RESEARCH ARTICLE



An Advanced Twin-Screw Granulation Technology: The use of Non-Volatile Solvents with High Solubilizing Capacity

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Abstract

Purpose Twin-screw wet granulation (TSWG) is a manufacturing process that offers several advantages for the processing of water-insoluble active pharmaceutical ingredients (APIs) and has been used for increasing the solubility and dissolution rates. Here we introduce a novel TSWG approach with reduced downstream processing steps by using non-volatile solvents as granulating binders.

Methods Herein, TSWG was carried out using Transcutol a non-volatile protic solvent as a granulating binder and dissolution enhancer of ibuprofen (IBU) blends with cellulose polymer grades (Pharmacoat[®] 603, AffinisolTM, and AQOAT[®]). **Results** The physicochemical characterisation of the produced granules showed excellent powder flow and the complete transformation of IBU into the amorphous state. Dissolution studies presented immediate release rates for all IBU formula-

tions due to the high drug-polymer miscibility and the Transcutol solubilising capacity.

Conclusions Overall, the study demonstrated an innovative approach for the development of extruded granules by processing water-insoluble APIs with non-volatile solvents for enhanced dissolution rates at high drug loadings.

Keywords immediate release · non-volatile solvents · solubility enhancement · twin-screw wet granulation

Introduction

Twin screw granulation (TSG) is a process that aims to enlarge the particle size of powder blends usually comprising of an active pharmaceutical ingredient and polymer

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[1-3] in a continuous manner. As a result, it increases powder flowability, content uniformity, bulk density and porosity. TSG offers several advantages such as fewer or no scale-up steps, continuous productions at higher throughput, rapid technical transfer, less space requirement, cost-effectiveness, and improved manufacturing efficiency [4–6]. The applicability of TSG is widely recognised due to its major advantages for the continuous production of granules. Continuous granulation lines such as ConSigma and MODOCS for powder-to-tablet manufacturing have been investigated in depth on several occasions [7-14]. Regulatory bodies such as the U.S. Food and Drug Administration (FDA) and European Medicines Agency (EMA) are also encouraging the shift from conventional batch processing to continuous processing owing to its increased technical understanding of the processing during development [15]. Twin-screw granulation was first introduced for pharmaceutical research by Gamlen and Eardley in 1986 where a Raker Perkins MP50 (Multipurpose) granulator was used to produce paracetamolbased extruded granules with high drug loading [16].

The TSG flexibility allows for wet or melt granulation processing with the addition of a liquid (e.g., water, organic

solvent) binder solution and the subsequent removal of the solvent or by using a molten binder (e.g., waxes, PEGs, lipids) [17–21]. The latter method counteracts the pitfalls of wet TSG, such as processing moisture-sensitive APIs, while facilitates faster processing times and lower energy consumption due to the omitted drying step [22-24]. Indeed, in wet-TSG, the selection of the binder liquid, binder amount, and liquid-to-solid ratio (L/S), among other critical material parameters, play a key role in the quality of the obtained granules [25–27]. Furthermore, the drying process must be carefully carried out to remove any residual solvents, which is usually conducted under elevated temperatures [28]. In melt-TSG, the binders are typically low melting point hydrophilic polymers, as the granulation process takes place near or just above their melting point to ensure that the binder is the only melted material [2, 29, 30].

Despite the numerous TSG studies, there are only a few related to increasing dissolution rates of water-insoluble APIs [25, 31–33], as in most cases, sustained release formulations are obtained [34–36]. Maniruzzaman et al. (2021) used TSG processing by introducing inorganic aluminometacilisates or dicalcium phosphate combined with hydrophilic polymers for enhanced dissolution of non-steroidal antiinflammatory [33]. The granulation process was optimized by employing a Quality by Design approach, and parameters such as, inorganic/polymer ratio, L/S ratio and the binder amount were investigated. The use of inorganic excipients resulted in the formation of free-flowing powders with low loss of drying percentages, narrow particle size distribution, and increased dissolution rates of Ibuprofen (IBU). The selection of water or EtOH as the binder solution did not affect the granule quality and especially the IBU dissolution rates.

Steffens and Wagner (2020) exploited melt-TSG for the dissolution of carbamazepine formulated with three different water-soluble polymers: polyethylene glycol 6000 (PEG 6000), Kolliphor® P407, and Soluplus® (polyvinyl caprolactam-polyvinyl acetate-polyethylene glycol graft copolymer) [31]. Interestingly, the CBZ loading varied from 80-90%, while the effect of polymer content varying from 10-20%, and the granulation temperature were found to have a significant impact on the produced granules. The higher processing temperatures resulted in the formation of CBZ type II and better dissolution rates were observed at low granulation temperatures. The dissolution rates were affected by the amount and nature of polymer, with PEG showing the highest dissolution rates followed by Poloxamer 407 and Soluplus. Similarly, a melt granulation process used by Forster and Lebo (2021) was used to increase dissolution rates of IBU through coprocessing with Glyceryl distearate (Precirol ATO 5®) which is known for its taste masking and enhanced dissolution properties [37]. A Design of Experiments (DoE) approach led to high drug-loaded granules with fast dissolution rates, depending on the particle size of the obtained granules. The IBU amounts varied from 20–40%, but the processing temperature was found to be the controlling factor of the granule particle size and hence the dissolution rates.

Sarabu *et al.* (2021) introduced a combined process based on previous studies where Gelucire[®] 48/16 and Neusilin[®] US2 acted as the solubilizer and porous surface adsorbent for Fenofibrate, a poorly water-soluble drug (logP 5.2) [32]. Screening studies showed a liner relationship for the solubility of Fenofibrate in the presence of Gelucire[®] 48/16. When formulation was co-processed with Gelucire and Neusilin, it was found that higher amounts of the latter resulted in decreased dissolution rates but improved storage stability. In contrast, higher Gelucire[®] 48/16 amounts showed even slower dissolution rates and tablets with a hard matrix.

Nevertheless, TSG is not fully exploited for the increase of dissolution rates of water insoluble APIs [38, 39]. The selection of appropriate powder excipients is restricted mostly to model hydrophilic polymers and drugs in order to simplify the TSG and identify optimal processing settings.

In this work we introduce a novel approach that utilises non-volatile solvents (or surfactants), with high solubilising capacity of water insoluble APIs, as granulating liquids coprocessed with polymers that are highly miscible with the drug(s). The selected polymers served as drug carriers due to their high drug-polymer miscibility ($\Delta\delta$) which was less than 7 [40, 41]. A major advantage is that the technology offers less processing steps, as there is no need for drying processing (e.g., fluidize bed), making it cost-effective. Most importantly, it can be effectively used as a continuous TSWG platform for the solubility enhancement of water insoluble APIs. For the purposes of this study, IBU was granulated with cellulose derivatives using TSWG where a non-volatile solvent is introduced both as liquid binder and solubility enhancer.

Materials and Methods

Materials

Ibuprofen (IBU) was purchased from Farmasino Pharmaceuticals Co. Ltd. (Jiangsu, China). Pharmaceutical grade hydroxypropyl methylcellulose (METHOCEL K4M) was kindly donated by COLORCON (Dartford, Uk). Hydroxypropyl methylcellulose (Pharmacoat 603, Hypromellose 2910) and hypromellose acetate succinate (AQOAT- AS-LMP) were donated by Shin-Etsu (Tokyo, Japan) respectively. Caprylocaproyl macrogol-8 glyceride (Labrasol), Highly purified diethylene glycol monoethyl ether (Transcutol HP), Glycerol monocaprylocaprate (type I) (Labrafac WL1349) and Oleoyl macrogol-6 glyceride (Labrafil M 1944 CS) were kindly donated by Gattefosse (Saint-Priest, France). Tween 80 was purchased from Sigma-Aldrich (Gillingham, UK). The HPLC solvents were analytical grade and purchased from Fisher Chemicals (UK).

Continuous Twin-Screw Granulation

The IBU-polymer powder blends were placed in a Turbula TF2 mixer (Basel, Switzerland) for 10 min to ensure homogeneous mixing. For the continuous TSWG, each formulation was processed using a Eurolab 16mm twin-screw extruder (Thermo Fisher Scientific, Germany) and powders were fed with a DD Flexwall[®]18 feeder (Brabender Technology, Germany), while the solubilizer was pumped with a Watson-Marlow Ltd. (Cornwall, UK) peristaltic pump. The barrel temperature profile was set at 50 °C for all barrel zones and screw speed was maintained at 50 rpm for all the batches. The formulations are shown in Table I. The extruded granules were further milled using a cutting mill Retsch SM 100 (Haan, Germany) using a 250 µm sieve.

Thermal Analysis

The physical state of the bulk drug and the extruded granules were examined by differential scanning calorimetry (DSC). A DSC Mettler-Toledo 823e (Greifensee, Switzerland) was used to determine the melting point (T_m) and melting enthalpy (ΔH) of bulk IBU, physical mixtures, and extruded formulations. 2–5 mg of samples were placed in sealed aluminium pans with pierced lids. One single heating run from 10–220 °C was performed to analyse the thermal characteristics of bulk components, physical mixtures and extruded granules under a dry nitrogen atmosphere.

Powder X-ray Diffraction (XRPD)

XRPD was used to determine the solid-state of the bulk substance in the extrudate formulations. All formulations, including bulk IBU, physical mixtures and extruded granules were evaluated using Bruker D8 Advance in theta – theta mode, Cu anode at 40 kV and 40 Ma, parallel beam Goebel mirror,0.2 mm exit slit, LynxEYE position-sensitive detector with 3° opening and LynxIris at 6.5 mm, sample rotation at 15 RPM. The samples were scanned from 2 to 40° 2 theta with a step size of 0.02° 2-theta and a counting time of 0.2 s per step;176 channels active on the PSD making a total counting time of 35.2s per step.

Particle Size Morphology and Distribution

SEM was used to examine the surface morphology of the twin-screw extrudates. The samples were mounted on an aluminium stub using double-sided adhesive carbon type and placed in a low humidity chamber prior to analysis. Samples were sputter-coated with gold, and microscopy was performed using a Cambridge Instruments Stereo-Scan S360 (UK), SEM operating at an accelerating voltage of 20 kV.

The particle size distribution of the milled extruded granules of all formulations was measured by Laser Light Scattering technique with a dry powder sample dispersion accessory (Scirocco 2000). During the laser diffraction measurement, particles are passed through a focused laser beam. These particles scatter light at an angle that is inversely proportional to their size. The angular intensity of the scattered light is then measured by a series of photosensitive detectors. The number and positioning of these detectors in the Mastersizer 2000 have been optimized to achieve maximum resolution across a broad range of sizes.

Compressibility Index Measurement

Flowability of untreated and granulated samples was also examined from Carr's Index (CI) [42] was determined using a tap density meter (Qualtech, Manchester UK) at 1250 taps (616 US Pharmacopeia), bulk density and tapped density of powders). The CI was calculated from the bulk and tapped densities. Tapped density was determined by tapping the samples into a measuring cylinder using a tapping machine. The CI was calculated according to the following equation.

Table IFormulationCompositions for TSWGProcessing of IBU Blends

Formulation	IBU (%, w/w)	K4M (%, w/w)	AQOAT (%, w/w)	PHAR603 (%, w/w)	Transcutol (%, w/w)
F1	40	55.0			5.0
F2	40		55.0		5.0
F3	40			55.0	5.0
F4	40	50.0			10.0
F5	40		50.0		10.0
F6	40			50.0	10.0

 $CI = [(Tapped density - Bulk density)/Tapped density] \times 100$

In vitro Drug Release Study

In vitro Drug Dissolution

In vitro drug release studies were carried out in 900 ml of pH 7.2 phosphate buffer medium acid for 2 h using a Varian 705 DS dissolution paddle apparatus (Varian Inc. North Carolina, US) at 50 rpm and 37 °C \pm 0.5 °C. For the purposes of the study the milled granules were added directly in the dissolution baths. The granule amounts used for dissolution was 500mg for all formulations. At predetermined time intervals, samples were withdrawn for HPLC assay. All dissolution studies were performed in triplicates.

HPLC Analysis

The release of IBU was determined by using HPLC, Agilent Technologies system 1200 series. A HYCHROME S50DS2-4889 (5 μ m × 150 mm x 4mm) column was used for the HPLC analysis of IBU. The wavelength was set at 214 nm. The mobile phase consisted of acetonitrile/water/phosphoric acid (65/35/0.2 v/v) and the flow rate was maintained at 1.5 ml/min and the retention time was 2–3 min (Gryckze *et al.*, 2011; US Pharmacopeia, 2015). A calibration curve was prepared with concentrations varying from 10 µg/ml to 50 µg/ml and 20 µl injection volume.

Results and Discussion

Solubility Screening

The major objective of the study was to introduce the use of non-volatile solvents or surfactants as granulating liquids by combining their solubilization capacity for IBU. A great advantage of this approach is the absence of typical volatile solvents (e.g., ethanol) or water, which would result in less downstream processing and hence faster production times with high throughput. It is well known that existing continuous granulation lines, such as ConSigma and MODCOS, include a drying step (e.g., fluidized bed), which is time-consuming [43, 44]. Furthermore, the non-volatile solubilizer/ surfactant will not only facilitate the granulation process but also enhance the API dissolution rates and potentially the bioavailability [45]. A key step of the proposed technology is the determination of API solubility in a range of solvents and surfactants that will later be selected as the granulating liquid. As shown in Table II, several water-dispersible surfactants and solvents were investigated for their solubilizing capacity on IBU.

Table II IBU Solubility in Various Solvents/Surfactants at 25 °C

Solubilizer/surfactant	IBU solubility (mg/g)
Tween 80	260 ± 1.2
Labrasol	280 ± 1.5
Labrafac WL1349	101 ± 0.5
Franscutol	398 ± 1.1
Labrafil M 1944 CS	92 ± 0.5
Capryol 90	70 ± 0.2

The experimental findings were similar to those found in literature [46] with Tween 80 < Labrasol < Transcutol showing increased IBU solubility in an ascending order. Transcutol is a powerful liquid solubiliser comprising of purified diethylene glycol monoethyl ether with low viscosity (20 cP) at ambient temperature. Hence, Transcutol was selected as the granulating liquid for all processed formulations.

Twin Screw Granulation

For the purposes of the TSG process, a range of hydrophilic cellulose derivatives were selected as polymeric carriers. These polymers have been previously used for the development of extruded amorphous dispersions and exhibit while present excellent milling effectiveness, rendering them suitable candidates for TSG [47–49]. The effect of the granulating liquid on the particle size distribution and API dissolution rates was investigated by varying the L/S ratio from 0.05 to 0.1. A successful granulating process should result in small fractions of fines where high L/S ratios have shown higher fractions of larger agglomerates (> $100\mu m$). The screw configuration consisted of two kneading zones with 12 elements each at 30/60° staggered angles for higher energy intensive mixing. The use of kneading elements has been found to induce better particle agglomeration due to the increase of shear and compressive forces on the wetted blends [7]. Preliminary studies with conveying elements with different screw pitch were not satisfactory (data not shown). For this particular screw configuration, the feed rate was adjusted at 500 g/h in order to achieve higher torque within the extruder barrel. The measured torque varied from 18-20 Nm and 22-25 Nm for the 5-10% granulating liquid, respectively.

At first, granulation was conducted at ambient temperature but a large proportion of IBU still remained crystalline in the obtained granules. To further increase IBU amoprhicity it was decided to increase the barrel temperatures at the kneading zones but keep them below the drug's melting point. Thus, for all kneading zones the temperature was adjusted to 50 °C.

Effect of L/S Ratio on Particle Morphology and Distribution

The particle size and shape can influence important physical properties, manufacturing processability, and quality attributes, particularly dissolution rate and bioavailability of pharmaceutical ingredients. This depends on processing settings but also the nature of the polymeric carrier and its effect on the granule formation. As there were significant particle size differences of the "as made" granules produced by extrusion, we used a cutter mill and passed the extruded granules through a 250 µm mesh which reduced the particle size inconsistences.

SEM analysis was used to observe the surface morphology of the produced granules at the end of the milling process. As shown in Fig. 1, bulk IBU appears in the form of large elongated prismatic. However, it is evident that the morphology of the primary IBU particles has been changed during granulation. For all processed formulations, the granules appear to have a uniform size with irregular shape.

The particle size distribution was analysed by laser diffraction in order to identify the effect of polymers and the amount of granulating liquid. From Figs. 2 and 3, it can be seen that for Pharma 603 slighter larger granules (d50, 180 μ m) with around 10% fines were observed at both transutol L/S ratios. The increase of the granulating liquid resulted in even larger granules (d50, 220 μ m) and an increase of the 125–500 μ m fractions by 11%. To the contrary, K4M and AQOAT presented similar behaviour by forming larger granules towards the 125–500 μ m fractions, particularly between 250–500 μ m, when Transcutol increased at 10%. Hence, the higher L/S ratio led to reduced fines and larger particle sizes for K4M (d50, 260 μ m) and AQOAT (d50, 280 μ m). Overall, the suitable particle size fractions for tableting remained consistent and above 100 μ m. By increasing Transcutol amount the granule growth increased due to efficient particle coalescence which minimised the content of ungranulated powder blends.

One of the TSG advantages is the improvement of the flowability and compactibility especially of APIs with poor flow. The flowability of bulk IBU is extremely poor due to its high cohesiveness, as it can be observed from the Carr's Index (CI) in Table III.

According to Carr's Index, a value between 5–15%, 12–16%, 18–21%, and 23–28% indicates excellent, good, fair, and poor flow properties of the powder, respectively[25]. The estimated CI values of the extruded granules are significantly lower than those of the bulk IBU, indicating excellent to good flowability. It can also be seen that granules prepared with 10% granulating liquid demonstrated lower CI values due to their larger particle size and the lower content of fines for each batch. Nevertheless, the TSG process appeared to be successful without causing any issues during downstream processing (milling). This is related to the selection of suitable polymers, the

Fig. 1 SEM images of bulk and extruded formulations: a Bulk Ibuprofen, b IBU/K4M/Transcutol, c IBU/AQOAT/Transcutol, d IBU/Pharma 603/Transcutol granules



Fig. 2 Particle size distribution of IBU/Pharma 603 (blue bars), IBU/K4M (orange bars) and IBU/AQOAT (grey bars) at 5% Transcutol





Fig. 3 Particle size distribution of IBU/Pharma 603 (blue bars), IBU/K4M (orange bars) and IBU/AQOAT (grey bars) at 10% Transcutol

granulating liquid, optimization of the critical processing parameters, and screw configuration [50].

X-ray Powder Diffraction (XRPD)

XRPD analysis was carried out for all batches including bulk IBU in order to identify the crystalline state of

Table III Carr's Index of	of
Bulk IBU and Granula	ted
Formulations	

Formulation	Carr's Index	
Bulk IBU	29.7	
F1	12.7	
F2	15.0	
F3	10.5	
F4	9.9	
F5	6.6	
F6	5.0	

the granulated materials. As shown in Fig. 4, the XRPD pattern of IBU presented distant intensity peaks due to its crystalline structure at 2 θ angles of 6.18°, 12.29°, 16.81°,17.75°, 18.82°, 19.15°, 20.26°, 22.13°, 24.31°, 24.62°, and 25.15°. Further analysis showed that IBU-polymer physical mixtures presented identical peaks at a lower intensity (Fig. S1, supplementary) indicating no alteration of IBU crystallinity.

However, Fig. 4 shows that IBU was transformed to a fully amorphous state in the extruded granules, as evidenced by the observed halo in the obtained diffractograms. The high shear mixing between the bulk drug and polymers during granulation resulted in the formation of amorphous IBU. It should be noted that TSWG process was conducted at a low processing temperature below the melting point of IBU or the glass transition of the polymer carriers.

Fig. 4 X-ray diffractograms of extruded IBU granules with PHARM604, HMPC-K4M and AQOAT



Differential Scanning Calorimetry (DSC)

DSC carried out to further investigate the IBU physical state in the extruded granules in comparison to the bulk substance and physical blends. As shown in Fig. S2 (supplementary material) the IBU melting endotherms shifted at lower temperatures due to the interactions with polymers. This is a strong indication of the drug-polymer miscibility

as IBU is a well known plasticiser for a range of polymers. It can also be seen that the shifts of melting endotherms vary depending on the degree of miscibility which increases in an ascending order for AQOAT (61.70 °C) < K4M (53.77 °C) < PHARM603 (27.64 °C).

However, in Fig. 5 the thermograms of the extruded granules showed the absence of any melting endotherms related to IBU melting point. However, it was not also possible to





Fig. 6 IBU dissolution profiles from extruded granules of PHARM603, K4M and AQOAT. **a** with 5 of transcutol; **b** with 10% of transcutol



detect the new Tg for each amorphous dispersions due to the high IBU-polymer miscibility. The DSC findings were in good agreement with the X-ray analysis suggesting that the TSWG process resulted in the formation of amorphous IBU granules. This was attributed to the solubilising capacity of transcutol for IBU and the high IBU-polymer miscibility.

In vitro Dissolution Studies

The *in vitro* dissolution studies of extruded granules were carried out in alkaline media (pH 7.2) to investigate the effect of the formulation composition and the granulation process. At pH 7.2, the solubility increased significantly with the addition of polymer and solubilizer. From Fig. 6, it can be observed that for all formulations, IBU presented immediate release within the first 10 min, varying from 76 – 98%. The granules with 10% transcutol showed faster dissolution rates (93–98%), while those with 5% transcutol was slightly lower (63–90%). Among the three polymers, K4M and PHARM603 showed the fastest dissolution rates, while

AQOAT rates were slower. The dissolution performance can be explained by the higher IBU miscibility for the three polymers, as was also shown in the DSC thermograms.

The improved dissolution profiles of granules containing the poorly water-soluble drug IBU are attributed to improved wettability with granulation and larger surface area of the granules compared to the bulk API. In addition, the effect of transcutol played a key role due to its high solubilizing capacity for IBU. It is worth mentioning that the extruded granules demonstrated the same dissolution profiles when using biorelevant media (pH 7.2) that we conducted for further investigation (data not shown).

Conclusions

In this study, TSWG was employed for producing IBU granules with miscible polymers for enhanced dissolution rates. IBU was effectively granulated with PHARM603, K4M, and AQOAT at high loadings in the presence of transcutol, which acted both as a granulation binder and solubility enhancer. The granulation process was optimized to produce amorphous IBU with enhanced dissolution rates. This novel approach not only facilitates enhanced dissolution rates of water-insoluble APIs but also significantly reduces the downstream processing of TSWG, thereby shortening processing times as the granule drying step is not required. In addition, it could be used as platform technology for the optimization and continuous manufacturing of a wide range of water-insoluble drugs.

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Data Availability Data will be made available on request.

Declarations

Conflict of Interest The authors declare no conflicts of interest.

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