

1 **Exploring the complex relationships between food loss and waste, climate change and the**  
2 **environment to support informed sustainable food system transformation decisions with**  
3 **a focus on sub-Saharan Africa**

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8 *Abstract*

9 Food loss and waste (FLW) reduction is key to transforming food systems to deliver food security,  
10 while responding to climate change and reducing other environmental impacts. Food production and  
11 postharvest systems differ with location, reflecting the diversity of agro-ecological and socio-  
12 economic environments and the drivers influencing them. The interaction between drivers and  
13 environments, practices and products influence food systems and their related greenhouse gas  
14 emissions and other environmental impacts. These factors also influence the level of food loss  
15 during or after harvest or food waste at retail or consumer level. This think-piece examines the inter-  
16 relationships between climatic change, environment, and FLW within a broader food systems  
17 framework. We use the case study of maize in Malawi to explore these relationships. This analysis  
18 unpacks the issues and suggests an approach for supporting decision-makers in making a more  
19 informed assessment of how to achieve FLW reduction, taking the complexity of food systems, their  
20 multiple drivers of change, diverse stakeholder interests/ influence and the need to operate with  
21 very incomplete knowledge into account.

22 *Keywords:* food loss and waste, postharvest loss, environmental impact, trade-offs, carbon footprint,  
23 sub-Saharan Africa

24

25 **1. Introduction**

26 Our food systems are a major cause of climate change, land use change, natural resource depletion  
27 and degradation, pollution and biodiversity loss. Human population and income growth projections  
28 suggest that the environmental effects of our food system could be 50–90% greater in 2050  
29 compared to 2010, taking us beyond the planetary boundaries that have been defined as a safe  
30 operating space for humanity (Springmann et al., 2018, HLPE, 2020).

31 Despite these environmental impacts, estimates suggest that more than one third of the food  
32 produced on our planet is lost or wasted in the food system (WWF-UK, 2021; UNEP, 2021). Food loss  
33 and waste (FLW) reduction is now identified in global analyses as a key opportunity to help  
34 transform food systems to deliver food security, while responding to climate change, reducing  
35 environmental impacts and contributing to several other Sustainable Development Goals (SDGs)  
36 (Springmann et al., 2018, Smith et al., 2020, HLPE, 2020; Project Drawdown, undated). In 2015,  
37 world leaders “committed” to reducing FLW globally by 2030 (SDG 12.3) and in 2014, Sub-Saharan  
38 African (SSA) leaders committed to halving postharvest losses (PHLs) by 2025 (African Union Malabo  
39 Declaration 3.3b).

40 This article explores aspects of the complex relationships between climatic change, environment,  
41 and FLW within a broader food systems framework, and with a particular focus on Malawi and SSA  
42 where climate change, environmental change and food security and nutrition are major issues. This  
43 exploration aims to contribute to an approach for supporting decision-makers in making an informed  
44 assessment of what is needed to achieve FLW reduction, taking the complexity of food systems,  
45 their multiple drivers of change, diverse stakeholder interests/ influence and the significant existing  
46 knowledge gaps into account.

47 **2. Conceptualising Food Systems**

48 Food production and postharvest systems differ over space and time, reflecting diverse agro-  
49 ecological and socio-economic environments and the drivers influencing them. Interactions between  
50 the drivers and environments, practices and products influence food-related greenhouse gas (GHG)  
51 emissions and other environmental impacts. These factors also determine FLW.

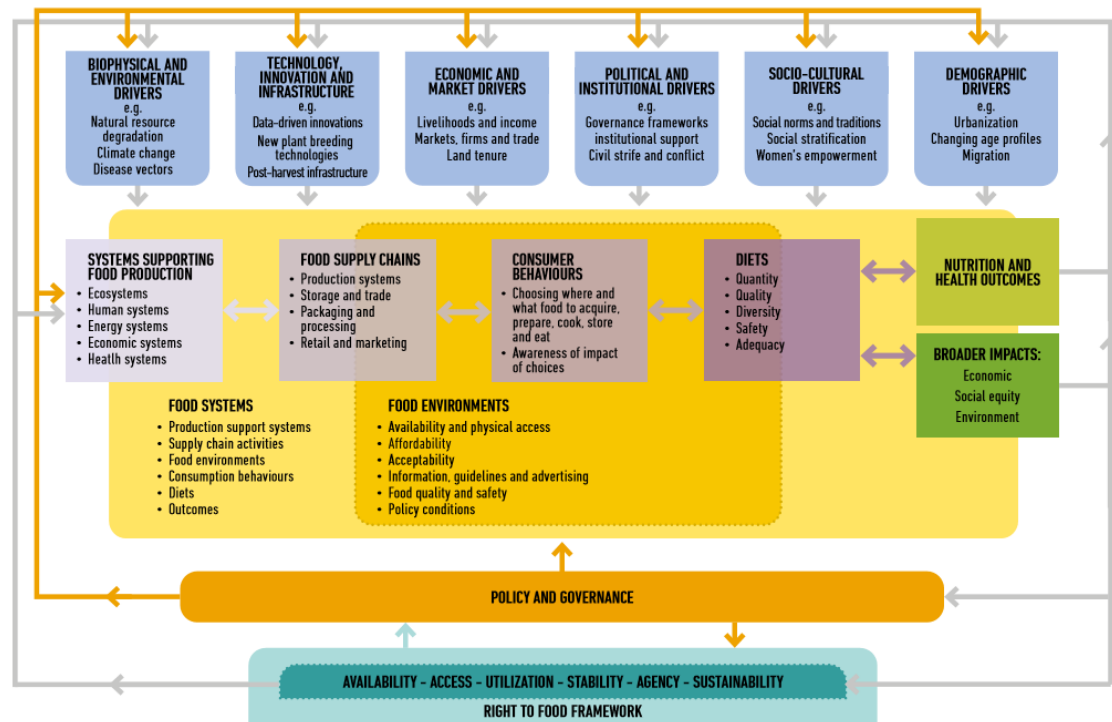
52 Several frameworks have been developed to help visualize and analyze these complex, diverse,  
53 interconnected and often nested food systems, each of which emphasises different dimensions. The  
54 High-Level Panel of Experts (HLPE) 2020 report on Food Security and Nutrition (Figure 1) emphasizes  
55 food and nutrition outcomes. The Economics of Ecosystems and Biodiversity (TEEB) AgriFood  
56 framework highlights the role of the existing natural, produced, human and social capital base in  
57 shaping the flows, outcomes and impacts of food systems(see supplementary information Figure  
58 S1). Both frameworks identify separate activity stages within the food supply chain or agri-value  
59 chain. FLW can occur for different reasons during these different activities, and will differ by place,  
60 product, practice, environmental conditions, timing, and intended use (Stathers et al., 2013). A  
61 recent think-piece by the World Bank illustrated the reducing quantities of food remaining along the  
62 supply chain, while identifying key policy objectives and possible policy inputs for reducing FLW  
63 (World Bank, 2020).

64 **3. Food Loss and Waste, Climate Change and the Environment in a Food Systems context:  
65 focus on Malawi and Sub-Saharan Africa more broadly**

66 Drawing on the HLPE and TEEB conceptual frameworks, we explore the different capital stocks or  
67 assets, trends and drivers of change in food systems and how they impact on, and are themselves  
68 impacted on, by FLW. To ground this exploration, we focus on Malawi specifically and extrapolate to

69 SSA more broadly. To contextualise the linkages between FLW, climate change and the environment  
 70 in Malawi, we begin by examining the key assets, trends and drivers influencing their food systems  
 71 using the following clusters: biophysical and environmental; demographic; technology, innovation  
 72 and infrastructure; economic and market; political and institutional; and socio-cultural.

### SUSTAINABLE FOOD SYSTEM FRAMEWORK



73  
 74 **Figure 1 The Sustainable Food System Framework** (Source: HLPE, 2020)

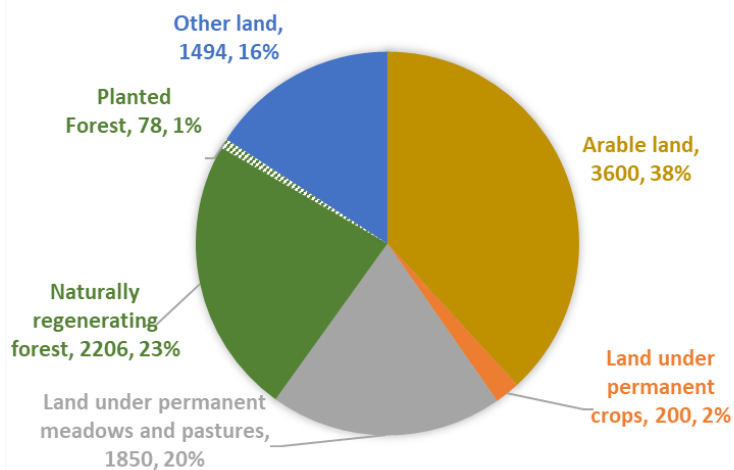
75  
 76 *3.1 Biophysical and environmental food system assets, trends and drivers*

77 **Forest loss and degradation:** Between 1972-1992, over half of Malawi's original forests were lost  
 78 (World Bank, 2019). While new forests have been established through afforestation, regeneration  
 79 and reforestation (resulting in net loss of 5% (1972 to 2009) (Bone et al., 2017)), there are inevitably  
 80 major differences in terms of biodiversity. From 1991 to 2010, Malawi's natural forest cover declined  
 81 by 9%, while the land area allocated to agriculture grew by 9% (Vargas & Omuto, 2016). Much of the  
 82 forest loss has been driven by agricultural expansion.

83 Degradation of forests has also occurred due to overharvesting of firewood and charcoal  
 84 (supplementary Figure S2), which accounts for a much larger share of forest-sourced emissions than  
 85 forest clearance and conversion (World Bank, 2019).

86 **Land use and degradation:** Agriculture accounts for 60% of Malawi’s total land area (FAOSTAT,  
 87 2022) (Figure 2) and most suitable land is already being cultivated (Li et al., 2021). Smallholders  
 88 produce 90%+ of the maize produced (Lindsjö et al., 2021); and this crop occupies 80% of  
 89 smallholder-cultivated land (IFAD, 2011 in Aberman et al., 2015). Land degradation is widespread,  
 90 with up to 60% of land affected by soil erosion and nutrient loss (Mungai et al., 2016; Snapp, 1998,  
 91 World Bank, 2019, Li et al., 2021).

92 **Outcomes of these land and**  
 93 **forest trends:** Soil loss  
 94 contributes to agricultural yield  
 95 losses of 4-25% and food  
 96 shortages (World Bank, 2019).  
 97 Forest loss translates into losses  
 98 of habitats, biodiversity,  
 99 medicinal plants, timber and non-  
 100 timber products, and food. This is  
 101 particularly detrimental for  
 102 poorer households who depend  
 103 on them for dietary diversity  
 104 (Vargas and Omuto, 2016;  
 105 Mulungu and Manning, 2019; Hall  
 106 et al., 2019).



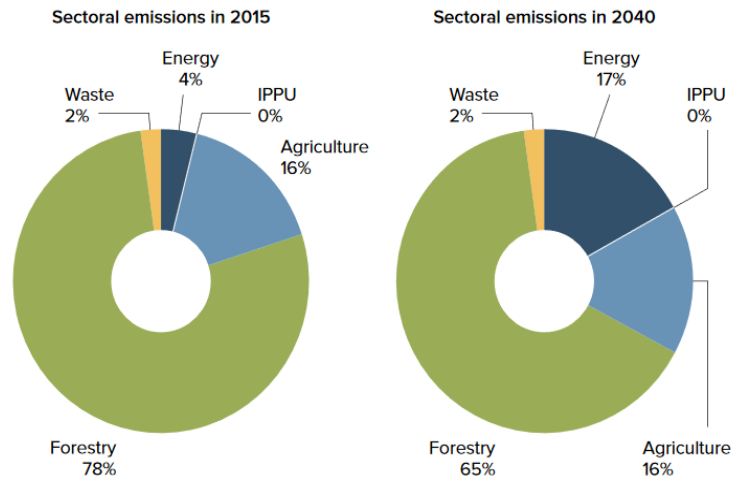
**Figure 2 Land use in Malawi in 2019 (1,000ha)** [Country total area = 11,848,000 ha (including 2,420,000ha inland waters)] (Source: FAOSTAT, 2022)

107 **Biomass energy:** Firewood, charcoal, and crop residues are the main sources of energy for 98% of  
 108 the population, being used primarily for cooking along with activities such as tobacco curing and  
 109 brick burning. Households use 92% of Malawi’s biomass energy (GOM, 2009).

110 **Declining terrestrial and aquatic biodiversity.** Although protected areas account for over 10% of  
 111 Malawi’s area, and despite biodiversity’s significant contribution to the economy and livelihoods,  
 112 biodiversity is generally declining (GoM, 2015a). Ensuring sustainable use of natural resources while  
 113 addressing poverty and identifying alternative livelihoods is a major challenge, alongside weak  
 114 institutions, programme implementation and lack of a legislative framework around biodiversity  
 115 (GoM, 2015a).

116 **Water availability:** Malawi has the lowest water availability per capita of its neighbouring countries  
 117 and this is rapidly decreasing (World Bank, 2019). With less than 1,400m<sup>3</sup>/year of available total  
 118 renewable water resources per person, Malawi is one of the world’s most water stressed countries  
 119 (Fraser et al., 2018).

120 **GHG emissions:** By global standards Malawi’s GHG emissions are very low at ~0.1 tons carbon  
 121 dioxide equivalent (CO<sub>2</sub>e) per capita (World Bank, 2019). The main sectors contributing to GHG  
 122 emissions are agriculture (16%), forestry and other land use (AFOLU) (78%) and energy (4%).  
 123 Between 2015 and 2040, Malawi’s total annual GHG emissions are expected to rise by around 38%  
 124 with the proportion of emissions from energy expected to increase, and from forestry to decrease  
 125 (GOM, 2015b; World Bank, 2019) (Figure 3).



126

127 **Figure 3 Malawi's GHG emission profile 2015 and projected profile for 2040** (Source: GoM, 2015b  
 128 in World Bank, 2019)

129 **Climate change:** Malawi's climate is relatively dry and strongly seasonal, with 95% of annual rainfall  
 130 occurring during the warm-wet season (November to April). Mean annual temperature increased by  
 131 0.9°C from 1960 to 2006; alongside an increase in hot days and hot nights. Year-to-year variability in  
 132 rainfall is too high to identify long term trends (McSweeney et al., 2010). Malawi is highly vulnerable  
 133 to shocks such as, droughts, floods and extreme storms. These shocks have a major influence on the  
 134 economy and levels of poverty (two thirds of households have moved in and out of poverty in the  
 135 period since 1998) (PVA, 2007).

136 Future climatic projections include an increase in mean annual temperatures by 1.1 to 3.0°C by the  
 137 2060's, and by 1.5 to 5.0°C by the 2090's. Monthly rainfall changes are uncertain, however, all  
 138 models consistently project increases in the proportion of rainfall falling in heavy events. Climate  
 139 change made extreme rainfall heavier and more likely to happen during several back-to-back storms  
 140 and cyclones in early 2022 (Otto et al., 2022). The number of days of consecutive dry spell is very  
 141 critical given the agricultural dependence of the nation.

142 Malawi's agri-food system is characterized by a high degree of uncertainty and volatility. It is highly  
 143 reliant on rainfed smallholder agricultural production, particularly of maize. It is therefore highly  
 144 vulnerable to weather and other ecological pressures e.g., fall armyworm (White, 2019). Interactions  
 145 between ecosystems, transboundary impacts and the socio-economics of the agricultural sector  
 146 threaten the wider stability of the food system (Warnatzsch and Reay, 2020).

147 Projections regarding the impact of climate change and variability (CC&V) vary widely, from a  
 148 decrease in maize yield of up to 14% to an increase of up to 25% by 2050, depending on assumptions  
 149 made in terms of future climate and in crop modelling (Warnatzsch and Reay, 2020). As well as  
 150 production, the postharvest systems and levels of FLW will be affected by CC&V and the responses  
 151 to it (Stathers et al., 2013).

152 The environmental challenges are complex and interrelated, with underlying and proximate drivers  
 153 influencing the natural capital base.

154

155 *3.2 Demographic food system assets, trends and drivers*

156 Between 2008-2018, Malawi's population increased by 35% to 17,563,749 and is expected to double  
157 by 2042. The population is very young, with two thirds of people under 24 years and a median age of  
158 17 years (NSO, 2008; NSO, 2019).

159 Population density is 186 people/sq km. The average area of land per household was 1.4 acres in  
160 2016/17 (NSO, 2017). An increasing share of rural households are becoming deficit producers of  
161 staple food. Only 16% of the population live in urban areas; a marginal increase from 14.4 percent in  
162 1998 (NSO, 2019). Inadequate consumption of food was reported by 64% of the population in  
163 2016/17 (69% in rural areas) (NSO, 2017).

164 Malawi is listed as a Low-Income Food-Deficit Country (LIFDC) by the United Nations, with high levels  
165 of poverty, malnutrition, and undernutrition. Wealth per capita (in terms of capital assets) is low  
166 compared to other low-income countries and SSA. Malawi is still highly dependent on its natural  
167 capital, which remained constant at 43% from 1995 to 2014, while human capital increased only  
168 slightly and produced capital shrank (World Bank, 2019)

169

170 *3.3 Technology, innovation and infrastructure food system assets, trends and drivers*

171 Agricultural technology and innovation processes have focused heavily on increasing crop  
172 productivity, particularly the development and promotion of maize hybrids in conjunction with  
173 inorganic fertilizer.

174 There has been relatively little investment in postharvest agricultural interventions such as trials on  
175 new storage technologies (protectants, hermetic bags etc.), cassava processing. Systematic reviews  
176 on PHL reduction interventions across SSA highlight how attention has been focused on cereals,  
177 particularly maize, and on the household-level storage stage (Stathers et al., 2020; Affognon et al.,  
178 2015).

179 Poor infrastructure, uneven and deteriorating power access, exacerbate volatility and vulnerability  
180 of the (maize-based) food system (White, 2019). Energy use within Malawi's food system is highly  
181 dependent on natural capital. Transport costs are high, with explanations including powerful  
182 trucking lobbies and minimal competition (Roberts and Vilakazi (2016) in White, 2019).

183 Smallholders are perceived to lack on-farm storage infrastructure, but postharvest knowledge and  
184 skills alongside appropriate storage infrastructure are key. Farmers who lack good storage facilities  
185 or skills, or need to repay debts, commonly sell much of what they produce soon after harvest and  
186 then later need to buy food. As farmers increasingly enter markets to purchase food, national food  
187 supplies decrease and prices increase (Cornia et al., 2012; Jayne et al., 2010 in White, 2019).

188 ICTs are expanding, but capacity and use is highly variable. There is a major infrastructure deficit, for  
189 example the overall electricity access rate was only 11.2% in 2019 (4.1% in rural areas). While the  
190 mobile sector has grown rapidly, reaching over 90% mobile coverage in 2016, high taxes and prices  
191 have contributed to only 36.6 % of Malawians owning mobile devices (FAO and ITU, 2022).

192

193 *3.4 Economic and market food system assets, trends and drivers*

194 The economy is highly dependent on agriculture for exports (80-90%) and employment (77%), with  
195 agriculture contributing 26% of GDP in 2019 (<http://wdi.worldbank.org>). Agriculture is the main  
196 livelihood activity in Malawi (NSO, 2019).

197 Agricultural input markets are particularly geared towards the supply of hybrid maize seed and  
198 inorganic fertilizer. Postharvest inputs such as grain protectants are available, but affordability is an  
199 issue. Agricultural output markets are also geared towards maize for the domestic market. Tobacco  
200 accounts for 50% of all exports. Groundnuts are sold to domestic and regional markets, but aflatoxin  
201 risks destroyed their higher value export markets. Many policy advisors consider improving the  
202 performance of maize input and output markets essential for achieving food security in Africa  
203 (White, 2019).

204 Land tenure is a key but very complex and sensitive issue. Expansion of medium-sized farms is  
205 occurring in association with urban expansion and land acquisition by elites. There is uncertainty as  
206 to whether customary tenure reforms such as the Customary Land Act (2016) will hinder or further  
207 boost this development through privatisation of land rights and land market development (Holden,  
208 2020).

209

### 210 *3.5 Political and institutional food system assets, trends and drivers*

211 While the government is responsible for setting public policy goals and targets, donors significantly  
212 influence policy design and implementation. Dominant narratives include i) food security being  
213 equated with maize consumption (Smale, 1995 in Sutcliffe et al., 2016), ii) the need to make  
214 agriculture climate resilient, iii) agriculture as part of a broader economic development focus  
215 (Chinsinga et al, 2012) and iv) the role of small-scale family farms, which is a long-standing policy  
216 debate.

217 Policy implementation, however, is dominated by agricultural input subsidies, mainly fertilizer and  
218 maize seed, aiming to bring about food self-sufficiency. Currently there is no subsidy on postharvest  
219 technologies, a subsidy on grain protectants was stopped in 2012 after just 2-3 seasons (Singano –  
220 Chitedze postharvest researcher - pers. comms.).

221 The Farm Input Subsidy Programme (FISP) used 50-75% of the agricultural budget, with mixed  
222 results and suspicions of graft (Schiesari et al., 2016; White, 2019). A new Agricultural Subsidy  
223 Programme introduced by the government in 2020, utilised 78% of the Ministry of Agriculture's  
224 budget in the 2020/21 season. Funding of extension services has declined from 19% to <2% of the  
225 agricultural budget between 2000 and 2013 (Ragasa and Mazunda, 2018). The National Agriculture  
226 Policy (NAP) states "Malawi has over-concentrated on maize self-sufficiency for food" (MoAIWD,  
227 2016), but the government continues to fund a maize-centred input subsidy programme. Many  
228 observers attribute this to lawmakers feeling they are politically bound to subsidies (Chinsinga and  
229 Poulton, 2014).

230 The NAP includes the policy statement "Reduce pre and postharvest losses and enhance quality of  
231 agricultural products". However, it is not clear to what extent previous PHL management policy gaps  
232 in Malawi have been addressed, including policies being developed without a scientific evidence-  
233 base and not being harmonized, lack of climate-based scenarios for early warning systems and  
234 guidance; lack of monitoring and evaluation of implementation and effectiveness (Donga, 2014).  
235 Postharvest handling is missing from most Southern Africa Development Community (SADC) regional  
236 policies on managing climatic risk in climate disaster prone areas, and a need to facilitate  
237 stakeholder collective action and institutional coordination has been identified (Donga, 2014).

238

239 *3.6 Socio-cultural food system assets and drivers*

240 Maize in Malawi is imbued with cultural meanings that celebrate, enact, and reinforce local identity  
241 (Kampanje-Phiri, 2016). Maize is the preferred staple and commonly eaten as stiff porridge known as  
242 *nsima*. The centrality of maize to economic, social, and wellbeing is reflected in the Chewa maxim,  
243 '*Chimanga ndi moyo: Maize is life*' (White, 2019). Post-independence, from 1964 to 1994, President  
244 Banda, used maize-based food security as a means of exerting control, but in ways linked tightly to  
245 Malawian culture (Kampanje-Phiri, 2016; White, 2019).

246 Maize consumption accounts for three-quarters of the dietary energy, iron, and zinc availability and  
247 two-thirds of protein availability across both seasons. This reflects the large share of maize  
248 consumed relative to other foods in the diet. Maize, particularly in the form of maize flour,  
249 dominates collective perceptions of household food security. It is seen as a requirement, whereas  
250 other preferred food items may be viewed as luxuries (Gelli et al., 2019).

251 Gender inequality and a range of power imbalances have a profound impact on food systems and  
252 social and environmental outcomes in Malawi (Njuki et al., 2021; Bezner-Kerr et al., 2019).

253

254 *4. Environmental impacts on and of food loss and waste*

255 *4.1 Climate change impacts on postharvest aspects of food systems*

256 Understanding and modelling the effects of climate change on biodiversity, agriculture and other  
257 ecosystem services has been the focus of extensive research. For agriculture, this focus has  
258 predominantly been on the preharvest stages, particularly projected impacts on yields, crop  
259 suitability and livelihoods. There has been limited consideration of the impacts on postharvest  
260 stages (Stathers et al., 2013; Adler et al., 2022; Gerken and Morrison, 2022).

261 This knowledge gap triggered a think-piece on postharvest agriculture in changing climates. Using  
262 five climate change trends relevant to different parts of SSA (general increase in temperature; more  
263 frequent occurrence of dry spells and droughts; more frequent occurrence of high winds, storms,  
264 heavy precipitation events and flooding; more erratic rainfall; increased rainfall amount and/or  
265 duration), Stathers et al. (2013) developed a framework to analyse the impacts on, adaptation  
266 opportunities for and factors influencing adaptive capacity of grain crop postharvest systems for the  
267 key postharvest activities, assets and associated human well-being outcomes.

268 The analysis for 'a general increase in temperature', highlights how this could lead to increased rates  
269 of crop drying in field and at the homestead, more rapid multiplication and build-up of insect pest  
270 populations in stored products, increased carryover of field and storage pests and disease between  
271 seasons etc. (Figure 4). It then envisages how these changes might impact postharvest assets of rural  
272 households. For example, what an increase in temperature might mean for labour productivity  
273 during harvest and threshing, what increased damage to home-stored seed might mean for locally  
274 adapted varieties and biodiversity, for traditional food safety nets and food price volatility. Then,  
275 how these impacts might affect human well-being outcomes. Might higher damage and losses to  
276 stored grain and seed result in reduced quantities and qualities of food? Might some households  
277 have to sell off productive assets to cope? Might some food environments shift from being  
278 predominantly self-cultivated and market-based towards greater dependency on non-market  
279 sources and food donations with increased food relief costs? To address these postharvest-related  
280 impacts, adaptation opportunities were identified. Many of which can be classified as 'no regrets'  
281 actions (justified whether natural hazard events or climate change take place or not), and are  
282 already well-known but not yet in use at scale. That led in to an analysis of what is needed to  
283 strengthen postharvest aspects of the agricultural innovation system to strengthen postharvest



284 adaptive capacity. Understanding how complex systems adapt and transform is needed for  
 285 developing climate resilience adaptation strategies (Nelson et al., 2007).

Possible impacts of a **general increase in temperature** on postharvest systems of durable crops

Impact on post-harvest activities	Impact on rural households' post-harvest assets	Impact on human well-being outcomes
<p><b>Harvesting and drying</b></p> <ul style="list-style-type: none"> <li>Increased rate of crop drying, in field and at homestead</li> <li>Increased fire risk of the mature crop</li> </ul> <p><b>Pest &amp; disease management</b></p> <ul style="list-style-type: none"> <li>Faster reproduction of insect pests and diseases (shorter lifecycles due to higher temperatures) leading to more rapid build-up of insects and fungi in stored produce</li> <li>Increased risk of fungal rot and mycotoxin contamination of stored products</li> <li>Pest and disease territories expand e.g. to higher altitudes or previously cooler areas</li> <li>Efficacy of some grain protectant active ingredients decrease and others increase</li> </ul> <p><b>Storing</b></p> <ul style="list-style-type: none"> <li>Higher pest incidence and carry-over during 'cold season' increases the need for thorough storage structure hygiene and management of residual infestation prior to storing new crop</li> <li>Increased pest reproduction and mobility leading to need to re-winnow, sort and re-treat grain midway through storage period</li> <li>Increased moisture migration and condensation resulting in rotting zones in grain bulks with excess free moisture</li> <li>Increased risk of reduced seed viability especially for some legumes, e.g. groundnuts</li> </ul>	<p><b>Human</b></p> <ul style="list-style-type: none"> <li>Labour productivity reduced by: heat stress, reduced quality of diet and increased health risks due to more damaged produce, higher mycotoxin contamination and increased food prices</li> <li>Changes in post-harvest labour calendar due to faster crop drying</li> </ul> <p><b>Natural</b></p> <ul style="list-style-type: none"> <li>Crop varietal biodiversity loss if pests destroy stored grain/seed</li> </ul> <p><b>Physical</b></p> <ul style="list-style-type: none"> <li>Construction of traditional drying platforms and storage structures more difficult due to gradual loss of bio-sources</li> </ul> <p><b>Social</b></p> <ul style="list-style-type: none"> <li>Traditional food safety nets may not cope with the increased demands placed on them</li> <li>Greater fluctuations in seasonal grain prices may act as an incentive for traders to store more grain</li> </ul> <p><b>Financial</b></p> <ul style="list-style-type: none"> <li>Stored produce increases in value as prices become higher and more volatile, resulting in households attempting longer storage periods to ensure either greater profit or reduced expenditure on food</li> </ul>	<p><b>Food security</b></p> <ul style="list-style-type: none"> <li>Reduced quality and quantity of food due to increased PH damage and loss [H, L, N]</li> <li>Increased dependency on non self-produced food [H, L] and imported food [N]</li> </ul> <p><b>Social</b></p> <ul style="list-style-type: none"> <li>Sale of productive assets (erosion of coping strategies) [H]</li> <li>Erosion of traditional social safety nets, as demands on them increase [L]</li> <li>Decreased investment in human capital (e.g. education, health and nutrition) [H, L, N, G]</li> <li>Reduced self-esteem, independence or human dignity associated with receiving food aid when there is food shortage [H, L, N]</li> </ul> <p><b>Financial and economic</b></p> <ul style="list-style-type: none"> <li>Soaring costs of food relief and safety net programmes [L, N, G]</li> <li>Resources withdrawn from long-term plans to meet short-term emergency needs, undermining economic growth and development [L, N, G]</li> <li>Rising food import bills [N]</li> <li>Re-orientation of public and private sector investments towards mitigating and adapting to climate change [N]</li> </ul>

Key: PH= post-harvest, H= Household level; L= Local level; N= National level; G= Global level  
 Postharvest activities: Harvesting and drying; Primary processing (shelling, threshing, dehulling); Pest and disease management; Storing; Secondary processing; Transporting; Marketing; and Utilisation.

Postharvest agricultural **adaptation** to climate change

Climate-smart post-harvest agricultural adaptation opportunities
<ul style="list-style-type: none"> <li>Growing and/or storing crops and varieties which are less susceptible to post-harvest pest attack;</li> <li>Prompt harvesting;</li> <li>Adequate and protected drying;</li> <li>Maintenance of the physical storage structures;</li> <li>Careful store cleaning and hygiene;</li> <li>Accurate estimation of food stock requirements;</li> <li>Protection and monitoring of grain to be stored for more than three months;</li> <li>Use of low GHG emission food preparation methods;</li> </ul>
Factors influencing the adaptive capacity of postharvest systems
<ul style="list-style-type: none"> <li>Innovation system functioning</li> <li>Interconnectivity of CC and other stressors</li> <li>Agricultural knowledge management and learning processes (advisory services, invisibility, gender and diversity, education &amp; training, research priorities)</li> <li>Crop diversity and resilience</li> <li>Enabling environment (policy, regulation, politics)</li> </ul>

286

287 **Figure 4 Possible impacts of 'a general increase in temperature' on grain postharvest systems and**  
 288 **potential adaptation opportunities.** Source: adapted from Stathers et al., 2013.

289 That think-piece spawned research in Malawi and Zimbabwe with smallholder farming communities'  
 290 and their service providers. Participatory field studies explored climate impacts and linkages,  
 291 identified postharvest management interventions effective in different agro-climatic conditions and  
 292 approaches for strengthening learning and capacity around climate-resilient grain postharvest  
 293 systems, alongside laboratory studies on the effects of warming on grain protection (Mlambo et al.,  
 294 2017, 2018; Mubayiwa et al., 2018, 2021; Singano et al., 2019, 2020; Nyabako et al., 2020b).

295 Agro-climatic conditions also influence the growth of certain fungi on food crops such as maize and  
 296 groundnuts to produce toxic secondary metabolites called mycotoxins. Consumption of mycotoxin-  
 297 contaminated produce causes symptoms ranging from immune deficiency, stunting, organ failure,  
 298 cancer, to death (Udomkun et al., 2017), and crop yields can be affected (Magan et al., 2011).  
 299 Aflatoxin levels in on-farm stored maize samples collected from smallholder farmers in Malawi were  
 300 on average higher in areas with a higher annual mean temperature, this trend was not observed for  
 301 fumonisin (Ng'ambi et al., 2022). Climate change is expected to affect the geographic distribution,  
 302 type, and concentration of mycotoxins (Paterson and Lima, 2010). Models are being developed to  
 303 provide agro-climatic mycotoxin risk warnings to support more targeted monitoring (Keller et al.,  
 304 2022). Using projected climate trends, Warnatzsch et al. (2020) modelled aflatoxin contamination  
 305 risks for two varieties and three planting dates across Malawi. Their results suggest future climatic  
 306 changes will shorten maize growing seasons leading to earlier harvesting for short and long maturity  
 307 varieties and increased risk of pre-harvest aflatoxin B1 contamination in all regions of Malawi.  
 308 Where drying or storage conditions are poor such fungi can continue growing and metabolizing

309 toxins after harvest (Channaiah and Maier, 2014). Risks associated with increased aflatoxin  
310 contamination of maize in Malawi are heightened by limited knowledge regarding the impacts of  
311 consuming mouldy food (Bullerman and Bianchini, 2007; Matumba et al., 2016). Many farming  
312 households sell their best grain, while retaining the grain with highest probability of mycotoxin  
313 contamination for home consumption (Kimanya et al., 2008; Mwalwayo and Thole, 2016). This  
314 highlights the need for greater mycotoxin risk awareness alongside improved postharvest  
315 management practices and training (Warnatzsch et al., 2020; D. Miller pers. comms.).

316 Degraded natural environments, may offer less buffering (e.g., fewer natural enemies) against  
317 storage pests which infect the crop while still in the field, leading to more rapid build-up of pests.  
318 Deforestation may affect dispersal behaviour and in field and store population dynamics of storage  
319 pests such as the wood-boring larger grain borer (LGB), *Prostephanus truncatus* and rodents which  
320 also inhabits natural forests (Muatinte et al., 2014). A study in Mozambique, suggested trade in  
321 firewood (which increases during seasons when crops fail, and farmers employ alternative coping  
322 strategies) could be leading to dispersal of *P. truncatus* to previously uninfested areas (Muatinte and  
323 Van den Berg, 2019). Given that *P. truncatus* causes weight losses twice those of Sitophilus weevils  
324 and other common storage pests (Hodges et al., 1983), increased multiplication and geographical  
325 spread of the pest may significantly increase maize and cassava storage losses.

326 Deforestation links with increased local temperatures and wind which influence damage,  
327 deterioration and rotting of perishable fruits and vegetables at and after harvest. Links between  
328 deforestation, climate and drying up of local water holes lead to people having to walk further to  
329 find water or use more contaminated water sources – which will impact on the way households and  
330 SMEs process crops e.g., cassava.

331 Climate-related yield impacts affect food production, availability and sourcing. For example, cyclone-  
332 related flooding damaged crops, property and transport routes in Malawi leading to reduced food  
333 supply, alternative trading routes and higher food prices and a range of detrimental coping  
334 strategies in both rural and urban areas (Joshua et al., 2021).

#### 335 *4.2 The environmental footprints of food loss and waste – case study of maize in Malawi*

336 Postharvest systems are both acted on by, and in turn impact on, the climate and the environment.  
337 Food production is a major cause of environmental degradation, contributing to climate change,  
338 biodiversity loss, freshwater use, land system change, interference with the global nitrogen and  
339 phosphorous cycles, and chemical pollution (Willet et al., 2019).

340 Using maize in Malawi as a case study, we combined existing datasets to explore the environmental  
341 footprints of the maize that is lost within the food system. This involved understanding the  
342 quantities and causes of food being lost (at and after harvest through to the wholesale marketing) or  
343 wasted (by retailers, caterers, or consumers). This is challenging because: a) losses vary by  
344 postharvest activity, location, handling practice and technology, and storage duration etc. and b)  
345 food that is ‘lost’ is often never actually collected, seen or counted, which means farmers’ or other  
346 actors’ perceptions of loss should be treated with some caution.

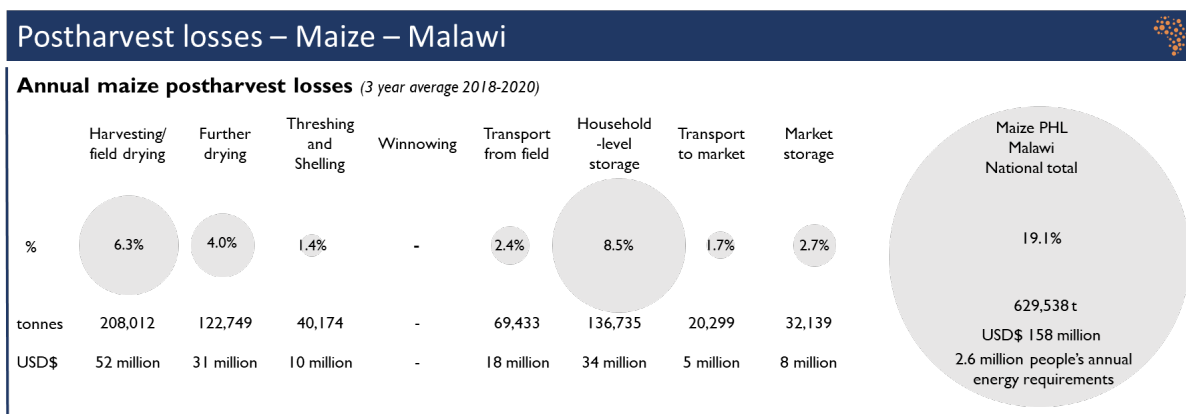
##### 347 *4.2.1 Quantifying the postharvest food loss*

348 The 2007/08 food price crisis led to demands for a more nuanced understanding of the scale and  
349 location of staple food PHLs in different provinces/regions of SSA countries. In response, the African  
350 Postharvest Losses Information System (APHLIS [www.aphlis.net](http://www.aphlis.net)) was developed in 2009.

351 The APHLIS uses high quality measured PHL data to build a loss profile for each crop and  
352 activity/value chain stage, and then contextualises the loss figure using locally-specific factors such  
353 as proportion marketed straight after harvest, storage duration, pest incidence, rain around harvest

354 occurrence etc.. The quantity lost in each province is determined by combining the percentage loss  
 355 estimate with subnational-level production data. Price, food composition and demographic data are  
 356 used to provide an indication of the financial and nutritional values and impacts of the loss.

357 About 19% of Malawi’s 3.29 million tonnes of maize produced annually (average figure for 2018-  
 358 2020) is estimated to be lost postharvest (Figure 5). A loss of over 600,000 tonnes of grain a year,  
 359 worth USD158 million and equivalent to the annual dietary energy (kcal) requirements of 2.6 million  
 360 people (APHLIS, 2021). Loss hotspot activities include harvesting and field drying (loss of 6.3% of the  
 361 potential yield), further drying (4% of remaining crop lost), and household-level storage (8.5% of the  
 362 stored crop gets lost) (Figure 5). Many African countries experience similar substantial proportions  
 363 of maize lost.



364  
 365 **Figure 5 Estimates of maize losses occurring at different value chain stages at and after harvest in**  
 366 **Malawi, by percentage, tonnes, USD and number of people’s annual dietary energy requirements.**  
 367 Source: APHLIS, 2021 (PHL data) and Malawi Ministry of Agriculture, Irrigation and Water  
 368 Development (production data).

369 4.2.2 Assessing the environmental footprint

370 A range of resources are used producing and handling food crops which are then lost at and after  
 371 harvest.

372 *Land footprint:* The land footprint, or area of land used to produce maize that is then lost at or after  
 373 harvesting, can be calculated by dividing the tonnes of maize lost postharvest by the yield (t/ha).  
 374 Continuing the Malawi example, a total of 330,114 ha of land (equivalent to ~175 m<sup>2</sup>/capita/year)  
 375 was tilled, planted and weeded to produce maize that was then lost postharvest.

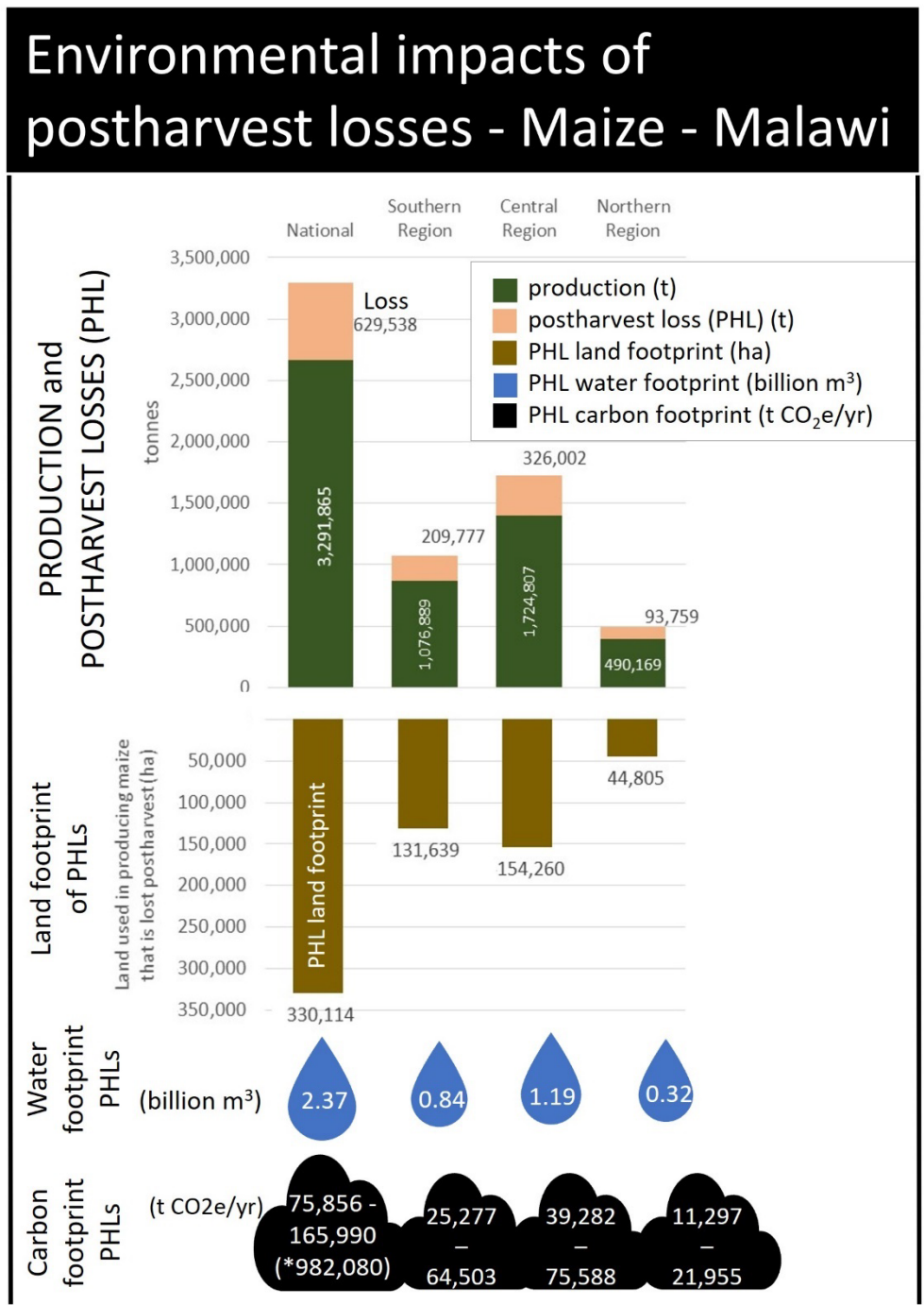
376 *Water footprint:* Water footprints can help understand the water-related roles, dependency, trends  
 377 and drivers in an economy, and help make visible the water resources hidden in different products  
 378 that are used, traded, or lost. From a water resource perspective, irrigated agriculture has a larger  
 379 environmental impact than rain-fed, as it may lead to water depletion, salinization, water-logging or  
 380 soil degradation (Aldaya et al., 2010; FAO, 2013).

381 Mekonnen and Hoekstra (2011, 2014) modelled crop water use over time, climatic conditions and  
 382 soil water balance to create a subnational level dataset for 126 crops and their products. This  
 383 dataset was used to compare the water footprints for different crops and districts in Malawi  
 384 (supplementary Figure S3). High yielding systems or crops or those where a larger fraction of their  
 385 biomass is harvested, generally have smaller water footprints per tonne (e.g., starchy root crops)  
 386 than lower yielding crops or those where a smaller fraction of crop biomass is harvested (e.g.,  
 387 cereals, oilcrops) (FAO, 2013).

388 Multiplying Malawi’s mean maize water footprint (3,758 m<sup>3</sup>/tonne) by the tonnes of maize lost  
 389 postharvest, reveals that the maize lost postharvest has an annual water footprint of 2.37 billion m<sup>3</sup>  
 390 (127 m<sup>3</sup>/capita/year), the subnational figures are also shown in Figure 6.

391 The global average maize water footprint is 1,028m<sup>3</sup> per tonne (supplementary Figure S3), while  
 392 Malawi’s is 3,758 m<sup>3</sup> per tonne, and other African countries are similar. Malawi’s maize water  
 393 footprint is relatively high because yields are relatively low, highlighting the need to increase maize  
 394 water efficiency through sustainable management practices, e.g., improved soil management and  
 395 nutrition during crop production, and improved postharvest handling to reduce losses. Changes to  
 396 the cropping system could also reduce the agricultural water footprint.

397



398

399 **Figure 6 Land, water and carbon footprints of annual maize postharvest losses in Malawi (2018-**  
400 **2020)**

401 *Carbon footprint:* The carbon footprint of a food reflects the total amount of GHG emissions  
402 occurring during the production, transportation, storage, processing, distribution, cooking,  
403 consumption and waste disposal of it. While land and water footprints of food are typically  
404 concentrated at the primary production stage (although water use may occur during processing),  
405 GHG emissions typically accumulate along the value chain. The GHG emissions per unit of food lost  
406 or wasted are therefore higher towards the retail and consumption stages (FAO, 2019).

407 In the mainly rain-fed, non-mechanised smallholder maize farming systems common in many SSA  
408 countries, the largest GHG emissions factor is typically associated with application of synthetic  
409 nitrogen fertilisers (Ba, 2016), if they are used. The high emissions footprint of fertiliser, results from  
410 a) production and manufacturing of fertiliser, b) transport to and within Africa, particularly in land  
411 locked countries, and c) field application (during and after). Therefore, the type of fertiliser used, the  
412 application rate and local agro-ecological conditions (Wang et al., 2017; White, 2019) all influence  
413 the carbon footprint of maize production and any associated losses. A West African study found  
414 fertiliser application contributed 88% of total emissions in maize farming in Cote d'Ivoire, and these  
415 emissions would have increased by 63% were the nationally 'recommended' fertiliser application  
416 rates practiced (Ba, 2016). In Benin, small amounts of emissions also occurred from burning fuel to  
417 operate farm machinery and equipment, and crop residue burning. Among nitrogen fertilisers, urea  
418 has lower GHG emissions associated with its production, but higher emissions in the field (Fossum,  
419 2014). Optimising crop management and nutrient use efficiency by adjusting the use and type of  
420 (Wang et al., 2017) nitrogen fertiliser can reduce GHG emissions directly on the field and indirectly  
421 through reduced manufacture and transport (Peter et al., 2017). Improving road freight transport  
422 efficiency can also offer high emissions reduction potential (Thambiran and Diab, (2011) in White,  
423 2019).

424 GHG emissions factor values for maize across SSA range from 0.1385 to 1.56 t CO<sub>2</sub>e/t (see FAO, 2017  
425 (LEAP database); Ba, 2016; Broeze et al., 2019; Porter et al., 2016, Vetter et al., 2017), reflecting  
426 assumptions around how much fertiliser was applied, and the chosen boundaries of each specific life  
427 cycle analysis, e.g., whether they start from fertiliser production, and which value chain stages they  
428 include. High levels of uncertainty around GHG emission predictions by these calculators exist due to  
429 their inability to account for differences in pedoclimatic conditions, agricultural management  
430 practices and crop rotations (Peter et al., 2017). There are additional uncertainties around land use  
431 changes and field emissions from different fertiliser types and crop residues, and many agricultural  
432 processes, which depend heavily on local biophysical and climate conditions, are not well  
433 understood (Cherubini and Stromman, 2011).

434 We compared the PHL carbon footprint for Malawi using the range of emissions factors available in  
435 the literature. We used the ACGE (Agro-Chain Greenhouse Gas Emissions) interactive calculator  
436 developed by Broeze (2019) which recognises the different postharvest activities and allows  
437 customisation by users. For example, the ACGE allows users to enter/select: a) a case specific GHG  
438 emissions factor, b) specific percentage loss values for each postharvest stage (enabling us to enter  
439 the Malawi maize PHL values from APHLIS), c) options depending on grain transport distances and  
440 means (motorised or non-motorised), whether harvested mechanically or manually, whether crop  
441 residues were left on field, and d) the energy type and packaging materials if processing stages are  
442 included etc.

443 Given the influence of fertiliser type and application rate in determining the GHG emissions factor,  
444 we searched the literature for smallholder farmer maize fertiliser recommendations and practices in

445 Malawi. Using these, we calculated the associated t CO<sub>2</sub>e/ha emission factor values and using the  
 446 PHL land footprint calculated the carbon footprints. The range of carbon footprints for Malawi's  
 447 maize losses emerging from these different emissions factors are shown in Table 1 and Figure 6. At  
 448 national level - using the lowest emission factor of 0.1385kg CO<sub>2</sub>e/kg DM (FAO LEAP, 2017) and a  
 449 higher emission factor of 0.49t CO<sub>2</sub>/ha based on fertiliser recommendations (and 0.64 t CO<sub>2</sub>/ha for  
 450 the portion of the lost crop that had been transported to market) - emissions range from 75,856 to  
 451 165,990 t CO<sub>2</sub>e/year and per capita from 0.0041 to 0.0089 t CO<sub>2</sub>e/year. Use of the much higher SSA-  
 452 wide maize emission value factor of 1.56 t CO<sub>2</sub>e/t from Porter et al., 2016 would result in a figure of  
 453 982,080 t CO<sub>2</sub>e/year. As discussed, the high level of uncertainty around these emissions and  
 454 emissions factors needs noting. Additional uncertainties exist around land use change and maize  
 455 production in Malawi. The scarcity of land suggests most maize production occurs on land previously  
 456 used for crop production. Most recent land conversions (2010-2019) were reportedly from  
 457 grasslands as opposed to forests, although between 2001 and 2018 cropland expansion accounted  
 458 for 31% of forest loss, but a declining trend was reported (Li et al., 2021).

459 Analysis of the biodiversity footprint was beyond the scope of this study.

460 **Table 1 Maize production, postharvest losses and environmental impacts of postharvest losses at**  
 461 **national and subnational levels in Malawi and by value chain stage**

Level	National									Southern Region	Central Region	Northern Region
	Harvest to Market storage	Harvesting/ field drying	Further drying	Threshing and Shelling	Winnowing	Transport from field	Household- level storage	Transport to market	Market storage	Harvest to Market storage	Harvest to Market storage	Harvest to Market storage
Value Chain stage												
Area harvested (ha)	1,726,170									675,770	816,158	234,241
Production (t)	3,291,865									1,076,889	1,724,807	490,169
<b>Postharvest losses</b>												
PHL%	19.1	6.3	4.0	1.4	-	2.4	8.5	1.7	2.7	19.5	18.9	19.1
PHL (tonnes)	629,538	208,012	122,749	40,174	-	69,433	136,735	20,299	32,138	209,777	326,002	93,759
PHL Financial value (USD)	158,436,989	52,343,514	30,889,561	10,110,880	-	17,470,408	34,440,323	5,103,199	8,079,196	53,538,580	81,689,367	23,208,982
PHL Nutrients: equivalent number of people's annual dietary energy (Kcal)	2,624,515									874,550	1,359,088	390,875
<b>Environmental footprints</b>												
PHL Land footprint (ha)	330,114	109,076	64,366	21,066		36,409	71,700	10,644	16,852	131,639	154,260	44,805
PHL Water footprint (green + blue) footprint ( billion m <sup>3</sup> )	2.37	0.78	0.46	0.15		0.26	0.51	0.08	0.12	0.84	1.19	0.32
	75,856 -	25,064 -	14,791 -	4,841 -		8,366 -	16,476 -	2,446 -	3,872 -	25,277 -	39,282 -	11,297 -
Carbon (CO <sub>2</sub> eq tonnes/year) (range)	165,990	53,477	27,677	10,322		17,840	35,133	6,855	10,853	64,503	75,588	21,955

462

463

#### 464 4.3 Opportunities for reducing food loss and waste and the associated environmental impacts

465 Numerous opportunities to reduce these PHLs and their associated environmental impacts exist. A  
 466 recent systematic review synthesised all the evidence from the last 50 years on interventions small-  
 467 scale farmers and their associated value chain actors in SSA or South Asia could use to reduce losses  
 468 for 22 food crops (Stathers et al., 2020). That synthesis aimed to capture the diverse range of  
 469 interventions that had been tested including policy, finance, infrastructure and training  
 470 interventions. However, it revealed the dearth of evidence about such types of interventions. Almost  
 471 all (90%) of the loss reduction research to date has been on tangible technology-type interventions  
 472 particularly targeting loss reduction during storage and for cereals, especially maize.

473 While many of the technologies identified can reduce losses, it is also the case that whether a cool  
 474 storage unit with different energy source options, or polypropylene or hermetic sacks, they all have  
 475 emissions footprints. This highlights the need to understand the environmental benefits (i.e., the  
 476 environmental footprint reduction associated with the loss reduction) and whether they outweigh  
 477 the environmental costs (i.e., environmental impacts of fabricating, transporting and using the  
 478 intervention). A small but growing body of work is analysing this (Boxes 1 and 2).

#### Box 1. Comparing maize storage protection options

Dijkink et al., (2019) compared African smallholder farmers' maize losses during storage in double lined hermetic bags versus standard polypropylene bags with and without pesticide application and the associated GHG emissions. The emissions related to the hermetic bag packaging was

significantly smaller than the impacts related to the maize losses which would occur in the absence of storage in a hermetic bag. Therefore, for maize storage durations beyond 30 days, use of hermetic bags contributed to a net reduction of GHG emissions per unit of maize marketed for consumption. However, economically, when maize is stored for own consumption, polypropylene bags gave higher returns until  $\geq 100$ -149 days storage duration, at which point hermetic bags became preferable economically. Where higher seasonal price fluctuations occur, hermetic bags can be profitable for maize stored for  $\geq 50$  days duration.

479

480 Designing interventions that minimise trade-offs between different environmental -alongside social  
481 and economic - impacts is key (FAO, 2019). Packaging is often associated with high environmental  
482 footprints in the food system, but the benefits packaging brings in terms of reducing food loss and  
483 waste - particularly for products with heavy production stage environmental footprints - and in  
484 logistical efficiency, also need to be considered in packaging life cycle assessments (Molina-Besch et  
485 al., 2019). Significant work around optimising packaging performance and sustainable packaging  
486 materials is occurring.

**Box 2. Using cooler temperatures to reduce food loss and waste**

A Swedish study (Eriksson et al., 2016) explored whether the benefits of reduced cheese, dairy and meat product waste in six supermarkets exceeded the increased energy costs of maintaining colder storage temperatures. Increasing net savings in GHG emissions and money occurred for meat products, but not for dairy and cheese products. Net benefits were only achieved for products with high relative waste, low turnover and high value per unit mass.

487

488 An analysis of the additional refrigerant and energy impacts versus food loss reduction related GHG  
489 emissions for cold-chain introduction in SSA highlighted further complexities (Heard and Miller,  
490 2019). These include anticipated impacts of cold chain transformations on the upstream supply  
491 chain and on dietary shifts related to improved access to perishable foods, which may be more  
492 environmentally-intensive to produce (Garnett, 2007). This underscores the need to consider  
493 indirect and external factors associated with technologies such as cold or cool chains - often viewed  
494 as a hallmark of a modern food system - alongside the direct environmental impacts (Heard and  
495 Miller, 2016; Miller and Keoleian, 2015). The analysis calculated that adding refrigeration to SSA  
496 would increase net food-related GHG emissions by 10% from the baseline to a North American  
497 scenario and by 2% to a European scenario, despite reducing food PHLs by 23% in both scenarios  
498 (Heard and Miller, 2019). The GCCA Global Cold Storage Capacity report (IARW, 2020) contains data  
499 for a few SSA countries (Table 2). It highlights a) the current low levels of cold storage capacity and  
500 b) the difference between cold chain emissions added and those avoided due to reduced losses  
501 differing by food and energy type and scenario. Various mechanisms for reducing cold or cool chain  
502 emissions exist, including through more energy efficient refrigeration technologies and use of solar  
503 powered units (James and James, 2010; Kitinoja, 2013). However, increasing ambient temperatures  
504 may lead to potential emissions increases, and in much of SSA existing high ambient temperatures  
505 will influence the efficiency and emissions of cold chain operation (James and James, 2010). A sole  
506 focus on changes in GHG emissions associated with food loss reduction interventions such as cold or  
507 cool chains or hermetic bags, ignores important societal benefits, i.e., food and nutrition security,  
508 health outcomes, economic development. However, there has been limited study of the socio-  
509 economic or environmental outcomes of food loss reduction interventions in SSA to date (Stathers  
510 et al., 2020).

511 **Table 2 Refrigerated Warehouse Capacity by Country, 2020** (Source: IARW, 2020)

	Ethiopia	Ghana	Kenya	Nigeria*	Rwanda	South Africa	Uganda	India	UK	US
Million m <sup>3</sup>	0.12	<0.001	0.55	0.001	0.0193	2.71	0.06	150	35.93	156.21
m <sup>3</sup> per urban resident	0.005	<0.005	0.038	0.002	0.009	0.069	0.005	0.328	0.644	0.577

512 \* Nigeria data is for 2018, not 2020

513

## 514 *5. Informing FLW reduction decision-making in a food systems context*

515 The complexity of the data, the uncertainties, options and potential economic, social and  
 516 environmental trade-offs / synergies associated with decision-making around FLW reduction is clear.  
 517 Exploring this complexity in ways which can inform decision-makers is important. With so many  
 518 important gaps in current knowledge, more emphasis needs to be placed on coordinated learning,  
 519 especially assessment of whether PHL remediation investments are relatively cost-effective in  
 520 advancing the four core objectives that motivate such initiatives: improved food security, food  
 521 safety, and profitability, as well as reduced resource use (Sheahan and Barrett, 2017).

522

### 523 *5.1 Why the wider food system matters for FLW*

524 As food systems across SSA transition to meet the changing dietary demands of populations - that  
 525 are growing, urbanising, and progressively characterised by expanding youthful as well as middle  
 526 class consumers - increased volumes of food will be traded and possibly lost or wasted. Research  
 527 suggests the share of 'imported' food in the rapidly growing urban middle-class diet will not rise,  
 528 instead more meat and locally produced, often perishable products (e.g., fresh fruits, fish and eggs),  
 529 start to be eaten (Tschirley et al., 2015). The design of urban areas affects many aspects of the food  
 530 system and needs greater study (Seto and Ramankutty, 2016).

531 Increased processing and packaging of food is likely, and retail, hospitality and consumer level food  
 532 waste may increase if trajectories mirror those that have occurred in other geographical regions. To  
 533 date, limited work measuring food waste at consumer, hospitality and retailer levels in SSA has  
 534 occurred. Two studies in South Africa reported contrasting per capita annual food waste of 8-16 kg  
 535 and 73 kg (Chakona and Shackleton, 2017; Ramukhwatho et al., 2018, Stathers and Mvumi, 2020). A  
 536 questionnaire survey in Burkina Faso, Senegal and Ghana found a third of rural households reported  
 537 wasting 3-18 adult portions a month (Loada et al., 2015). A detailed waste analysis within Ghana  
 538 found an average of 84 kg/capita/year (edible and inedible) food waste, but it varied by location  
 539 (44kg/capita/yr in Savannah areas to 131kg/capita/yr in Coastal areas) (Miezah et al., 2015). A study  
 540 in Kigali obtained high self-reported estimates of retail and restaurant level food waste quantities  
 541 (Nishimwe, 2020). More work using measurement methods that support comparisons is needed,  
 542 including around how food waste varies with socio-cultural and agro-ecological factors. The  
 543 suggestion that food waste is much lower and food loss much higher in low income compared to  
 544 high-income countries, is being challenged by the few measured studies that have occurred (Johnson  
 545 et al., 2018; Stathers and Mvumi, 2020; UNEP, 2021).

546 At the food system level, it is also important to consider trends and drivers and different scenarios  
 547 for future systems. The dominant narrative around transitioning food systems and nutrition, much  
 548 like the modernisation narrative to which it is related, assumes relatively universal food system  
 549 development trajectories regardless of historical or material conditions. Such assumptions remove  
 550 the impetus to examine local food exchange and provisioning practices, rendering them invisible and  
 551 under-researched (Meagher, 2018 in White, 2019).



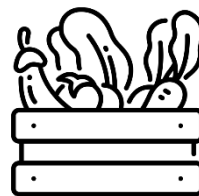
552 There is increasing interest by some actors in various interpretations of agroecology and  
553 transformation of food systems (HLPE, 2019). Agroecology has been described as a science, practice,  
554 and social/ political movement (Wezel et al., 2009). It has also been considered at different scales  
555 from field, farm, agroecosystem to food system (Gliessman, 2016). Agroecological principles (HLPE,  
556 2019) and elements (FAO, 2018) have been developed to support diverse pathways for incremental  
557 and transformational change towards more sustainable farming and food systems (Wezel et al.,  
558 2020). However, little consideration of what these might mean for FLW and postharvest  
559 management has occurred. Examples from the few disparate but interesting studies on how  
560 production systems influence FLW are shared in Box 3.

561

**Box 3. Do different types of production systems influence FLW?**

How different types of production systems (e.g., agroecological vs. conventional) influence FLW is not well understood. A few studies comparing FLW under different production systems are summarised below.

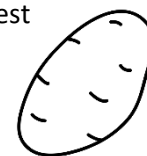
*Vegetables and salads:* Baker et al. (2019) suggest that by taking a food system approach that accounts for yields as well as loss and waste in distribution and consumption, the contribution of different food systems to food security can be compared. They use a novel concept of “net yield efficiency” (NYE) and compare levels of fresh vegetable and salad waste in the supermarket-controlled food system with a community supported agriculture (CSA) scheme. They found when all stages of the food system were measured for waste, the CSA dramatically outperformed the supermarket system, wasting only 6.7% by weight compared to 40.7–47.7%.



*Cape gooseberry:* Higher sensitivity to postharvest deterioration was observed to occur in cape gooseberry fruits obtained through agroecological as opposed to conventional production in Colombia (Collazos et al., 2019).



*Potato:* In non-organic and organic potato supply chains in Switzerland, losses at harvest were measured and losses at later stages were estimated by stakeholders. For fresh potatoes, total losses of non-organic potatoes were 53%, and 56% for organic. For processing potatoes, they were slightly lower at 46% (non-organic) and 41% (organic) (Willersinn et al., 2015) (Table 3). Less loss due to overproduction occurred in the organic potato supply chain. Overproduction of potato is associated with the unpredictability of production, and the price elasticity of demand for organic is higher than non-organic potatoes in high supply years (Bunte et al., 2007). For organic potato, farm stage losses were predominantly quality driven as opposed to quality and overproduction factors as seen in non-organic potato. Higher quality losses in organic potatoes are presumably due to reduced chemical use and varietal differences. Wholesale and processing losses differ by intended product, e.g., chip production requires particular potato size and variety specifications and is associated with high losses. While processors involved in a diversity of multi-potato-products can recycle chip throwouts/losses to produce mashed potato products etc. When asked if quality specifications were lowered to reduce percentage losses at harvest, wholesalers, processors and retailers thought this would lead to increased amounts of technologically, institutionally and socially-driven losses at later supply chain stages (Willersinn et al., 2015). Currently more than 66% (non-organic) and 75% (organic) of fresh potato losses occur due to social drivers, particularly around aesthetic standards by consumers and their preferences for peeled potatoes (supplementary Figure S4).



**Table 3 Comparative mean food loss rates at each stage of the organic and non-organic fresh and processing potato supply chains (in %) in Switzerland** (Source: Willersinn et al., 2015)

Cause of loss	Fresh potatoes		Processing potatoes	
	Non-organic	Organic	Non-organic	Organic
Quality	25.7	34.8	21.9	23.3
Overproduction	9.1	1.0	7.9	0.4
Storage and transportation	1.9	2.6	3.8	4.7
Peeling while processing	0	0	10.1	10.9
Miscalculation	1.0	2.5	0	0
Raw potato losses in households	5.3	5.0	0	0
Peeling and preparation in households	8.2	7.7	0	0
Leftovers	1.9	1.8	2.0	2.1
<b>Total</b>	<b>53.0</b>	<b>55.5</b>	<b>45.6</b>	<b>41.3</b>

563 There is also increasing interest in more diversified systems (including as part of an agroecological  
564 approach). In Malawi, this could mean diversifying beyond maize which is very vulnerable to climatic  
565 change in both the production and postharvest stages to include other staple energy sources such as  
566 cassava, which is resilient in the production stage, but more vulnerable postharvest (Lamboll and  
567 Stathers, in prep.). A move towards more agroecological systems could include greater incorporation  
568 of grain legumes in production systems (Mhango et al., 2013, Madsen et al., 2021). Legumes need  
569 fewer inputs per kg of protein produced than animal protein and fix nitrogen enabling reduced or no  
570 nitrogen fertiliser application with lowered emission factors of the crops produced and any that are  
571 later lost (FAO, 2013). Legume crops can suffer heavy PHLs, particularly during storage if not  
572 protected from attack by storage insect pests. Like most interventions, legume integration would not  
573 be a one-size-fits-all solution and farmer-participatory research is required (Smith et al., 2016).

574 Potential trade-offs and synergies exist between FLW reduction and food system resilience including  
575 the contribution of over-production and over-supply to the generation of FLW while also providing  
576 resilience in the food system in the form of ‘redundancy’. Some FLW-reduction interventions may  
577 carry a risk of trade-offs due to loss of redundancy. But there are synergistic elements that support  
578 short and long-term resilience. For example, improved storage reduces the need for a constant flow  
579 of ‘surplus food’, replacing it with a stock of ‘spare’ food (Bajželj et al., 2020).

580

## 581 *5.2 Informing FLW reduction decision-making in a food systems context*

582 Understanding FLW in the context of the complexity of transitioning food systems is important.  
583 Decision-making around FLW reduction differs by location, scale/level, supply chain stage and the  
584 actors involved. The evidence on FLW in the wider food system context in SSA countries is very  
585 incomplete, particularly regarding FLW beyond the farm level and for non-cereal crops. Intersecting  
586 uncertainties around future conditions and responses (e.g., rainfall projections, indirect societal agri-  
587 food system responses to climate and other drivers of change, adoption of loss reduction  
588 interventions) add further complexity regards FLW projections and decisions. The Ceres2030  
589 systematic scoping review found virtually no scientific evidence on how policy, infrastructure,  
590 training, finance or market interventions affect FLW in SSA and South Asia. The FLW research has  
591 been dominated by comparing the efficacy of technology/ equipment type interventions (Stathers et  
592 al., 2020). The focus to date has also been predominantly on the technical outcomes of these  
593 interventions with limited end-user involvement as opposed to analysing the social, economic or  
594 environmental outcomes of different FLW reduction interventions.

595 Despite broad agreement on the need to reduce FLW, considerable knowledge gaps clearly exist.  
596 Cattaneo et al. (2021) challenge researchers, policymakers and practitioners to address these  
597 through: (i) measuring and monitoring FLW, (ii) assessing the benefits, costs and trade-offs of FLW  
598 reduction, (iii) designing FLW-related policies and interventions under limited information, (iv)  
599 understanding how interactions between stages along food value chains and across countries affect  
600 outcomes of FLW reduction efforts, (v) preparing for income transitions and the shifting relative  
601 importance of losses and waste as economies develop.

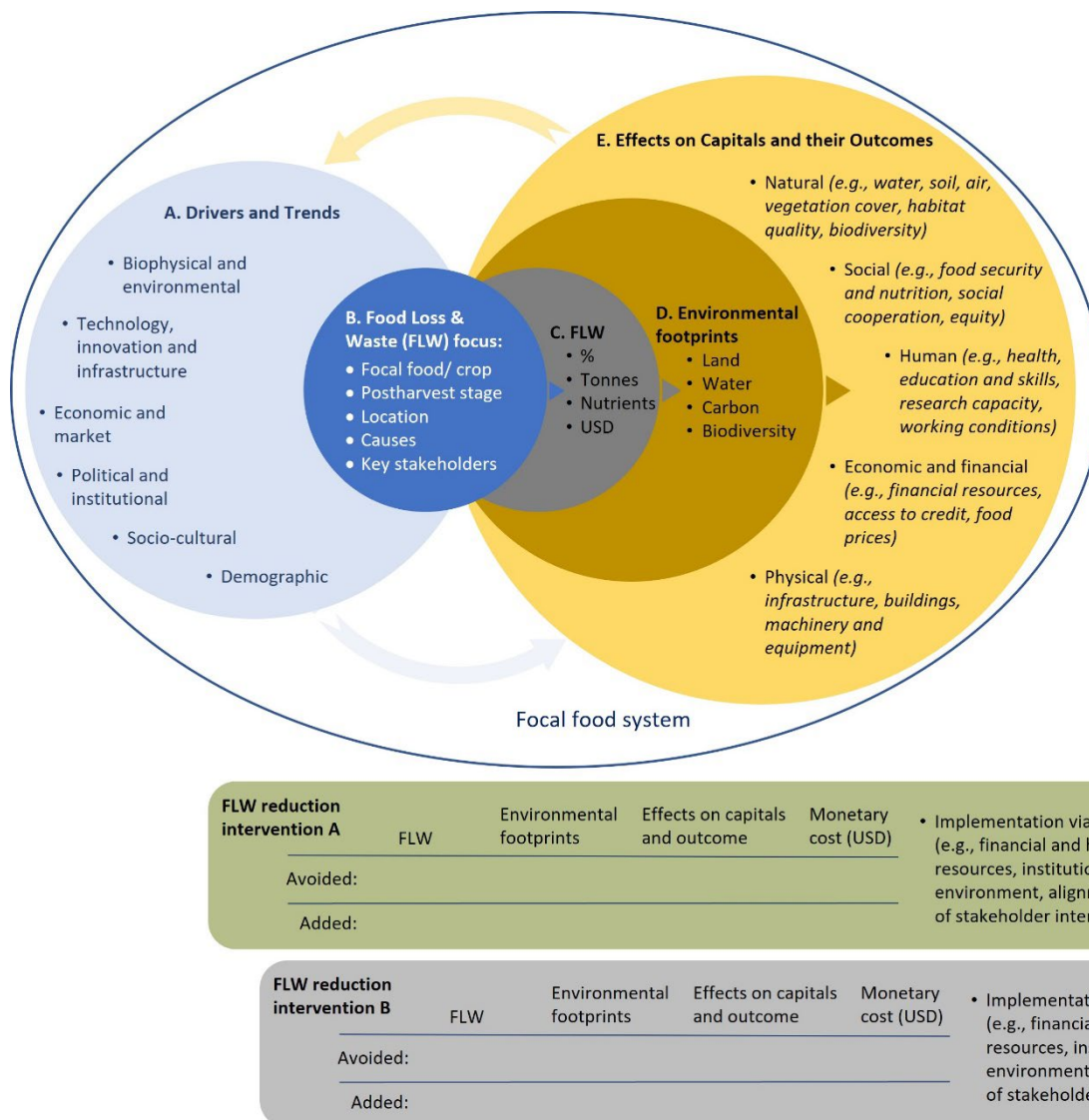
602 Deeper understanding around assessing trade-offs and synergies relating to FLW and food systems  
603 changes and responses and outcomes by/ for diverse food system stakeholders at different levels is  
604 needed. Although reducing FLW has clear public good benefits, for individual stakeholders the  
605 private good may be less clear (Sheahan and Barrett, 2017). While FLW is a big environmental issue,  
606 whether it is also a financial, social or economic issue for particular stakeholders will vary with  
607 context as will the costs, benefits and incentives for FLW reduction. A lack of, or undervaluing of, the  
608 social and environmental externalities/ true costs of the food system may also be leading to excess

609 FLW (World Bank, 2020). Better understanding of this and of socio-techno-ecologically optimal levels  
610 of FLW - incorporating analysis of the direct and indirect drivers and the scale and impacts of the  
611 avoided FLW vs. the added environmental and other impacts of the intervention itself, and how  
612 different social groups are affected (Figure 7) - will inform how incentives and regulations could  
613 change to align public and private FLW reduction interests.

614 A preliminary framework for assessing trade-offs and supporting decision-making around FLW  
615 reduction interventions is shown in Figure 7. The final approach would be adapted according to  
616 context, but broadly involves the following:

- 617 1. Identifying the key focal food system(s) and within this the FLW focus (B).
- 618 2. Analysing the key drivers (A) influencing the system, as well as the direct causes (part of B).
- 619 3. Assessing the losses, associated stakeholders and direct causes at the focal postharvest  
620 activity stage (C) and the subsequent environmental footprints (D).
- 621 4. Exploring and understanding the effects on capitals and outcomes (E) and the relationship  
622 between these and the drivers (A) and the FLW (B & C).
- 623 5. Projecting future trends for these drivers over different timeframes.
- 624 6. Identifying intervention options based on the above analysis.
- 625 7. With key stakeholders (*to varying degrees stakeholders should be involved as early as*  
626 *possible in the whole process*) assess and prioritise the interventions based on a) minimizing  
627 trade-offs and maximising synergies between environmental footprint and the effects on  
628 capitals and outcomes, and b) monetary cost and implementation viability.
- 629 8. Establish and facilitate a multi-stakeholder social learning process with the aim of co-  
630 designing and implementing the selected interventions and then consistently improving the  
631 system.

632 Different locations will have different environmental priorities. If the FLW reduction aims to address  
633 water scarcity then the intervention should target cereals and legumes at the farmer-managed  
634 stages followed by fruits and vegetables (FAO, 2019). Whereas if the objective is reducing GHG  
635 emissions, then the greatest impact per unit of FLW avoided would be through targeting  
636 consumption stages (FAO, 2019). Given the knowledge gaps and the need for action, an appropriate  
637 balance between collection of FLW-related evidence and capacity strengthening of food system  
638 stakeholders is required to support FLW-related behavioural changes and deliver improved food  
639 system sustainability.



640

641 **Figure 7 Preliminary framework for assessing trade-offs and synergies and supporting decision-**  
 642 **making around FLW reduction interventions**

643 **6. Conclusions**

644 Food systems will continue to transition in response to multiple drivers. Awareness is growing about  
 645 the negative impacts of our food systems on the environment and the multiple challenges around  
 646 ensuring a sufficient and more equitable supply of healthy food in the face of interlinked and  
 647 interacting challenges including climatic change, natural resource degradation, population growth,  
 648 changing dietary demands, and disease and conflict shocks. Our calculations of the land, water and  
 649 carbon footprints associated with the maize that is lost in Malawi (alongside the existing financial  
 650 and nutritional values) start to quantify the scale of the associated environmental impacts, helping  
 651 to inform decisions and choices around the cost of action and of inaction. Reducing FLW clearly has  
 652 the potential to bring environmental benefits, but only if the other drivers influencing the food  
 653 system are aligned to do so. We need to ask whose values are -and whose should - shape food  
 654 systems, who benefits and who bears the costs.

655 Society needs to consider what kind of food system would be both desirable and needed to keep  
 656 within planetary boundaries for the future. This includes taking FLW issues into consideration as  
 657 they and their management influence other parts of the food system, and thus the natural

658 environment, human well-being, livelihoods and economies. It includes measurement of the scale of  
659 and recognition of all the causes of FLW, from practices, knowledge gaps, climatic factors, pests and  
660 diseases through to overproduction, market forces and aesthetic specifications. It requires  
661 recognition of the various dependencies in systems and how they may inhibit shifts and change, and  
662 increased awareness of the environmental, social and economic outcomes and opportunities.

663 Given the complexity and trade-offs, what type of research and evidence is required to inform  
664 action? While FLW-related research is increasing, is it aligned to what is needed, and are research  
665 and innovation processes aligned with appropriate food system stakeholders' decision-making  
666 processes? Participatory field testing of our preliminary framework for supporting decision-makers  
667 in assessing food system and FLW-reduction trade-offs and interventions could encourage more  
668 effective stakeholder engagement in the shaping and ownership of FLW-research and innovation  
669 processes. This is needed to drive better co-operation, commitment and trust within the whole  
670 supply chain and wider food system for healthier and sustainable outcomes.

671

## 672 7. References

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