

50 **Adoption of Drought-Tolerant Maize Varieties and Interrelated Climate Smart**

51 **Agricultural Practices in Nigeria**

52 **Abstract**

53 **Background:** In Sub-Saharan Africa, drought is one of the prevailing climatic conditions that
54 has led to the modification of improved seeds to be resilient enough to improve yield and
55 increase farm households' welfare. However, like most climate-smart agricultural practices, the
56 adoption of drought-tolerant maize varieties is low. This study examines the simultaneous
57 adoption decisions of drought tolerant maize varieties and other climate-smart agricultural
58 practices such as intercropping, row-planting, inorganic fertiliser, manure, and residue
59 incorporation using nationally representative survey data from 1,370 rural households in
60 Nigeria. Multivariate Tobit and ordered probit models are applied to assess the
61 complementarity and or substitutability effect among CSAPs, the predictors of the joint
62 adoption, and the adoption intensity of CSAPs.

63 **Results:** The results show a significant positive correlation between DTMVs & inorganic
64 fertilisers, DTMVs and intercropping, and DTMVs and manure. However, the strongest
65 adoption complementarity is found between DTMVs and manure. The probability and the
66 extent of adoption of CSAPs are commonly determined by household wealth, access to loans,
67 access to training in improved production practices, and membership in input supply and farm
68 cooperatives.

69 **Conclusion:** The study suggests that the adoption of DTMVs has varying degrees of relations
70 with other CSAPs informing the need for policies aimed at increasing its adoption to consider
71 existing CSAPs among maize farm households.

72 **Keywords:** Simultaneous equation, drought, drought-tolerant maize varieties, multivariate
73 Tobit, ordered Probit, and climate-smart agriculture

74 **JEL classification:** C30, Q16

75 **Adoption of Drought-Tolerant Maize Varieties and other Climate Smart Agricultural**
76 **Practices in Nigeria**

77 **1. Introduction**

78 In Sub-Saharan Africa (SSA), extreme climatic events continue to undermine productivity and
79 impact rural farm households agricultural income and per-capita food production (Katengeza
80 et al. 2019). Climatic variations such as erratic rainfall and prolonged dry spells have led to
81 famine , and to date, climate change is notably a growing and continuous threat to smallholders'
82 household welfare and food security (Baro and Deubel 2006). Drought is a prominent climate
83 risk facing maize farm communities in SSA because maize crops require significant moisture
84 to survive and hence are susceptible to drought conditions. (Baro and Deubel 2006) x. Policies
85 to mitigate climate impact have led to the incorporation of climate-smart agricultural practices
86 (CSAPs) into a rural agricultural intervention to sustainably increase food security, improve
87 welfare, and build resilience to climate change (Lipper and Zilberman 2017). The Drought
88 Tolerant Maize Varieties (DTMVs), are revolutionary components of climate-smart
89 agricultural practices (CSAPs), resilient to drought, high yielding, provitamin A fortified,
90 quality protein-fortified, and also Striga tolerant (DT Maize Bulletin, 2016). The adoption of
91 DTMVs for example has been found to impact yield (Abdoulaye et al. 2018), reduce the
92 incidence of poverty and reduced the downside risk (Wossen et al. 2017a) and impact is more
93 beneficial for poorer households (Olagunju et al. 2020).

94 In this study, our hypothesis is driven by the susceptibility of multiple idiosyncratic and
95 covariant risks in the SSA agricultural production that compels farm households to adopt
96 multiple climate Smart Agricultural Practices (CSAPs) to counter impending production risks.
97 DTMVs are although a component of CSAPs (Bedeke et al. 2019), we hypothesis that
98 tackling problems of low DTMVs adoption may require understanding its interrelation with
99 other combinatory technologies or practices evident among maize farm households. To

100 illustrate, while DTMVs are adopted as a drought-risk mitigating strategy, farm households
101 may adopt other agricultural yield protecting and yield-enhancing technologies to curb other
102 impending risks such as soil and water conservation practices (use of organic matter,
103 incorporation of crop residues, mulching and crop rotation) and chemical fertilisers. A typical
104 farm household is, however, subjected to making rational choices among multiple agricultural
105 innovations in diversified risk-driven multiple cropping systems, which may be constrained or
106 driven by his or her observable and inherent characteristics. It suffices to say that decision to
107 adopt DTMVs may be constrained or driven by i) other CSAPs which are likely to be
108 complementary or substitutes and ii) prevailing household-level attributes driving or
109 constraining joint adoption of DTMVs and other CSAPs. Thus, the objectives of these study
110 are: (1) to determine the CSAPs that are complements and substitutes of DTMVs (2) to estimate
111 predictors driving or constraining the adoption of DTMVs and other CSAPs, and (3) to assess
112 factors of adoption intensity of CSAPs.

113 First, this study contributes to the growing literature on the jointness of multiple technology
114 adoption across SSA (Abdulai et al. 2011; Teklewold et al. 2013; Kassie, Teklewold et al.
115 2015; Wainaina et al. 2016; Bedeke et al. 2019) however, with a different methodological
116 approach. In past studies (Abdulai et al. 2011; Teklewold et al. 2013; Kassie et al. 2015;
117 Wainaina et al. 2016; Bedeke et al. 2019), the use of bivariate or multivariate probit analysis
118 is quite common and the factors of joint adoption cannot be estimated directly. The available
119 means in this approach is through the interpretation of the significance or non-significance of
120 correlation of errors between one adoption technology equation and the other. The correlation
121 of errors can be quite conflicting with the correlation of endogenous variables and as such
122 misleading. It, however, does not interpret the direct effects among variables. We, however,
123 argue that adoption decisions cannot be represented adequately by a binary qualitative variable
124 and may be censored (Rahman and Akter 2014). As such, this study adopts a simultaneous

125 equation approach using the multivariate Tobit model that uses all observations, both those at
126 the limit, usually zero (for example, non-users), and those above the limit (for example, users),
127 in estimation. The multivariate Tobit approach further measures the intensity of participation
128 rates for different choices (Rahman and Awerije 2015). Also, the assessment of factors of joint
129 adoption in Nigeria in recent studies (Morse and McNamara 2003; Onyeneke et al. 2018;
130 Jellason, Conway and Baines 2020; Oladimeji et al. 2020) was limited to samples from states
131 or region, this study establishes joint adoption using a national data on maize producing
132 households and as such captures regional differences on the effect of adoption.

133 Nigeria presents an important case study to address the objectives of this study. Maize (*Zea*
134 *mays* L.) is an important cereal crop grown, especially in the Savanna zone of Nigeria due to
135 the presence of high radiation which is favourable for its growth (Bello et al. 2014). In Nigeria,
136 maize constitutes the main source of calories and a source of livelihood for the rural farming
137 community (Liverpool-Tasie et al. 2017). Nigeria is the second-highest producer of maize in
138 Africa after South Africa with an annual production of over 10 million tonnes (FAOSTAT,
139 2018). Although Nigeria has the largest harvested land area in the continent, its maize yield per
140 hectare is still far behind the other major maize-producing nations such as South Africa, Kenya,
141 Ethiopia, and Malawi. In an estimate of average yield per hectare for 25 years (1993 – 2018),
142 Nigeria has the lowest yield per hectare (1572kg/ha) compared to the above-mentioned major
143 maize producing countries (FAOSTAT, 2018).

144 The next section of this paper presents the literature review of heterogeneous factors of
145 adoption in the context of DTMVs and CSAPs. The third section presents the econometric
146 framework used for simultaneous adoption and its intensity. The fourth section explains the
147 data source and describes summary statistics. The fifth section highlights the results and
148 discussions, while the last section offers concluding remarks and policy implications.

149

150 2. Literature review

151 The concept of climate-smart agriculture was driven by the need to change conventional
152 agricultural practices which impact biodiversity decline and meet the growing demand for food
153 need (CGGI, 2021). CSAPs are a set of mitigation and adaptation practices developed to
154 simultaneously contribute to 1) sustainably increasing agricultural productivity and incomes;
155 2) building resilience to the impacts of climate change; and 3) contributing to climate change
156 mitigation where possible (FAO CSA Sourcebook, 2017). CSAPs are broadly defined by their
157 ability to meet these defined goals and can range from soil/water-conserving measures,
158 agroforestry, sustainable soil fertility management, improved crop varieties, precision breeding
159 etc. (Khatri-Chhetri et al. 2016; Nyasimi et al. 2017). The adoption of CSAPs in single or
160 combinatory options delivers sustainable benefits in several case studies. For example,
161 Oyetunde-Usman et al. (2021) found that the adoption of organic fertilizer in Nigeria
162 significantly impacts the welfare of farm households. Also, the adoption of improved crop
163 varieties, for example, improved chickpeas (Verkaart et al. 2017) and improved wheat varieties
164 (Shiferaw et al. 2014) respectively impact farm household income and food security in
165 Ethiopia. The combination of CSAPs to combat multiple risks and deliver on sustainable
166 development goals has equally been found effective in impacting farm households' income and
167 welfare. For example, cropping diversification, conservation tillage and modern seed adoption
168 impact maize farm income and the impact are highest when CSAPs are jointly adopted
169 (Teklewold, et al. 2013).

170 The relevance and importance of CSAPs are glaring, however, constraints to adoption in
171 existing case studies impact diffusion across CSAPs differently (Teklewold, et al. 2013; Kassie,
172 et al. 2015; Muriithi et al. 2018). Of fact, prevailing multiple climate risks and unpredictable
173 changes in weather and climate patterns are realities of farm households and achieving climate-
174 smart agriculture goals necessitate farm households' ability to adapt and adopt combinatory

175 practices necessary to combat prevailing climate risks. In past studies, the decision to jointly
176 adopt varies heterogeneously with farm households' attributes (Abdulai et al. 2011; Teklewold
177 et al. 2013; Muriithi et al. 2018; Bedeke et al. 2019). Below, we explore some heterogeneous
178 findings in broad literature on adoption factors in joint adoption scenarios.

179 The gender of farm households has been established in various contexts to heterogeneously
180 impact adoption across choices of CSAPs. To highlight specific case studies, in Ndiritu et al.
181 (2014), while gender differences exist in the adoption of minimum tillage and animal manure
182 adoptions, no significant difference was found in the adoption of soil and water conservation
183 measures, improved seed varieties, chemical fertilisers, maize-legume intercropping, and
184 maize-legume rotation. Similarly, gender roles can vary with heterogenous impact across joint
185 adoption of CSAPs, for example, female plot managers were less likely to adopt yield-
186 enhancing (Inorganic fertiliser and or improved seed variety) and soil-restoring strategies
187 (fungicide, herbicide/pesticide) however no differences in yield protecting strategies (e.g
188 manure, compost, planting pits, etc) (Therriault et al. 2017). Gender differences in adoption
189 especially for women have been linked to rigors in access to farm resources, institutional
190 access, market and financial resources (Doss and Morris 2000; Kilic and Goldstein 2013;
191 Ragasa et al. 2013; Achandi et al. 2018; Quaye et al. 2019). Also, farm household's educational
192 status can indicate the level of understanding of technical information and the ability to easily
193 grasp complex adoption practices. In Wainaina et al. 2016, well-educated farmers were more
194 likely to adopt technical CSAPs such as improved seeds and fertilisers indicating that exposure
195 to education in this case helps farmers to process and utilise information relevant to the
196 adoption of improved seeds and fertilisers. Labour availability is equally an important
197 determining factors in joint adoption literature and may play a role in adoption of technology
198 or practices.. In joint adoption studies, labour effect on adoption is more aligned with CSAPs
199 that are labour intensive, for examples in Ndiritu et al. (2014), larger farm households were

200 more likely to invest in the adoption of sustainable land practice compared to farm households
201 with lesser household size.

202 Institutional roles such as access to extension services and credit services are key supply side
203 of policy instruments in developing countries can also impact adoption and agricultural
204 productivity (Wossen, et al. 2017). Access to extension services has equally driven sole and
205 joint adoption of CSAPs, in Makate et al. (2019), farm households that had access to extension
206 services were more likely to adopt both single and joint CSAPs. Also, in Bedeke et al. (2019),
207 extension access was significant in driving the adoption of all CSAPs. Conversely, the effect
208 of access to extension services can be heterogeneous across CSAPs, while it was positive and
209 significant in driving adoption of minimum tillage, chemical fertiliser, manure, and maize-
210 legume intercropping, it was positive but did not significantly drive adoption of maize-legume
211 rotation and improved seed (Ndiritu et al. 2014). Also, membership in financial institutions or
212 platforms that provide credit support aid to mitigate a wide range of risks as perceived by farm-
213 households (Abebaw and Haile, 2013b; Wossen et al. 2017; Ahmed and Mesfin 2017). Further
214 to this, financial institutions, apart from relaxing liquidity constraints by providing credit, also
215 provide market access and serves as a resource pool for buyers and sellers of inputs and
216 produces, thereby reducing market risk (Meike 2007; Wossen et al. 2017; Ma and Abdulai
217 2017). The effect of credit access in Bedeke et al. (2019), positively and significantly
218 influenced the adoption of DTMVs, mineral fertiliser, and soil-water conservation practices, In
219 a similar study, credit-constrained farm households were less likely to adopt improved seeds,
220 soil, and water conservation practices, minimum tillage, and maize-legume rotations (Ndiritu
221 et al. 2014).

222 In developing countries, land represents the key asset in households' agriculture and it is central
223 to development policies (Goldstein and Udry 2014). Most importantly, it is a productive
224 resource for agricultural development and poverty reduction measures (Khonje et al. 2015).

225 However, evidence in past empirical studies has revealed that variation exists in the choices of
226 adoption of agricultural innovations based on farm households' land attributes. Depending on
227 the definition of tenure security in various studies, Wainaina et al. (2016) and Bedeke et al.
228 (2019) found tenure security significant for use of soil and water conservation practices in
229 Kenya and Ethiopia respectively. Other land attributes such as farm size can affect the adoption
230 of CSAPs differently, for example, in Bedeke et al. (2019), households with large farm sizes
231 had a higher probability of adopting drought-resistant maize varieties and mineral fertiliser in
232 Ethiopia but less likely to adopt maize-legume cropping. In addition to this finding, farm size
233 was significant in the adoption of crop diversification, minimum tillage, and soil and water
234 conservation in Malawi, it was positive for crop diversification and manure use in Tanzania
235 (Kassie et al. 2015). Besides land tenure system and farm size, certain attributes of land
236 contribute to adoption decisions, this can include quality of land (Beyene and Kassie 2015;
237 Arslan et al. 2014); location of land in Highland or low lands (Ghimire et al. 2015), land terrains
238 such as steep and gentle slope (Wainaina et al. 2016; Bedeke et al. 2019) and farm distance
239 (Abebaw and Haile 2013b; Kassie et al. 2015). Having explored some background to variations
240 in farm household attributes' effects on joint adoption decisions, it is expected that farm
241 household attributes heterogeneously affect adoption decisions of CSAPs in this study.

242 **3. Data, Description of Variables and Analytical Framework**

243 *3.1 Data*

244 This study adopted nationally representative farm household survey data collected by the
245 International Institute of Tropical Agriculture (IITA) between November 2014 and February
246 2015 from 18 major maize-producing States in Nigeria. The process of data collection was
247 through a multi-stage sampling technique. The first stage involved dividing the 36 states in
248 Nigeria into five subgroups based on the total land areas allocated to maize production. From
249 the five subgroups, 18 states were randomly selected. Within the 18 States, Enumeration Areas

250 (EAs) were generated from Local Government Areas in each State (LGAs). Based on this, five
 251 maize farm households were randomly selected per Eas per LGAs for interviews. A total of
 252 1,370 agricultural households were used in the analysis. The data comprehensively covered
 253 farm households' information on adoption of CSAPs, this includes DTMVs, inorganic
 254 fertilisers, intercropping, row-planting, incorporation of crop residues, and manure. Whether
 255 farm households adopt CSAPs or not is represented as binary for each CSAPs (see Table 1
 256 below). The data also include explanatory variables such as households' socioeconomic
 257 variables, plot attributes, institutional variables, household cost of assets, total livestock units
 258 perception of risk and regional variables. Socioeconomic variables include gender of household
 259 head, age (measured in years), household size, years of education, years of farming experience
 260 and number of years resident in the village. Data also include farm households' wealth
 261 indicators (households' asset and total livestock units (TLU)). Plot attributes include farm size
 262 measured as total operated land areas in hectares, land tenure status (farmers ownership and
 263 rent status), and farm households' cost of hired labour. Institutional and social networks
 264 variables include data on farmers membership of input supply and cooperatives, access to
 265 advice and access to loan. Data on technological factors include farmers awareness of improved
 266 maize variables, training on improved maize production practices and willingness to take risks.
 267 Data also covered geo-political location of farm households (North-West, North East , North
 268 Central, South West, South East and South -South).

269 Table 1: Description of Variables

Variables	Description of variables
<i>CSAPs</i>	
DTMVs	= 1 if adopted; 0 otherwise
Inorganic Fertiliser	= 1 if adopted; 0 otherwise
Intercropping	= 1 if adopted; 0 otherwise
Row Planting	= 1 if adopted; 0 otherwise
Incorporate crop residues on plot	= 1 if adopted; 0 otherwise
Manure	= 1 if adopted; 0 otherwise
<i>Explanatory Variables</i>	
Gender (1=male; 0=female)	=1 if household head is male; 0 otherwise
Age (years)	in years

Education (years)	in years
Number of years resident in the village	Number of years resident in the village
Own Land (yes = 1; no = 0)	=1 if household head owns a land; 0 otherwise
Land rent yes = 1; no = 0)	=1 if household head rent a land; 0 otherwise
Farm Size (ha)	Total operated farm area in hectares.
Farming experience (years)	Household head farming experience in years
Household Size	Household size (number)
Received Loan (yes = 1; no = 0)	=1 if household received loan in the past agricultural season; 0 otherwise
Member of input supply and farm cooperatives (yes = 1; no = 0)	= 1 if household head is a member of input supply groups; 0 otherwise
Received advice on improved varieties	= 1 if the household head received advice on improved maize varieties.
Total Cost of Household Asset ('000 NGN)	Total household production and non-production assets.
Total Livestock Unit (TLU)	Total Livestock Unit
Cost of Hired Labour (000 NGN)	The total cost of hired labour in the past agricultural season.
Awareness and access to improved maize varieties (yes = 1; no = 0)	= 1 if the household head was aware and had access to improved maize varieties; 0 otherwise.
Training in Improved production practices (yes = 1; no = 0)	=1 if household received training on improved production practices in the past agricultural season; 0 otherwise.
Willingness to take risk (yes = 1; no = 0)	=1 if the household has the willingness to take a risk on the adoption of agricultural technology; 0 otherwise.
North West (yes = 1; no = 0)	= 1 if farm household is in North-West region; 0 otherwise
North Central (yes = 1; no = 0)	= 1 if farm household is in North-Central region; 0 otherwise.
North East (yes = 1; no = 0)	= 1 if farm household is in North East region; 0 otherwise
South-South (yes = 1; no = 0)	= 1 if farm household is in South-South region; 0 otherwise.
South-East (yes = 1; no = 0)	= 1 if farm household is in South East region; 0 otherwise.
South-West (yes = 1; no = 0)	= 1 if farm household is in South West region; 0 otherwise.

270

271 **3.2 The economic and econometric framework of simultaneous adoption fo CSAPs**

272 *3.2.1 The economic framework*

273 In Nigeria, maize farm households choose to allocate land areas for DTMVs to adopt a
 274 combination of one or all of the other CSAPs with the motive of curbing impending climate
 275 challenges, increasing productivity and maximising profits. Let Y_D, Y_F, Y_I, Y_R, Y_W and Y_M

276 denote the outcomes of CSAPs which include DTMVs, inorganic fertiliser, intercropping, row
 277 planting, incorporation of crop residues, and manure respectively. These technologies are likely
 278 constrained by groups of identified attributes which include socioeconomic, farm,
 279 topographical, institutional and regional factors.

280 Following similar studies (Abdulai, Owusu and Goetz 2011; Ndiritu et al. 2014; Shiferaw et
 281 al. 2014), we apply a multivariate Probit model (MVP) for modelling farmers' joint adoption
 282 decisions of CSAPs Y_D, Y_F, Y_I, Y_R, Y_W and Y_M . The MVP assumes possible occurrence of
 283 adoption of multiple CSAPs and resolves issues of unobservable factors by allowing for
 284 correlation across error terms of latent equations which represent unobserved factors affecting
 285 farm households' decisions to adopt (Belderbos et al. 2004). Such correlations allow for
 286 positive correlation (complementarity) and negative correlation (substitutability) between the
 287 various agricultural technologies (Ndiritu et al. 2014; Bedeke et al. 2019).

288 3.2.2 *The econometric framework*

289 The MVP equation with latent dependent variables is defined as linear function of a set of
 290 observed maize farmhousehold i vector of explanatory variables X_{ij} and distributed errors ε_{ij}

$$291 \quad Y^*_{ij} = X_{ij}\beta_j + \varepsilon_{ij} \quad j=1 \quad (1)$$

292 where Y^*_{ij} denotes the latent variable, which can be represented by the level of expected
 293 benefit that would be derived from adoption of j th type of CSAPs. This latent variable is
 294 assumed to be a linear combination of observed household characteristics X_{ij} and β_j is the
 295 estimate of parameter vector. The unobserved household characteristics is captured by the
 296 error term ε_{ij} . The observable dichotomous choice variables is defined as follows:

$$297 \quad Y_{ij} \begin{cases} 1 & \text{if } Y^*_{ij} > 0 \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

298 This indicate whether or not a farm household adopt CSAPs. The error term ε_{ij} are distributed
 299 multivariate normal, each with the mean 0 and a variabce-covariance matrix π is illustrated as
 300 follows:

$$301 \quad \pi = \begin{pmatrix} 1 & \delta DF & \delta DI & \delta DR & \delta DW & \delta DM \\ \delta FD & 1 & \delta FI & \delta FR & \delta FW & \delta FM \\ \delta RD & \delta RF & 1 & \delta RI & \delta RW & \delta RM \\ \delta ID & \delta IF & \delta IR & 1 & \delta IW & \delta IM \\ \delta WD & \delta WF & \delta WR & \delta WI & 1 & \delta WM \\ \delta MD & \delta MF & \delta MR & \delta MI & \delta MW & 1 \end{pmatrix} \quad (3)$$

302 The off-diagonal elements in the covariance matrix represent the unobserved correlation
 303 between the error components of the different types of agricultural technologies. This model
 304 considers the elimination of households' invariant unobserved characteristics heterogeneity
 305 which has been taken care of in the MVP model. The adaptation of the MVP model is evident
 306 in past studies (Abdulai, Owusu and Goetz 2011; Ndiritu et al. 2014) that considered the
 307 interdependence of adoption choices.
 308

309 However, the MVP model is a non-censored approach and since adoption is binary, consisting
 310 of farm-households that adopt and do not adopt suggesting censored data, the Tobit model is
 311 suitable because it uses all observations, both those at the limit, usually zero (for example, non-
 312 adopters), and those above the limit (for example, adopters), in estimation. This way we can
 313 capture the latent level of intensity of potential households who decide not to choose a
 314 particular CSAP. We postulate an outcome function for adopting CSAPs as follows:

$$315 \quad Y^*_i = U' X_i + \varepsilon_i \quad (4)$$

316 where X_i is the vector of regressors, U' is the vector of parameters to be estimated and ε_i is the
 317 error term.

318 To empirically investigate factors of joint adoption of DTMVs and other identified CSAPs, a
 319 simultaneous equation model is required. The equations below, illustrate maize farm
 320 households' decision to adopt CSAPs in various combinations. This implies that there is

321 existing potential interdependence across the disturbances of each respective equation. The
 322 Multivariate Tobit (MVT) model, a form of a simultaneous equation, is employed to
 323 synchronously account for potential interdependence and censored issues, illustrated as
 324 follows:

$$\begin{aligned}
 325 \quad & Y_{Di}^* = U' X_{Di} + \varepsilon_{Di} \\
 326 \quad & Y_{Di} = \text{Max}(Y_{Di}^*, 0) \\
 327 \quad & Y_{Fi}^* = U' X_{Fi} + \varepsilon_{Fi} \\
 328 \quad & Y_{Fi} = \text{Max}(Y_{Fi}^*, 0) \\
 329 \quad & Y_{Ii}^* = U' X_{Ii} + \varepsilon_{Ii} \quad (5) \\
 330 \quad & Y_{Ii} = \text{Max}(Y_{Ii}^*, 0) \\
 331 \quad & Y_{Ri}^* = U' X_{Ri} + \varepsilon_{Ri} \\
 332 \quad & Y_{Ri} = \text{Max}(Y_{Ri}^*, 0) \\
 333 \quad & Y_{Wi}^* = U' X_{Wi} + \varepsilon_{Wi} \\
 334 \quad & Y_{Wi} = \text{Max}(Y_{Wi}^*, 0) \\
 335 \quad & Y_{Mi}^* = U' X_{Mi} + \varepsilon_{Mi} \\
 336 \quad & Y_{Mi} = \text{Max}(Y_{Mi}^*, 0)
 \end{aligned}$$

$$337 \quad \varepsilon_{Di}, \varepsilon_{Fi}, \varepsilon_{Ii}, \varepsilon_{Ri}, \varepsilon_{Wi}, \varepsilon_{Mi} \approx N(0, V)$$

338 Where Y_{Di}^* , Y_{Fi}^* , Y_{Ii}^* , Y_{Ri}^* , Y_{Wi}^* and Y_{Mi}^* represents maximised outcome for DTMVs,
 339 Inorganic fertiliser, intercropping, incorporation of residues, row planting, and manure. X ,
 340 consists of a predetermined variable. The error terms $\varepsilon_{Di}, \varepsilon_{Fi}, \varepsilon_{Ii}, \varepsilon_{Ri}, \varepsilon_{Wi}, \varepsilon_{Mi}$ follows a
 341 multivariate normal distribution as specified below:

$$342 \quad 0 = \begin{Bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{Bmatrix}, V = \begin{Bmatrix} r_D^2 & r_F^D & r_I^D & r_R^D & r_W^D & r_M^D \\ r_D^I & r_F^2 & r_I^I & r_R^I & r_W^I & r_M^I \\ r_D^R & r_F^R & r_I^2 & r_R^R & r_W^R & r_M^R \\ r_D^W & r_F^W & r_I^W & r_R^2 & r_W^W & r_M^W \\ r_D^F & r_F^F & r_I^F & r_R^F & r_W^2 & r_M^F \\ r_D^M & r_F^M & r_I^M & r_R^M & r_W^M & r_M^2 \end{Bmatrix} \quad (6)$$

343 V , is the variance-covariance matrix of the error terms; r_D^2 , r_F^2 , r_I^2 , r_R^2 , r_W^2 and r_M^2 are the
 344 standard deviation of error terms, while the rest is the cross-equation correlation between
 345 CSAPs. Similar to the MVP model, the MVT allows for the correlation of errors and individual
 346 univariate terms (Rahman and Akter 2014).

347 Following Barslund (2009), the estimation procedures use simulation using Halton draws to
348 generate the distribution of multidimensional normal integrals in the likelihood function(Train.
349 2000). The approach involves calculating a likelihood contribution for each replication. The
350 simulated likelihood function is the average of the values derived from all replications.
351 However, in a broad independent multi-equation setting that allows for the correlation of errors,
352 the computation can be tasking, and estimating likelihoods can be complicated. We estimate
353 the ‘mvtobit’ through the conditional mixed process (cmp) approach developed by Roodman
354 (2011). The ‘cmp’ uses an appropriate estimation approach which allows for any possible
355 linkage among their error processes and their discrete outcome variables.

356 **3.2.2 The economic and econometric framework of factors driving the intensity of** 357 **adoption of CSAPs.**

358 From the MVT model above, we conceptualise, a farm household only chooses to adopt one
359 or more CSAPs only if the net benefit is greater than non-adoption and they derive higher
360 utility. We assess the extent of adoption by the number of CSAPs adopted by maize farm
361 households. The poisson count distribution model is usually the starting point in count models,
362 however, a Poisson distribution contradicts the assumption of the interdependence of
363 agricultural technology, which renders it inappropriate (Wollni et al. 2010). The Poisson
364 regression model assumes an equal probability of adoption of each alternatives CSAPs which
365 is not reflective of the interdependence assumption of this study, because the probability of
366 adopting a CSAP might be different from the probability of adopting another, the dependent
367 variable is therefore treated as an ordinal variable that follows categories of ordered outcomes,
368 for example, households that adopt zero, one, two, three, four, five, and six mixes of CSAPs.
369 Similar categorical approaches can be found in (Teklewold, Kassie and Shiferaw 2013; Shee
370 et al. 2019). Given the ordered nature of CSAPs, the ordered logit or probit can be used in the
371 estimation process, however, we apply the ordered probit approach since it is widely used

372 (Davidson and Mackinnon, 2003). Following Wooldridge (2010), let the ordinal dependent
 373 variable y takes the values $\{0, 1, 2, \dots, J\}$ for some known integer J . The variable y can be
 374 derived, conditional on the regressors X , from a latent continuous variable y^* which in this
 375 case is an underlying unobserved measure of households' adoption of CSAPs in numbers and
 376 it is specified as follows:

$$377 \quad y_i^* = X_i' \beta + u_i \quad (7)$$

378 Where u_i is normally distributed with mean zero and variance one, β is the vector of the
 379 unknown parameter to be estimated and X is a matrix of independent variables. For a J th farm
 380 household where normalization is that the regressors X do not include an intercept, we assumed
 381 that $\sigma_1 < \sigma_2 < \dots < \sigma_J$ to be unknown threshold points and define these thresholds such that

$$382 \quad y = 0 \text{ if } y^* \leq \sigma_1$$

$$383 \quad y = 1 \text{ if } \sigma_1 < y^* \leq \sigma_2$$

$$384 \quad \vdots \quad (8)$$

$$385 \quad y = J \text{ if } y^* > \sigma_J$$

387 In our study, y takes on six values 1 ('maize farm households adopt one CSAPs'), 2 (maize
 388 farm households adopt two CSAPs'), 3 (maize farm households adopt three CSAPs'), 4 (maize
 389 farm households adopt four CSAPs'), 5 (maize farm households adopt five CSAPs'), and 6
 390 (maize farm households adopts all the six CSAPs').

392 Following a standard ordered probability model where the error term is assumed to be normally
 393 distributed, each response probability can be illustrated as follows:

$$394 \quad P(y = 0|X) = \Psi(\sigma_1 - X_i' \beta)$$

$$395 \quad P(y = 1|X) = \Psi(\sigma_2 - X_i' \beta) - \Psi(\sigma_1 - X_i' \beta)$$

$$396 \quad \vdots$$

$$397 \quad P(y = J|X) = 1 - \Psi(\sigma_J - X_i' \beta)$$

$$398 \quad (9)$$

$$399$$

400
 401 Where $\Psi(\cdot)$ represents the standard normal cumulative distribution. This is a generalized
 402 version of the binary probit model in which parameters σ and β can be estimated by
 403 maximizing the following log-likelihood function:

$$\begin{aligned}
 & (y = J|X = 1 - \Psi(\sigma_j - X'_i\beta)) \\
 405 \quad & L_i(\sigma, \beta) = [y_i = 0] \log [\Psi(\sigma_1 - X'_i\beta)] + [y_i = 1] + \dots \\
 406 \quad & + [y_i = J] \log [1 - \Psi(\sigma_j - X'_i\beta)] \quad (10)
 \end{aligned}$$

409 The marginal effect of an increase in X on the probability of selecting alternative J can be
 410 written as:

$$\frac{\partial P_{ij}}{\partial X_{ij}} = [\Psi(\sigma_{j-1} - X'\beta) - \Psi(\sigma_j - X'\beta)]\beta \quad (11)$$

414 Where $\Psi(\cdot)$ is the standard normal density function.

417 5. Results and Discussions

418 5.1 The Summary Statistics of Variables

419 The summary statistics of dependent variables identified among maize farm households are
 420 illustrated in Table 2. DTMVs are the least adopted (23%) among maize farm households while
 421 inorganic fertiliser and row-planting are the most adopted; 92% and 84% respectively,
 422 revealing that maize farm households are highly conversant with these practices. Also, 37%,
 423 48%, and 53% of households adopt manure, residue incorporation, and intercropping
 424 respectively.

425 Gender is one of the foremost factors in adoption decisions with varying implications
 426 depending on the type of gender variable and CSAPs (Doss and Morris 2000; Theriault et al.
 427 2017; Muriithi et al. 2018). This study considers male and female household heads that are plot
 428 managers, and they constitute 88% and 12% of the sample respectively (Table 2). Also, several
 429 studies have found differing preferences between older and younger farmers based on their
 430 experience of climate events or knowledge of the use of CSAPs, which makes age quite
 431 significant in the adoption decision. From the study sample, the mean age of household heads

432 is approximately 47 years suggesting that household heads are still relatively in their active
433 farming years. Besides, educational status can predict farmers' adoption decisions; however,
434 in literature, it has various implications on the adoption (Wainaina et al. 2016). In this study,
435 sample farm households have 7.62 years of education suggesting that most maize farm
436 households have primary-level education and can understand the use of CSAPs. Household
437 size can be a proxy for family labour availability for farm activities, for example, larger
438 households are more likely to invest in the adoption of labour-intensive practices such as
439 conservative practices (Ndiritu et al. 2014). The household size in this study is large (6.93) and
440 it is expected that this may affect single or multiple choices of conservative practices. On
441 average maize farm households' years of farming experience is 27.98, suggesting that
442 households are likely to be familiar with agricultural innovations and adoption impact. This
443 study also captures maize farm households' years of residents in the farm community which
444 may likely suggest an understanding of the weather pattern of the village over the years and
445 may impact their adoption choices. This study also includes wealth indicators such as total
446 livestock unit (TLU) and total household asset cost (farm and non-farm assets).

447 *Farm and topographical factors*

448 We consider popular indicators of farm variables which are farm size, land ownership, and
449 rental. From Table 2, 84% of maize farm households' own land. Land ownership in this context
450 refers to the individual long-term rights to the land area which makes them tenure secured. We
451 also capture the land rent variable of which only 8% of maize farm households were on land
452 rent contracts. The average farm size among the sampled household is 11.01 ha.

453 *3.1.3 Institutional and social network factors*

454 Institutional roles such as credit institutions play significant roles in adoption decisions. This
455 is because access to credit enables poorer households to adopt new technology by providing
456 credit. Access to credit has been found significant in driving the adoption of climate-resilient

457 technologies in the literature (Bedeke et al. 2019). We capture farm households that received a
 458 loan in the past agricultural season as a proxy for access to credit. Table 1 shows while 49% of
 459 farm households received a loan, 51% were liquidity constrained. Extension services as an
 460 institution in driving adoption have been established in several adoption case studies
 461 (Emmanuel et al. 2016; Wossen, et al. 2017b; Nakano et al. 2018). We consider proxies that
 462 are components of extension services, this includes *training in improved production practices*
 463 and *advice on improved maize varieties*. However, the data shows a low extension presence
 464 among agricultural households; only 9% and 29% of households received training in improved
 465 production practices and advice on improved maize varieties, respectively. Social networks are
 466 a means to access and exchange information such as technical information, price, and credit
 467 information (di Falco and Bulte 2011) and may influence households' decision choices and
 468 combinations of choices. About 62% of households are members of input supply and farm
 469 cooperatives group.

470 *3.1.4 Technology and regional factors:*

471 We further include attributes of agricultural technology in terms of risk, awareness, and access.
 472 The indicator of households' awareness and access to improve maize varieties can suggest
 473 availability and ease of access which can impact the fast adoption of CSA and its complements.
 474 However, only 14% of sampled maize farm households were aware and had access to improve
 475 maize varieties. Also, the majority (73%) of maize farm household has the willingness to adopt
 476 agricultural technology suggesting the high probability of adopting the majority of CSA
 477 components. Regional variables from Table 1 indicates that the majority of maize farm
 478 households are in North-West (35%), North Central (27%), and South West (24%) regions,
 479 with only 4%, 5%, and 5% in South-East, South-South, and North East respectively

480 **Table 2: Summary Statistics of Maize Farm Households in Sample Study.**

Variables	Percentage	Mean	Std. Dev
<i>Dependent variables</i>			
DTMVs	23%		

Inorganic Fertiliser	92%		
Intercropping	53%		
Row Planting	84%		
Incorporate crop residues on plot	48%		
Manure	37%		
Categories of number of CSAPs in ordered probit model			
<i>Explanatory Variables</i>			
Gender (1=male; 0=female)	88%		
Age (years)		47.45	13.97
Education (years)		7.62	6.63
Number of years resident in the village		40.74	17.6
Own Land (yes = 1; no = 0)	84%		0.37
Land rent yes = 1; no = 0)	8%		0.28
Farm Size (ha)		11.01	173.26
Farming experience (years)		27.88	14.93
Household Size		6.93	2.99
Received Loan (yes = 1; no = 0)	49%		
Member of input supply and farm cooperatives (yes = 1; no = 0)	62%		
Received advice on improved varieties	29%		
Total Cost of Household Asset ('000 NGN)		1052	3944
Total Livestock Unit (TLU)		2.33	15.51
Cost of Hired Labour (000 NGN)		62.51	95.75
Awareness and access to improved maize varieties (yes = 1; no = 0)	14%		
Training in Improved production practices (yes = 1; no = 0)	9%		
Willingness to take risk (yes = 1; no = 0)	73%		
North West (yes = 1; no = 0)	35%		
North Central (yes = 1; no = 0)	27%		
North East (yes = 1; no = 0)	5%		
South-South (yes = 1; no = 0)	5%		
South-East (yes = 1; no = 0)	4%		
South-West (yes = 1; no = 0)	24%		

481

482 5.1 Joint and marginal probabilities of adoption

483 The joint and marginal probability distributions of adoption of the six CSAPs for maize farm
484 households are presented in the appendix (Table S1). The result shows zero adoption
485 probability for DTMVs, both when adopted as a single technology and when combined
486 individually with one other CSAPs. Joint adoption however increased in combination with two

487 other CSAPs; in this case, adoption probability is 73% with inorganic fertilisers and row
488 planting only. Inorganic fertilisers have the highest probability of adoption, 2.31% when
489 adopted as a sole technology, in combination with row-planting, adoption probability is 9.70%.
490 Adoption probability however decreases in combination with more CSAPs. Adoption
491 probability is respectively 9.36% in combination with inorganic fertilisers, row-planting and
492 intercropping and 7.67% in combination with Inorganic fertilisers, intercropping, row planting,
493 and incorporate crop residues. While the joint probability of adopting all CSAPs is 2.74%, the
494 probability of adopting none of the CSAPs is 0.24%. This suggests that a very low number of
495 maize farm households are less likely to adopt any of the CSAPs. Similar study (Teklewold,
496 Kassie and Shiferaw 2013) found variation across joint and marginal probability distribution
497 of sustainable agricultural practices.

498 The unconditional and conditional adoption probabilities presented in Table S2 (see appendix)
499 further indicate possible interdependence between six CSAPs. In most cases, the
500 interdependence status shows a varying degree of substitutability effects across CSAPs. The
501 unconditional adoption probability of DTMVs is 45% and significant at $p < 0.01$. However,
502 adoption decisions for DTMVs significantly decrease by 97%, 66%, and 36% for adopting row
503 planting only, incorporating crop residues only, and manure only. Similarly, conditioned on
504 adopting DTMVs and inorganic fertilisers, the adoption decisions for row-planting, residue
505 incorporation, and manure significantly decreased by 97%, 67%, and 36% respectively. The
506 complementary effects of DTMVs on other CSAPs can also be seen in some instances. For
507 example, the adoption decision for DTMVs and inorganic fertiliser is positive, but significant
508 for DTMVs conditioned on the adoption of the other four CSAPs. In the case where farm
509 households adopt the other five CSAPs, the decision to adopt DTMVs significantly increased
510 by 17%.

511 In the exception of DTMVs, the unconditional effect of adopting manure compared to other
512 CSAPs is more likely, however, significantly decreases the likelihood of adopting row-planting
513 and residue incorporations when conditionally adopted with DTMVs. This shows that to an
514 extent, manure can substitute row-planting and residue incorporation. Across most conditional
515 situations, row-planting reflects the highest significant substitutability effects, signifying that
516 farm households are less likely to adopt the row-planting where other CSAPs are adopted.
517 Similarly, conditional on farm households adopting row planting only, the adoption effect is
518 significantly highly negative for DTMVs, incorporation of crop residues and manure at -97%,
519 -102%, and -98% respectively. This shows existing high substitutability effects among CSAPs.
520 While it is important to assess the interrelations of CSAPs, the distributional analysis across
521 outcome variables shows that the adoption of CSAPs is associated with maize output. This is
522 presented in Figures 1-6. The cumulative density functions for maize output are more dominant
523 on the right side for adopters and on the left side for non-adopters, suggesting that maize output
524 with CSAPs holds first-order stochastic dominance over non-CSAPs adopters, however, differs
525 for incorporation of residues CSAP. The stochastic dominance of the outcome for adopters is
526 an important economic incentive for adopting CSAPs.
527 This is further confirmed by the Kolmogorov Smirnov Statistics test for cumulative distribution
528 functions (CDF) which shows a significant difference in the vertical distances between
529 adopters and non-adopters of CSAPs except for residue incorporation which was not significant
530 (Table 3).

531 Table 3. Kolmogorov-Smirnov statistics test for the cumulative log of maize output distribution

CSA types	Distribution
DTMVs	0.245(0.000) ***
Intercropping	0.115(0.000)***
Row Planting	0.076(0.068)*
Inorganic Fertiliser	0.174(0.003)***
Incorporate crop residues	0.034(0.579)
Manure	0.156(0.000)***

532 Note: p-values in parentheses. *significant at 10%, ***significant at 1%

533

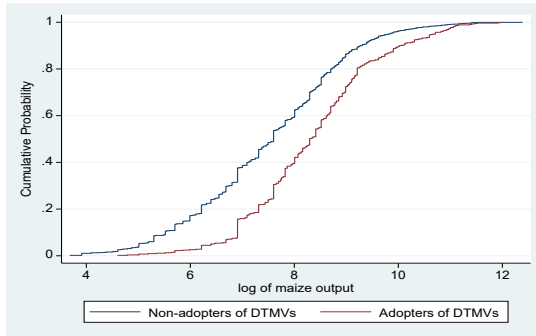


Figure 1: Impact of DTMVs on the log of maize output

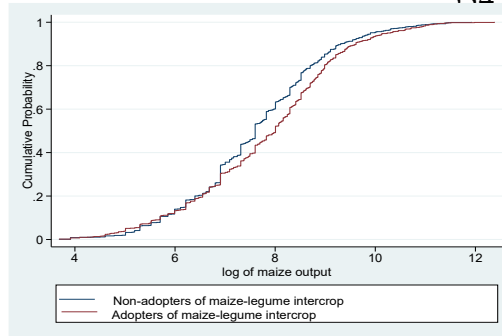


Figure 2: Impact of intercropping on the log of maize output

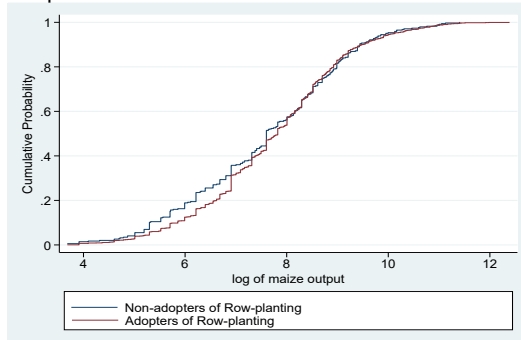


Figure 3: Impact of row planting on the log of maize output

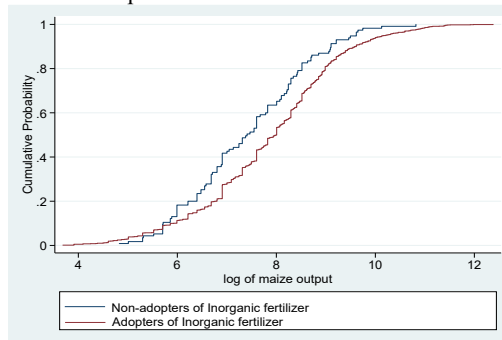


Figure 4: Impact of Inorganic fertilizers on the log of maize output

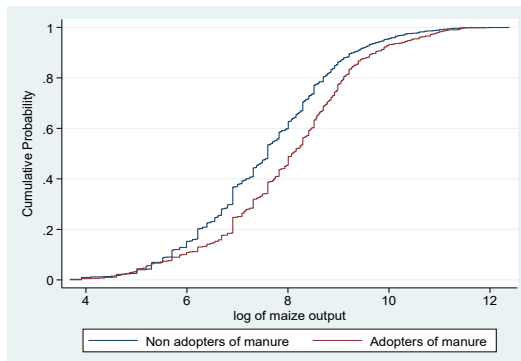


Figure 5: Impact of manure on the log of maize output

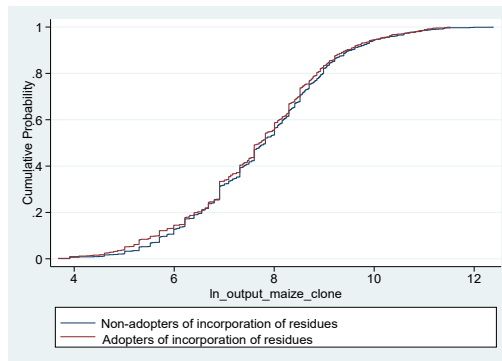


Figure 6: Impact of incorporation of residues on the log of maize output

556 5.2 Multivariate Tobit estimation of factors of adoption of climate-smart agriculture 557 *Complementarity and Substitutability Effect in DTMVs and other CSAPs*

558 Binary correlation estimations between CSAPs derived from the MVT estimations are
559 presented in Table 4. This study finds that while some CSAPs are complements, some are
560 substitutes. To further explain, the propensity of adopting DTMVs significantly increases with
561 manure at 4.8% ($p < 0.1$). Consequently, maize farm households are likely to adopt DTMVs
562 with manure, a low-cost CSAP. Studies such as Ndiritu et al. (2014), Murithii et al. (2018), and
563 Bedeke et al. (2019) found a positive relationship between low-cost sustainable practices and

564 improved seed adoption. Also, adopting DTMVs increases fertiliser use, however not
565 significant in this context. The existing positive correlation of DTMVs with fertiliser may be
566 due to the popular promotion of improved seeds with fertilisers in most interventions. A similar
567 finding is established in Muriithi et al. (2018).

568 Contrary to findings in Wainaina et al. (2016), manure is positively correlated with residue
569 incorporation at a 6.4% probability. This implies that, to an extent, both CSAPs complement
570 one another in a way that their usage is common, for example in a crop-livestock system,
571 manure from animals is used on farmlands and crop residues can also be incorporated back into
572 the land or used as livestock fodder. this is typical of most farm households. Similarly, the
573 complementarity attribute is evident in the positive correlation of row planting and manure at
574 5.8% probability, implying that farm intercropping maize-legumes or maize-fodders crops are
575 usually accompanied by the row planting initiative.

576 Conversely, negatively correlated pairs connote the possible substitutability of CSAPs. From
577 the result, intercropping techniques and residue incorporation are negatively correlated at
578 $p < 0.05$ confidence level signifying their substitutability effect (0.143). This further implies that
579 maize farm households, to a large extent either adopt more of intercropping and less of residue
580 incorporation or vice versa or substitute one for the other. Intercropping and residue
581 incorporation techniques are soil conservation practices that have a similar agronomic impact
582 such as soil fertility improvement and protection and are a low-cost substitute for one another.
583 The results are almost similar to estimations derived from the multivariate probit estimation
584 illustrated in the appendix (Table S3). It shows similar significant complementary effects
585 between intercropping and row planting; row planting and manure; incorporation of residues
586 and manure. The result from the *MVT* shows a similar negative correlation and substitutability
587 effect at a 10.3% probability for intercropping and incorporation of residues. Similarly,
588 DTMVs and manure show a positive correlation, however not significant.

589 **Table 4.** Complement and Substitutes of CSAPs among maize farm households (from
590 multivariate Tobit estimation)

CSAPs	Coefficient	Standard Error.
DTMVs and Inorganic fertiliser (atanhrho 12)	0.016	0.030
DTMVs and Intercropping (atanhrho 13)	0.041	0.027
DTMVs and Row planting (atanhrho 14)	-0.027	0.027
DTMVs and Incorporation of Residue. (atanhrho 15)	-0.002	0.027
DTMVs and Manure (atanhrho 16)	0.048*	0.027
Inroganic fertiliser & Intercropping (atanhrho 23)	-0.042	0.031
Inorganic Fertiliser & Row planting (atanhrho 24)	-0.022	0.031
Inorganic Fertiliser and Incorporation of Residue. (atanhrho 25)	0.008	0.031
Inorganic fertiliser and Manure (atanhrho 26)	0.005	0.029
Intercropping & Row planting (atanhrho 34)	0.063**	0.027
Intercropping and Incorporation of Residue. (atanhrho 35)	-0.072***	0.027
Intercropping and Manure (atanhrho 36)	0.016	0.027
Row planting and Incorporation of Residue. (atanhrho 45)	0.042	0.027
Row planting and Manure (atanhrho 46)	0.058**	0.027
Incorporation of Residue and Manure. (atanhrho 56)	0.064**	0.027

591 Note: *significant at 10%; **significant at 5%; ***significant at 1% . 1= DTMVs; 2=inorganic fertiliser;
592 3=Intercropping; 4 = Row planting ; 5 = Incorporation of crop residues ; 6= Manure
593

594 5.3 Adoption decision results

595 In this section, we limited discussion on determinants of adoption of CSAPs to the MVT
596 estimations as illustrated in Table 5¹. The likelihood ratio ($\chi^2 (138 = 1740; p < 0.01)$)
597 suggests the rejection of the null hypothesis of independent error terms of the overall model
598 and across CSAPs, implying that multiple adoptions of CSAPs are not mutually independent
599 and supports the use of the simultaneous Tobit model. The result relating to gender suggests
600 that of all the CSAPs, female household heads that are plot managers are significantly more
601 likely to adopt intercropping. Past research shows evidence of popular intercropping of maize,
602 especially with legumes such as groundnut, cowpea, and soybean (Adewopo 2019) and in
603 various contexts from time past are quite profitable (Baker 1978; Onuk et al. 2015). This may
604 also suggest that female-headed households opt for low-cost agronomic practices such as
605 intercropping.

¹ We have also estimated MVP, which is presented in the appendix (Table S4)

606 Also, the result shows that younger farmers are significantly ($p < 0.05$) more likely to adopt
607 inorganic fertiliser at 0.2% probability. This may be because younger farmers are more
608 versatile and flexible with the adoption of agricultural technology. This is akin to the findings
609 in Nigussie et al. (2017). Less-educated maize farm households will more likely opt for the
610 incorporation of residues on the plot and use of manure than any other CSAPs. This may be
611 related to non-technicality in the adoption of both CSAPs compared to other CSAPs such as
612 intercropping and inorganic fertiliser use. The number of years of residence may suggest farm
613 households' versatility with the plot terrain, soil type, and seasonal weather events. In this
614 context, an increasing number of years in the village significantly increases the adoption of
615 inorganic fertiliser and incorporation of crop residue at $p < 0.05$. Older residents probably
616 become stereotypical with popular CSAPs practices.

617 The years of farming experience solely influenced the increasing adoption of intercropping,
618 suggesting that maize farm households' understanding of climate impact improved their
619 knowledge of intercropping techniques as a continuous production practice to enhance yield
620 and improve soil fertility. Also, maize farming communities are concentrated in the Northern
621 region and intercropping is a popular technique in solving problems of soil infertility and weed
622 infestation for example in the case of maize -legumes intercropping and also, in the case of
623 *Striga* infestation, intercropping with weed resistant crops is quite common. This approach is
624 similar to push-pull technology in Kenya; a cropping system in which maize or other cereals
625 are intercropped with a perennial fodder that repels stem borer pests and stimulates abortive
626 germination of *Striga* weed (Muriithi et al. 2018).

627 Log of cost of hired labour, although positive for most CSAPs was only significant for the
628 adoption of DTMVs suggesting that farm households spent more on labour needs for the
629 adoption of DTMVs. In the same vein, household size which can be a proxy of labour
630 availability also positively influenced the adoption of DTMVs. A possible explanation is that

631 labour requirements in the adoption of DTMVs may be indirectly influenced by other CSAPs
632 that highly demand labour, for example, in this same study, household size was significant in
633 the adoption of manure which requires collection and transport, and it is labour intensive.

634 In terms of plot variables, this study found that the adoption of manure increases for both maize
635 farm households that owned and rented land. This is contrary to findings in some studies that
636 the adoption of long-term investments CSAPs such as manure is more popular among tenure-
637 secured farm households (Jansen et al. 2006; Abdulai and Huffman 2015; Kassie et al. 2015).
638 A similar finding is evident in Wainaina et al. (2016) where plot ownership negatively
639 influenced the adoption of zero tillage, a long-term investment sustainable land practice. This
640 suggests that the adoption of CSAPs that are a long-term investment and increase productivity,
641 in the long run, are not solely driven by tenure security status, but probably by immediate
642 productivity potentials. Considering the farm size attribute, the adoption of manure increases
643 with an increase in farm size, this is consistent with the result found in Kassie et al. (2015) for
644 Tanzania. In the same study, contrary evidence exists in the case of Kenya and Ethiopia.

645 Wealth indicators such as a log of household asset positively influenced the adoption of
646 inorganic fertiliser, row planting, and incorporation of crop residues, however negatively
647 influenced the adoption of intercropping. Apparently, wealthy households are likely to jointly
648 adopt a mix of CSAPs due to the ability to afford and access requires resources, including
649 costly CSAPs such as inorganic fertiliser. Proxies of wealth in similar studies have positively
650 influenced the adoption of CSAPs, for example in (Kassie et al. 2015) asset value influenced
651 the adoption of crop diversification and manure. Also, in Teklewold et al. (2013), the value of
652 major household and farm equipment positively influenced the adoption of improved seed,
653 inorganic fertiliser, and conservation tillage. In a similar vein that confirms the importance of
654 funds in the adoption of CSAPs, access to loans increased the adoption of DTMVs and manure
655 suggesting that maize farm households that are liquidity constrained are less likely to adopt

656 costly CSAPs such as DTMVs and manure that demands high labour needs. This finding is
657 consistent with Bedeke et al. (2019) where access to loans influenced the adoption DTMVs,
658 mineral fertilisers and soil & water conservation practices. Also, in a similar study in Nigeria,
659 access to credit influenced the increased adoption of manure but negatively impacts
660 intercropping (Oladimeji et al. 2020).

661 In terms of institutional variables, awareness and access to improved maize varieties as a proxy
662 of household access to information is associated with a higher probability of adoption of
663 DTMVs among maize farm households. This further revealed that awareness and access to
664 improved maize varieties are endogenous to adoption and are unsurprising. In addition, the
665 adoption of inorganic fertiliser and manure increases among farm households that received
666 training in improved production practices. Also, membership in input supply and farm
667 cooperatives significantly increased the adoption of intercropping and manure but reduced the
668 adoption of residue incorporation. This may suggest that membership in a group promotes
669 different types of CSAPs and intercropping and manure use may have been highly promoted
670 or indirectly supported through other programmes or interventions in the group. In similar
671 studies, social capital indicators such as group membership have been found to influence the
672 adoption of sustainable land practices (Teklewold, Kassie, and Shiferaw 2013; Bedeke et al.
673 2019).

674 On the other hand, this study includes a variable that assesses the willingness to take a risk on
675 the adoption of improved maize varieties to determine if risk status can be transferred to other
676 CSAPs. The result is however heterogeneous across CSAPs, while it significantly increases
677 with the adoption of DTMVs and manure, it decreases with intercropping. This result is
678 intuitive and suggests that farm households' ability to take a risk differs within the components
679 of CSAPs. Using the South-West region as the base/reference, indicators of regional effects
680 revealed heterogeneity in the adoption of CSAPs. While the adoption of DTMVs, inorganic

681 fertiliser, and manure is prominent in the North West region, the North Central region is more
682 likely to adopt inorganic fertiliser and manure only. A high probability of adoption of inorganic
683 fertiliser and manure is akin to North West and North East region and as such should be more
684 promoted with DTMVs to increase the adoption of DTMVs. Decreasing the potential of
685 adoption of DTMVs, intercropping row planting is evident in the North East region, except for
686 manure. The North-East region agricultural community may have been affected by consistent
687 crisis problems and obviously, the low adoption of CSAPs is evident in this region.

688 In the South East region, the adoption of DTMVs, residue incorporation, and manure is on the
689 increase and implies that the promotion of DTMVs should jointly consider promoting
690 sustainable land practices such as residue incorporation and manure. On the other hand, in the
691 South East and the South-South regions, the result further reveals decreasing adoption of
692 inorganic fertiliser and row planting. The explanation for this may be the high infiltration rate
693 and erosion of fertiliser on plot land, this is because the Southern region's weather condition is
694 highly humid with high rainfall index. Less adoption of row-planting may suggest that manure
695 and residue incorporation as alternatives to soil protection and yield enhancement strategies in
696 South East. At the same time, the increasing probability of adopting intercropping in the South-
697 South implies that DTMVs should be promoted with intercropping in the region in order to
698 increase adoption.

699 Table 5. Multivariate Tobit Estimation of Factors of Adoption of Climate-Smart Agricultural Practices.

Variables	DTMVs	Inorganic fertiliser	Intercropping	Row-planting	Incorporate crop residue	Manure
Gender (1=male; 0=female)	-0.033 (0.041)	-0.009 (0.037)	-0.103* (0.062)	0.059 (0.044)	-0.029 (0.063)	-0.001 (0.050)
Age (years)	0.001 (0.001)	-0.002** (0.001)	-0.001 (0.002)	0.000 (0.001)	-0.002 (0.002)	-0.002 (0.001)
Education (years)	-0.000 (0.002)	0.001 (0.001)	0.002 (0.002)	0.000 (0.002)	-0.008*** (0.002)	-0.004** (0.002)
Household Size	0.008*** (0.003)	-0.004* (0.003)	0.008* (0.005)	0.001 (0.003)	0.003 (0.005)	0.015*** (0.004)
Number of years resident in village	-0.001 (0.001)	0.002** (0.001)	-0.001 (0.001)	0.001 (0.001)	0.002** (0.001)	-0.000 (0.001)
Farming experience (years)	-0.000 (0.001)	0.001 (0.001)	0.003** (0.001)	-0.001 (0.001)	0.000 (0.001)	-0.000 (0.001)
Own Land (yes = 1; no = 0)	-0.007 (0.029)	0.026 (0.025)	-0.032 (0.044)	0.010 (0.032)	0.071 (0.045)	0.071** (0.036)
Land rent (yes = 1; no = 0)	-0.034 (0.032)	-0.035 (0.027)	-0.039 (0.049)	0.022 (0.035)	-0.003 (0.049)	0.071* (0.040)
Farm Size (ha)	-0.001 (0.000)	0.000 (0.000)	-0.001 (0.001)	0.001 (0.001)	-0.001 (0.001)	0.001** (0.001)
Total Cost of Household Asset (log)	0.006 (0.005)	0.012*** (0.005)	-0.018** (0.008)	0.024*** (0.006)	0.023*** (0.008)	-0.013* (0.007)
Log of Cost of Hired Labour (000 NGN)	0.015** (0.007)	0.004 (0.006)	0.006 (0.012)	-0.004 (0.008)	0.014 (0.012)	0.002 (0.009)
Total Livestock Unit (TLU)	0.001 (0.000)	-0.000 (0.000)	0.000 (0.001)	0.000 (0.000)	0.001 (0.001)	0.000 (0.001)
Received Loan (yes = 1; no = 0)	0.057*** (0.018)	0.014 (0.015)	-0.032 (0.027)	-0.038* (0.019)	0.011 (0.027)	0.054** (0.022)
Training in Improved production practices (yes = 1; no = 0)	-0.001 (0.031)	0.077*** (0.025)	0.029 (0.047)	0.024 (0.033)	0.000 (0.048)	0.069* (0.038)

Member of input supply and farm cooperatives (yes = 1; no = 0)	0.019 (0.020)	-0.004 (0.017)	0.123*** (0.031)	0.031 (0.022)	-0.117*** (0.031)	0.047* (0.025)
Received advice on improved varieties (yes = 1; no = 0)	0.012 (0.020)	-0.034** (0.016)	0.007 (0.030)	-0.012 (0.021)	0.003 (0.030)	0.031 (0.024)
Awareness and access to improved maize varieties (yes = 1; no = 0)	0.577*** (0.026)	0.023 (0.021)	0.003 (0.040)	0.031 (0.028)	0.046 (0.040)	0.045 (0.032)
Willingness to take risk (yes = 1; no = 0)	0.080*** (0.022)	0.012 (0.018)	-0.080** (0.033)	0.034 (0.023)	0.040 (0.033)	-0.136*** (0.027)
North West (yes = 1; no = 0)	0.242*** (0.028)	0.122*** (0.025)	0.060 (0.043)	-0.056* (0.030)	-0.188*** (0.043)	0.543*** (0.034)
South-South (yes = 1; no = 0)	0.093 (0.057)	-0.192* (0.099)	0.184** (0.086)	-0.624*** (0.062)	-0.045 (0.088)	-0.084 (0.070)
South-East (yes = 1; no = 0)	0.262*** (0.065)	-0.290*** (0.057)	0.110 (0.098)	-0.321*** (0.069)	0.231** (0.099)	0.543*** (0.080)
North Central (yes = 1; no = 0)	-0.028 (0.026)	0.041* (0.023)	-0.092** (0.039)	0.022 (0.028)	-0.035 (0.039)	0.249*** (0.031)
North East (yes = 1; no = 0)	-0.097** (0.038)	-0.002 (0.033)	-0.244*** (0.057)	-0.079* (0.041)	-0.151*** (0.058)	0.087* (0.047)
Constant	-0.292*** (0.107)	0.668*** (0.091)	0.782*** (0.163)	0.518*** (0.116)	0.111 (0.165)	0.174 (0.131)
Insig_1	-1.146*** (0.019)					
Insig_2	-1.454*** (0.021)					
Insig_3	-0.734*** (0.019)					
Insig_4	-1.084*** (0.019)					
Insig_5	-0.731*** (0.019)					

Insig_6	-0.944*** (0.019)
Number of observations	1370
LR chi2(138)	1740.62***
Log-likelihood=	-3279.20
Prob > chi2	0.000

Note: Standard errors are in parentheses. *significant at 10%; **significant at 5%; ***significant at 1%

700
701
702

703

704
705
706
707
708
709
710
711
712

713 **5.4 Ordered probit estimates of CSAPs adoption**

714 Tables 6 and 7 show the estimates and marginal effects, respectively of the ordered probit
715 model. The Chi-squared statistics of the model are statistically significant ($\chi^2(552.45), p =$
716 0.000) at $p < 0.01$ and loglikelihood of 1947.53 indicating that the hypothesis test of all slope
717 coefficients equals zero is rejected. Results show that the number of CSAPs adopted increases
718 with households' wealth indicator variables which are the log of total household assets and
719 total livestock unit, suggesting that poorer maize farm households are less likely to adopt more
720 CSAPs. This can be linked to the limited fund to procure required inputs or access resources
721 for adoption. This is akin to the finding in Teklewold et al. (2013). From the result of marginal
722 effect illustrated in Table 7, across the number of CSAPs, wealthier households significantly
723 adopted from four counts of CSAPs, while poorer households are more likely to adopt less than
724 four CSAPs practices including zero adoption. In a similar vein, access to loans increases maize
725 farm households' propensity to adopt more CSAPs, suggesting that farm households that are
726 liquidity constrained found it difficult to adopt more CSAPs. The marginal effect shows
727 increasing adoption of four CSAPs.

728 From indicators of institutional presence, the probability of adopting more CSAPs increases
729 among farm households that had awareness and access to improved maize varieties and also
730 received training in improved production practices. The coefficients of these variables
731 significantly influenced the adoption count of CSAPs at 74% and 25% respectively. The
732 explanation for this is that institutional presences in the dissemination of CSAPs application in
733 production practices and regular advice for farmers play significant roles in their willingness
734 to adopt and combine various CSAPs. Also, both variables are endogenous to the adoption of
735 CSAPs and their huge impact is not surprising. In both variables, the marginal effect of
736 adoption increases for more than three CSAPs and decreases for less than four CSAPs
737 Social capital and network indicators such as membership in input supply and farm
738 cooperatives influenced the increased adoption of the count of CSAPs at 16.5% significant at

739 $p < 0.05$. Across the count of CSAPs, the marginal effect shows that it increases adoption from
 740 four CSAPs and decreases adoption for less than four CSAPs. This is indicative of promotions
 741 of CSAPs and other indirect resource supports within the group that may be influencing a
 742 higher count of CSAPs.

743 Coefficients of Household size positively and significantly influenced the adoption of the
 744 increasing count of CSAPs. The marginal effects for household size show increasing adoption
 745 of more than two CSAPs. A similar result is evident in the coefficient of cost of hired labour,
 746 this reveals that farm households that incurred more on hired labour were more likely to adopt
 747 more than three CSAPs.

748 Disparities in the count of CSAPs adoption are evident in the coefficient estimates of regions
 749 in this study. Increasing adoption of the count of CSAPs is evident in the North West, North
 750 Central, and South East region. This may be because these regions, especially North West and
 751 North Central have the largest share of land areas for maize production. In these regions, the
 752 marginal effect shows that maize farm households adopt more than three counts of CSAPs.
 753 Conversely, the South-South and the North East region adopt less than three counts of CSAPs.

754
 755

Table 6. Estimates of factors of adoption of CSAPs: Ordered Probit

Number of CSAPs	Coef.	Std. Err.
Gender	-0.043	0.117
Age (years)	-0.005	0.004
Education (years)	-0.009	0.005
Household size	0.023**	0.011
Total House Asset (log)	0.039**	0.017
Farming experience (years)	0.003	0.003
Land ownership (yes = 1, no = 0)	0.118	0.096
Land rent (yes = 1, no = 0)	0.026	0.106
Farm size (ha)	0.001	0.001
Cost of hired labour (log)	0.074***	0.024
Trained in improved production practices (yes = 1, no = 0)	0.249**	0.108
Willingness to take risk (yes = 1, no = 0)	-0.110	0.077
Total Livestock Unit (TLU)	0.003***	0.001
Received loan (yes = 1, no = 0)	0.142***	0.058
Member of input supply and farm cooperatives	0.165**	0.066

Received advice on improved varieties (yes = 1, no = 0)	0.016	0.064
Awareness and access to improved varieties (yes = 1, no = 0)	0.748***	0.094
North West (yes = 1, no = 0)	1.087***	0.098
South South (yes = 1, no = 0)	-0.914***	0.151
South East (yes = 1, no = 0)	0.826***	0.275
North Central (yes = 1, no = 0)	0.341***	0.086
North East (yes = 1, no = 0)	-0.327***	0.107
/cut1	-0.756	0.356
/cut2	0.377	0.337
/cut3	1.435	0.339
/cut4	2.376	0.342
/cut5	3.399	0.344
/cut6	4.641	0.348
Wald χ^2 (23)	552.45***	
Prob > χ^2	0.000	
Log likelihood	1947.528	
Number of observation	1370	

significant at 5%; *significant at 1%

756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772

Table 8 and Figure 7 illustrate the predictive margins of adopting each category of the number of CSAPs adopted. From the result, the predictive marginal effect of adoption peaks at category three of CSAPs adoption at 0.295 probability. Suggesting that the majority of maize farm households are only likely to adopt three CSAPs within an agricultural season. As the number of CSAPs increases, adoption decreases, this is evident in categories 4, 5, and 6 with probabilities of 0.256, 0.119, and 0.018 respectively. This result implies that across multiple CSAPs to tackle climate risks and increase productivity, a higher percentage of households can marginally adopt less than four mixes of CSAPs. Beyond these categories, the decision to adopt a combination of more practices decreases significantly. It suffices to say that while promoting new interventions in an agricultural locality, certain households may have reached the thresholds of adoption and may find it difficult in adopting new interventions based on the limitation of resources. As such, promoting new interventions may require considering observable and unobservable constraints that can limit adoption.

773 Table 7: Average Marginal Effect of Number of CSAPs Adopted Among Maize Farm Households.

	Prob (Y=0/X)	Prob (Y=0/1)	Prob (Y=0/2)	Prob (Y=0/3)	Prob (Y=0/4)	Prob (Y=0/5)	Prob (Y=0/6)
Gender	0.001 (0.003)	0.005 (0.013)	0.007 (0.018)	0.001 (0.002)	-0.006 (0.016)	-0.006 (0.016)	-0.002 (0.004)
Age (years)	0.000 (0.000)	0.000 (0.000)	0.001 (0.001)	0.000 (0.000)	-0.001 (0.001)	-0.001 (0.001)	0.000 (0.000)
Education (years)	0.000 (0.000)	0.001 (0.001)	0.001 (0.001)	0.000 (0.000)	-0.001 (0.001)	-0.001 (0.001)	0.000 (0.000)
Household size	-0.001* (0.000)	-0.002** (0.001)	-0.004** (0.002)	0.000* (0.000)	0.003** (0.001)	0.003** (0.002)	0.001** (0.000)
Total House Asset (log)	-0.001 (0.000)	-0.004** (0.002)	-0.006** (0.003)	-0.001* (0.000)	0.005** (0.002)	0.005** (0.002)	0.001** (0.001)
Farming experience (years)	0.000 (0.000)	0.000 (0.000)	0.000 (0.001)	0.000 (0.000)	0.000 (0.000)	0.000 (0.000)	0.000 (0.000)
Land ownership (yes = 1, no = 0)	-0.003 (0.002)	-0.013 (0.010)	-0.019 (0.015)	-0.003 (0.002)	0.016 (0.013)	0.017 (0.013)	0.004 (0.004)
Land rent (yes = 1, no = 0)	-0.001 (0.002)	-0.003 (0.011)	-0.004 (0.017)	-0.001 (0.002)	0.003 (0.014)	0.004 (0.015)	0.001 (0.004)
Farm size (ha)	0.000 (0.000)	0.000 (0.000)	0.000 (0.000)	0.000 (0.000)	0.000 (0.000)	0.000 (0.000)	0.000 (0.000)
Cost of hired labour (log)	-0.002** (0.001)	-0.008*** (0.003)	-0.012*** (0.004)	-0.002** (0.001)	0.010*** (0.003)	0.010*** (0.003)	0.003*** (0.001)
Trained in improved production practices (yes = 1, no = 0)	-0.006** (0.003)	-0.027** (0.012)	-0.039** (0.017)	-0.005* (0.003)	0.033** (0.015)	0.035** (0.015)	0.009** (0.004)
Willingness to take risk (yes = 1, no = 0)	0.003 (0.002)	0.012 (0.008)	0.017 (0.012)	0.002 (0.002)	-0.015 (0.010)	-0.015 (0.011)	-0.004 (0.003)
Total Livestock Unit (TLU)	-0.000*** (0.000)	-0.000*** (0.000)	-0.000*** (0.000)	-0.000** (0.000)	0.000*** (0.000)	0.000*** (0.000)	0.000*** (0.000)
Received loan (yes = 1, no = 0)	-0.003** (0.002)	-0.015** (0.006)	-0.023** (0.009)	-0.003** (0.002)	0.019** (0.008)	0.020** (0.008)	0.005** (0.002)

Member of input supply group (yes = 1, no = 0)	-0.004** (0.002)	-0.018** (0.007)	-0.026** (0.010)	-0.004** (0.002)	0.022** (0.009)	0.023** (0.009)	0.006** (0.002)
Received advice on improved varieties (yes = 1, no = 0)	0.000 (0.001)	-0.002 (0.007)	-0.003 (0.010)	0.000 (0.001)	0.002 (0.009)	0.002 (0.009)	0.001 (0.002)
Awareness and access to improved varieties (yes = 1, no = 0)	-0.017*** (0.005)	-0.080*** (0.012)	-0.118*** (0.015)	-0.016*** (0.005)	0.100*** (0.013)	0.105*** (0.013)	0.027*** (0.006)
North West (yes = 1, no = 0)	-0.025*** (0.006)	-0.117*** (0.013)	-0.172*** (0.016)	-0.023*** (0.007)	0.145*** (0.012)	0.153*** (0.016)	0.039*** (0.007)
North Central (yes = 1, no = 0)	-0.008*** (0.003)	-0.037*** (0.010)	-0.054*** (0.014)	-0.007** (0.003)	0.046*** (0.011)	0.048*** (0.012)	0.012*** (0.004)
North East (yes = 1, no = 0)	0.008** (0.003)	0.035*** (0.012)	0.052*** (0.017)	0.007*** (0.003)	-0.044** (0.014)	-0.046*** (0.015)	-0.012*** (0.004)
South South (yes = 1, no = 0)	0.021*** (0.006)	0.098*** (0.017)	0.144*** (0.026)	0.020*** (0.007)	-0.122*** (0.022)	-0.128*** (0.023)	-0.033*** (0.007)
South East (yes = 1, no = 0)	-0.019** (0.008)	-0.089*** (0.029)	-0.131*** (0.044)	-0.018** (0.008)	0.110*** (0.036)	0.116*** (0.040)	0.030*** (0.011)

Standard error in parenthesis. *significant at 10%; **significant at 5%; ***significant at 1%

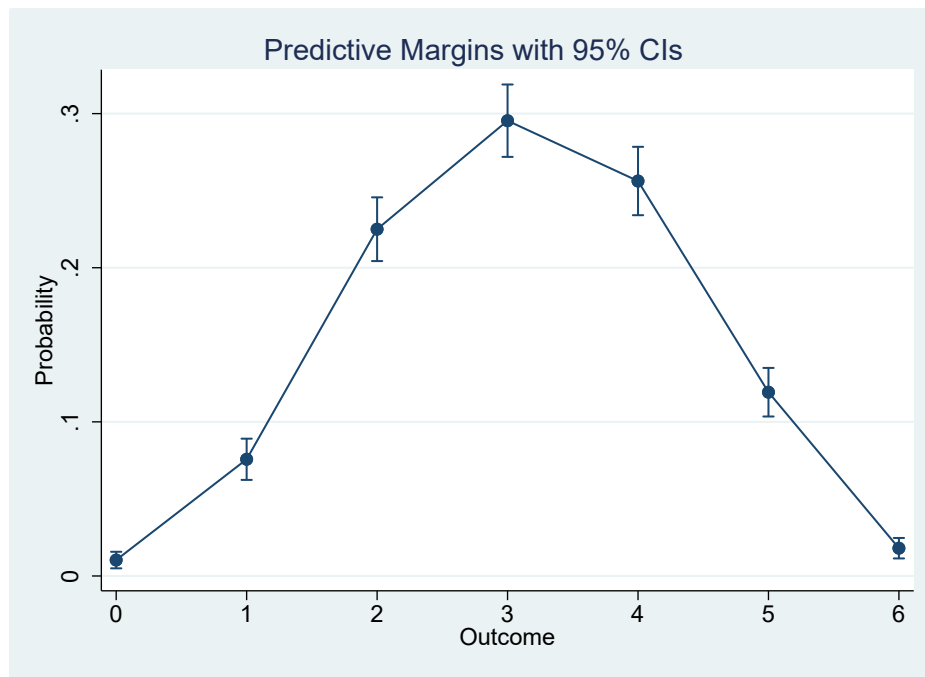
774
775
776
777
778
779
780

781 Table 8. Estimates of Predictive Marginal Effect of Number of CSAPs Adopted

Number of CSAPs	Margin	Std. Err.
0	0.010***	0.003
1	0.076***	0.007
2	0.225***	0.011
3	0.295***	0.012
4	0.256***	0.011
5	0.119***	0.008
6	0.018***	0.003

***significant at 1%

782
783
784
785



786 Figure 7. Graph of the predictive marginal effect of the number of CSAPs adopted.

787
788
789
790
791

6. Conclusions and policy implications

792 Understanding the determinants of joint adoption of CSAPs is important in formulating and
793 disseminating strategies at the local, regional and national levels in Nigeria. This is
794 significantly important for tackling poor productivity and the welfare of agricultural farm
795 households. Based on the assumption of the interdependence of multiple CSAPs that may be
796 limiting or fostering the promotion of DTMVs this study examined a sample of 1,370
797 agricultural households from nationally representative data from maize farm households in
798 Nigeria. Using a multivariate Tobit model our result confirmed complementarity and

799 substitutability between CSAPs, reflecting the existing interdependence of CSAPs adoption. In
800 line with the previous study (Teklewold, Kassie and Shiferaw 2013), correlation effects
801 between and across CSAPs remain relevant to policies and strategies in promoting the adoption
802 of CSAPs. Promoting CSAPs in isolation may not be adequate as changes in the use of one
803 technology or practice may affect the increase or decrease in the use of another or other groups
804 or combinations of CSAPs. Results further shows that manure is a significant complement of
805 DTMVs as a climate adaptation strategy. Also, the interdependence of manure with other
806 CSAPs in the study is also evident, this includes complements such as row planting and residue
807 incorporation. Our findings imply that in increasing the adoption of DTMVs, policy focus
808 should consider designing and implementing promotions of DTMVs through incorporating an
809 existing mix of other CSAPs in training and awareness programme.

810 This study also adopted ordered probit estimation to assess the adoption and intensity of the
811 use of CSAPs. Household wealth, access to loan, social capital, and institutional presence
812 significantly promotes both joint adoption and intensity of adoption. Each of these relationships
813 can be leveraged for better CSAPs packages through policy and development focus on
814 providing financial risks protection mechanisms that are flexible and easily accessible to aid
815 the adoption of DTMVs and other CSAPs packages. The significance of membership in farm
816 input supply and cooperatives in driving adoption and intensity of adoption furthershows the
817 continued relevance of social capital platforms in the adoption of CSAPs as they provide
818 platforms for the flow of information,risk, and cost-sharing, and access to finance and
819 agricultural inputs. This suggests the need for agricultural policy and development programmes
820 to consider strengthening existing social membership or group platforms by engaging these
821 platforms in the implementation and dissemination of CSAPs. Also, extension presence is
822 crucial in dissemination and training as the result reveals that farm households that were aware
823 had access, and were trained, adopted more CSAPs. In particular, the significant role of labour

824 proxied by the cost of hired labour and household size suggests that CSAPs demand high labour
825 use and may be limiting the adoption of packages of CSAPs. As such, policy intervention to
826 increase access to loans for farm households can effectively ease the ability to pay hired labour.
827 The predictive margin results from adopting each category of CSAPs further show that the
828 probability of adopting CSAPs decreases as the number of CSAPs increases. This further
829 informs existing resource constraints in adopting more CSAPs and this may limit the adoption
830 of new technology like DTMVs. It is however important for policies and interventions to
831 leverage factors promoting the intensity of the use of CSAPs as this provides a means of
832 reducing farm households' exposure to production risks.

833 While this study concludes with useful insights into the determinants of adoption and intensity
834 of adoption of CSAPs, our findings are limited to the identified households' attributes
835 considered. As such, interpretations should be carefully made as determinants of adoption are
836 heterogeneous and depends on the CSAPs considered. There is limited focus on the identified
837 CSAPs, and this also limits the evidence of factors of adoption of other CSAPs. Also, the
838 adoption of innovation on farmlands is a long-term decision that can vary over time and using
839 a cross-section (which applies to this study) does not adequately explain such a phenomenon.
840 Despite these limitations, this study makes a significant contribution to the literature on the
841 determinants of the adoption of DTMVs and other CSAPs which are highly important in
842 Nigeria.

843

844 **Declaration:**

845 The authors declare that they have no conflict of interest.

846

847 **Abbreviations**

848 CSAPs Climate Smart Agricultural Practices

849 DTMVs Drought Tolerant Maize Varieties

850 CDF Cumulative Distribution Function

851

852 **Acknowledgement**

853 This research is part of Zainab Oyetunde-Usman's doctoral dissertation at the Natural
854 Resources Institute of the University of Greenwich supported by the Commonwealth

855 Scholarship Commission in the United Kingdom. We appreciate the generous hospitality
856 provided by the International Institute of Tropical Agriculture (IITA). We thank the
857 International Institute of Tropical Agriculture (IITA) and Dr. Tahirou Abdoulaye for providing
858 access to the Drought Tolerant Maize for Africa (DTMA) dataset. Any errors that remain are
859 the authors' responsibility.
860

861 **Authors' contributions**

862 Author Z O-U conceptualised the study and did the first write-up, Author A.S contributed to
863 the methodology, re,view and overall supervision of the research.
864

865 **Ethics approval and consent to participate**

866 Not applicable
867

868 **Consent for publication**

869 Not applicable
870

871 **Availability of data and materials**

872 Not applicable
873

874 **Competing interests**

875 The authors declare that they have no conflict of interest
876

877 **Funding**

878 Not applicable
879
880
881
882
883
884
885

886 **7. References**

- 887 Abdoulaye, T., T. Wossen, and B. Awotide. 2018. "Impacts of improved maize varieties in
888 Nigeria: ex-post assessment of productivity and welfare outcomes." *Food Security*.
- 889 Abdulai, A., and W. Huffman. 2015. "The Adoption and Impact of Soil and Water
890 Conservation Technology: An Endogenous Switching Regression Application." *Land
891 Economics* 90(1):26–43.
- 892 Abdulai, A., V. Owusu, and J.E.A. Bakang. 2011. "Adoption of safer irrigation technologies
893 and cropping patterns: Evidence from Southern Ghana." *Ecological Economics*.
- 894 Abdulai, A., V. Owusu, and R. Goetz. 2011. "Land tenure differences and investment in land
895 improvement measures: Theoretical and empirical analyses." *Journal of Development
896 Economics* 96(1):66–78. Available at: <http://dx.doi.org/10.1016/j.jdeveco.2010.08.002>.
- 897 Abebaw, D., and M.G. Haile. 2013a. "The impact of cooperatives on agricultural technology
898 adoption: Empirical evidence from Ethiopia." *Food Policy*.
- 899 Abebaw, D., and M.G. Haile. 2013b. "The impact of cooperatives on agricultural technology
900 adoption: Empirical evidence from Ethiopia." *Food Policy* 38(1):82–91. Available at:
901 <http://dx.doi.org/10.1016/j.foodpol.2012.10.003>.
- 902 Achandi, E.L., G. Mujawamariya, A.R. Agboh-Noameshie, S. Gebremariam, N.
903 Rahalivavololona, and J. Rodenburg. 2018. "Women's access to agricultural
904 technologies in rice production and processing hubs: A comparative analysis of
905 Ethiopia, Madagascar and Tanzania." *Journal of Rural Studies* 60(February):188–198.
- 906 Adewopo, J. 2019. "Smallholder maize-based system: a piece of the puzzle for sustaining
907 food security in Nigeria." *Multifunctional land uses in Africa: sustainable food security
908 solutions*:115–133. Available at:
909 <https://www.oapen.org/download?type=document&docid=1005158#page=131>.
- 910 Agriculture, C., and S. Irrigation. 2021. "Compendium of Practices in Climate-Smart
911 Agriculture and Solar Irrigation."

- 912 Ahmed, M.H., and H.M. Mesfin. 2017. "The impact of agricultural cooperatives membership
913 on the wellbeing of smallholder farmers: empirical evidence from eastern Ethiopia."
914 *Agricultural and Food Economics* 5(1).
- 915 Arslan, A., N. McCarthy, L. Lipper, S. Asfaw, and A. Cattaneo. 2014. "Adoption and
916 intensity of adoption of conservation farming practices in Zambia." *Agriculture,
917 Ecosystems and Environment* 187:72–86. Available at:
918 <http://dx.doi.org/10.1016/j.agee.2013.08.017>.
- 919 Baker, E.F.I. 1978. "Mixed Cropping in Northern Nigeria I. Cereals and Groundnuts."
920 *Experimental Agriculture* 14(4):293–298.
- 921 Baro, M., and T.F. Deubel. 2006. "Persistent hunger: Perspectives on vulnerability, famine,
922 and food security in sub-Saharan Africa." *Annual Review of Anthropology*
923 35(September):521–538.
- 924 Bedeke, S., W. Vanhove, M. Gezahegn, K. Natarajan, and P. Van Damme. 2019. "Adoption
925 of climate change adaptation strategies by maize-dependent smallholders in Ethiopia."
926 *NJAS - Wageningen Journal of Life Sciences* 88(October 2018):96–104. Available at:
927 <https://doi.org/10.1016/j.njas.2018.09.001>.
- 928 Belderbos, R., M. Carree, B. Diederer, B. Lokshin, and R. Veugelers. 2004. "Heterogeneity
929 in R&D cooperation strategies." *International Journal of Industrial Organization* 22(8–
930 9):1237–1263.
- 931 Bello, O.B., O.J. Olawuyi, S.Y. Abdulmalik, S.A. Ige, J. Nahamood, M.A. Azeez, and M.S.
932 Afolabi. 2014. "Yield performance and adaptation of early and intermediate drought-
933 tolerant maize genotypes in Guinea Savanna of Nigeria." *Sarhad Journal of agriculture*
934 30(1):53–66.
- 935 Beyene, A.D., and M. Kassie. 2015. "Speed of adoption of improved maize varieties in
936 Tanzania: An application of duration analysis." *Technological Forecasting and Social*

937 *Change* 96:298–307. Available at: <http://dx.doi.org/10.1016/j.techfore.2015.04.007>.

938 Chesher, A. 2002. “Econometric Theory and Methods (MC3).” (c):1–5.

939 Doss, C.R., and M.L. Morris. 2000. “How does gender affect the adoption of agricultural
940 innovations?” *Agricultural Economics* 25(1):27–39.

941 Emmanuel, D., E. Owusu-Sekyere, V. Owusu, and H. Jordaan. 2016. “Impact of agricultural
942 extension service on adoption of chemical fertilizer: Implications for rice productivity
943 and development in Ghana.” *NJAS - Wageningen Journal of Life Sciences*.

944 di Falco, S., and E. Bulte. 2011. “A dark side of social capital? Kinship, consumption, and
945 savings.” *Journal of Development Studies* 47(8):1128–1151.

946 Ghimire, R., W.C. Huang, and R.B. Shrestha. 2015. “Factors Affecting Adoption of
947 Improved Rice Varieties among Rural Farm Households in Central Nepal.” *Rice Science*
948 22(1):35–43.

949 Goldstein, M., and C. Udry. 2014. “Agricultural Innovation and Resource Management in
950 Ghana Final Report to IFPRI under MP17 Agricultural Innovation and Resource
951 Management in Ghana Final Report to IFPRI under MP17 207 Giannini Hall
952 Department of Economics.” (January 1999).

953 Information, B. 2016. “December 2015 About the Bulletin Nine Seasons of Partnership in
954 Maize Research and Development in Africa : The Legacy of DTMA.” 4(4):1–8.

955 Ito, J., Z. Bao, and Q. Su. 2012. “Distributional effects of agricultural cooperatives in China:
956 Exclusion of smallholders and potential gains on participation.” *Food Policy*.

957 Jansen, H.G.P., J. Pender, A. Damon, and R. Schipper. 2006. “Rural development policies
958 and sustainable land use in the Hillside Areas of Honduras: A quantitative livelihoods
959 approach.” *Research Report of the International Food Policy Research Institute*
960 34(147):1–103.

961 Jellason, N.P., J.S. Conway, and R.N. Baines. 2020. “Understanding impacts and barriers to

962 adoption of climate-smart agriculture (CSA) practices in North-Western Nigerian
963 drylands.” *Journal of Agricultural Education and Extension* 0(0):1–18. Available at:
964 <https://doi.org/10.1080/1389224X.2020.1793787>.

965 Kassie, M., H. Teklewold, M. Jaleta, P. Marennya, and O. Erenstein. 2015. “Understanding the
966 adoption of a portfolio of sustainable intensification practices in eastern and southern
967 Africa.” *Land Use Policy* 42:400–411. Available at:
968 <http://dx.doi.org/10.1016/j.landusepol.2014.08.016>.

969 Kassie, M., H. Teklewold, P. Marennya, M. Jaleta, and O. Erenstein. 2015. “Production Risks
970 and Food Security under Alternative Technology Choices in Malawi: Application of a
971 Multinomial Endogenous Switching Regression.” *Journal of Agricultural Economics*
972 66(3):640–659.

973 Katengeza, S.P., S.T. Holden, and R.W. Lunduka. 2019. “Adoption of Drought Tolerant
974 Maize Varieties under Rainfall Stress in Malawi.” *Journal of Agricultural Economics*
975 70(1):198–214.

976 Khonje, M., J. Manda, A.D. Alene, and M. Kassie. 2015. “Analysis of Adoption and Impacts
977 of Improved Maize Varieties in Eastern Zambia.” *World Development*.

978 Kilic, T., and M. Goldstein. 2013. “Public Disclosure Authorized Caught in a Productivity
979 Trap A Distributional Perspective on Gender Differences in Malawian Agriculture.”
980 (March).

981 Lipper, L., and D. Zilberman. 2017. “Identifying Strategies to Enhance the Resilience of
982 Smallholder Farming Systems: Evidence from Zambia.” :425–441.

983 Liverpool-tasie, L.S.O., B.T. Omonona, A. Sanou, and W.O. Ogunleye. 2017. “Is increasing
984 inorganic fertilizer use for maize production in SSA a profitable proposition ? Evidence
985 from Nigeria.” *Food Policy* 67:41–51. Available at:
986 <http://dx.doi.org/10.1016/j.foodpol.2016.09.011>.

- 987 Ma, W., and A. Abdulai. 2017. "The economic impacts of agricultural cooperatives on
988 smallholder farmers in rural China." *Agribusiness* 33(4):537–551.
- 989 Makate, C., M. Makate, N. Mango, and S. Siziba. 2019. "Increasing resilience of smallholder
990 farmers to climate change through multiple adoption of proven climate-smart agriculture
991 innovations. Lessons from Southern Africa." *Journal of Environmental Management*
992 231(October 2018):858–868. Available at:
993 <https://doi.org/10.1016/j.jenvman.2018.10.069>.
- 994 Meike, W.; M.Z. 2007. "Farmers Benefit from Participating in Specialty Markets and
995 Cooperatives." *Agricultural Economics (United Kingdom)* 37:243–248.
- 996 Morse, S., and N. McNamara. 2003. "Factors affecting the adoption of leguminous cover
997 crops in Nigeria and a comparison with the adoption of new crop varieties."
998 *Experimental Agriculture* 39(1):81–97.
- 999 Muriithi, B.W., K. Menale, G. Diiro, and G. Muricho. 2018. "Does gender matter in the
1000 adoption of push-pull pest management and other sustainable agricultural practices?
1001 Evidence from Western Kenya." *Food Security* 10(2):253–272.
- 1002 Nakano, Y., T.W. Tsusaka, T. Aida, and V.O. Pede. 2018. "Is farmer-to-farmer extension
1003 effective? The impact of training on technology adoption and rice farming productivity
1004 in Tanzania." *World Development* 105:336–351. Available at:
1005 <https://doi.org/10.1016/j.worlddev.2017.12.013>.
- 1006 Ndiritu, S.W., M. Kassie, and B. Shiferaw. 2014. "Are there systematic gender differences in
1007 the adoption of sustainable agricultural intensification practices? Evidence from Kenya."
1008 *Food Policy* 49(P1):117–127.
- 1009 Nigussie, Z., A. Tsunekawa, N. Haregeweyn, E. Adgo, M. Nohmi, M. Tsubo, D. Aklog, D.T.
1010 Meshesha, and S. Abele. 2017. "Factors influencing small-scale farmers' adoption of
1011 sustainable land management technologies in north-western Ethiopia." *Land Use Policy*

- 1012 67(May 2016):57–64.
- 1013 Oladimeji, T.E., O. Oyinbo, A.A. Hassan, and O. Yusuf. 2020. “Understanding the
1014 interdependence and temporal dynamics of smallholders’ adoption of soil conservation
1015 practices: Evidence from Nigeria.” *Sustainability (Switzerland)* 12(7).
- 1016 Olagunju, K.O., A.I. Ogunniyi, B.A. Awotide, A.H. Adenuga, and W.M. Ashagidigbi. 2020.
1017 “Evaluating the distributional impacts of drought-tolerant maize varieties on
1018 productivity and welfare outcomes: an instrumental variable quantile treatment effects
1019 approach.” *Climate and Development* 12(10):865–875. Available at:
1020 <https://doi.org/10.1080/17565529.2019.1701401>.
- 1021 Onyeneke, R.U., C.O. Igberi, C.O. Uwadoka, and J.O. Aligbe. 2018. “Status of climate-smart
1022 agriculture in southeast Nigeria.” *GeoJournal* 83(2):333–346.
- 1023 Oyetunde-Usman, Z., O.R. Ogunpaimo, K.O. Olagunju, O.I. Ambali, and W.M. Ashagidigbi.
1024 2021. “Welfare Impact of Organic Fertilizer Adoption: Empirical Evidence From
1025 Nigeria.” *Frontiers in Sustainable Food Systems* 5(July):1–17.
- 1026 Quaye, W., M. Fuseini, P. Boadu, and N.Y. Asafu-Adjaye. 2019. “Bridging the gender gap in
1027 agricultural development through gender responsive extension and rural advisory
1028 services delivery in Ghana.” *Journal of Gender Studies* 28(2):185–203. Available at:
1029 <https://doi.org/10.1080/09589236.2017.1419941>.
- 1030 Ragasa, C., G. Berhane, F. Tadesse, and A.S. Taffesse. 2013. “Gender Differences in Access
1031 to Extension Services and Agricultural Productivity.” *Journal of Agricultural Education
1032 and Extension* 19(5):437–468. Available at:
1033 <http://dx.doi.org/10.1080/1389224X.2013.817343>.
- 1034 Rahman, S., and S. Akter. 2014. “Determinants of Livelihood Choices: An Empirical
1035 Analysis from Rural Bangladesh.” *Journal of South Asian Development* 9(3):287–308.
- 1036 Rahman, S., and B.O. Awerije. 2015. “Technical and scale efficiency of cassava production

1037 system in Delta state, Nigeria: An application of two-stage DEA approach.” *Journal of*
1038 *Agriculture and Rural Development in the Tropics and Subtropics* 116(1):59–69.

1039 Roodman, D. 2011. “Fitting fully observed recursive mixed-process models with cmp.” *Stata*
1040 *Journal* 11(2):159–206.

1041 Sequences, H., M.L. Author, B.P. Date, P. Info, U.C.B. Permalink, and H. Abstract. 2000.
1042 “Department of Economics, UCB UC Berkeley.”

1043 Shee, A., S. Mayanja, E. Simba, T. Stathers, A. Bechoff, and B. Bennett. 2019.
1044 “Determinants of postharvest losses along smallholder producers maize and Sweetpotato
1045 value chains: an ordered Probit analysis.” *Food Security* 11(5):1101–1120.

1046 Shiferaw, B., M. Kassie, M. Jaleta, and C. Yirga. 2014. “Adoption of improved wheat
1047 varieties and impacts on household food security in Ethiopia.” *Food Policy* 44:272–284.
1048 Available at: <http://dx.doi.org/10.1016/j.foodpol.2013.09.012>.

1049 State, N., N.R. Management, and O. State. 2015. “A Comparative Study of Production
1050 Efficiencies Under Cowpea-Maize and Groundnut- Millet Intercropping Systems In The
1051 North Central Zone, Nigeria Onuk, E.G. 1 , Alimba, J.O. 2 and R. Kasali 3.” 11(2):108–
1052 121.

1053 Teklewold, H., M. Kassie, and B. Shiferaw. 2013. “Adoption of multiple sustainable
1054 agricultural practices in rural Ethiopia.” *Journal of Agricultural Economics* 64(3):597–
1055 623.

1056 Teklewold, H., M. Kassie, B. Shiferaw, and G. Köhlin. 2013. “Cropping system
1057 diversification, conservation tillage and modern seed adoption in Ethiopia: Impacts on
1058 household income, agrochemical use and demand for labor.” *Ecological Economics*
1059 93:85–93. Available at: <http://dx.doi.org/10.1016/j.ecolecon.2013.05.002>.

1060 Theriault, V., M. Smale, and H. Haider. 2017. “How Does Gender Affect Sustainable
1061 Intensification of Cereal Production in the West African Sahel? Evidence from Burkina

1062 Faso.” *World Development* 92:177–191. Available at:
1063 <http://dx.doi.org/10.1016/j.worlddev.2016.12.003>.

1064 Training, D., and I.D. Bank. 2019. *Climate-Smart Agriculture in action : from concepts to*
1065 *investments*.

1066 Verkaart, S., B.G. Munyua, K. Mausch, and J.D. Michler. 2017. “Welfare impacts of
1067 improved chickpea adoption: A pathway for rural development in Ethiopia?” *Food*
1068 *Policy* 66:50–61. Available at: <http://dx.doi.org/10.1016/j.foodpol.2016.11.007>.

1069 Wainaina, P., S. Tongruksawattana, and M. Qaim. 2016. “Tradeoffs and complementarities in
1070 the adoption of improved seeds, fertilizer, and natural resource management
1071 technologies in Kenya.” *Agricultural Economics (United Kingdom)* 47(3):351–362.

1072 Wollni, M., D.R. Lee, and J.E. Thies. 2010. “Conservation agriculture, organic marketing,
1073 and collective action in the Honduran hillsides.” *Agricultural Economics* 41(3–4):373–
1074 384.

1075 Wossen, T., T. Abdoulaye, A. Alene, S. Feleke, A. Menkir, and V. Manyong. 2017a.
1076 “Measuring the impacts of adaptation strategies to drought stress: The case of drought
1077 tolerant maize varieties.” *Journal of Environmental Management*.

1078 Wossen, T., T. Abdoulaye, A. Alene, S. Feleke, A. Menkir, and V. Manyong. 2017b.
1079 “Measuring the impacts of adaptation strategies to drought stress: The case of drought
1080 tolerant maize varieties.” *Journal of Environmental Management*.

1081 Wossen, T., T. Abdoulaye, A. Alene, M.G. Haile, S. Feleke, A. Olanrewaju, and V.
1082 Manyong. 2017. “Impacts of extension access and cooperative membership on
1083 technology adoption and household welfare.” *Journal of Rural Studies* 54:223–233.
1084 Available at: <http://dx.doi.org/10.1016/j.jrurstud.2017.06.022>.
1085

