

## Introduction

Shape from shading refers to the ability to extract three-dimensional (3D) shape from shading patterns across an object<sup>1</sup>.

Shape from shading has previously been described as a pre-attentive process that occurs in parallel across the visual field<sup>1,2</sup>.

Recent evidence has challenged this notion, instead suggesting that top-down attention is necessary for late-stage processing related to shape from shading<sup>3</sup>.

Here, we measure event-related potentials (ERPs) whilst participants passively view or attend to two-dimensional (2D) and 3D shapes.

## Hypotheses

**H1:** There is an early stage of processing for shape from shading that occurs without the need of top-down attention.

*Predicted Result:* There will be a larger N1 component for 3D compared to 2D shapes during passive viewing of the stimuli.

**H2:** There is a relatively later stage of processing for shape from shading that requires top-down attention to the object.

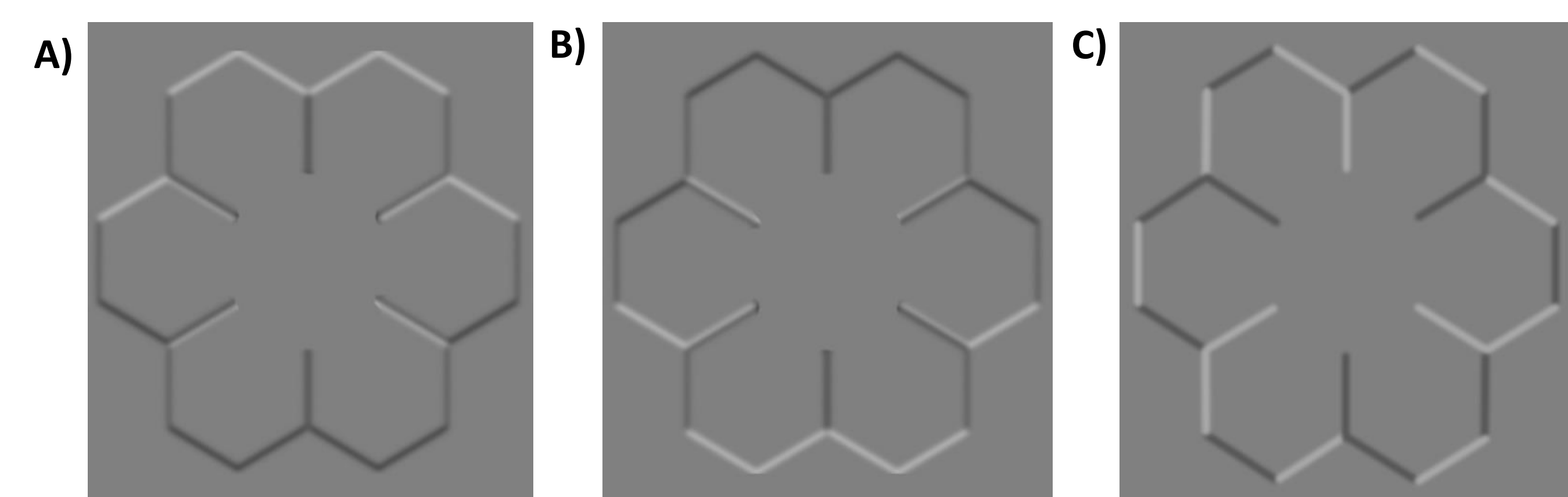
*Predicted Result:* Active viewing will produce a larger N2 component for 3D vs 2D shape. This effect will not be present during passive viewing. This effect to be lateralised to the right hemisphere<sup>4</sup>.

## Methodology

### Participants

34 participants (19 females) aged between 18 and 29 years old. All participants were right-handed with normal or corrected to normal vision.

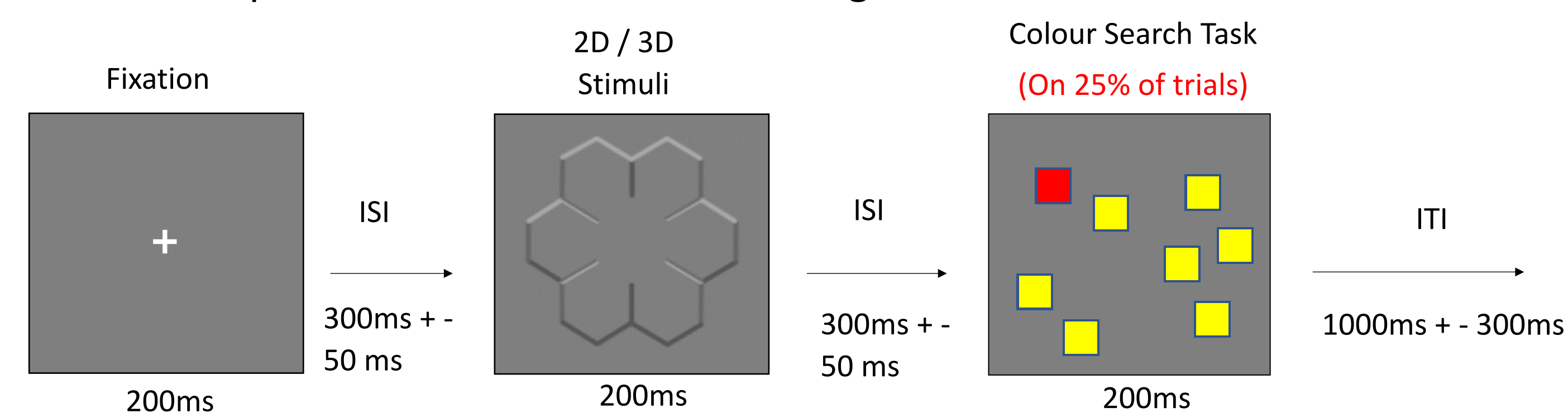
### Stimuli



**Figure 1:** The snowflake stimuli used to elicit the perception of 2D and 3D shape. A) The snowflake stimulus perceived as convex (0° orientation). B) The snowflake stimulus perceived as concave (180° orientation). C) The snowflake stimulus with alternating light and dark lines, perceived as 2D.

### Passive & Active Viewing Task

Participants were instructed to ignore the stimuli that preceded a colour search task in the first half of the experiment. They were then told to attend to the 2D/3D stimuli that preceded the colour task during the second half of the task.



**Figure 2:** Demonstrating the experimental paradigm. *ISI* = inter-stimulus interval, *ITI* = inter-trial interval, *ms* = milliseconds.

## Discussion

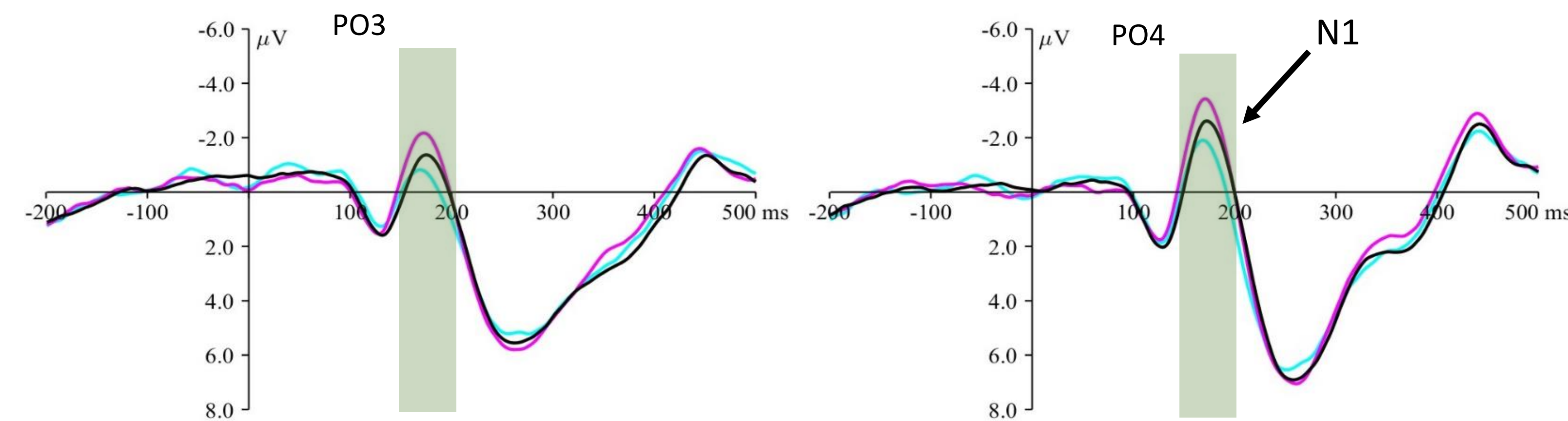
- There is early processing related to shape from shading that occurs without the need for top-down attention, which may reflect segregation of the object from the background<sup>3</sup>.
- This early processing becomes lateralised to the right hemisphere when top-down attention is deployed to the object.
- A later stage of processing related to shape from shading only occurs when attention is guided to the stimuli, possibly related to the disambiguation of 3D shape<sup>3</sup>.
- These effects were observed with concave, but not convex stimuli. This may be explained by a convexity prior in shape from shading<sup>5,6</sup>.

### References

- <sup>1</sup>Kleffner, D. A. & Ramachandran, V. S. (1992). On the perception of shape from shading. *Perception & Psychophysics*, 52, 18-36. <https://doi.org/10.3758/BF03206757>
- <sup>2</sup>Sun, J. Y. & Perona, P. (1996). Pre-attentive Perception of Elementary Three-dimensional Shapes. *Vision Research*, 36(16), 2515-2529. [https://doi.org/10.1016/0042-6989\(95\)00336-3](https://doi.org/10.1016/0042-6989(95)00336-3)
- <sup>3</sup>Sapir, A., Hershman, R. & Henik, A. (2021). Top-down effect on pupillary response: Evidence from shape from shading. *Cognition*, 212, 104664. <https://doi.org/10.1016/j.cognition.2021.104664>
- <sup>4</sup>Taira, M., Nose, I., Inoue, K. & Tsutsui, K. (2001). Cortical Areas Related to Attention to 3D Surface Structures Based on Shading: An fMRI Study. *Neuroimage*, 14(5), 959-966. <https://doi.org/10.1006/nimg.2001.0895>
- <sup>5</sup>Liu, B. & Todd, J. T. (2004). Perceptual biases in the interpretation of 3D shape from shading. *Vision Research*, 44(18), 2135-2145. <https://doi.org/10.1016/j.visres.2004.03.024>
- <sup>6</sup>Langer, M. S. & Bülthoff, H. H. (2001). A prior for global convexity in local shape-from shading. *Perception*, 30(4), 403-410. <https://doi.org/10.1068/p3178>

## Results

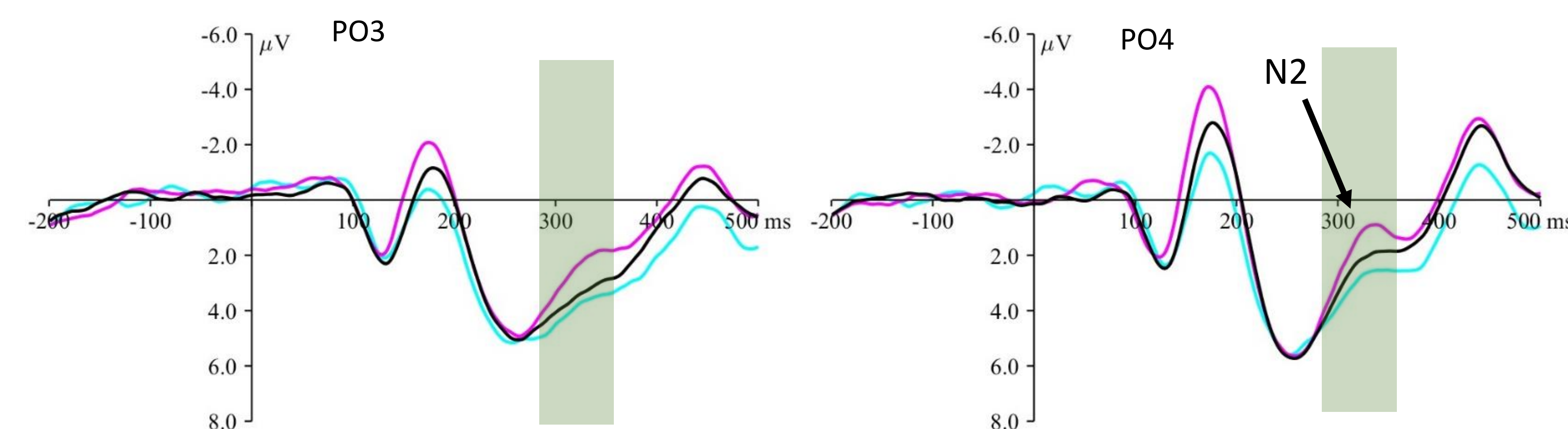
### Passive Viewing



**Figure 3:** ERPs during passive viewing, showing the N1 component for each shape at left (PO3) and right (PO4) hemispheric sites. *ms* = milliseconds,  $\mu V$  = microvolts.

The mean amplitude of the N1 component was greater for concave compared to 2D ( $p = .03$ ) and convex ( $p < .001$ ) stimuli. No differences between 2D and convexity were found ( $p > .05$ ).

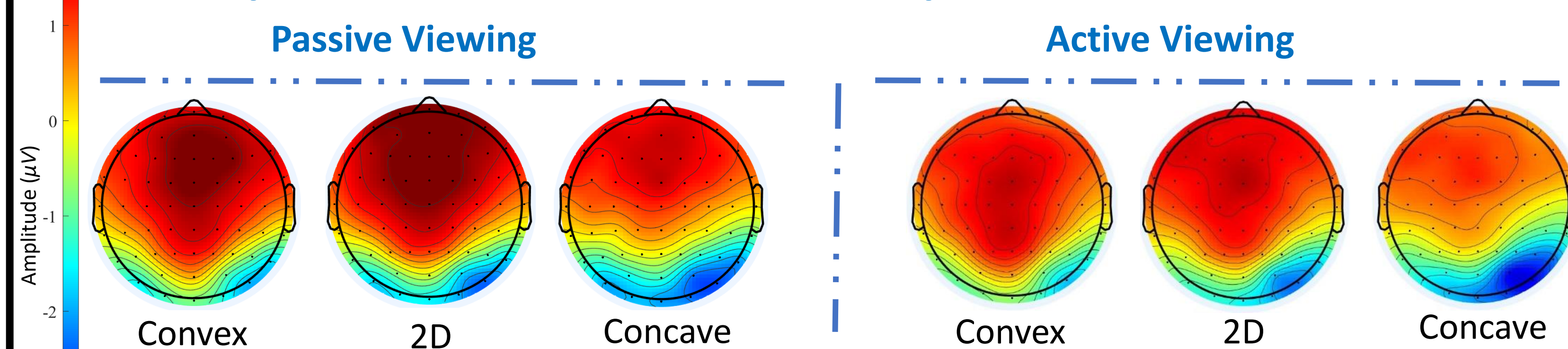
### Active Viewing



**Figure 4:** ERPs during active viewing, showing the N2 component for each shape at left (PO3) and right (PO4) hemispheric sites. *ms* = milliseconds,  $\mu V$  = microvolts.

Concave stimuli elicited a larger amplitude N2 component compared to 2D ( $p = .02$ ) and convex ( $p = .01$ ) stimuli. N2 amplitude did not significantly differ between convex and 2D stimuli ( $p > .05$ ).

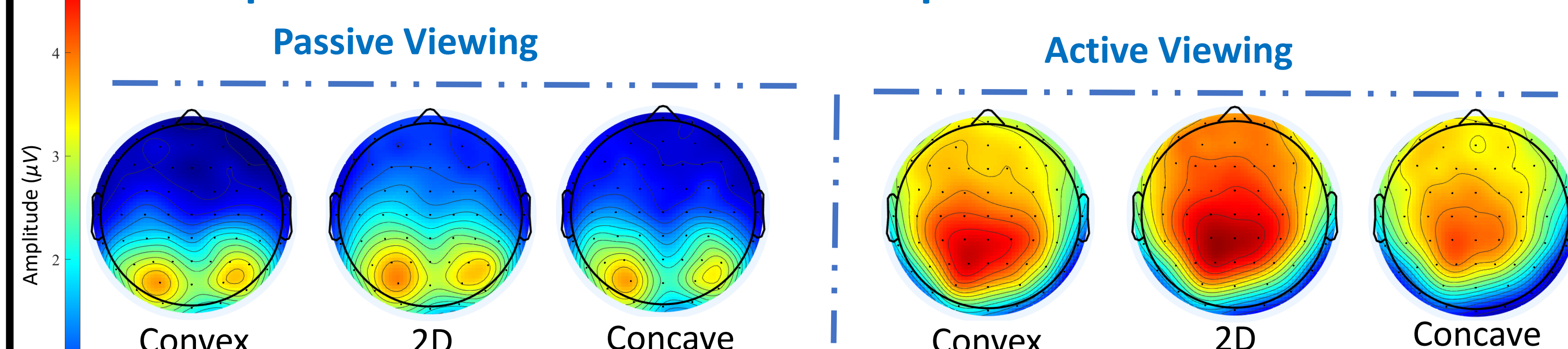
### Hemispheric effects: Posterior N1 Component



**Figure 5:** Topographical representation of the mean voltages across the scalp during the time range of the N1 component (150 – 200ms) in passive and active viewing.  $\mu V$  = microvolts.

There was no asymmetry or laterality of the N1 component during passive viewing (all  $p > .05$ ). The N1 component was larger over the right than left hemisphere during active viewing ( $p = .02$ ). This was accompanied by an effect of laterality ( $p = .006$ ), reflecting larger differences between concave vs 2D and convex stimuli in the right compared to the left hemisphere.

### Hemispheric effects: Posterior N2 Component



**Figure 6:** Topographical representation of the mean voltages across the scalp during the time range of the N2 component (290 – 350ms) in passive and active viewing.  $\mu V$  = microvolts.

We found no asymmetry of the N2 component during passive viewing ( $p > .05$ ), whilst the N2 component was larger at right hemispheric sites during active viewing ( $p = .04$ ). There was no evidence for a lateralisation of the N2 component during passive or active viewing ( $p > .05$ ).



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