.cop OF
         Stf, Sef, Neqf,              result:=compose;
         Gtf, Gef, Eqf :              result:= left;
         Ssf                          BEGIN END;
         otherwise                    BEGIN writeln('error in P_reduce')END
         END (of CASE);
                                                    END;
                                                    BEGIN

Gtf:
         CASE ptr2^.cop OF
         Stf, Sef, Neqf,              result:=compose;
         Gtf, Gef, Eqf :              result:=left;
         Ssf                          BEGIN END;
         otherwise                    BEGIN writeln('error in P_reduce')END
         END (of CASE);
                                                    END;
                                                    BEGIN

Ssf:
         CASE ptr2^.cop OF
         Stf, Sef, Neqf,              result := incompatible_operators;
         Gtf, Gef, Eqf :              BEGIN END;
         Ssf                          BEGIN writeln('error in P_reduce')END
         otherwise
         END (of CASE);
                                                    END;
                                                    BEGIN
                                                    END

         otherwise
                                                    END      BEGIN

END (of CASE) ;

ELSE (k1 = k2 )
         IF logicop = anox THEN
```

```
CASE ptr1^.cop OF
   Stf:                                              BEGIN
        CASE ptr2^.cop OF
           Stf: result:=either;
           Sef, Neqf :result:=left;;
           Gtf, Gef : Eqf : result := empty_set;
           Ssf:              BEGIN END;
           otherwise         BEGIN writeln('error in P_reduce')END
        END (of CASE);                               END;
                                                     BEGIN
   Sef:
   CASE ptr2^.cop OF
        Stf: result:=right;
        Sef: result:=either;
        Neqf :BEGIN
               ptr1^.cop:=Stf;
               result:=newop;
                           END;
           Eqf          ...BEGIN ..result:=right;
           Gef              p:=copy_node(ptr);    p^.cop:=Eqf;
                            result:=newop;
                                     END;
           Gtf           ... result := empty_set;
           Ssf              BEGIN END;
           otherwise        BEGIN writeln('error in P_reduce')END
        END (of CASE);                               END;
                                                     BEGIN
   Neqf:
        CASE ptr2^.cop OF
        Stf:Neqf:result:=right;
        Sef:          BEGIN
                      p:=copy_node(ptr);   p^.cop:=Stf;
                      result:=newop;
                                  END;
              Eqf: result := empty_set;
              Gef: BEGIN
                   p:=copy_node(ptr);   p^.cop:=Gtf;
                   END;
              Gtf: result:=right;
              Ssf: BEGIN END;
           otherwise BEGIN writeln('error in P_reduce')END
        END (of CASE);                               END;
                                                     BEGIN
   Eqf:
        CASE ptr2^.cop OF
        Stf: result := empty_set;
        Sef: result:=left;
        Neqf: result := empty_set;
        Eqf: result := either;
              Gef: result := left;
              Gtf: result := empty_set;
              Ssf: BEGIN END;
           otherwise BEGIN writeln('error in P_reduce')END
        END (of CASE);                               END;
                                                     BEGIN
   Gef:
        CASE ptr2^.cop OF
        Stf: result := empty_set;
        Sef: BEGIN
                 p:=copy_node(ptr);   p^.cop:=Eqf;
                 result:=newop;
                        END;
        Neqf:   BEGIN
                 p:=copy_node(ptr);   p^.cop:=Gtf;
                 END;
        Gtf, Gef, Eqf: result:=right;
              Sef: Gef: result:=either;
              Ssf: BEGIN END;
           otherwise BEGIN writeln('error in P_reduce')END
        END (of CASE);                               END;
                                                     BEGIN
   Gtf:
        CASE ptr2^.cop OF result := empty_set;
        Stf, Sef: result := empty_set;
        Eqf: Neqf: result := empty_set;
              Gef: Gtf: result:=left;
              Gtf: result:=either;
              Ssf: BEGIN END;
```

```
                  otherwise         BEGIN writeln('error in P_reduce')END
           END (of CASE);                              END;
                                                       BEGIN
Ssf:      CASE ptr2^.cop OF
           Stf, Sef, Neqf :
           Gtf, Gef, Eqf :  result := incompatible_operators;
           Ssf :            BEGIN END;
                  otherwise  BEGIN writeln('error in P_reduce')END
           END (of CASE);                              END;
                                                       BEGIN
                                                       END
     otherwise                                         BEGIN

     END (of CASE) ;                                   END        BEGIN

ELSE (op = orx, so )
     CASE ptr1^.cop OF
     Stf:  CASE ptr2^.cop OF                           BEGIN
           Stf : result:=either;
           Sef, Neqf : result:=right ;
           Eqf: BEGIN
                  p:=copy_node(ptr);  p^.cop:=Sef;
                  result:=newop;
                END;
           Gef: result := universal_set;
           Gtf: BEGIN
                  p:=copy_node(ptr);  p^.cop:=Neqf;
                  result:=newop;
                END;
                  otherwise  : BEGIN END;
                  BEGIN writeln('error in P_reduce')END
           END (of CASE);                              END;
                                                       BEGIN
Sef:      CASE ptr2^.cop OF
           Stf, Eqf: result:=left;
           Sef, Gef, Neqf : result:= either;
           Ssf:  result:= universal_set;
           Gtf:  BEGIN  result:= right;
                  BEGIN END;
                  BEGIN writeln('error in P_reduce')END
           END (of CASE);                              END;
                                                       BEGIN
Neqf:     CASE ptr2^.cop OF
           Stf, Gtf: result:= left;
           Sef, Neqf : result:= either;
           Gef, Eqf: result:= either;
           Ssf:  result:= universal_set;
                  BEGIN END;
                  result:=newop;
                  otherwise  : BEGIN END;
                  BEGIN writeln('error in P_reduce')END
           END (of CASE);                              END;
                                                       BEGIN
Eqf:      CASE ptr2^.cop OF
           Stf: BEGIN
                  result:=newop;
                  p:=copy_node(ptr);  p^.cop:=Sef;
                END; result:= universal_set;
           Neqf: result:= universal_set;
           Sef, Gef: result:=right;
           Gtf: BEGIN  result:= either;
                  p:=copy_node(ptr);  p^.cop:=Gef;
                  result:=newop;
                END;
                  : BEGIN END;
                  otherwise  BEGIN writeln('error in P_reduce')END
           END (of CASE);                              END;
                                                       BEGIN
Gef:      CASE ptr2^.cop OF
           Stf, Sef, Neqf : result := universal_set;
           Gtf, Eqf : result:=left;
           Gef: result:= either;
           Ssf:  : either; END;
                  otherwise  : BEGIN END;
                  BEGIN writeln('error in p_reduce')END
           END (of CASE);                              END;
```

```
Gtf:        CASE ptr2^.cop OF                              BEGIN
            Stf:BEGIN
                      p:=copy_node(ptrl);      p^.cop:=Neqf;
                      result:=newop;
                END;
            Sef: result := universal_set;
            Neqf: Gef:result:=right;
            Eqf: BEGIN
                      result:=newop;
                      p:=copy_node(ptrl);      p^.cop:=Gef;
                END;
            Gtf;result:=either;    BEGIN END;
            Ssf:              : BEGIN writeln('error in P_reduce')END
            otherwise         : BEGIN writeln('error in P_reduce')END
          END (of CASE);
                                                END;
Ssf:        CASE ptr2^.cop OF                              BEGIN
            Stf, Sef, Neqf :
            Gtf, Gef, Eqf : result := incompatible_operators;
            Ssf              : BEGIN END;
            otherwise        : BEGIN writeln('error in P_reduce')END
          END (of CASE);
                                                END;
                                                BEGIN
    otherwise                                            END;
                                                END
  END (of CASE) ;

END (of CASE k1 = k2 );

CASE result OF
    empty_set, universal_set: p:=NIL;
    incompatible_operators   : ;
    writeln('Operator -- can't mix operators');
    left     : p:=copy_node(ptrl);
    right    : p:=copy_node(ptr2);
    either   : p:=copy_node(ptrl);
    compose  :                               BEGIN
               (new( pointer, ropnode);
          WITH pointer^ DO BEGIN
               typeofnode:=ropnode;
               descendants:=2;
               op:=logicop;
               ptr[1]:=copy_node(ptrl);
               ptr[2]:=copy_node(ptr2)   END; p:=pointer^ )   END;
               ( action already accomplished )
  END (of CASE);

reduce:=p
END (of reduce);

PROCEDURE utter(N:Nodepointer; R: RelIndex_type; D: DomIndex_type);
CONST NULL = '.'; (token for 'say nothing' in predication phrases)
      inc = 2;
VAR
   similar_count, same_count : 0..3; (descendants counter)
   next: T.stackmax; 0..maxint;
   Entity, Phrase : 1..24;
   Sename, Rel_pro, cophrase.rep name holder,
   PEname: IndTcator, Edegphrs, atnam : String[strmax];
   casei: case_type;

PROCEDURE indent(n:integer);
BEGIN IF n>0 THEN write('  ':n) END;

PROCEDURE report;
BEGIN
      writeln('gsuppress = ', gsuppress, 'gsense = ',gsense);
      writeln('glevel = ', glevel , 'gprojected_out = ', gprojected_out);
END;

BEGIN WITH N^ DO                                         BEGIN
  IF debug THEN writeln('utter called, typeofnode = ', typeofnode);
```

```
CASE Typeofnode OF

Ropnode:                                                     BEGIN
  glevel:=glevel+1nc;
  indent(glevel);
  IF Op=Orx THEN writeln('either ');

  utter( Ptr[1], R, D );

  glevel:=glevel-1nc;
  indent(glevel);
  CASE Op OF
    Orx: writeln(' or ');
    Andx: IF ptr[2].typeofnode = pnode THEN
            IF ptr[2].psense = negative THEN
              writeln('but');
            ELSE writeln('and');
          ELSE writeln('and');
    Notx : BEGIN writeln('but it is NOT the case that ');
           gsense := negative

  END; (of CASE)

  utter( ptr[2], R, D);
  glevel:=glevel-1nc;
  gsense:=positive;
  IF Op=Orx THEN writeln('or both');          END;(of Rop)

Copnode:                                                     BEGIN
  glevel:=glevel+1nc;
  {Now decide upon the actual phrase to use for the
   Comparison operation. 'Ordered' means 'does this
   domain use the default phrases ('less than, equal to'
   etc.) or does it use some domain-specific phraseology
   supplied by the user, eg 'is' or 'brighter than'.
   Ordered = No -> default values.
   Ordered = Yes -> get user-supplied values from DD }

  IF gprojected_out AND (cop=Neg1) THEN cophrase := 'other than ' ELSE
  IF gprojected_out AND (cop=eq1) THEN cophrase := 'including';
                        cophrase := DD[D].OrderData.CompPhrases[Cop];

  IF (NOT (gsuppress)) THEN write(cophrase,' ');

  utter( Ptr[1], R, D );(should point to a Valuenode)
  glevel:=glevel-1nc;                              END;(of Copnode)

Pnode:                                                       BEGIN
  glevel:=glevel+1nc; )
  indent(glevel);)
  FOR Phrase := 1 TO Descendants DO
  Entity:=Calculate_Order (Pperm, Phrase, P_Table) ;  BEGIN.

  utter(ptr[Entity], R, D);

  IF Phrase < Descendants THEN               BEGIN
    IF Alt_sentences[Pperm, phrase].Main = NO THEN
    Prdphrs := Alt_sentences[Pperm, phrase].Case_phrase
  ELSE Prdphrs := Alt_sentences[Pperm, phrase].Alt_phrases[Fsense, Pnumber];
    IF Prdphrs = NULL THEN Prdphrs:= '';
    writeln(Prdphrs, '') END END (of phrase-loop, and    Pnode: ) END;

Enode:                                                       BEGIN
  IF debug THEN writeln('Entering Enode.');
  IF debug THEN report;
  gsuppress:=FALSE;
  gprojected_out := FALSE;
  IF (DDcodomain_id].self [u=YES] AND (descendants>0) THEN
    IF ptr[1].typeofnode= Copnode THEN
    IF ptr[1].cop = Eq1 THEN
```

```
                      gsuppress := TRUE;

(see if this entity has been uttered before)

same_count:=0; similar_count:=0;

next := mlgnodestack.nf-1;
WHILE next > 1 DO                                              BEGIN
  IF mlgnodestack.pointers[next]^.node_id = node_id
  THEN same_count:= same_count+1;
  ELSE
    IF mlgnodestack.pointers[next]^.Entdomain_id = Entdomain_id
    THEN similar_count := similar_count + 1;
    next := next - 1                                           END;

(get indicator phrase for this entity)

IF gsense = positive THEN
  IF NOT(gprojected_out) THEN {it's a Principal Enode}
    IF same_count = 0 THEN Indicator:='the indicated '
      ELSE IF descendants > 0 THEN Indicator:='the indicated '
    ELSE {so it's not a Principal Enode}
      IF (same_count > 0 THEN Indicator:='error1'
      IF descendants > 0 THEN Indicator:='the indicated '
  ELSE (attnum = 0)
    IF same_count = 0 THEN
      IF descendants > 0 THEN Indicator := 'a single '
        IF Edegree = one THEN Indicator := 'at least one '
      ELSE
        IF Edegree = one THEN Indicator := 'a single '
    ELSE (same_count > 0 THEN Indicator := 'at least one '
      IF descendants > 0 THEN
        IF Edegree = one THEN not a Principal Enode)
      ELSE
        THEN Indicator := 'a single '
             Indicator := 'at least one '
             Indicator := 'at least one '
ELSE (gsense = negative, so)
  IF NOT(gprojected_out) THEN {it's a Principal Enode}
    IF same_count = 0 THEN Indicator:='error2'
      IF descendants > 0 THEN Indicator:='the indicated '
    ELSE (same_count > 0 so it's not a Principal Enode)
      IF descendants > 0 THEN Indicator:='an indicated '
             Indicator:='the indicated '
    ELSE (attnum = 0)
      IF same_count = 0 THEN
        IF descendants > 0 THEN
          IF Edegree = one THEN Indicator := 'any '
                               Indicator := 'at least one '
        ELSE
          IF Edegree = one THEN Indicator := 'any '
                               Indicator := 'any '
      ELSE (same_count > 0 so it's not a Principal Enode)
        IF descendants > 0 THEN Indicator := 'a single '
          IF Edegree = one THEN Indicator := 'those '
        ELSE                   Indicator := 'that ';

IF Indicator='' THEN Indicator:='(ERROR -- No indic.phrase assigned';
IF debug THEN writeln('preparing to write Indicator');
IF NOT(gsuppress) THEN write(Indicator);

SEname :=DD[Entdomain_id].entity_set_name[singular];
PEname :=DD[Entdomain_id].entity_set_name[plural];

IF role[singular]<>'none' THEN                        BEGIN
  SEname := SEname + ' (acting as ' + role [singular] +')';
  PEname := PEname + ' (acting as ' + role [plural ] +')'; END;

IF NOT(gsuppress) THEN write(SEname,' ');

IF (NOT(gprojected_out)) AND (NOT(gsuppress)) THEN BEGIN
  atnam := RD[R].attribute[attnum].aname;
  write('(',atnam,')')                                        END;
```

```
(here we generate any Qualification Graphs, such as
 'which is greater than 5,000 and less than 10,000 '  or
 'which is French'
 'which are other than French' )

     IF DO[D].repdata.represented = YES THEN BEGIN
            rel_pro:= DO[D].repdata.repname[singular];
            rel_pro:= 'whose ' + rel_pro                    END
     ELSE
            rel_pro:=get_rel_pro(nom,D);

(   glevel:=glevel+1;
    indent(glevel);)
    IF (descendants > 0) AND (NOT(gsuppress)) THEN write(rel_pro, ' ');

    FOR I:= 1 TO descendants DO                              BEGIN
    IF debug THEN BEGIN writeln('uttering descendants.')
    END;
    utter ( Ptr[I], R, Entdomain_id )                        END;

    IF gsense = positive  THEN
    CASE Edegree OF
       exported :  BEGIN
                   (here we do nothing since we have already put the Edegphrs
                    information earlier, after the qualifying graph
                    for this entity)
                   END;
       one :     BEGIN
                   Edegphrs:='(and this '+ SEname +' only)';
                   (Edegphrs := '(and no other ' + PEname + ').');)
                   END;
       many:     BEGIN
                   Edegphrs:='(and possibly other ' + PEname+') ';
                   END;
       all :     BEGIN (possible future development)
                   Edegphrs:='(and all other ' + PEname+ ' )';
                   END;
    ELSE  END  (of CASE)
    (gsense = negative, so)
    Edegphrs:=' ';

    writeln(Edegphrs,' ')                  ( Edegphrs block : )

    push(N, midnodestack);

    Valuenode:  writeln(' Value ' ');

END:(of global case)
END:(of WITH)
END:(of UTTER)

FUNCTION reduce (    ptr1, ptr2: nodepointer;
                     logicop: roptype;
            ): nodepointer ;              VAR result: result_type
(ptr1 and ptr2 are ptrs to Compop nodes to a valuenode.
          each of which points in canonical form.)
          Must already be in canonical form.)

VAR
   k1, k2 : string[strmax];
           nodepointer;
BEGIN (WITH pointer DO)
   k1:= ptr1^.ptr[1]^.value;  (get left and right leaves)
   k2:= ptr2^.ptr[1]^.value;
   P:= NIL ;
   IF k1 < k2 THEN
   CASE ptr1^.cop OF
   St:  IF logicop = andx  THEN                              BEGIN
        CASE ptr2^.cop OF
        St:  Se:  Neq{   result:=left; (reduce to left)
        Gt:  Ge:  Eq{    result:= empty_set ;
        Ss{              BEGIN END;
        otherwise        BEGIN writeln('error in P_reduce')END
```

```
            END (of CASE);                          END;
                                                    BEGIN
Sel:        CASE ptr2^.cop OF
            Stl, Sel, Neql    result:=left;;
            Gtl, Gel, Eql     result := empty_set;
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
                                                    BEGIN

Neql:       CASE ptr2^.cop OF
            Stl, Sel, Neql    result:=compose;
            Gtl, Gel, Eql     result:=right;(reduce to right tree)
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
                                                    BEGIN

Eql:        CASE ptr2^.cop OF
            Stl, Sel, Neql    result:=left;
            Gtl, Gel, Eql     result:= empty_set;
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
                                                    BEGIN

Gel:        CASE ptr2^.cop OF
            Stl, Sel, Neql    result:=compose;
            Gtl, Gel, Eql     result:=right;
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
                                                    BEGIN

Gtl:        CASE ptr2^.cop OF
            Stl, Sel, Neql    result:=compose;
            Gtl, Gel, Eql     result:=right;
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
                                                    BEGIN

Ssl:        CASE ptr2^.cop OF
            Stl, Sel, Neql,
            Gtl, Gel, Eql     result := incompatible_operators;
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
                                                    BEGIN
                                                    END
        otherwise
        END (of CASE) ;                             END        BEGIN

ELSE (op = ox, so )
        CASE ptr1^.cop OF
Stl:        CASE ptr2^.cop OF                                  BEGIN
            Stl, Sel, Neql    result:=right;
            Gtl, Gel, Eql     result:=compose;
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
                                                    BEGIN

Sel:        CASE ptr2^.cop OF
            Stl, Sel, Neql    result:=right;
            Gtl, Gel, Eql     result:=compose;
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
                                                    BEGIN

Neql:       CASE ptr2^.cop OF
            Stl, Sel, Neql    result := universal_set;
            Gtl, Gel, Eql     result:= left;
            Ssl               BEGIN END;
            otherwise         BEGIN writeln('error in P_reduce')END
            END (of CASE);                          END;
```

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```
Eqℓ:      BEGIN
          CASE ptr2^.cop OF
          Stℓ, Seℓ, Neqℓ:  result:=right;
          Gtℓ, Geℓ, Eqℓ:   result:=compose ;
          Ssℓ               BEGIN END;
          otherwise         BEGIN writeln('error in P_reduce')END
          END (of CASE);                                          END;
                                                                  BEGIN

Geℓ:      BEGIN
          CASE ptr2^.cop OF
          Stℓ, Seℓ, Neqℓ:  result:=compose;
          Gtℓ, Geℓ, Eqℓ:   result:= left;
          Ssℓ               BEGIN END;
          otherwise         BEGIN writeln('error in P_reduce')END
          END (of CASE);                                          END;
                                                                  BEGIN

Gtℓ:      BEGIN
          CASE ptr2^.cop OF
          Stℓ, Seℓ, Neqℓ:  result:=compose;
          Gtℓ, Geℓ, Eqℓ:   result:=left;
          Ssℓ               BEGIN END;
          otherwise         BEGIN writeln('error in P_reduce')END
          END (of CASE);                                          END;
                                                                  BEGIN

Ssℓ:      BEGIN
          CASE ptr2^.cop OF
          Stℓ, Seℓ, Neqℓ,
          Gtℓ, Geℓ, Eqℓ,
          Ssℓ               result := incompatible_operators;
          otherwise         BEGIN END; END;
                            BEGIN writeln('error in P_reduce')END
          END (of CASE);                                          END;
                                                                  END
                                                                  END

otherwise

END (of CASE) ;

ELSE ( k1 = k2 )                                                  BEGIN
IF logiccop = andx THEN
CASE ptr1^.cop OF
Stℓ:      BEGIN                                                   END
          CASE ptr2^.cop OF
          Stℓ:  result:=either;
          Seℓ, Neqℓ:  result:=left;;
          Gtℓ, Geℓ, Eqℓ:  result:= empty_set;
          Ssℓ               BEGIN END;
          otherwise         BEGIN writeln('error in P_reduce')END
          END (of CASE);                                          END;
                                                                  BEGIN
          Eqℓ          ..result:=right;
          Geℓ          ..BEGIN
                         p:=copy_node(ptr1);   p^.cop:=Eqℓ;
                         result:=newop;
                       END;
          Gtℓ          ..result := empty_set;
          Ssℓ            BEGIN END;  result := empty_set;
          otherwise      BEGIN END;
                         BEGIN writeln('error in P_reduce')END
END (of CASE);                                                    END;
                                                                  BEGIN

Neqℓ:     CASE ptr2^.cop OF
          Stℓ, Neqℓ :result:=right;
          Seℓ:  result:=either;   BEGIN
                         BEGIN
                         p:=copy_node(ptr1);   p^.cop:=Stℓ;
                         result:=newop;
                       END;
                       Eqℓ : result := empty_set;
                       Geℓ: BEGIN
                         p:=copy_node(ptr1);   p^.cop:=Gtℓ;
                       END;
          Ssℓ: result:=right;
          otherwise      BEGIN END;
                         BEGIN writeln('error in P_reduce')END
```

```
          END (of CASE);
          BEGIN

Eql:      CASE ptr2^.cop OF
          Stl: result:=empty_set;
          Sel: result:=left;
          Neql:result:=empty_set;
          Neql: result := either;
              Gel: result := left;
              Gtl:   result := empty_set;
              Ssl:   result := empty_set;
              otherwise
                     : BEGIN END;
                     BEGIN writeln('error in P_reduce')END
          END (of CASE);
                                                          END;
                                                          BEGIN

Gel:      CASE ptr2^.cop OF
          Stl:    result := empty_set;
          Sel:    BEGIN
                  p:=copy_node(ptr);     p^.cop:=Eql;
                  result:=newop;
                  END;
          Neql:   BEGIN
                  p:=copy_node(ptr);     p^.cop:=Gtl;
                  result:=newop;
                  END;
              Gtl, Gel, Eql: result:=right ;
              Gel: Eql ; result:=either;
              Ssl:    : BEGIN END;
              otherwise
                     BEGIN writeln('error in P_reduce')END
          END (of CASE);
                                                          END;
                                                          BEGIN

Gtl:      CASE ptr2^.cop OF
          Stl, Sel: result := empty_set;
          Eql:    ... result := empty_set;
              Gel, Neql: result:=empty_set;
              Gtl : result:=either;
              Ssl:    : BEGIN END;     incompatible_operators;
              otherwise
                     BEGIN END; writeln('error in P_reduce')END
          END (of CASE);
                                                          END;
                                                          BEGIN

Ssl:      CASE ptr2^.cop OF
          Stl, Sel, Neql:  result := incompatible_operators;
          Gtl, Gel, Eql ...
          Ssl:          : BEGIN END; writeln('error in P_reduce')END
              otherwise
                     BEGIN END;
          END (of CASE);
                                                          END;
                                                          END

otherwise                                                END     BEGIN

END (of CASE) ;

ELSE (op = prh.so )                                             BEGIN
          CASE ptr1^.cop OF
Stl:      CASE ptr2^.cop OF
          Stl:result:=either;
          Sel: Neql: result:=right ;
          Eql: BEGIN
                  p:=copy_node(ptr);     p^.cop:=Sel;
                  result:=newop;
              Gel: result := universal_set;
              Gtl: BEGIN
                  p:=copy_node(ptr);     p^.cop:=Neql;
                  result:=newop;
                  END;
              Ssl:       : BEGIN END;
              otherwise
                     BEGIN writeln('error in P_reduce')END
          END (of CASE);
                                                          END;
                                                          BEGIN

Sel:      CASE ptr2^.cop OF
          Stl: Eql: result:=left;
          Sel Eql: result:= either;
          Gtl, Gel, Neql: result:= universal_set;
          Ssl:       : BEGIN END; writeln('error in P_reduce')END
              otherwise
```

```
                              END (of CASE);
                                                        END;
                                                        BEGIN
Neql:      CASE ptr2^.cop OF
           Stl, Gtl, Geql: result:= left;
           Sel, Gel, Eql:  result:= either;
           Ssl:            result:= universal_set;
           otherwise       BEGIN END;
           END (of CASE);    BEGIN writeln('error in P_reduce')END
                                                        END;
                                                        BEGIN

Eql:       CASE ptr2^.cop OF
           Stl: BEGIN
                result:=newop;
                p:=copy_node(ptr);        p^.cop:=Sel;
                END;
           Neqf: result:= universal_set;
           Sel, Gef: result:= right;
           Gtl: BEGIN result:= either;
                result:=newop;
                p:=copy_node(ptr);        p^.cop:=Gel;
                result:=newop;
                END;
           Ssl           : BEGIN END;
           otherwise     : BEGIN writeln('error in P_reduce')END
           END (of CASE);
                                                        END;
                                                        BEGIN

Gel:       CASE ptr2^.cop OF
           Stl, Sel, Neqf : result := universal_set;
           Gtl, Eql : result:= left;
           Gel: result:= either;
                result := universal_set;
           Neqf, Gef: result:=right;
           Eql: BEGIN
                result:=newop;
                p:=copy_node(ptr);        p^.cop:=Gel;
                END;
           Gtl:result:= either;
           Ssl           : BEGIN END;
           otherwise     : BEGIN writeln('error in P_reduce')END
           END (of CASE);
                                                        END;
                                                        BEGIN

Gtl:       CASE ptr2^.cop OF
           Stl:BEGIN
                p:=copy_node(ptr);        p^.cop:=Neql;
                result:=newop;
                END;
           Sel, result := universal_set;
           Neqf, Gef: result:=right;
           Eql: BEGIN
                result:=newop;
                p:=copy_node(ptr);        p^.cop:=Gel;
                END;
           Gtl:result:=either;
           Ssl           : BEGIN END;
           otherwise     : BEGIN writeln('error in P_reduce')END
           END (of CASE);
                                                        END;
                                                        BEGIN

Ssl:       CASE ptr2^.cop OF
           Stl, Sel, Neqf
           Gtl, Gel, Eql :  result := incompatible_operators;
           Ssl           : BEGIN END;
           otherwise     : BEGIN writeln('error in P_reduce')END
           END (of CASE);
                                                        END;
                                                        BEGIN
                                                        END

           otherwise                                    END;

END (of CASE);

END (of CASE k1 = k2 );

CASE result OF
empty set: universal_set: p:=NIL;
incompatible_operators :;
           writeln('Error -- cannot mix operators');
left       p:=copy_node(ptr1);
right      p:=copy_node(ptr2);
either     p:=copy_node(ptr1);
compose    (new( pointer, ropnode);               BEGIN
           WITH pointer^ DO BEGIN
```

```
        typeofnode:=ropnode;
        descendants:=2;
        op:=logicop;
        ptr[1]:=copy_node(ptr1);
        ptr[2]:=copy_node(ptr2);   END;  p:=pointer^ )      END;
      ( action already accomplished )
END (of CASE);

reduce:=p
END (of reduce);
which
which
no
whom

(*E*)
CONST
  maxreal   = 999.0; (change this later)
  menumax   = 20; (used in menu routines -- max choices permitted)
  stackmax  = 24;
  maxvalues = 16; (max degree of any relation)
  degmax    = 12;
  attmax    = 6; (max number of predications a relation can have)
  maxpreds  = 12; (Must always be factorial of largest base relation)
  permmax   = 6;  (degree permitted)
  dmax      = 6; (max number of entity/domains allowed in data dictionary )
  rmax      = 16; (max number of relations -- base and derived -- allowed)

  (The following are synonyms used in type definitions
   They are the equivalent of user-defined enumerated
   types. However, unlike enumerated types, they can
   be input and output to files/the screen, using
   standard read and write procedures, which saves writing a
   special I/O procedure for each type (at the expense
   of a certain amount of built-in error checking) )

  no        = 0;
  yes       = 1;

  negative  = 0;
  positive  = 1;

  attribute    = 0;
  relationship = 1;

  singular  = 0;
  plural    = 1;

  exported  = 0;
  one       = 1;
  many      = 2;
  all       = 3;

  ent_att   = 0;
  ent_ent   = 1;

  nom       = 0;
  obj       = 1;

  inanimate = 0;
  animate   = 1;

  bool      = 0;
  int       = 1;
  rel       = 2;
  cha       = 3;
  str       = 4;
  dat       = 5;    (for future expansion)

  ropnode   = 1;
  copnode   = 2;
  pnode     = 3;
  znode     = 4;
  valuenode = 5;

  orx       = 0;
  anox      = 1;
  notx      = 2;
```

```
Negl   = 1;
       = 2;
Lis    = 3;
Set    = 4;
Get    = 5;
Get    = 6;
Sst    = 7;

(CP)
TYPE
result_type = (emptyset, universal_set, left, right, either, compose, newop, incompatible_operators);
filename_type = ARRAY[1..32] OF CHAR;
StColType = RECORD
        count: 0..24;
        names: ARRAY[1..24] OF string[80];
        END;

CharArraytype = ARRAY[1..40] OF char;
animate_type = (inanimate..animate;
number_type = (singular..plural);
case_type = nom..obj;

Dom_index_type = 1..dmax;
rel_index_type = 1..rmax;
col_index_type = 1..degmax;
cols_type = RECORD
        Num: col_index_type;
        cols: ARRAY[col_index_type] OF integer;
        END;

Visit_count_type = 0 .. 12;

att_index_type = 1..attmax;
perm_type = 1..Permmax;
degree_type = exported .. all;

menu_Choice_type = 0..menumax;
menu_type = RECORD
        Choices : menu_Choice_type;
        Options : ARRAY[ menu_Choice_type] OF string[80];
        END;

p_table_type = ARRAY [ 1..24, 1..4 ] OF integer;
Pro_table_type = ARRAY [ inanimate..animate, nom..obj] OF string[60];

yesno_type = no .. yes ;

(Here are all the type definitions relating to the RT apparatus)

nodepointer = ^node;
node_id_type = 0..maxint;
pointerstack = RECORD
        nt:1..stackmax;
        Pointers : ARRAY[ 1.. stackmax] OF nodepointer;
        END;

nodetype = ropnode .. valuenode;
setofnodetypes = SET OF nodetype;
setofchar = SET OF char;

roptype = orx .. notx ;
coptype = Neql .. Ssf ;

Sense_type = negative .. positive;

phrase_type = RECORD
        Main: yesno_type;
        CASE Main:yesno_type OF
            no: ( Case_phrase : string[80];
                 Alt_phrases :ARRAY[Sense_type, number_type]
                     OF String[80]);
            yes: (
        END;

Sentence_type = ARRAY [ 1..degmax] OF phrase_type;

node = RECORD
        descendants : 1..degmax; (number of pointers not NIL)
        ptr : ARRAY [1..degmax] OF nodepointer;
```

```
typeofnode : nodetype;

CASE typeofnode:nodetype OF
  ropnode : (Op:roptype);
  copnode : (cop:coptype);
  pnode   : (Pred :(permat :Permtype;)   {unique predication identifier}
                    cdegree:cdegree_type; )
                    pperm : Permtype;     {which permutation it is}
                    pred_type : ent_att..ent_ent;  {some predications of
                                                     degree two are entity/
                                                     attribute ones}
            psense : Sense_type;
            pnumber : singular .. plural;
            Alt_sentences : ARRAY[perm_type] OF Sentence_type);
  enode   : ( attnum   : 0 .. degmax;  {attribute entity refers to}
              Entdomain_id : domindex_type;  {domain of this argument}
              dummy    : yesno_type;
              edegree  : cdegree_type;
              node_id  : node_id_type;
              role     : ARRAY[number_type] OF string[8C];
              trimmed  : yesno_type;
              shortdesc : string[80]);
  valuenode: (value:string[80]);
END; {of *node*}
```

{These are the data Dictionary types which hold information about
each relation -- both Base relations and derived relations -- and
each domain -- note that Domain is synonymous with entity as far
as reverse translation is concerned.}

```
attHdrtype = RECORD
  aname    : string[80];
  Attdomain_id : 1..dmax;  {location of domain in data dict}
END;

rhdrtype = RECORD
  Rname     : string[80];
  Rdegree   : 1..24;
  Key_cols  : cols_type;
  Rperm     : Perm_type;
  attribute : ARRAY[1..24] OF attHdrtype;
  preds     : 1..maxPreds;  {every relation has at least one}
            : ARRAY [1..maxPreds] OF nodepointer;
END;

DomDictype =
  RECORD
    entity_set_name : ARRAY [ number_type ] OF string[80];
    animate_value  : inanimate .. animate;
    Self_ID        : yesno_type;

repdata = RECORD
  represented : yesno_type;
  CASE represented : yesno_type OF
    no: ( );
    yes: (          repName : ARRAY [ singular .. plural]  OF string[80])
END; {of repdata record}

Rangedata : RECORD
  datatype : Bool .. dat;
  CASE datatype: Bool .. dat OF
    Bool,Char: Str ..( );
    rel      : ( );
    int      : (imin, imax : integer );
END;{of Rangedata record}

orderdata : RECORD
  ordered : yesno_type;
  compphrases : ARRAY[Neqf..Ssf] OF string[80];
END; {of orderdata}

valuesetdata : RECCHD
  Limited : Yesno_type;
  CASE Limited:yesno_type OF
    no: ( );
```

```
              yes: ( values : RECORD
                      count : ARRAY [1..maxvalues;
                      stringi : ARRAY [ 1..maxvalues ]
                               OF string [80] );
                    END; (of values record));

      END; (of RECORD)

END;( of RECORD)

ra_type = ARRAY [1..rmax] OF rhdrtype;
ad_type = ARRAY [1..dmax] OF DomDictype;

(end of TYPE definitions)

VAR
  gsense : sensetype; (for controlling determinant phrases)
  gsuppress: boolean; (for suppressing utterance of entity names
                       and relative pronouns when the entity is
                       self-identifying and there are values present)
  glevel : 0..24; (controls indentation depth of RT phrases)
  gprojected_out: boolean; (controls comparison phrases)
  attribute_names : StColtype;
  operation        : char;
  cols             : cols type;
  midnodestack, leftnodestack, rightnodestack : pointerstack;
  s             : string[80]; (general purpose string holder)
  p_table       : p_table type;
  pro_table     : pro_table type;
  row, col      : integer;( row and column index variables for
                            loading tables )
  i             .......... 0..maxint; (loop control variable)
  debug         .......... BOOLEAN;
  o             .......... menuChoice_type; (holds user's menu choice)
  RD            .......... rd_type;
  dd            .......... dd_type;
  rdnt, ddnt    .......... integer; (next free location in dd)
  next_node_id  .......... :node_id_type;

  f_name        : TEXT ; ( our all-purpose file variable)
  Main_menu,    : file_name_type;
  Ops_menu,
  datatype_menu: menu_type;

  R             :: relindex_type; (dummy variable for initial call of
  D             :: domindex_type;                utter)

((P)
(PROCEDURES)

FUNCTION getname (VAR i:integer;   s1:string[80]; legals:setofchar):string[80];
VAR   s2    :string[80];
            ch: char;

BEGIN
  WHILE (substr(s1,i,1)=' ' ) DO i:= i+1;
  s2:='';
      ch:=unstr(substr(s1,i,1));
  WHILE ch IN legals DO
    s2:= s2+substr(s1,i,1); i:=i+1; ch:=unstr(substr(s1,i,1))    BEGIN
  getname:= s2;                                                  END;
END;

FUNCTION get_op_token( s: string[80] ): coptype;
VAR
  j :coptype;
BEGIN
  IF s = '<'   THEN j := Stf ELSE
  IF s = '<='  THEN j := Sef ELSE
  IF s = '<>'  THEN j := Neqf ELSE
  IF s = '='   THEN j := Eqf ELSE
  IF s = '>='  THEN j := Gef ELSE
  IF s = '>'   THEN j := Gtf ELSE
                    j := 0;
  get_op_token := j;
END;(of get_op_token)
FUNCTION get_att_num( s1:string[80]; r : relindex_type):integer;
VAR   i:integer;  found: boolean;  s2: string[80];  raeg:1 .. degmax;
BEGIN
  i:=1; found:=FALSE;
```

```
   rdeg:= rd[r].rdegree;
   WHILE (i <= rdeg) AND (NOT(found)) DO     BEGIN
      s2 := rd[r].attribute[i].aname;
      s2:=TRIM(s2);
      s2:=LTRIM(s2);
      found:= (s1=s2);
      IF NOT(found) THEN i:= i + 1     END;
   IF NOT(found) THEN i:= 0;
   get_att_num:=i
END;(of get_att)

FUNCTION get_relation_num( rname:string[80]    ):relindex_type;
VAR i : relindex_type;
    s : string[80];
    found:boolean;

BEGIN                                                           BEGIN
   rname := TRIM(rname);
   rname :=LTRIM(rname);
   i:= 1;  found := false;
   WHILE (i <= rcnt) AND ( NOT(found)) DO
      s := rd[i].rname;
      s :=TRIM(s);
      s :=LTRIM(s);
      found := (rname = s);
      IF NOT found THEN i := i + 1
   IF NOT found THEN i:=0;                                      END;
   get_relation_num:= i
END;(of get_relation_num)

FUNCTION get_domain_num( dname:string[80]):domindex_type;
VAR i : domindex_type;
    found:boolean;
    s : string[80];

BEGIN                                                           BEGIN
   i:= 1; found := false;
   dname:=TRIM(dname);
   dname:=LTRIM(dname);
   WHILE (i < ddnt) AND (NOT(found)) DO
      s:=dd[i].entity_set_name[singular];
      s :=TRIM(s);
      s :=LTRIM(s);
      found := (dname = s);
      IF NOT found THEN i := i + 1
   IF NOT found THEN i:=0;                                      END;
   get_domain_num:= i
END;(of get_domain_num)

FUNCTION Found_In(X:Col_index_type;
                  Y:Cols_type): BOOLEAN;
VAR I:Col_index_type;
BEGIN  Found_in:=FALSE;
   FOR I:=1 TO Y.num DO
      IF Y.Cols[I]=X THEN Found_in:=TRUE;
END;

PROCEDURE Load_Menu( VAR   F: TEXT; VAR M:Menu_Type);
BEGIN
WITH M DO
BEGIN
   Choices:=0;                                                 BEGIN
   WHILE NOT EOF(F) DO
      Readln(F, Options[Choices] );
      Choices:= Choices+1                                      END;
END;
END;(of Load_Menu)
(***)

FUNCTION Factorial(N:INTEGER):INTEGER;
BEGIN IF N=0 THEN Factorial:=1
           ELSE Factorial:=N*Factorial(N-1);
END;
```

```
FUNCTION Copy_node( N:Nodepointer      ): Nodepointer;
VAR
        P    : Nodepointer;
        i    : 0..24;

BEGIN
    IF Debug THEN Writeln('Copy_node Called.');
    CASE N^.Typeofnode OF
        Ropnode:    New(P, Ropnode);

        Copnode:    New(P, Copnode);

        Pnode:      New(P, Pnode);

        enode:      New(P, Enode);

        Valuenode:  New(P, Valuenode);
    END; {of CASE}              BEGIN
    P^:=N^;
    WITH N^ DO

        FOR i:= 1 TO descendants DO p^.ptr[i] := copy_node (ptr[i]);

                                                    END;{WITH}

Copy_node := P;
END; {of CopyNode}

FUNCTION Calculate_Order (Permutation, Argument: INTEGER; P: P_Table_Type): INTEGER;
BEGIN
    Calculate_Order := P[Permutation,Argument];
END; {of Calculate_Order}
(this must be modified so that it correctly returns the order for
a relation of any degree, not just of degree 1..4 as it does now)
PROCEDURE Pause;

VAR
    C : Char;

BEGIN
    Writeln;
    READLN ;
    Write( Chr(7) );
    Writeln;
    WRITE (   'Press RETURN to continue: ' ) ;
    READ ( C ) ;
END;{of Pause)

PROCEDURE Show_Menu ( M: Menu_type);
VAR
    I    : 0..MenuMax;
    S    : string[80];

BEGIN
    Writeln;
    WITH M DO
    BEGIN
        FOR I := 0 TO Choices-1  DO
        BEGIN
            S := Options[I] ;
            Writeln(I:3,S);
        END;
    END;
    Writeln;
END; {of Show_Menu)

FUNCTION map (pnode : node): Perm_Type;
CONST   maxperms = 24;

VAR I, k : 0..maxint;
    cols : ARRAY [1..degmax] OF integer;
    rows, col : 1..maxint;
    found : 0..maxint;
(  If a relation's attributes have a one for one relationship
   with the entities of a predication, then this function
```

wouldn't be necessary.
However, when a relation has more attributes than a predication
has entities, or vice versa, then we must MAP the permutation
of the relation into(onto?) that of the predication.

For example, consider the relation R1, with attributes A and b.
It used to have an attribute C, but this was projected out.
If we permute R1, how are we to permute its predication?

```
R (before projection) A  B  C
P                      Ea Eb Ec
```

It's easy to see that the relation's permutation will be the predication's.

```
Now consider R1 A  B           ( R1 <- R x [A,B] ;)
with predication P Ea Eb (Ec)
```

If we permute R1, R2 <- R1 XX [B,A]
how should we 'utter' its predication F ?

```
P Eb (Ec) Ea ?  or
P Eb Ea (Ec) ?  or
P(Ec) Eb Ea
```

Shall we adopt a rule that says put all projected-out
entities at the end? (ie. choose option 2 above)?

And if there is more than one projected-out entity,
in what order shall we utter them?

And consider the opposite problem: the relation with
several predications, eg

```
P1 R A  B  C
     Ea Eb
P2   Eb Ec
}
```

```
BEGIN WITH pnode DO
(we shall chose first pperm such that each active enode   BEGIN
(those corresponding to existing -- non-projected -- attributes)
is uttered before any inactive enode.)

k:= 0;
IF debug THEN writeln('inside function MAP. descendants = ', cescendants:3) ;
FOR I:= 1 TO descendants DO
  IF ptr[i]^.attnum > 0 THEN BEGIN
    k:= k + 1;
    cols[k]:= i;                   END;

(the numbers of the active enodes are now in cols
 from col[1] to col[k])

row:= 0 ;
REPEAT
  found := 0;
  row := row + 1;
  FOR col:= 1 TO k {k = number of active nodes} DO
    IF p_table [row, col]= cols[col] THEN found := found +1 ;

UNTIL (found = k) OR (row > maxperms);

IF row > maxperms THEN writeln('error in MAP ');
map := row; (this permutation has all the active nodes first)
                                          END;(of MAP)
END

FUNCTION WIDTH(I:INTEGER):INTEGER;
VAR J, W: INTEGER;
BEGIN
  W := ABS(I);
  J := 1;

WHILE W < J DO W := W*10 ;

IF J<W THEN W := W-1;
IF J<0 THEN W := W+1;
```

```
WIDTH := W;
END; (of WIDTH)

PROCEDURE Verbalise(I:Att_Index_Type);
BEGIN
  CASE I OF
    1: write(' First ');
    2: write(' Second ');
    3: write(' Third ');
    4: write(' Fourth ');
    5: write(' Fifth ');
    6: write(' Sixth ');
  END;
END;(of Verbalise)

FUNCTION Rquery (S:string[80]; Min, Max : REAL): REAL;
VAR R: REAL;
BEGIN
  write(S);
  Readln(R);

  WHILE (R < Min) OR ( R > Max) DO
    BEGIN
    writeln('You must choose a decimal number from ', Min:12:3, ' to ', Max:12:3);
    write(S);
    Readln(R);
    END;
  Rquery := R;

END; (of Rquery)

FUNCTION BQuery (S:string[80]): BOOLEAN;
( This procedure is designed to put a 'yes or no' type question to
  to user at a terminal, and to get his or her answer, which must
  have a first letter ='Y', 'y', 'N', 'n'.

An improvement would be to add a 'skip past leading blanks and puncuation
marks' feature (but watch out for the 'empty line' problem), as at present
if a user types one or more spaces before he types yes, the procedure
will take it as a 'non-yes' answer.
An example of its use is:

VAR Help, Finish : Boolean; ( used to get user responses )
  ...
  ...

Help := Query ( 'Do you want help?' );
IF NOT (Help) THEN Finish := Query ( 'Do you want to quit?' );
  ...
  ...
)

VAR Response:Char;
BEGIN
  REPEAT
    writeln(S);
    write( 'Answer (Y/N): ');
    REPEAT
      READ ( Response); (will read the first character into Response
                and will skip past any other characters till
                it gets to the end-of-line)

    UNTIL ( NOT (Response IN [' ',' ']) );   ( don't let 'em go until they answer
  UNTIL Response IN ['N','n','Y','y'];                 yes or no ! ! ! )

  READLN ( INPUT );   ( to get past any other rubbish on the line )
  IF ( Response = 'y' ) OR ( Response = 'Y' ) THEN Bquery := TRUE
  ELSE Bquery := FALSE;
  ... (of function Bquery )

FUNCTION Squery (S1:string[80]: string[80];
(takes as input message you want to appear on screen
```

```
  appends a colon and carriage return.
Gets user input, terminated by carriage return.
If carriage return only is input, returns a single blank.
Filters out all non-printing characters.
Allows user to edit his input using BS or CTRL H.)
VAR S3,S2:string[80]; i:integer;
BEGIN
  readln; (flush input buffer)
  writeln(S1);
  write(':');
  Readln(S2);
  S3:='';
  FOR i:=1 TO length(S2) DO BEGIN
    IF (substr(S2,i,1)>=' ') AND (substr(S2,i,1) <= '~') THEN
      S3:=S3+substr(S2,i,1)
    ELSE
      IF length(S3)=1 THEN S3:='' ELSE S3:=substr(S3,1,(length(S3)-1))END;
  IF length(S3)=0 THEN squery:=' ' ELSE squery:=s3;
END; (of Squery)

FUNCTION Iquery (S:string[80]; Min, Max : INTEGER): INTEGER;
(returns an integer within (inclusive) limits Min and Max
 supplied by the programmer. S is an input message.
 Repeats itself if the input integer is outside the limits,
 or if anything other than a digit is typed in.
 A single carriage return is treated as a zero.
 Improvements would be:  recognise typical errors:  trying to type in a decimal
                         number.

 allow an escape (like '') to bypass max/min checking   )
VAR i, len, sign, n, digit, Minwidth, Maxwidth : INTEGER;
    ch : char;
    s1 : string[80];
BEGIN
  minwidth:=3; maxwidth:=6;(temp. fix -- change later)
  REPEAT
    s1:='';
    s1:=squery(S);
    s1:=trim(trim(s1));
    IF s1='' THEN s1:='0';
    sign:=1;
    IF substr(s1,1,1)='-' THEN BEGIN
      sign:=-1;
      s1:=substr(s1,2, length(s1)-1) END
    ELSE IF substr(s1,1,1)='+' THEN
      s1:=substr(s1,2,length(s1)-1);
    n:=0;
    len:=length(s1);
    i:=1;
    WHILE i <= len DO
      ch := unstr(substr(s1,i,1));
      IF NOT(ch IN ['0'..'9']) THEN BEGIN
        writeln('OOPS! ',ch,' is not a digit.');
        writeln('Type in a number, using 0 1 2 .. 8 9');
        i:= len + 1; n:=min-1
      ELSE BEGIN
        digit := ord(ch)-ord('0');
        n:= 10*n + digit       END; i:= i+1   END;
      n:= n*sign;
    IF (n < min) OR (n>max) THEN
      writeln('You must choose a whole number from ', Min:Minwidth, ' to ', Max:Maxwidth);
  UNTIL (n>=min) AND (n<=max);
  Iquery := n;
END;(of Iquery)

PROCEDURE push( p:nodepointer; VAR S:pointerstack);
BEGIN WITH S DO BEGIN
  IF nf=stackmax + 1 THEN write('error in proc. push -- stack full')
  ELSE pointers[nf]:=p;
  nf:=nf + 1;
END END;

FUNCTION pop( VAR S:pointerstack):nodepointer;
BEGIN WITH S DO BEGIN
  nf:=nf-1;
```

```
        IF n(c) THEN write ('error in pop, trying to pop an empty stack')
        ELSE pop:= pointers[n];
END END;

PROCEDURE findnodes ( ptr : nodepointer;
                      typesearchedfor : nodetype;
                  VAR S : pointerstack );

VAR  I : 1 .. degmax;

BEGIN
      IF ptr^.typeofnode =typesearchedfor THEN  push(ptr, S);
      WITH ptr^ DO BEGIN
      IF descendants > 0           (if it's not a leaf)
      THEN
           FOR I:= 1 TO descendants DO  findnodes( ptr[I], typesearchedfor,S );

END;
END;

PROCEDURE canonise( pointer : nodepointer );  (a pointer to U  or  ^  node)
VAR
     nodeholder : nodepointer;                                        BEGIN
BEGIN  WITH  pointer^ DO
      IF  ptr[1]^.ptr[1]^.value >
          ptr[2]^.ptr[1]^.value
                                                                      BEGIN
      THEN
          IF debug THEN write('swapping leaves');
          nodeholder := ptr[2];
          ptr[2] := ptr[1];
          ptr[1] := nodeholder                           END    END;
END;

PROCEDURE lower( VAR pointer:nodepointer );  (pointer to Pnode)
VAR
BEGIN  WITH  pointer^ DO BEGIN
      temp1, temp2 : nodepointer;
      temp1:= copy.node( (pnode) DO                                        BEGIN
      ptr[1]^.dummy:=YES;          (ptr to enode which will be raised)
      (prole := subordinate; )  (so it is uttered like a comparison node)
      WITH temp1^  (enode to be raised) DO                            BEGIN
      IF descendants = 0 (no QGraph) THEN
      descendants := 1; (but we will attach one)
      ptr[1] := pointer;(the (pnode) has QGraph already)    BEGIN
      ELSE (descendants=1, ie it has QGraph)  END
      temp2:=ptr[1]; (store its QGraph)
      NEW(ptr[1]^.Ropnode); (create an AND node)
      WITH ptr[1]^.Ropnode  DO                          BEGIN
      typeofnode := Ropnode;
      op           := andx;
      ptr[1]  := temp2;(old QGraph)
      ptr[2]  := pointer; (new, lowered, pnode-enode graph)  END END END;
      pointer:=temp1; (now pointer points to raised enode)                   END;
END

FUNCTION get_rel_pro(whichcase:case_type; D:domindex_type): string[80];
VAR rep_name_holder : string [80];
BEGIN
      IF (dd[D].RepData.Represented=Yes) THEN    BEGIN
          rep_name_holder := dd[D].RepData.Repname(singular];
          get_rel_pro:= 'whose ' + rep_name_holder    END
      ELSE  get_rel_pro := Pro_table( dd[D].animate value, whichcase];
      IF debug THEN writeln('anim val, case =', dd[D].animate_value, whichcase );

END; (of get_rel_pro)

FUNCTION compare trees(ptr1,ptr2 : nodepointer; nodeset : setofnodetypes):boolean;
( For predication-identity test, let nodeset := [ropnode, pnode, enode]
  For total-identity test,       let nodeset := [nodetype])
VAR  r : boolean;
     c : integer;
BEGIN
      r := TRUE;                                                         BEGIN
      IF ptr1^.typeofnode = ptr2^.typeofnode THEN
      CASE ptr1^.typeofnode OF
          ropnode:r:= ptr1^.op = ptr2^.op;
          copnode: r := ptr1^.cop = ptr2^.cop;(should never get to a comp. node)
```

```pascal
            pnode: r:= (ptr1^.pred_id = ptr2^.pred_id)
                           AND
                       (ptr1^.pperm = ptr2^.pperm )
                           AND                                        BEGIN

            enode: r:= ptr1^.psense = ptr2^.psense);
            valuenode: r:= ptr1^.value = ptr2^.value ;
    END (of CASE);

    IF r (these nodes are the same, so check descendants) THEN     BEGIN
       i:=1;
       IF (ptr1^.typeofnode IN nodeset ) THEN
       WHILE ( r AND (i<= ptr1^.descendants) ) DO       BEGIN
          r:= compare_trees(ptr1^.ptr[i], ptr2^.ptr[i], nodeset);
          i:= i + 1                                            END

       END (of IF nodetypes same )           r
    ELSE
    compare_trees := FALSE;
    END;


PROCEDURE Show_Domain(D:Integer);
VAR
   S1, S2: string[80];
   I, J: INTEGER;
BEGIN     WITH dd[D] DO                                         BEGIN
   S1:= Entity_set_name[singular];
   S2:= Entity_Set_name[plural];
   writeln;
   writeln('DOMAIN: ', D:3,' ', S1,' ', S2);

   IF Animate_value = Animate
   THEN writeln( Animate)
   ELSE writeln( Inanimate);

   IF Self_ID = Yes            Self-identifying')
   THEN writeln(               Self-identifying');
   ELSE writeln(           Not Self-identifying');

   WITH RepData DO                                     BEGIN
   IF Represented = Yes THEN
      s1:= RepName[singular]; s2:= RepName[plural];        BEGIN
      writeln(            Represented by: ', S1,'/', S2)    END;
      writeln(            Self-represented')               END;
   ELSE writeln(

   WITH RangeData DO                                        BEGIN
   write( Data type: ');
   CASE Datatype OF
   Bool: write( Boolean');
   Int: write( Integer (from ',IMin:3,' to ',IMax:3,')');
   Rel: write( Real (from ',RMin:8:8,' to ',RMax:8:8, ')');
   Cha: write( Single characters');
   Str: writeln(Strings,');
   Dat: write( Dates ');                    ( RangeData : ) END;

   END; (of CASE)

   WITH OrderData DO                                   BEGIN
   IF Ordered = Yes THEN                         BEGIN
      writeln('Comparison phrases: ');
      FOR I:= STE TO GTE DO                     BEGIN
         S1:= CompPhrases[I];
         writeln(S1)                  END END;

   WITH ValueSetData DO                             BEGIN
   IF Limited = Yes THEN                       BEGIN
   WITH Values DO  FOR I:=1 TO Count DO     BEGIN
      S1:= String4[I];
      writeln(S1)             END       END  END;

   END; (of Show_domain)

END(of WITH)

PROCEDURE show_relation(R:Integer);
VAR S: string;
    I, J: 0..24;
    key : boolean;
BEGIN   WITH RU[R] DO                                        BEGIN
   IF debug THEN writeln('RDegree = ', RDegree);
   S:=Rname;
```

```
        writeln( 'Relation name: ', S:20);

        (list each attribute, indicate ('*') if it is a KEY attribute)

        write('Attributes: ');

        FOR I:=1 TO RDegree DO                    BEGIN
            S:=Attribute[I].Aname;
            write(S:10);
            key := FALSE ;
            FOR J:=1 TO Key_Cols.Num DO
                IF I=Key_Cols.Cols[J] THEN key := TRUE;
            IF key THEN write ('*') ELSE write(' ')    END;

        writeln;

        (now show the DOMAIN each attribute is drawn from)

        write('Domains:        ');

        FOR I:= 1 TO RDegree DO
            S:= ad( Attribute[I].Attdomain_id ).Entity_set_name(singular]);
            write(S:12)                                   END;
    END(of WITH;                                                          END;
PROCEDURE Get_File_Name ( VAR File_name: File_name_Type);
VAR I:INTEGER;
    Ch: CHAR;
BEGIN
    Write('File name: ');

    I:=0;
    READ(Ch);
    WHILE NOT EOLN(INPUT) DO
        BEGIN
            I:=I+1;
            File_name[I]:= Ch;
            READ(Ch);
        END;
        I:=I+1;
        File_name[I]:= Ch;
    FOR I := I + 1 TO 32 DO File_name[I] := ' ';  ( Input trailing blanks )
    END;

FUNCTION Load_node( VAR F: TEXT       ): Nodepointer;
VAR
    P     : Nodepointer;
    I,J   : 0..24;
    Sense : Sense type;
    Number: Number_type;
    Permutations: 1..24;
    This_perm,This_Phrase : 1..24;
    S    : String[80];
    N    : Nodetype;

BEGIN
    IF Debug THEN writeln('Load_node Called.');
    Readint(F,N); (find out the type of node we'll be loading)
    IF Debug THEN writeln('Nodetype read okay, now to create a node.');

    CASE N OF
    Ropnode: BEGIN
                New(P, Ropnode);
             END;

    Copnode: BEGIN
                New(P, Copnode);
             END;

    Pnode: BEGIN
                New(P, Pnode);
           END;

    Enode: BEGIN
                New(P, Enode);
           END;
```

```pascal
Valuenode:  New(P, Valuenode);

END ; (of CASE)
    (now we have created a node of the proper type let's fill in the values)
IF Debug THEN writeln('New node created okay, now to fill it in.');

WITH P^ DO
                                                      BEGIN
Readln(F, Descendants); (number of times this procedure will call itself from this level)
IF Debug THEN writeln('This node has ',Descendants:3,' descendant nodes.');

Typeofnode:=N;
CASE  Typeofnode OF

   Ropnode:
      Readln(F, op);            BEGIN
                                END;

   Copnode:
      Readln(F, Cop);           BEGIN
      readln(F, cDegree);       END;

   Pnode: BEGIN
```

```
Readln(F, Pred_id);
Readln(F, Pperm);
Readln(F, Pred_type);
Readln(F, Psense);
Readln(F, Pnumber);

IF Debug THEN writeln('Psense and Pnumber read okay =',Psense:3,Pnumber:3);
Permutations:=Factorial(Descendants);
FOR This_perm:=1 TO Permutations DO                                    BEGIN
  FOR This_phrase := 1 TO Descendants-1 DO
  WITH Alt_sentences[This_perm, This_phrase] DO
    Readln(F,Main);
    CASE Main OF
      No: BEGIN Readln(F,S); Case_phrase:=S END;
      Yes:      BEGIN
        FOR Sense:=Positive DOWNTO Negative DO
        FOR Number:=Singular TO Plural DO BEGIN
          Readln(F,S);
          Alt_phrases[Sense, Number] := S      END;
                                 END; (of Yes)

    END; (of CASE) END; (of double loop and WITH)

IF Debug THEN writeln('Now outside of phrases loop, preparing to load descendants.');

                             (Pnode: )                              END;

Enode: BEGIN

  readln(F, attnum);
  IF debug THEN writeln('attnum = ', attnum);
  readln(F,Entdomain_id);
  IF debug THEN write('Entdomain id = ', Entdomain_id);
  readln(F, Dummy);
  IF debug THEN writeln('dummy = ', Dummy);
  readln(F, edegree);
  IF debug THEN writeln('edegree = ', edegree);
  readln(F, node_id);
  IF debug THEN writeln('node_id = ', node_id:3);

  readln(F, role[singular]);
  readln(F, role[plural]);
  IF debug THEN writeln('roles: ', role[singular], role[plural]);
  readln(F,trimmed);
  IF debug THEN writeln('trimmed = ', trimmed:3);
  IF trimmed = yes THEN readln(F, shortdesc); END;

  Valuenode: BEGIN
    Readln(F, Value);

  END;

END ; (of CASE)

IF debug THEN writeln('now loading descendants of this node.');
FOR i:= 1 TO descendants DO  ptr[i] := load_node( F );    END; (of WITH)

END;
Load_node := P;
END.
PROCEDURE load_dict( VAR f: text);
VAR p_count, dnum : STRING[80]; (debug)   i, J, K, L:INTEGER;
 s : STRING[80]; (debug)
    Sense : Sense_type;
    Number: Number_type;

BEGIN
  readln(F, next_node_id); (must be 0 for initialisation)
  readln(F, dnum); (get the number of domains stored here; must be 0 for
                   initialising system)

  ddnf := dnum + 1;
  writeln('ddnf now = ', ddnf);
  FOR i:= 1 TO dnum DO WITH dd[i] DO
                                                                 BEGIN
    readln(F, Entity_set_name[singular]);
    readln(F, Entity_set_name[plural]);

    readln(F, Animate_value);
```

```
                                                        BEGIN
readln(F, Self_ID);
WITH RepData DO
    readln(F, Represented);
    IF Represented = Yes THEN BEGIN
        readln(F, RepName[Singular]);
        readln(F, RepName[Plural]);
    END;     END; (of RepData)

                                                        BEGIN
WITH RangeData DO
    readln(F, Datatype);
    CASE Datatype OF
        Bool, Char, Str, Dat :BEGIN
                               END;
        Rel    : BEGIN
                 readln(F, RMin);
                 readln(F, RMax);
                 END;
        Int    : BEGIN
                 readln(F, IMin);
                 readln(F, IMax);
                 END;
        END; (of CASE)                          END; (of RangeData)

                                                        BEGIN
WITH OrderData DO
    readln(F, Ordered);
    IF Ordered = No THEN pcount:=2 ELSE Pcount := 6;
    FOR J:= 1 TO pcount DO
        readln(F, CompPhrases[J]);                      (of OrderData)
                                                    END; (of OrderData)

                                                        BEGIN
WITH ValueSetData DO
    readln(F, Limited);
    IF Limited = Yes THEN BEGIN
        readln(F, Values.Count);
        FOR J:= 1 TO Values.Count DO
            readln(F, Values.String[J]);  END;    END; (of ValueSetData)
                                            ( Loop and WITH : ) END;

( load the relation definitions )

readln(F, rnum); ( same method as for loading domains )
FOR I:=1 TO rnum DO                                      BEGIN
WITH RD [I] DO
    readln (F, RName);
    readln (F, RDegree);
    FOR J:=1 TO Key_cols.num DO  readln(F, Key_cols.cols[J]);
    readln (F, RPerm);
    readln (F, Preds);
    FOR J:= 1 TO RDegree DO  BEGIN
        WITH Attribute [J] DO  BEGIN
            readln(F, Aname);
            readln(F, Attdomain_id)   END;
        (Now load the predications)           END;

    FOR J:= 1 TO Preds DO BEGIN
        Ptr[J] := Load_Node(F);END;

    writeln('rdnt = ', rdnt);                   (Loop and WITH)END;
    rdnt:= rnum + 1;
    writeln('rdnt updated by rnum now = ', rdnt);

END; Close(F);
END; (of Load_Dict)

PROCEDURE Dump_Node ( VAR F:Text;(where we are dumping them)
                      N:Nodepointer );
VAR i,J: 1..24;
     This_perm: This_phrase : 1..24; ( 1 to MAX of DegMax|PermMax )
```

```
Permutations : 1..24;
s: String[80];
sense : negative..positive;
number : singular .. plural;(can we eliminate these two?)
BEGIN
WITH N do
IF Debug THEN writeln('Dump_Node called.');
  BEGIN
  IF Debug THEN write(F, ' type of node. 1 = Rop, 2 = Cop, 3 = Pred, 4 = Entity, 5 = Value');
  writeln(F);
  IF Debug THEN write(F, Typeofnode);
  writeln(F);
  IF Debug THEN write(F, Descendants); IF Debug THEN write(F, ' the number of descendant nodes.');
  writeln(F);
  IF Debug THEN writeln('Dumping a node of type ', Typeofnode);
  CASE Typeofnode OF

  Ropnode:        BEGIN
    write(F, Op);
    IF debug THEN write(F,' an Ropnode');
    writeln(F)
    END;(of _Node)

  Copnode:        BEGIN
    write( F, Cop);
    IF debug THEN write(F,' a Copnode.');
    writeln(F);
    write(F, CDegree);
    IF debug THEN write(F,' its Edegree');
    writeln(F);  END; (of Copnode)

  Pnode:          BEGIN
    write(F,Pred_id);
    IF debug THEN write(F,'   internal identifier of pred.');
    writeln(F);
    write(F, PPerm); IF Debug THEN write(F, ' the permutation of this predication.');
    writeln(F);
    write(F,Pred_type); IF Debug THEN write(F,' an attribute predication or a relationship');
    writeln(F);

    Permutations:=Factorial(Descendants);
    IF Debug THEN writeln('Now getting ready to dump predication phrases');

    write(F, PSense); IF Debug THEN write(F, ' sense: 0 = negative, 1 = positive');
    writeln(F);

    write(F, Pnumber); IF Debug THEN write(F, ' number: C = singular, 1 = plural');
    writeln(F);

    IF Debug THEN writeln('Now dumping predication phrases');
    FOR This_perm:=1 TO Permutations DO
    FOR This_phrase := 1 TO Descendants-1 DO
    WITH Alt_sentences[This_perm, This_phrase] DO            BEGIN
      write(F,Main);
      IF Debug THEN write(F, '   0 =   a case phrase,  1 = Main Pred. Phrase.');
      writeln(F);
      CASE Main OF
      No:  BEGIN S:=Case_phrase; writeln(F,S) END;
      Yes:      BEGIN
        FOR Sense:=Positive DOWNTO Negative DO
        FOR Number:=Singular TO Plural DO BEGIN
        S:=Alt_phrases[Sense, Number]; END;
        write(F,S);
        END;
      END; (of CASE)

    END; (of double loop and WITH)
    END;(of Pnode)

  Enode:    BEGIN
    write(F, Attnum); IF Debug THEN write(F, ' the attribute corresponding to this entity');
    writeln(F);
    write(F, Entdomain_id);
    IF Debug THEN write(F,'   domain number of this entity');
    writeln(F);
    IF Debug THEN write(F, dummy); IF debug THEN write(F, '   1 = dummy node');
    writeln(F);
    write(F,cdegree); IF Debug THEN write(F, ' the ''degree'' (one, many, all) of this entity');
    writeln(F);
    write(F,node_id); IF debug THEN write(F, '   node_id number ');
    writeln(F);
```

```pascal
            writeln(F, Role[singular]);
            writeln(F, Role[plural]);
            writeln(F, trimmed); IF debug THEN write(F,' 1 = trimmed, 0 not');
            writeln(F);
            IF trimmed=yes THEN writeln(F, shortdesc);    END;

    Valuenode:            BEGIN
            {a value node has no descendants}
            writeln(F, value); END;

    END; {of CASE}

    IF Debug THEN writeln('Now dumping the descendants.');
    FOR I:= 1 TO Descendants DO
        Dump_Node(F, Ptr[I]);

END; {of WITH}
END; {of Dump_Node}

PROCEDURE dump_dict(VAR F: text);
VAR pcount;Dnum, Rnum: I, J, K  :0..24;
    S:STRING;
BEGIN
IF Debug THEN writeln('Dumping Data to NEW.dd.DAT');
IF Debug THEN writeln('ddnf-1 =', ddnf-1);
write(F, next_node_id);    { the id number of next enode')
writeln(F);
write(F, ddnf-1); {put the number of domains stored here; must be 0 or
writeln(F);  { initialising system} the number of domains/entities stored.');
FOR I:= 1 TO ddnf-1 DO
WITH ddc[I] DO                      BEGIN
    {}IF Debug THEN writeln('Trying to dump number ', I, ' domain');
    writeln(F, Entity_set_name[singular]);
    writeln(F, Entity_set_name[plural]);
    write(F, Animate_value);IF Debug THEN write(F,' animate = 1, 0 otherwise');
    writeln(F);
    write(F, Self_id); IF Debug THEN write(F,' 1= self-identifying, 0 not');
    IF Debug THEN writeln('New trying to dump RepData');
    WITH RepData        DO              BEGIN
    write(F, Represented);
    write(F,' 1 = represented by another entity, 0 = not.');
    writeln(F);
    IF Represented = Yes     THEN          BEGIN
    writeln(F, RepName[singular]);
    writeln(F, RepName[plural]); END {of Repdata} END;

IF Debug THEN writeln('Now trying to dump RangeData.');
WITH RangeData DO                      BEGIN
write(F, DataType);
IF Debuy THEN write(F,' Datatype of reps (or self), 0 = boolean, 1 = integer.');
writeln(F);
CASE DataType OF
Bool, Cha, Str :BEGIN
    Rel  :BEGIN
        write(F, RMin);IF Debug THEN write(F,' Minimum value');
        write(F, RMax);IF Debug THEN write(F,' Maximum value');
        writeln(F);    END;
    Int  :BEGIN
        write(F, IMin);IF Debug THEN write(F, ' Minimum value');
        write(F, IMax); IF Debug THEN write(F, ' Maximum value.');
        writeln(F); END;
    Dot: BEGIN  END;
END; {of CASE }                   END; {of RangeData}

IF Debug THEN writeln('Now trying to dump Orderdata.');
WITH OrderData DO                    BEGIN
write(F, Ordered);IF Debug THEN write(F,' 1 = ordered, 0 = not.');
```

```
                writeln(F);
                IF Ordered = No THEN pcount := 2 ELSE pcount := 6;
                FOR J:= 1 TO pcount DO  BEGIN
                  S := CompPhrases [J] ;  END    END; (of OrderData)
                  writeln(F, S);

            WITH ValueSetData DO                              BEGIN
                write(F,Limited); IF Debug THEN write(F,'   1 = limited value set, 0 = not.');
                writeln(F);
                IF Limited = Yes THEN            BEGIN
                  write(F, Values.Count); IF Debug THEN write(F,'  values count');
                  writeln(F);
                  IF debug THEN write(F);              BEGIN
                  writeln(F);
                  FOR J:= 1 TO Values.Count DO   BEGIN
                      writeln(F, S);= Values.String[J];
                                           END END; END; (of ValueSetData)

            () IF Debug THEN writeln('number', I, ' domain dumped');

                                                             END;(of Loop)

        ( dump the relation definitions )

        IF Debug THEN writeln('Now trying to dump Relations data.');
        write(F, rdnt-1); ( same method as for dumping domains )
        IF Debug THEN write(F, '  the number of relations stored in the dictionary.');
        writeln(F);

        FOR I := 1 TO rdnt-1 DO
          IF debug THEN writeln('Now dumping relation ',I); WITH RD[I] DO BEGIN

            writeln (F, RName);

            write (F, RDegree);
            IF Debug THEN write(F,'  the degree of this relation.');
            writeln(F);

            write (F, Key_cols.num);
            IF Debug THEN write(F, '  the number of atts making up the key, and which they are:');
            writeln(F);

            FOR J:=1 TO Key_cols.num DO  write(F, Key_cols.cols[J]);
            write (F, RPerm); IF Debug THEN write(F,'  the particular permutation of this relation.');
            IF debug THEN write(F);
            writeln(F);

            write (F, Preds);
            IF Debug THEN write(F,'  the number of predications embodied in this relation.');
            writeln(F);

            IF Debug THEN writeln('Header info dumped, now beginning Attribute data.');

            FOR J:= 1 TO RDegree DO           BEGIN
              WITH Attribute [J] DO
                write(F, Aname);
                write(F, Attdomain_id); domain number of above attribute.');
                IF debug THEN write(F);          END;
                writeln(F)

            IF Debug THEN writeln('Now trying to dump predications.');
            FOR J:=1 TO Preds DO
                Dump_Node(F, Ptr[J]);

                                                      (J-Loop and WITH : ) END;

        END;(of Dump_Dict)

        PROCEDURE create_domain;
        VAR
          S1:STRING;

        BEGIN
        WITH dd[ddnt] DO
        BEGIN
          write('Domain name (singular):'); READLN(S1);
          Entity set name ( singular ]:= S1;
          write('Domain name (plural): '); READLN (S1);
```

```
Entity_set_name [ plural ] := S1 ;

IF DQuery('Are the members of this domain animate?') THEN Animate_value :=Animate
ELSE Animate_value := Inanimate;

IF BQuery('Are the members of this domain self-identifying?')
THEN Self_ID:=Yes
ELSE Self_ID:=No;

WITH RepData DO                                                        BEGIN
  IF BQuery(
    'Will this domain be represented in the database by data values of another domain?') THEN BEGIN
      Represented := Yes;
      RepName[singular] :=Squery('Singular representative name: ');
      RepName[plural] :=Squery('Plural representative name: '); END
    ELSE Represented := No;                          END; {of RepData}

WITH RangeData DO                                                      BEGIN
REPEAT
  Show_Menu( Datatype_Menu);
  DataType := IQuery('Data type code: ',Bool, Dat);
UNTIL (Datatype>=Bool) AND (Datatype<=Dat);

IF Debug THEN Writeln('Datatype read was ', Datatype);

CASE Datatype OF                 END;
  Bool :BEGIN
  Int :BEGIN
    IMin := Iquery('Minimum permitted value: ', -Maxint, Maxint);
    IMax := Iquery('Maximum permitted value: ', IMin, Maxint); END;
  Rel :BEGIN
    RMin := RQuery('Minimum permitted value: ',-Maxreal, Maxreal);
    RMax := RQuery('Maximum permitted value: ', RMin, Maxreal); END;
  Cha, Str: Dat: BEGIN  END;

END; {of CASE}                                             END; {of RangeData}

WITH ValueSetData DO                                                    BEGIN
IF BQuery('Will this domain (or its representatives) range over a limited value set?') THEN
BEGIN
  Limited := Yes;
  Writeln('Solicit the value set and store it)
  writeln('not yet implemented');
END                                                        END; {of ValueSetData}
ELSE Limited := No;

WITH OrderData DO                                                       BEGIN
IF
NOT (BQuery('Are members of this domain strictly ordered?') )
THEN                                BEGIN

  Ordered := No;
  Writeln('Input the appropriate phrases for each');
  Writeln('of these comparison operations: ');
  Write('< '); Readln(S1);
  CompPhrases[St] := S1;
  Write('<= '); Readln(S1);
  CompPhrases[Se] := S1;
  Write('<> '); Readln(S1);
  CompPhrases[Neq] := S1;
  Write('= '); Readln(S1);
  CompPhrases[Eq] := S1;
  Write('>= '); Readln(S1);
  CompPhrases[Ge] := S1;
  Write('> '); Readln(S1);
  CompPhrases[Gt] := S1;      END
ELSE                                                   BEGIN
  CompPhrases[St] := 'less than ';
  CompPhrases[Neq] := 'does not equal ';
  CompPhrases[Eq] := 'equals ';
  CompPhrases[Se] := 'less than, or equal to ';
  CompPhrases[Ge] := 'greater than, or equal to ';
  CompPhrases[Gt] := 'greater than ';      END END; {of Orderdata}

  ddnf:=ddnf+1;
  Writeln('Domain now added to dictionary');
END {of WITH} END; {of Create_Domain}

PROCEDURE create_relation;
VAR  S1,S,N: STRING[80];
```

```
Permutations. Order. I,J,K. D:0..120;
CPrm, Cphr: 1..24;(index variables for looping
                              through all variations of predication)
MPP : 1..DegMax; (Main Predication Phrase)
Sense : Sense_Type;
Number : Number_type;

BEGIN  IF Debug THEN writeln('crrel: rant =', rant:3);    WITH RD[runf] DO  BEGIN
S1 := Squery('Relation name.');
RD[runf].Rname:=S1;
writeln('I read ', RD[runf].Rname:20);
(WHILE Locate_Relation (Rname, Rdic, runf) <> 0 DO BEGIN
  writeln('Rname ' is the name of a relation which already exists.');
  writeln('Use a different name.');
  S1 := Squery('Relation name: ');            END;
)

RDegree := Iquery('Degree: ', 1, DegMax);

Key_cols.num:=Iquery('How many attributes in the key: ', 1, RDegree);
IF Key_cols.num < RDegree THEN BEGIN
  writeln('Input the number of each key attribute.');
  FOR I:=1 TO Key_cols.num DO
    Key_cols.col[I]:=Iquery('Next attribute (number): ',1,Rde;ree); {add check for duplicates} END
ELSE FOR I:= 1 TO RDegree DO Key_cols.col[I]:=I;

RPerm := 1 ;
         {Get each attribute}

FOR I:=1 TO RDegree DO  WITH Attribute[I] DO                 BEGIN

verbal:set[I];
S1 := Squery(' attribute name: ');
Aname:=S1;
(WHILE Locate_Att(Aname, Rdic, runf, I-1) <> 0 DO BEGIN
  writeln('That name has already been used in this relation. Try again.');
  S1 := Squery('              Attribute name: '); END;)

IF debug THEN writeln('ddNF = ', ddNF:3);

Attdomain_id := Iquery('domain number for this attribute: ',1,ddNF-1);
IF debug THEN writeln('domain ', attdomain_id:3,' is ',add[attdomain_id].entity_set_name[singular]);
    IF debug THEN writeln('typeofnode: node_id =', typeofnode:3, node_id:3);};
    IF debug THEN writeln('Entdomain_id = ',Entdomain_id:3);}
(WHILE Locate_domain(S1, dd, ddNF) = 0 DO
  writeln('A domain of this name does not exist.');
  writeln('Existing domains are: ');
  FOR J:=1 TO ddNF-1 DO
    writeln(<domain name>[J]);

domain := Locate_domain(S1, dd, ddNF);
                    (I-loop and WITH )             END;)       END;

{ now create graph }

IF Debug THEN writeln('Preparing to create graph.');
```

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Preds := 1 ; {every base relation will have only one predication --
              this is equivalent to restraining all base relations
              to be held in reduced form}

FOR I := 1 TO MaxPreds Do ptr[I] := NIL ;

New(Ptr[1], Pnode); {Create a Predication node, pointed to by 'root' of relation
                     as this is a base relation's predication,
                     its Pdegree will = Rdegree of the relation}

IF Debug THEN writeln('New Pnode created okay.');
{ IF debug THEN writeln('typeofnode, node_id =', typeofnode:3, node_id:3);}
{ IF debug THEN writeln('Entdomain_id =', Entdomain_id:3);}

ptr[1]^.descendants := Rdegree;
ptr[1]^.ppers := 1 ; {a base relation does not need to be mapped}
WITH Ptr[1]^ DO {with the Pnode we've just created} BEGIN
  IF debug THEN writeln('mapping completed; pperm =', pperm);
  Typeofnode := Pnode;
  Pred_id := rdnl; {takes location of base relation}
  Psense := positive; {default}
  Pnumber := singular; {default}

  IF debug THEN writeln('typeofnode, node_id =', typeofnode:3, node_id:3);
  IF debug THEN writeln('Entdomain_id =', Entdomain_id:3);
IF Debug THEN writeln('Descendants =', Rperm = , PPerm = , Descendants, Rperm, PPerm);

FOR I := 1 TO Descendants DO {create each entity node} BEGIN
  New( Ptr[I], Enode);
  WITH Ptr[I]^ DO BEGIN
    IF debug THEN writeln('creating Entity node number ', I:2);
    Typeofnode := Enode;
    verbalise(I); writeln(' entity: ');

    node_id := next_node_id;
    next_node_id := next_node_id +1;
    IF debug THEN writeln('node_id = ', node_id:3);

IF bquery('will this entity play a distinct role in this relation?')
THEN        BEGIN
  S := Squery('Singular Role Name?');
  Role[singular] := S;
  S := Squery(' Plural Role Name?');
  Role[plural] := S                        END
ELSE BEGIN Role[singular]:='none'; Role[plural]:='none' END;

Attnum := I ; {the attribute this entity refers to )
Entdomain_id := Attribute[I].Attdomain_id;
IF debug THEN writeln('Entdomain_id =', Entdomain_id:3, ad[Entdomain_id].entity_set_name[singular]) ;
dummy := no;
IF Found_in (I, Key_cols) THEN EDegree := many,
                          ELSE EDegree := one;

IF debug THEN writeln('edegree = ', edegree:3);

Descendants := 0; {for a base relation}
FOR J:=1 TO DegMax DO Ptr[J]:=NIL;
                                         END {I-loop and WITH}  END;

{Now get the 'utterances' for each permutation}

{Here we want to generate all the permutations of a relationship
So, for a degree three relationship say, X 'supplies' Y 'to' Z
We want to solicit from the user all 6 permutations of this phrase.
So we want to get     ||X ||---|| Y ||---|| Z
                      ||X ||---|| Z ||---|| Y
                      ||Y ||---|| X ||---|| Z
                      ||Y ||---|| Z ||---|| X
                      ||Z ||---|| X ||---|| Y
                      ||Z ||---|| Y ||---|| X

We will do this by enunciating the X, Y, Z domain names  and inviting the
user to 'fill in the blanks')

IF Rdegree = 2 THEN
  MFP:=1;
  IF bquery('Is this an Entity/Attribute relationship?')
  THEN Pred_type:=Ent_Att

BEGIN
```

```
      ELSE Pred_type:=Ent_Ent
ELSE (*RDegree->2; SO)
  MPP := lquery('Number of main predication phrase?',1,RDegree-1);

Permutations:= factorial(RDegree);
FOR CPrm := 1 TO Permutations DO                    BEGIN
  writeln;
  FOR Cphr := 1 TO RDegree  DO                       BEGIN
    Order := Calculate_Order (CPrm, Cphr, P_Table);
    IF debug THEN writeln(CPrm, Cphr, Order, Entdomain_id = ', Cprm:3,Cphr:3,Order:3,Entdomain_id:3);
    IF debug THEN writeln(ptr[Order].typeofnode, node_id =', typeofnode:3, node_id:3);
    IF debug THEN writeln(Entdomain_id = ',Entdomain_id:3);
    (*)
    IF debug THEN writeln(ptr[Order]^.Entdomain_id = ', ptr[Order]^.Entdomain_id);
    IF debug THEN writeln(ptr[Order].node_id =', ptr[Order].node_id:3);
    IF debug THEN writeln(ptr[Order].typeofnode = ', ptr[Order]^.typeofnode);
    S:= ad(ptr[Order]^.Entdomain_id).entity_set_name[singular];
    writeln;
    S:= ptr[Order]^.Role[singular];
    IF s<>none' THEN write('acting as a ', S, ')');
    IF Cphr<RDegree THEN
      write(' phrase ', Cphr:1, ' > ');  ( Cphr-loop) END;

  writeln;
  FOR Cphr := 1 TO Descendants-1 DO                  BEGIN
    IF (Cphr<>MPP THEN (*we are dealing with a case phrase)   BEGIN
      Alt_sentences[CPrm,Cphr].Main:=No;
      write('Phrase  ',Cphr:2);
      S:=Squery('Is a case phrase',o);
      Alt_sentences[CPrm,Cphr].Case_phrase:=S;         END
    ELSE (it's the main pred. phrase) so get all 4 variants)  BEGIN
      Alt_sentences[CPrm,Cphr].Main:=Yes;
      FOR Sense:=Positive DOWNTO Negative DO
        IF Sense=Negative THEN S:=Positive ';  BEGIN
        FOR Number:=Singular TO Plural DO BEGIN
          IF Number = Singular
          THEN N :=' Singular ,'
          ELSE N :=' Plural ';
          S1:=Squery(S+N+Phrase',);
          Alt_sentences[CPrm,Cphr].Alt_phrases[Sense,Number]:=S1  END END END;
                             (CPrm-loop )            END;

(temporary debugging check

FOR CPrm:=1 TO Permutations DO                       BEGIN
  writeln;
  FOR Sense := Positive DOWNTO Negative DO
    FOR Number := Singular TO Plural DO
      FOR Cphr:=1 to Descendants-1 DO                 BEGIN
        S:= Ptr[Calculate_Order(CPrm,Cphr,P_Table)]^.Role[number]);
        write(S,' ');
        IF Alt_sentences[CPrm,Cphr].Main = Yes
        THEN S:= Alt_sentences[CPrm,Cphr].Alt_phrases[Sense, Number]
        ELSE S:= Alt_sentences[CPrm,Cphr].Case_phrase;
        write(S,' '); S:= Ptr[Calculate_Order(CPrm,Descendants,P_table)]^.Role[number];
        writeln(S);                                 END END;
                         (WITH ptr[]^ )            END;

rdnt:=rdnt+1;

writeln(Relation ',rdnt-1:3, ' now added to dictionary.');
END; (of WITH RD)
END; (of Create_Relation)
```