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Factors Influencing the Care and Management of Diabetic Foot Ulcers: A scoping review

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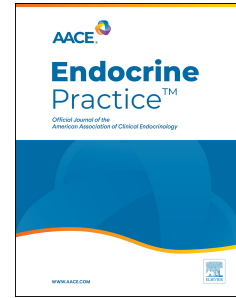
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## **Factors Influencing the Care and Management of Diabetic Foot Ulcers: A scoping review**

**Brief Running Title:** Management of Diabetic Foot Ulcers

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### 37 **Abstract**

38 **Objective:** The objective of this scoping review is to explore the experiences of patients' and  
39 healthcare practitioners on the factors that influence the care and management of diabetes-  
40 related foot ulcers (DFUs).

41 **Methods:** Levac and colleagues' six-stage framework, and the Preferred Reporting Items for  
42 Systematic Review and Meta-analysis extension for scoping reviews, guided the review. The  
43 SPIDER tool was used to define key elements of the review question. Searches for relevant  
44 articles were conducted in electronic databases (PUBMED, CINAHL, AMED, Embase, Cochrane  
45 Database of Systematic Reviews, PsycINFO), Google Scholar and hand searches of reference lists.

46 **Results:** Eight articles met the inclusion criteria and were included in the review. Three themes  
47 were identified: Communication and Education about DFUs; Challenges of managing DFUs; and  
48 Barriers to treatment and management. The themes are presented as a narrative synthesis.

49 **Conclusion:** Inadequate knowledge of diabetic foot care by patients and inconsistent  
50 communication by healthcare professionals were primary factors affecting the effective  
51 management of diabetes-related foot ulcers . Consistent, patient-focused education that is  
52 supported by knowledgeable health care professionals should form the foundation of effective  
53 diabetic foot ulcer care.

54 Keywords: diabetes-related foot ulcers; Diabetic Foot ulcers; Diabetes; Patients with diabetes;  
55 Healthcare professionals; Scoping review

## 56 INTRODUCTION

57 Diabetes is a major public health issue worldwide<sup>1</sup> with an estimated 529 million people affected  
58 globally in 2021<sup>2,3</sup>. The increasing global prevalence of diabetes has also seen an increase in  
59 related complications such as diabetes-related foot ulcers (DFU)<sup>4</sup>. A DFU is a common and  
60 debilitating complication of diabetes, defined as an ulceration resulting from neuropathy and/or  
61 peripheral arterial disease of the lower limb in a person with diabetes<sup>5</sup>. These ulcers result from  
62 a complex combination of risk factors including peripheral neuropathy, peripheral vascular  
63 disease, arterial insufficiency, foot deformity and trauma<sup>6</sup>. Approximately 20% of people with  
64 diabetes will develop a DFU, with DFUs preceding 85% of amputations in these patients<sup>7</sup>. The  
65 costs associated with DFU care are substantial; in 2014–2015, the annual cost of care for DFUs in  
66 England was an estimated £1 billion<sup>8</sup>. Higher proportions of patients receiving guideline-based  
67 care are less costly and improve patient outcomes (avoid amputations). Strategies to increase  
68 the proportion of patients receiving guideline-based care are warranted<sup>9</sup>. The United Kingdom's  
69 National Health Service (NHS) could save over £250 million if the prevalence of DFUs were  
70 reduced by one-third<sup>10</sup>.

71 All DFUs, particularly infected ulcers, are difficult to treat; despite administration of multiple  
72 rounds of antibiotics (risking development of antimicrobial resistance), the prospects of clinical  
73 resolution of infection can still be poor<sup>11</sup>. This is related to the poor blood circulation to the foot  
74 region, resulting in poor bioavailability of systemically administered antibiotics, and even when  
75 treated successfully, the risk of reinfection remains high<sup>12</sup>. One United Kingdom study found

76 that a year after culture of the index ulcer, 45.5% of DFUs had healed, 15.1% of the participants  
77 had died, there was ulcer recurrence in 9.6% of participants, while 17.4% had amputation of  
78 some part of the foot and 6.0% had revascularization surgery<sup>13</sup>. The treatment of DFUs  
79 therefore represents a significant clinical challenge. However, DFUs may be managed with  
80 adequate patient knowledge<sup>14</sup>. Self-care behaviours are important for managing DFU<sup>15</sup>; these  
81 behaviours combined with patients' attitudes towards foot care also affect DFU outcomes such  
82 as wound healing and recurrence<sup>16</sup>. Many DFU patients also do not have sufficient education and  
83 knowledge about how to care for their feet<sup>5</sup>. Consequently, the management and care of DFUs  
84 is complicated and there is a need to better understand the factors that influence care  
85 outcomes.

86 This scoping review aims to better understand the factors that influence the care and  
87 management of DFUs. **METHODOLOGY**

88 Scoping reviews enable a 'mapping process', summarising the breadth and depth of evidence in  
89 a particular field<sup>17</sup>; this type of review can serve several purposes including, as here, identifying  
90 gaps in literature. We therefore aimed to explore patient's and healthcare professionals'  
91 experiences of DFUs, report factors that influence the care and treatment of DFUs and identify  
92 any gaps in that evidence, guided by the six-step framework of Levac and colleagues<sup>17</sup> [Table 1].

93 The work was also guided by the Preferred Reporting Items for Systematic Review and Meta-  
94 analysis extension for scoping reviews (PRISMA-ScR)<sup>18</sup>. This approach allowed the authors to  
95 merge and present data from studies with different designs and from a range of sources to  
96 represent the overall meaning of the combined body of work from these studies.

97 **Framework stage one: Identifying the research (review) question**

98 When developing the review question, the target population(s) (patients with DFUs; health  
99 professionals involved in the management of DFUs), the outcome of interest (DFU management  
100 and healing) and the concept (experiences of DFU care) were considered<sup>17</sup>. We also applied the  
101 SPIDER (Sample, Phenomenon of Interest, Design, Evaluation, Research type) tool<sup>19</sup> to define key  
102 elements of the review question, which was subsequently confirmed as: *What evidence exists on*  
103 *the experiences of DFU patients and of health care professionals' when managing DFUs?*

104 **Framework stage two: Identifying relevant studies**

105 ***Search strategy***

106 Since our interest was in experiences, we needed to be able to retrieve qualitative evidence.  
107 Hence, the SPIDER tool was used instead of the more familiar PICO (Population, Intervention,  
108 Comparison, Outcome) tool<sup>20</sup> to help develop the search strategy as it supports identification of  
109 quantitative, mixed methods and qualitative studies. The Search terms included Diabet\* AND  
110 foot AND ulcer AND (peripheral OR neuropathy) AND experience\* AND patient centred  
111 (centered) OR education OR training OR behaviour.

112 The following broad inclusion and exclusion search criteria were used:

113 ***Inclusion criteria***

- 114 • Original, peer reviewed research on the experiences of patients on having, and health  
115 care professionals on managing, DFUs
- 116 • Full text studies, available in English
- 117 • Of either qualitative, quantitative, or mixed methods design

118 ***Exclusion criteria***

119 • All other forms of publication (abstracts only, conference proceedings, editorials,  
120 literature reviews, opinion pieces) on DFUs not related to diabetes were excluded from  
121 the review.

122 • Studies involving patients with DFU and other co-morbidities.

123 Electronic and manual database searches were carried out, with the search strategy undertaken  
124 in three steps. An initial search was undertaken using relevant electronic databases (PUBMED,  
125 CINAHL, AMED, Embase, Cochrane Database of Systematic Reviews, PsycINFO). A second search  
126 for unpublished studies included Google Scholar. Finally, a manual search was carried out on  
127 reference lists of already retrieved studies to identify further studies of potential interest. The  
128 search process was iterative, requiring repeated literature searches to refine the search strategy.

### 129 **Framework stage three: Study selection**

130 Duplicates were removed and all studies were screened by OO and JB against the inclusion and  
131 exclusion criteria. The remaining articles were reviewed independently by each member of the  
132 team, before meeting to select the final articles for inclusion by consensus [Figure 1: PRISMA  
133 diagram]. The initial search of databases produced 730 papers, and an additional 520 records  
134 were identified through Google Scholar and hand searches. After deduplication of search results,  
135 250 abstracts were reviewed after which 62 full text papers were retained [Figure 1]. After full  
136 text reading and screening against the inclusion and exclusion criteria, 54 studies were rejected  
137 with reasons; eight studies were retained for the review.

### 138 **Framework stage four: Charting the data**

139 As recommended<sup>17</sup> the team identified and agreed on the variables of interest to be extracted  
140 from each study [Table 2]. Study design and participant demographic data were extracted by the

141 Research Fellow (AH), before the full team extracted data independently from each study,  
142 according to the identified variables, before meeting to discuss and resolve any differences.

#### 143 **Framework stage five: Collating, summarising and reporting the results**

144 The data extraction process provided a wide view of the pertinent issues relevant to this review,  
145 enabling the team to address the research question and identify gaps in the evidence [Table 3].

146 The data were summarised into themes to enable synthesised reporting of patients' and  
147 healthcare professionals' experiences with DFU care.

148 To arrange the data below, a narrative approach was undertaken. A narrative synthesis offers  
149 flexibility, provides scope to tailor the discussion in line with the research question, and enables  
150 inclusion of the most relevant data from research that employs a range of different  
151 methodologies<sup>20</sup>.

## 152 **RESULTS**

### 153 **Study characteristics**

154 Of the eight included studies, two were from the United States<sup>21,22</sup>, and one each from  
155 Singapore<sup>23</sup>, Iran<sup>1</sup>, Jordan<sup>24</sup>, Tobago<sup>5</sup>, Taiwan<sup>15</sup>, and Indonesia<sup>25</sup> [Table 3]. Seven of the eight  
156 studies used qualitative methodologies<sup>1,5,21-25</sup>. Two of the qualitative studies<sup>23,25</sup> described their  
157 methodological approach as phenomenological; two<sup>1,22</sup> used focus groups and the remaining  
158 five used face-to-face or telephone interviews to collect data. One study<sup>15</sup> was quantitative using  
159 a cross sectional survey methodology. All eight studies recruited patients with DFUs. Four of the  
160 eight studies<sup>1,5,15,25</sup> described their sampling method as purposive, one<sup>23</sup> used snowball (chain-  
161 referral) sampling, and the remaining three studies<sup>21,22,24</sup> did not specify their sampling methods.

162 All seven qualitative studies<sup>1,5,21-25</sup> described their data analysis approach as thematic while the  
163 one quantitative study<sup>15</sup> used an SPSS® package<sup>26</sup> with a focus on descriptive statistics and  
164 multiple linear regression analysis to determine factors associated with self-management of  
165 DFUs.

## 166 **Study participants**

167 There was a total of 334 (range 6-199) participants across the eight studies. Six of the  
168 studies<sup>1,5,15,21,25</sup> focused solely on patients with DFUs as subjects, one<sup>23</sup> recruited health care  
169 professionals (HCPs) only, and one<sup>22</sup> recruited patients with DFUs, carers, and HCPs.  
170 Demographic data about patient-only studies indicate those recruited were more likely to be  
171 male (60% vs 40% female) with an average age of 58 years (range 20-91 years). The studies  
172 varied in terms of other types of descriptive data collected about patient participants. Two  
173 studies<sup>1,25</sup> used different scales to collect data on time since diabetes diagnosis, and four<sup>1,5,15,24</sup>  
174 collected information on marital status; most participants were married (range 33-81%). One  
175 study<sup>1</sup> collected data on complications of diabetes. The two studies that recruited HCPs collected  
176 information about the HCP professional role<sup>22,23</sup>, and whether participating HCPs worked in a  
177 private or public hospital<sup>23</sup>.

## 178 **Themes**

179 The extraction process identified three themes in the data: (1) *Communication and Education*  
180 *about DFUs*; (2) *Challenges of managing DFUs*; and (3) *Barriers to treatment and management*.

181 Themes are presented below in a narrative synthesis.

### 182 ***Theme 1: Communication and Education about DFU***

183 The issue of communication was raised in several studies. Communication between health  
184 workers and patients was described as “ineffective”, with most participants feeling there was  
185 little communication or education regarding the management of DFU: “*The doctor jots down the*  
186 *medications in a flash and throws me the prescription. They don’t answer my questions, let alone*  
187 *educate me*” [Participant 2]<sup>1</sup>. Patients who already felt overwhelmed with the management of  
188 DFU commented that this was frequently made more difficult by a lack of consistent  
189 communication: “*You see a different doctor every time. So every time [the doctors] come in, they*  
190 *say, “Well, let me read your record,”. Every time they read something about you, they make their*  
191 *own decisions. So, when they come back to you, it’s always something different than what [you*  
192 *were] told before. That’s confusing to a patient*” [Meeting 6]<sup>22</sup>. Inconsistencies in communication  
193 also caused patients to feel confused about DFU management and experienced delays in their  
194 care which subsequently had a negative impact on the therapeutic relationship in the future<sup>22</sup>.  
195 There were acknowledged deficits in patient knowledge and education in relation to DFU care  
196 and management<sup>1,5,15,22</sup>. Most participants had little information about DFUs and appropriate  
197 management<sup>1,5</sup>, often with inadequate knowledge of the relationship between diabetes and the  
198 signs of and risk factors related to the development of ulcers<sup>5</sup>. Some were unaware of the risk of  
199 DFUs and the potential consequences connected to these, until they developed a first DFU<sup>22</sup>. In  
200 contrast, some participants were found to have an adequate or good knowledge in relation to  
201 diet, medication and practices related to foot care<sup>5</sup>. The only quantitative study included in this  
202 review offers quantification of the extent to which there were deficits in knowledge about DFU  
203 amongst patients<sup>15</sup>. Of 199 participants, almost two thirds had received no education about DFU  
204 care, glycaemic control, or treatment of DFU; several risk factors that were associated with

205 poorer DFU management were related to patient education and knowledge about DFU, including  
206 their engagement with health workers prior to hospitalization<sup>15</sup>. Health care professionals  
207 working in diabetes care perceived that patients' capacity to be receptive to, understand, and  
208 retain information had an important influence on those patients' beliefs about DFUs<sup>23</sup>.  
209 Communication between HCPs and patients was affected by the degree of involvement they had  
210 with patients, and the quality of follow-up <sup>23</sup>.

### 211 *Challenges of managing DFUs*

212 Three studies discussed the challenges in DFU treatment and management<sup>1,21,25</sup>. Diabetes-  
213 related foot ulcers place a substantial burden on patients and their families<sup>21</sup>, including  
214 *"management of elaborate oral medication protocols, administration of intravenous antibiotics,*  
215 *changing of wound dressing, application of topical ointments, and cleaning and elevation of the*  
216 *wound"* [p.2]<sup>21</sup>. The level of care required was burdensome to patients and their immediate  
217 family/caregivers, who often took on most of the work in relation to DFU management/care. This  
218 in turn impacted roles within families, shifting caring responsibilities and labour divisions in the  
219 household<sup>21,25</sup>. Treatment exacted an emotional toll <sup>1,21,25</sup> and presented an ongoing challenge  
220 related to loss of mobility, and the often-slow pace of rehabilitation<sup>21,25</sup>. Treatment, particularly  
221 amputation, generated an emotional distress which deteriorated over time:

222 *"I've not been off my couch ... or out of my bed very much in the past 18 months. It affected my*  
223 *life, and it has affected my ... mental well-being. It's affected me tremendously"*[p.3]<sup>21</sup>.

224 Two studies addressed the unusual efforts that some patients with DFUs make towards  
225 managing and treating their foot ulcers<sup>24,25</sup>. In the Jordanian study, a small number of

226 participants (95 out of 1,100; 8.6%) turned to complementary or traditional therapies, drawing  
227 on a range of herbs, food products and household items<sup>24</sup>. Similarly, participants in the  
228 Indonesian study attributed their poor health to spirits or ‘magic’, while also turning to  
229 alternative treatments<sup>25</sup>. These practices were reported as problematic by the studies’ authors,  
230 since adherence to alternative therapies often caused patients to delay seeking medical  
231 treatment<sup>24,25</sup>, and then only when patients felt traditional treatments were no longer working  
232 or useful<sup>25</sup>. In both studies, delays in presenting for ‘official’ treatment meant the DFUs were  
233 further advanced.

#### 234 *Barriers to treatment and management*

235 Numerous barriers prevented access to treatment. Participants often had difficulty in  
236 coordinating and attending multiple appointments and that this was often made increasingly  
237 difficult with frequent staff turnover in home care staff<sup>15</sup>. The quality of support that patients  
238 receive from healthcare workers more generally could either be a barrier or facilitate access to  
239 care<sup>1,15</sup>. Specific to the United States healthcare systems, there were reported limitations placed  
240 on seeking DFU care by insurance companies and a lack of access to healthcare staff (both  
241 through a lack of appointments and being unable to contact them)<sup>22</sup>; these findings in particular  
242 speak to the issues related to inaccessible and/or fragmented treatment, which in turn further  
243 alienated patients who were “*increasingly discouraged by the conflicting diagnoses, lack of an*  
244 *overall treatment plan, and the perception of premature recommendations for*  
245 *amputation*”[p.1391]<sup>22</sup>.

246 Additional barriers, including financial implications, and deficits in healthcare systems in relation  
247 to DFU care, were reported. Several risk factors that coalesce as barriers were associated with  
248 poorer DFU management including unemployment, poor financial status, and non-compliance  
249 with antihyperglycemic medication prior to hospitalization<sup>15</sup>. These factors are also influenced by  
250 patient education and knowledge about DFUs, which in turn is related to patient engagement  
251 with health workers prior to hospitalization<sup>15</sup>. Financial status was noted to compound issues  
252 where healthcare was not universal and/or free at the point of access<sup>15</sup>; the cost of care and  
253 other associated financial burdens that DFUs cause were also reported<sup>1,21</sup>.

254 Four studies also reported the important role that family/caregivers had in assisting with  
255 treatment<sup>1,21-23</sup>. While none explicitly reported about living alone and/or social isolation as being  
256 a barrier (the latter instead identified as a consequence), it seems reasonable to conclude that  
257 lack of family/caregiver availability to assist with treatment could be a barrier in treatment and  
258 recovery from DFU.

## 259 DISCUSSION

260 This scoping review sought to understand the factors that influence the care and management of  
261 DFUs. While there were differences between studies, several identified a lack of knowledge  
262 about DFUs as a key issue in relation to early identification and intervention. After seeking  
263 treatment, issues often persisted regarding compliance and understanding of management,  
264 compounded by unclear or inconsistent communication from health workers in relation to DFU  
265 care and management. Whilst support from family/caregivers was essential, the review revealed  
266 that knowledge about DFU and a lack of support from health workers/family/caregivers were  
267 also identified as potential barriers.

268 *Education and knowledge*

269 The findings of this review in relation to the significance of diabetic foot education and  
270 knowledge appear to confirm the recommendations of the National Institute for Health and Care  
271 Excellence<sup>27</sup> - that provision of information and clear explanations should form part of the  
272 individualised treatment plan for people with a diabetic foot problem. It is recommended that  
273 the information should be provided in oral and written formats, and include:

- 274 • a clear explanation of the person's foot problem
- 275 • pictures of diabetic foot problems
- 276 • advice regarding care of the unaffected foot
- 277 • identification of foot and leg emergencies and who to contact for assistance, and
- 278 • footwear advice and wound care<sup>27</sup>.

279 Robust, accurate, high quality patient education originates from well-informed HCPs. Nurses may  
280 be the first point of contact for many patients with DFUs, yet evidence indicates that nurses may  
281 also have poor knowledge of diabetic foot care<sup>28,29</sup>. In one Malaysian study exploring the  
282 knowledge and attitude of nurses towards diabetic foot care, the nurses were found to have  
283 poor knowledge of DFUs and appropriate care<sup>28</sup>. Similarly, although general knowledge of  
284 diabetes control has been shown to be good, nurses' knowledge of the specific details of foot  
285 disease complications was poor<sup>29</sup>. This lack of knowledge amongst general nurses may lead to  
286 under-recognition of the seriousness of the problem, and consequently, late presentation at  
287 specialist services<sup>29</sup>. Therefore, nursing knowledge may be insufficient for those at risk of  
288 diabetic foot complications and may not translate to changes in patients' behaviours that could

289 potentially prevent DFU development<sup>29</sup>; more frequent formal training for nurses about diabetic  
290 foot care, is recommended as a vehicle for improving patient knowledge about DFUs<sup>28</sup>. Good  
291 quality education leads to better adherence to treatment and increased success of interventions.  
292 In one study, patients with diabetes and plantar foot ulcer had adequate training in the use of  
293 insole technology and they adhered to wearing the device, leading to successful treatment<sup>30</sup>.  
294 Patients with DFUs also require appropriate training, post healing footwear and regular foot care  
295 to reduce the rates of re-ulceration<sup>31</sup>.

296 Continuing professional education involving patients in real life situation, team working and the use of  
297 educational movies have been shown to have significant effect in the development of essential  
298 competencies which are useful to HCPs such as nurses and physicians caring for patients with DFU<sup>1</sup>.  
299 These healthcare professionals are thus then able to promote knowledge about the prevention  
300 and management of the DFUs to their patients<sup>1</sup>. There can be significant improvement in the  
301 patients' level of knowledge, awareness, and ability to perform their own foot care following the  
302 implementation of a diabetic foot care educational programme<sup>32</sup>.

303 It is noticeable that despite focussing on experiences of DFU management, none of the patient  
304 participants in the included studies commented on types of dressings/ treatments prescribed for  
305 use by HCPS, and whether these were tolerable, manageable, or difficult to use. It is pertinent to  
306 suggest that the factors focussed on by study participants presented such challenges to them,  
307 that consideration of dressing suitability and usability in managing their DFU was a low priority.

### 308 ***Key messages from this review***

309 The review identified several shortcomings in best practice in managing DFUs which, if  
310 addressed, would contribute towards meeting patients' DFU-related needs. Key messages relate

311 to patient knowledge, better communication and wraparound support, targeted support and  
312 holistic care.

### 313 *Patient knowledge*

314 Further to the knowledge-related discussion above, HCPS should - soon after initial diagnosis -  
315 engage patients with diabetes in conversations about DFUs, potential risks, identification and the  
316 necessary prompt management should ulcers develop. As evidenced in this review, increasing  
317 patient knowledge appears to be an important and fundamental first step in the prevention of  
318 DFU.

### 319 *Better communication and wraparound support*

320 There is need for better communication, and wraparound (co-ordinated) support for people with  
321 DFU. Wraparound support – originating in children’s psychiatric/ psychological services – refers  
322 to care packages in which official services such as health care systems work with community  
323 provisions and clients to prioritise common goals identified by the individual and their family<sup>33</sup>,  
324 setting this approach apart from integrated care systems<sup>34</sup> which, whilst co-ordinating multiple  
325 care providers, do not overtly involve the client in goal identification and prioritisation. Lack of,  
326 or inconsistent communication, identified as a frustrating barrier when trying to access DFU  
327 care, could be addressed through wraparound support and comprehensive education and care  
328 in all settings, including home care.

### 329 *Targeted support and holistic care*

330 Targeted support and services should be offered to groups who may be at higher risk of DFU  
331 (and its complications). The above studies suggest that those who are unemployed, have lower

332 levels of education (which can affect health literacy), or who come from lower socioeconomic  
333 backgrounds may be at particular risk<sup>1,15,21-23</sup>. These, and all other relevant aspects of the  
334 patient's world should be considered when designing holistic education and care packages. Any  
335 education should also take into account cultural beliefs and values, and address issues such as  
336 the use of traditional or alternative therapies. Mental health and social support needs, including  
337 the availability of social support, should be considered and discussed with each patient; DFUs not  
338 only place a significant and protracted burden on patients, but also on those who care for them.  
339 Finally, these findings speak to the broader need for universal health coverage to mitigate  
340 against the financial burden patients may face in relation to care costs, and to facilitate access to  
341 appropriate care in a timely fashion.

#### 342 Limitations of the Review

343 There were only eight studies included in the review, with only one from the United States, and  
344 none from the United Kingdom. Furthermore, many of the studies included in the review were  
345 conducted in countries with diverse health systems, socio-economic, cultural and spiritual  
346 contexts, therefore, the findings may not be relevant to all contemporary clinical settings and  
347 should be interpreted with caution. Whilst there is a body of qualitative work addressing DFUs,  
348 several that were identified during literature searching for this review were later found to not  
349 meet the inclusion criteria or were subject to the exclusion criteria (for example, one qualitative  
350 meta-synthesis /literature review<sup>35</sup>). However, the international reach of the included studies  
351 demonstrates the global experiences of people with diabetes, and some HCPS, in relation to  
352 some core aspects in the management of DFUs.

**353 Conclusion**

354 This scoping review has shown that inadequate knowledge of diabetic foot care by patients with  
355 DFUs and inconsistent or unclear communication by healthcare professionals were major factors  
356 negatively affecting the effective management of DFUs. Clear, consistent, patient-focussed  
357 education, supported by knowledgeable HCPs, should form the bedrock of effective DFU care.  
358 Many issues such as wider cultural beliefs and the effect of the different types of wound  
359 dressings which may impact the management of DFUs were not addressed.

360 Future research in this area should include optimisation of better education and communication  
361 for HCPS (especially nurses) and patients and promoting effective support processes and self-  
362 care behaviour for patients with DFUs.

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375 Figure 1. PRISMA flow chart of study selection

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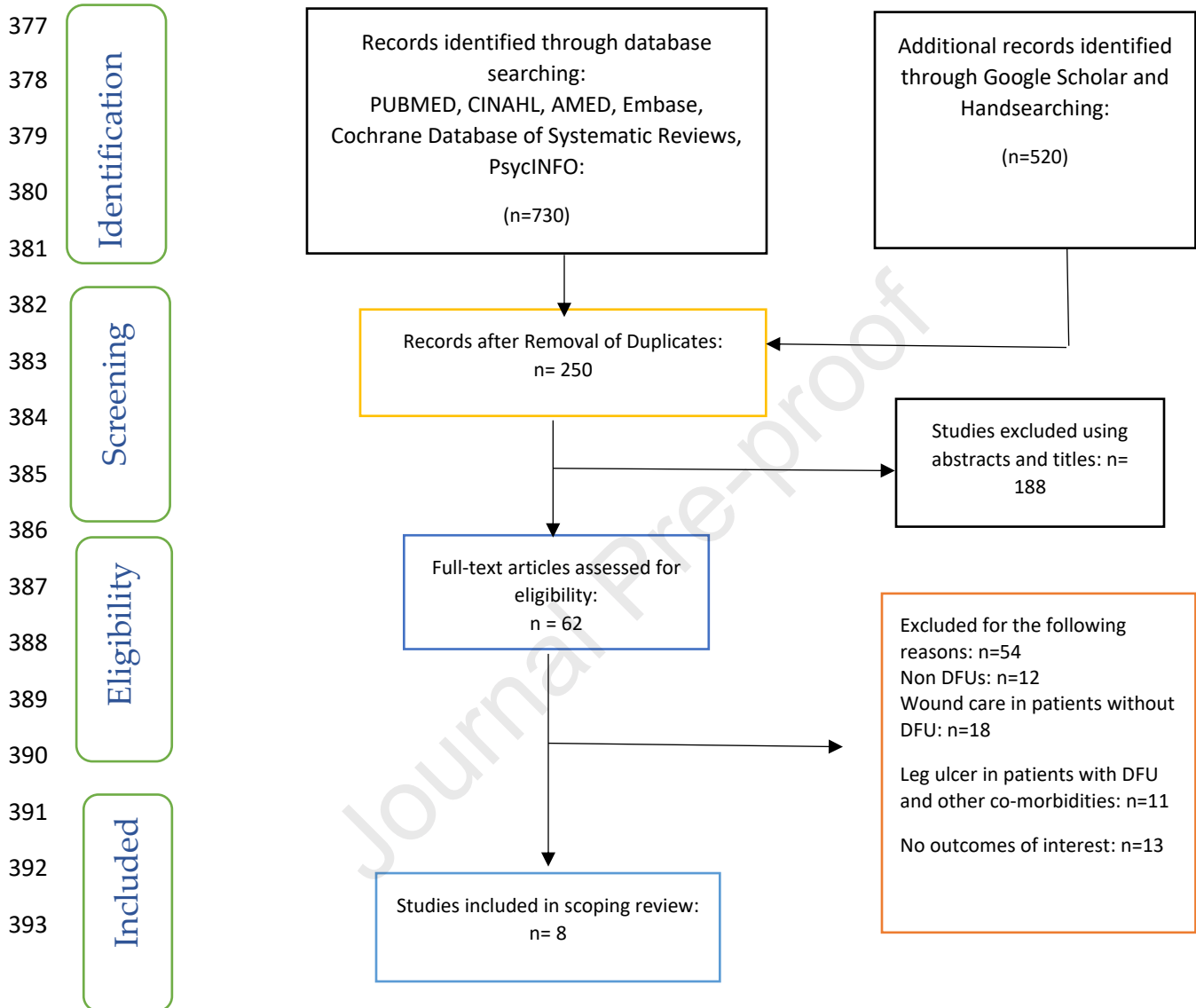


Table 1: Six stage phases for the methodology for a scoping review<sup>17</sup>

Framework Stage	Purpose
Stage 1	Identifying the research question
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Stage 3	Study selection
Stage 4	Charting the data
Stage 5	Collating, summarising, and reporting the results
Stage 6	Consultation with stakeholders (Optional)

Table 2: Variables of Interest from each included study with rationale

Variable	Rationale, simplifications or assumptions
Author, year and country	To demonstrate that the selected articles are current and relevant. To demonstrate the geographical spread of the literature.
Research question/purpose of the study	To demonstrate the relationship between the research question and the aim of the scoping review.
Methodology	To evidence the type of research included in the scoping paper, including the selection of participants, data collection and analysis.
Age of participants	Age may influence participants attitudes and behaviours towards the management of DFUs.
Gender of participants	To understand any gender differences towards the management of DFUs.
Other background information	To understand if there are other factors that influence the management of DFUs.
Barriers to DFU management	To understand the barriers to the management of DFUs.
Evidence of self-care practices	To understand the knowledge and practice of self-care of DFUs
Communication of self-care practices	To understand how the communication of DFU self-care influences patient practices.
Wound care challenges	To understand perceived barriers to DFU self-care

Table 3: Characteristics of Included Studies

AUTHOR	STUDY POPULATION	STUDY AIMS	STUDY DESIGN	Results	Identified Gaps
Author(s)	(1) Participants (N=)		(1) Methodology		
Year	(2) Participant		(2) Sampling		
Location	details		method		
	(3) Gender		(3) Data collection		
	(4) Age		(4) Analysis		
	(5) Diabetes				
	duration				
	(6) History of				
	complications				
Aalaa et al.	(1) N=16	To explore the	(1) Qualitative	• Patients had poor	Need for:
2021	(2) Patients with	views of	(unspecified)	understanding of DFU	
Iran	DFU receiving care	patients with	(2) Purposeful		

in primary and specialty care centres	diabetes and DFU about the requirements of providing DFU preventative and therapeutic care in order to optimise the process of care and management	(3) Focus groups, recorded and transcribed. (4) Thematic analysis	except where they had an acquaintance with DFU. • Miscommunication between healthcare team and patients/families was an obstacle to effective education especially about risk factors for DFU and prevention. • financial burden of treatment and compliance with care • Non-physical injury and mental health impacts	<ul style="list-style-type: none"> <li>• more holistic approach to DFU care</li> <li>• consideration of patients' socio-economic conditions</li> <li>• better education, with patients included in delivery of that.</li> <li>• a wide range of support that is empathetic and patient centred.</li> </ul>
(3) 10 males; 6 females				
(4) Range 40-75years. Mean = 56.4 years				
(5) Diabetes duration range 5-25 years, Mean = 16.6 years				
(6) 13 patients had experienced at least one complication				

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				<ul style="list-style-type: none"> <li>• support from treatment team and family and friends.</li> <li>• empathy for their 'voices to be heard'.</li> <li>• ability to offer their experiences to others through peer led education.</li> </ul>	
Abu-Qamar and Wilson 2012 Jordan	(1) 68 participants (2) Patients with DFUs who use complimentary remedies for	Describe the use of topical complimentary medicines for	(1) Qualitative (unspecified) (2) Purposive	<ul style="list-style-type: none"> <li>• A wide range of preparations (household items, Jordanian herbs, and water solutions, were used.</li> </ul>	Need for: <ul style="list-style-type: none"> <li>• Educational campaigns to raise awareness of the possible hazards of</li> </ul>

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treatment outside of professional context. (3) 38 males and 30 females (4) Range 20-91 years. Mean age = 59 years (5) No data. (6) No data.	DFU by Jordanians	(3) Questionnaire with free text responses (4) Content analysis of written responses arranged as 'condensed meanings'.	• Interventions were also sought from traditional healers; patients often unaware of ingredients in preparations acquired via this route. Use of complementary therapies may increase risk of lower limb amputation.	complementary medicine therapies. Agreement with attending HCP regarding use of complementary therapies.
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Adeyemi et al. 2021 Tobago	(1) 20 participants (2) Diabetic patients with DFUs for at least 4 months	Aimed to assess the knowledge, attitudes and	(1) Qualitative exploratory (2) Purposive	• Poor knowledge of DFU, signs of DFU and its relationship to diabetes	Need for: • better patient management
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(3) 8 males and 12 females	practice of adults with diabetes on foot ulcers and foot care.	(3) Telephone interviews using a semi-structured guide, recorded, and transcribed.	<ul style="list-style-type: none"> <li>• Perception of inadequate education from HCPs about DFUs and footcare</li> </ul> <p>Good awareness of footcare, especially about cleaning and inspection</p>	<p>education programmes</p> <ul style="list-style-type: none"> <li>• training for nurses and caregivers on delivering health education talks to people with diabetes.</li> </ul>
(4) Ages 28-71. Mean age = 56 years.				
(5) No data.				
(6) No data.				
		(4) Thematic content analysis.		<ul style="list-style-type: none"> <li>• regular health education sessions from HCPs for people with diabetes and DFUs.</li> </ul>
		Purposive sampling to recruit adults with DFU.		<ul style="list-style-type: none"> <li>• diabetic care and prevention education to be given to</li> </ul>

diabetics, their families and communities.

Chin et al. 2019 Taiwan	<p>(1) 199 participants</p> <p>(2) Hospitalised patients with DFU.</p> <p>(3) 125 males and 74 females.</p> <p>(4) Average age 62 yrs.</p> <p>(5) No data.</p> <p>(6) No data.</p>	<p>To determine pre-hospitalised diabetes related DFU self-management behaviours and explore the factors</p>	<p>1) Quantitative – Cross sectional survey questionnaire DFUs.</p> <p>2) Convenience</p> <p>3) Bespoke demographic questionnaire; DFSBS; DFUSMBS; data</p>	<ul style="list-style-type: none"> <li>• Most participants did not monitor their blood sugars or seek treatment for non-painful wounds.</li> <li>• Eight significant variables were associated with DFU self-management behaviours:</li> </ul> <p>1) Number of DFU hospitalisations</p>	<p>Need for:</p> <ul style="list-style-type: none"> <li>• Robust and extensively available patient education on diabetes, foot care, and DFUs.</li> <li>• strategies that target high-risk groups to eliminate barrier beliefs.</li> </ul>
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associated with them. collected via structured interview

SPSS software analysis – descriptive statistics and multiple linear regression analysis to determine factors associated with self-management

2) Receiving education about DFU care

3) HCP guidance re blood sugars and DFU

4) HCP guidance re urgent help seeking by patients with DFU.

5) Lack of knowledge re timely DFU management (barrier belief).

6) Inconvenience of medical treatment seeking (barrier belief).

7) WS-DFUD-KQ score. DFSBS score.

• Environments that encourage treatment seeking. Aggressive promotion of self-care practices.

Crocker et al. 2021 USA	(1) 15 participants (2) Patients of White or Hispanic background with history of at least one DFU. (3) 10 males and 5 females (4) Mean age = 54 years. (5) No data. (6) No data.	To capture detailed personal accounts and insights from patients with a clinical history of DFUs and amputations to better understand patient behaviours	(1) Qualitative (unspecified) (2) Purposive (3) Telephone interviews, recorded and transcribed (4) Thematic analysis	<ul style="list-style-type: none"> <li>Managing care is a heavy burden.</li> <li>Significant declines in ambulatory function</li> <li>Economic impacts because of the cost of care alongside job loss.</li> <li>Emotional impacts – depression and frustration which worsened as their condition worsened.</li> </ul>	<p>Need for:</p> <ul style="list-style-type: none"> <li>emphasis on mental health assessments as condition progresses.</li> <li>early screening for mental health impacts.</li> <li>diversify support that extends beyond the health care system and acknowledges the burden of care.</li> <li>financial hardship to be recognised and</li> </ul>
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					coordinated with benefits and services.
Sayampanathan et al. 2017 Singapore	(1) 17 participants (2) Healthcare professionals including doctors and allied health professionals. with at least 5 years' experience in diabetic foot care in either public or private sectors. (3) No data (4) N/A	To identify the causes of proper and improper diabetic footcare.	(1) Qualitative, unspecified (2) Purposive, snowball sampling (3) Semi- structured face- to-face interviews, recorded and transcribed Thematic analysis	1. Patients perceived to have a mix of proper and improper beliefs and information about foot care. 2. Modifiable predisposing factors were education, socioeconomic status, social support. 3. Non-modifiable factors were age, and presence and severity of co-	Need for: care packages to take into account all factors that impact on patients' foot care, i.e.: a more holistic approach.

(5) N/A

morbidities restricting

(6) N/A

ability to self-care.

4. Precipitating patient

factors included

receptiveness to

information, and presence

of psychological barriers.

5. Precipitating provider

factors included degree of

multi-disciplinary approach

to care, presence of

administrative

inconveniences.

6. Precipitating disease

factors included presence

of diabetic sensory  
neuropathy, and  
complexity of disease  
process.

Suza et al. 2020 Indonesia	(1) 10 participants (2) Patients with DFU. (3) 6 males and 4 females (4) Majority aged 50 or above. (5) 90% had diabetes for more than 10 years.	To explore the experience of people of the Batak Karo ethnic group in Indonesia in diabetes- related foot ulcers treatment	(1) Qualitative: hermeneutic phenomenology (2) Purposive sampling (3) In-depth interviews and field notes, recorded and transcribed	<ul style="list-style-type: none"> <li>Participants held strong beliefs concerning health disorders linked to cultural beliefs about spirits and magic.</li> <li>Participants endured physical, psychological and spiritual changes – pain, difficulty in walking, feelings of hopelessness,</li> </ul>	Need for: <ul style="list-style-type: none"> <li>nurses to pay attention to the sociocultural aspects that affect patient behaviour regarding DFUs.</li> <li>nurses to increase their cultural awareness and competency to offer</li> </ul>
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(6) No data	Van Manen's	stress, feelings of shame,	appropriate treatment
(7) Indonesia	hermeneutic	isolation, not leaving	and support.
	thematic analysis	home, inability to attend	
		church, feeling dirty even	
		when using ablution	
		water.	
		<ul style="list-style-type: none"> <li>• Most participants used</li> </ul>	
		traditional local	
		treatments such as spices,	
		often supplied by the	
		shaman.	
		<ul style="list-style-type: none"> <li>• Self-management guided</li> </ul>	
		by cultural beliefs –	
		traditional ceremonies	

and prayers with priests,  
family and church friends.

- Participants only engaged with official health services when traditional methods had failed.

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Zamani et al 2022 USA	(1) 10 participants (2) Patients, care givers and wound clinic staff member (3) Patients: 2 male and 4 female. (4) Range age of patients 49-72 years	To capture patient and caregiver perspectives on experiencing a DFU and prioritisation of patient	(1) Qualitative, unspecified (2) No stated, but purposive from description (3) Seven focus group meetings with same participants.	<ul style="list-style-type: none"> <li>• Poor communication made DFU experience more difficult.</li> <li>• Patients identified the threat of amputation as the single greatest fear.</li> <li>• Poor knowledge of DFU as consequence of poorly</li> </ul>
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Mean age of patients 61 years	centred outcomes.	Directed and conventional content analysis	controlled diabetes, until DFU developed.
(5) No data			• DFU were considered a consequence of a benign inciting factor (minor trauma, new footwear).
(6) No data			• Caregivers were overwhelmed by the complexity of care, which was exacerbated by inconsistent medical recommendations, leading to suboptimal outcomes.
			• Limited resources exacerbated frustrations

and presented barriers to  
care – low-income status  
and lack of quality  
healthcare insurance  
imposed financial  
restrictions on seeking  
DFU care.

- Perceived care system  
deficiencies included  
lengthy delays in securing  
outpatient appointments  
and referrals leading to  
dependence on  
emergency centres for  
care.

- Patients felt that specialists did not care about them, and that amputation was readily offered as it provided an easy solution.
  - Desire for coordinated care – at a single clinic or hospital even if it meant travelling further.
- Emphasis on patient education, preventative care and shared decision making.

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DFBS = Diabetes Foot Self-care Behavior Scale; DFUSMBS = Diabetes-Related Foot Ulcer Self-Management behavior Scale. HCP = health care professional. WS-DFUD-KQ = Warning signs of Diabetic Foot Ulcer Deterioration Knowledge Questionnaire. DFU= Diabetes-related foot ulcers. QoL = quality of life Need to add to foot of table in alphabetical order when endnote box deleted.

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<b>Stage</b>	
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treatment outside of professional context. (3) 38 males and 30 females (4) Range 20-91 years. Mean age = 59 years (5) No data. (6) No data.	DFU by Jordanians	(3) Questionnaire with free text responses (4) Content analysis of written responses arranged as 'condensed meanings'.	• Interventions were also sought from traditional healers; patients often unaware of ingredients in preparations acquired via this route. Use of complementary therapies may increase risk of lower limb amputation.	complementary medicine therapies. Agreement with attending HCP regarding use of complementary therapies.
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(5) No data.				
(6) No data.				
		(4) Thematic content analysis.		<ul style="list-style-type: none"> <li>• regular health education sessions from HCPs for people with diabetes and DFUs.</li> </ul>
		Purposive sampling to recruit adults with DFU.		<ul style="list-style-type: none"> <li>• diabetic care and prevention education to be given to</li> </ul>

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diabetics, their  
families and  
communities.

Chin et al. 2019 Taiwan	(1) 199 participants (2) Hospitalised patients with DFU. (3) 125 males and 74 females. (4) Average age 62 yrs. (5) No data. (6) No data.	To determine pre-hospitalised diabetes related DFU self-management behaviours and explore the factors	1) Quantitative – Cross sectional survey questionnaire DFUs. 2) Convenience 3) Bespoke demographic questionnaire; DFSBS; DFUSMBS; data	<ul style="list-style-type: none"> <li>• Most participants did not monitor their blood sugars or seek treatment for non-painful wounds.</li> <li>• Eight significant variables were associated with DFU self-management behaviours:</li> </ul> <p>1) Number of DFU hospitalisations</p>	<p>Need for:</p> <ul style="list-style-type: none"> <li>• Robust and extensively available patient education on diabetes, foot care, and DFUs.</li> <li>• strategies that target high-risk groups to eliminate barrier beliefs.</li> </ul>
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associated with them. collected via structured interview

SPSS software analysis – descriptive statistics and multiple linear regression analysis to determine factors associated with self-management

2) Receiving education about DFU care

3) HCP guidance re blood sugars and DFU

4) HCP guidance re urgent help seeking by patients with DFU.

5) Lack of knowledge re timely DFU management (barrier belief).

6) Inconvenience of medical treatment seeking (barrier belief).

7) WS-DFUD-KQ score.

DFSBS score.

• Environments that encourage treatment seeking.

Aggressive promotion of self-care practices.

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Crocker et al. 2021 USA	(1) 15 participants (2) Patients of White or Hispanic background with history of at least one DFU. (3) 10 males and 5 females (4) Mean age = 54 years. (5) No data. (6) No data.	To capture detailed personal accounts and insights from patients with a clinical history of DFUs and amputations to better understand patient behaviours	(1) Qualitative (unspecified) (2) Purposive (3) Telephone interviews, recorded and transcribed (4) Thematic analysis	<ul style="list-style-type: none"> <li>• Managing care is a heavy burden.</li> <li>• Significant declines in ambulatory function</li> <li>• Economic impacts because of the cost of care alongside job loss.</li> </ul> Emotional impacts – depression and frustration which worsened as their condition worsened.	Need for: <ul style="list-style-type: none"> <li>• emphasis on mental health assessments as condition progresses.</li> <li>• early screening for mental health impacts.</li> <li>• diversify support that extends beyond the health care system and acknowledges the burden of care.</li> </ul> financial hardship to be recognised and
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					coordinated with benefits and services.
Sayampanathan et al. 2017 Singapore	(1) 17 participants (2) Healthcare professionals including doctors and allied health professionals. with at least 5 years' experience in diabetic foot care in either public or private sectors. (3) No data (4) N/A	To identify the causes of proper and improper diabetic footcare.	(1) Qualitative, unspecified (2) Purposive, snowball sampling (3) Semi- structured face- to-face interviews, recorded and transcribed Thematic analysis	1. Patients perceived to have a mix of proper and improper beliefs and information about foot care. 2. Modifiable predisposing factors were education, socioeconomic status, social support. 3. Non-modifiable factors were age, and presence and severity of co-	Need for: care packages to take into account all factors that impact on patients' foot care, i.e.: a more holistic approach.

(5) N/A

morbidities restricting

(6) N/A

ability to self-care.

4. Precipitating patient

factors included

receptiveness to

information, and presence

of psychological barriers.

5. Precipitating provider

factors included degree of

multi-disciplinary approach

to care, presence of

administrative

inconveniences.

6. Precipitating disease

factors included presence

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of diabetic sensory  
neuropathy, and  
complexity of disease  
process.

Suza et al. 2020 Indonesia	(1) 10 participants  (2) Patients with DFU.  (3) 6 males and 4 females  (4) Majority aged 50 or above.  (5) 90% had diabetes for more than 10 years.	To explore the experience of people of the Batak Karo ethnic group in Indonesia in <b>diabetes- related foot ulcers</b> treatment	(1) Qualitative: hermeneutic phenomenology  (2) Purposive sampling  (3) In-depth interviews and field notes, recorded and transcribed	<ul style="list-style-type: none"> <li>• Participants held strong beliefs concerning health disorders linked to cultural beliefs about spirits and magic.</li> <li>• Participants endured physical, psychological and spiritual changes – pain, difficulty in walking, feelings of hopelessness,</li> </ul>	Need for: <ul style="list-style-type: none"> <li>• nurses to pay attention to the sociocultural aspects that affect patient behaviour regarding DFUs.</li> <li>nurses to increase their cultural awareness and competency to offer</li> </ul>
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(6) No data	Van Manen's	stress, feelings of shame,	appropriate treatment
(7) Indonesia	hermeneutic thematic analysis	isolation, not leaving home, inability to attend church, feeling dirty even when using ablution water.	and support.
		<ul style="list-style-type: none"><li>• Most participants used traditional local treatments such as spices, often supplied by the shaman.</li><li>• Self-management guided by cultural beliefs – traditional ceremonies</li></ul>	

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and prayers with priests,  
family and church friends.

- Participants only engaged with official health services when traditional methods had failed.

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Zamani et al 2022 USA	(1) 10 participants (2) Patients, care givers and wound clinic staff member (3) Patients: 2 male and 4 female. (4) Range age of patients 49-72 years	To capture patient and caregiver perspectives on experiencing a DFU and prioritisation of patient	(1) Qualitative, unspecified (2) No stated, but purposive from description (3) Seven focus group meetings with same participants.	<ul style="list-style-type: none"> <li>• Poor communication made DFU experience more difficult.</li> <li>• Patients identified the threat of amputation as the single greatest fear.</li> <li>• Poor knowledge of DFU as consequence of poorly</li> </ul>
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Mean age of patients 61 years	centred outcomes.	Directed and conventional content analysis	controlled diabetes, until DFU developed.
(5) No data			• DFU were considered a consequence of a benign inciting factor (minor trauma, new footwear).
(6) No data			• Caregivers were overwhelmed by the complexity of care, which was exacerbated by inconsistent medical recommendations, leading to suboptimal outcomes.
			• Limited resources exacerbated frustrations

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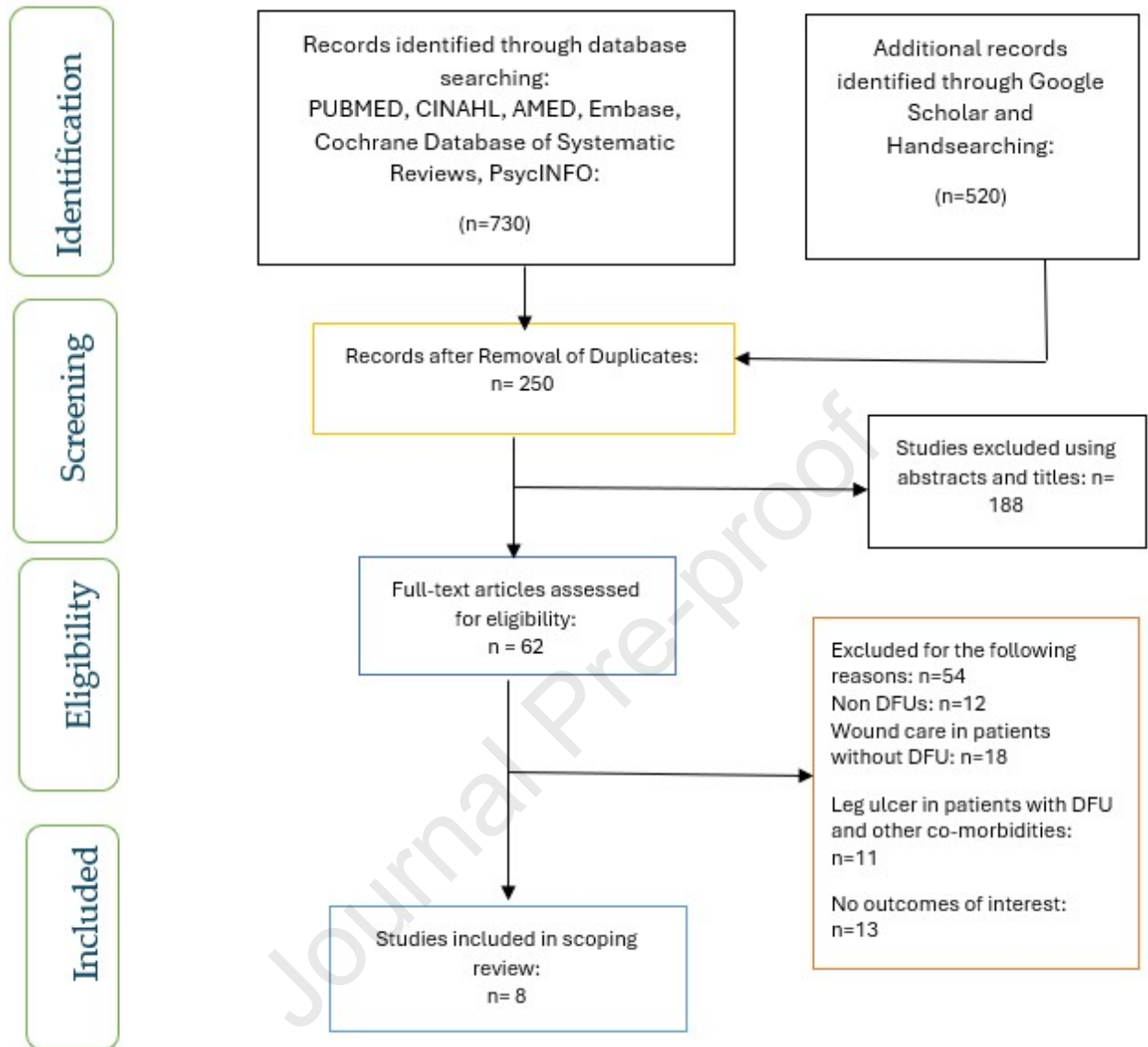
and presented barriers to  
care – low-income status  
and lack of quality  
healthcare insurance  
imposed financial  
restrictions on seeking  
DFU care.

- Perceived care system  
deficiencies included  
lengthy delays in securing  
outpatient appointments  
and referrals leading to  
dependence on  
emergency centres for  
care.
-

- Patients felt that specialists did not care about them, and that amputation was readily offered as it provided an easy solution.
  - Desire for coordinated care – at a single clinic or hospital even if it meant travelling further.
- Emphasis on patient education, preventative care and shared decision making.
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DFBS = Diabetes Foot Self-care Behavior Scale; DFUSMBS = Diabetes-Related Foot Ulcer Self-Management behavior Scale. HCP = health care professional. WS-DFUD-KQ = Warning signs of Diabetic Foot Ulcer Deterioration Knowledge Questionnaire. DFU= **Diabetes-related foot ulcers**. QoL = quality of life Need to add to foot of table in alphabetical order when endnote box deleted.

Journal Pre-proof



Highlights:

Teaching Points:

- What risk factors are implicated in the development of diabetes-related foot ulcers?
- What are the costs and other implications of poor management of diabetes-related foot ulcers?
- What are the perspectives of health care professionals and patients on the factors that influence the care and management of diabetes-related foot ulcers?
- How would you promote the knowledge of diabetic foot care in patients with diabetes-related foot ulcers?
- How would you enhance and ensure consistent, clear and effective communication by healthcare professionals managing patients with diabetes-related foot ulcers?

Clinical Relevance:

This review has identified several shortcomings in best practice in managing diabetes-related foot ulcers which, if addressed, would contribute towards meeting patients' diabetic foot ulcers -related needs. Key messages relate to patient knowledge, better communication and wraparound support, targeted support and holistic care.

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The author is an Editorial Board Member/Editor-in-Chief/Associate Editor/Guest Editor for *[Journal name]* and was not involved in the editorial review or the decision to publish this article.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: