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5 **Global stability of an age-structured model**  
 6 **of smoking and its treatment**

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22 Smoking is a serious global public health problem. There are serious consequences from  
 23 smoking and the ability to quit it are closely related to age. Personal determination and  
 24 education level usually play important roles in quitting smoking. In order to capture  
 25 such characteristics, we developed a novel age-structured smoking dynamical model.  
 26 By defining the smoking generation number  $R_0$ , the local stability, global stability of  
 27 the boundary equilibrium and endemic equilibrium are obtained using Lyapunov func-  
 28 tions. The uniform persistence and the well-posedness and asymptotic smoothness of the  
 29 solutions are also studied. Sensitivity analyzes show that the lower the age of onset of  
 30 smoking and the higher the determination to stop, the greater the likelihood of quitting  
 31 smoking and numerical studies support the theoretical results.

32 *Keywords:* Smoking; age-structured model; stability; Lyapunov function.

33 *Mathematics Subject Classification 2020:*

34 **1. Introduction**

35 Every year a huge number of people die from diseases caused by smoking, such  
 36 as heart disease, cancers and chronic bronchitis. Smoking has been regarded as a  
 37 serious global public health problem, which can be spread by social contact [7].  
 38 Therefore, in order to prevent the spread of smoking, we first need to explore the  
 39 transmission mechanisms of smoking. Mathematical modeling is a very useful tool,

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1 it cannot only provide a natural description of real problems, but can also reveal  
2 the relationships between variables.

3 Many scholars have tried to use mathematical models to study relationships  
4 between smokers. Castillo-Garsow, Sharomi and Gumel *et al.* proposed a series  
5 of deterministic giving up smoking dynamical models [4, 19]. They divided the  
6 total population into four groups (potential smokers, smokers of temporarily quit,  
7 smokers of permanently quit and chain smokers) and studied the local and global  
8 stability of smoking-free equilibrium. Zhang *et al.* proposed a stochastic smoking  
9 model to study the effects of environmental fluctuations on the dynamics of smoking  
10 [12, 29] and showed that the system is ergodic when a noise parameters value was  
11 low. Verma *et al.* studied the effects of media campaigns, educational programs  
12 and an individual's determination to cease smoking [22, 27] and pointed out that  
13 these factors have impacts on quitting smoking. Singh *et al.* constructed a fractional  
14 smoking model and the threshold conditions for the existence and uniqueness of its  
15 solution were provided [20]. Generally, the diseases caused by smoking became more  
16 serious with increasing age of the smokers and the number of smokers depends on  
17 the age of smoking initiation [8]. However, these studies have not taken the age of  
18 chain smokers into account. Thus, it is of theoretical and practical significance to  
19 study age-structured smoking dynamical systems [10, 24].

20 Age-structured dynamical systems have been widely investigated in epidemics  
21 [2, 5], virus dynamics [9, 14, 23], population dynamics [3, 15] and so on. But there are  
22 few papers using age-structured models to study the dynamics of smoking. Zeb *et al.*  
23 considered the age of potential smokers and formulated an age-structured smoking  
24 model [28], in which they studied the properties of the solution and derived condi-  
25 tions for the stability of the smoking free equilibrium. Rahmana *et al.* constructed  
26 a novel smoking model concerning the age of chain smokers [18], mainly modeling  
27 ages from a light smoking class to s chain smoker class, threshold conditions for the  
28 local and global stability of the boundary and endemic equilibria were also studied.

29 However, in most cases either age was not included in the pivotal threshold  
30 condition (i.e. the smoking generation number) or treatments (for example, indi-  
31 vidual's determination [22, 27]) were excluded [18, 28]. Therefore, we proposed a  
32 novel age-structured smoking model concerning the age effect and the effects of  
33 individual's determination, mainly focusing on two questions: (1) What is the rela-  
34 tionship between age, transmission rate, smoking quitting rate and the smoking  
35 generation number? (2) How to evaluate the effectiveness of the important param-  
36 eters affecting quitting smoking?

37 The rest of the paper is organized as follows. In Sec. 2, a novel age-structured  
38 smoking model is presented. In Sec. 3, useful definitions and lemmas are introduced  
39 and the properties of solutions of the proposed system are studied. In Sec. 4, con-  
40 ditions for local and global stability are derived for the smoking free equilibrium  
41 and endemic equilibrium. In Sec. 5, numerical simulations are described, followed  
42 by discussion and concluding remarks.

1 **2. Mathematical Model**

2 We assume that the total number of population  $N$  is constant at all time  $t$  and then  
 3 divide  $N$  into four classes: Potential smokers  $P(t)$ , smokers of who are temporary  
 4 quitters  $Q_t(t)$ , smokers who are permanent quitters  $Q_p(t)$  and chain smokers  $S(t, a)$   
 5 at time  $t$  with age  $a$ , and have the following model:

$$\left\{ \begin{array}{l} \frac{dP(t)}{dt} = \lambda - \int_0^\infty \beta(a)P(t)S(t, a)da + \int_0^\infty (1 - \epsilon_1)\alpha(a)S(t, a)da - uP(t), \\ \frac{\partial S(t, a)}{\partial t} + \frac{\partial S(t, a)}{\partial a} = -\alpha(a)S(t, a) - uS(t, a), \\ \frac{dQ_t(t)}{dt} = \int_0^\infty \epsilon_1(1 - \epsilon_2)\alpha(a)S(t, a)da - uQ_t(t), \\ \frac{dQ_p(t)}{dt} = \int_0^\infty \epsilon_1\epsilon_2\alpha(a)S(t, a)da - uQ_p(t) \end{array} \right. \quad (2.1)$$

6 with boundary condition

$$S(t, 0) = \int_0^\infty \beta(a)P(t)S(t, a)da, \quad t \geq 0 \quad (2.2)$$

7 and the initial conditions  $P(0) = P_0 > 0, S(0, a) = S_0(a) \geq 0, Q_t(0) = Q_t^0 >$   
 8  $0, Q_p(0) = Q_p^0 > 0$  for  $a \geq 0$ , while  $S_0(a)$  belongs to  $L^1_+(0, \infty)$  and satisfies  
 9  $\int_0^\infty S_0(a)da \leq \infty$  ( $L^1_+(0, \infty)$  is defined as the space of all essentially bounded and  
 10 positive functions that are Lebesgue integrable).  $\lambda$  represents the constant recruit-  
 11 ment rate of the population,  $\beta(a)$  is the transmission rate at age  $a$ .  $\alpha(a)$  is the rate  
 12 that smokers are quitting smoking at age  $a$ .  $\epsilon_1$  is the measure of determination.  
 13 So  $(1 - \epsilon_1)\alpha(a)S(t, a)$  is the fraction of quitters who again become chain smokers  
 14 because of low determination, while the fraction  $\epsilon_1\alpha(a)S(t, a)$  stays in the quitter  
 15 classes.  $u$  is the death rate.  $\epsilon_2$  is the efficacy of interventions including education  
 16 or treatment.

17 Notice that the first two equations of system (2.1) do not contain the vari-  
 18 ables  $Q_t$  and  $Q_p$ . Thereby, to study the dynamics of a giving up smoking model  
 19 we can ignore the variables  $Q_t$  and  $Q_p$  and only need to focus on the following  
 20 subsystem:

$$\left\{ \begin{array}{l} \frac{dP(t)}{dt} = \lambda - \int_0^\infty \beta(a)P(t)S(t, a)da + \int_0^\infty (1 - \epsilon_1)\alpha(a)S(t, a)da - uP(t), \\ \frac{\partial S(t, a)}{\partial t} + \frac{\partial S(t, a)}{\partial a} = -\alpha(a)S(t, a) - uS(t, a) \end{array} \right. \quad (2.3)$$

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1 with boundary condition

$$S(t, 0) = \int_0^\infty \beta(a)P(t)S(t, a)da, \quad t \geq 0 \quad (2.4)$$

2 and the initial conditions  $P(0) = P_0 > 0, S(0, a) = S_0(a) \geq 0$  for  $a \geq 0$ .

3 **Assumption 1.** For the functions  $\beta(a)$  and  $\alpha(a)$  we assume:

- 4 (I)  $\beta(a), \alpha(a) \in L^1_+(0, \infty)$  have upper bounds  $\hat{\beta}, \hat{\alpha}$ , respectively;  
 5 (II)  $\beta(a), \alpha(a)$  are Lipschitz continuous with Lipschitzians  $L_\beta$  and  $L_\alpha$ , respec-  
 6 tively;  
 7 (III)  $\beta(a), \alpha(a) \geq c_0$  for  $c_0 \in (0, \bar{c}]$  with  $a \geq 0$ .

8 For simplicity, the following notation is very useful in the rest of the paper:

$$\begin{aligned} K_0(a) &= e^{-\int_0^a (u+\alpha(s))ds}, \\ B(t) &= \int_0^\infty \beta(a)S(t, a)da, \quad S(t, 0) = P(t)B(t), \\ K_1 &= \int_0^\infty \alpha(a)K_0(a)da, \quad K_2 = \int_0^\infty \beta(a)K_0(a)da. \end{aligned} \quad (2.5)$$

9  $K_0(a)$  is the probability of a chain smoker remaining smoking at age  $a$ ,  $\beta(a)K_0(a)$   
 10 is the product of the age-specific remaining probability of a chain smoker and  
 11 the transmission rate at which the potential smokers become chain smokers by  
 12 association with a chain smoker of age  $a$ . Thus,  $K_2$  is the total number of new  
 13 smokers produced by a chain smoker over his or her lifespan.

14 Integrating the second equation of (2.3) along the characteristic line  $t - a =$   
 15 const., then

$$S(t, a) = \begin{cases} P(t-a)B(t-a)K_0(a), & 0 \leq a < t, \\ S_0(a-t)\frac{K_0(a)}{K_0(a-t)}, & a \geq t \geq 0. \end{cases} \quad (2.6)$$

16 In order to study the dynamics of system (2.3), we need to define the function space  
 17  $X$ . Let

$$X = \mathbb{R}^+ \times L^1_+(0, \infty),$$

18 which is endowed with the norm

$$\|(x_1, x_2)\|_X = |x_1| + \int_0^\infty |x_2(a)|da.$$

19 The initial conditions of system (2.3) in the space  $X$  can be denoted by

$$x_0 = (P_0, S(t, \cdot)) \in X. \quad (2.7)$$

20 For system (2.3), define a continuous semi flow as  $\zeta : \mathbb{R}^+ \times X \rightarrow X$ , where

$$\zeta(t, x_0) = \zeta_t(x_0) = (P(t), S(t, \cdot)), \quad t \geq 0 \quad \text{and} \quad x_0 \in X. \quad (2.8)$$

1 Then we have the following norm for  $\zeta_t(x_0)$ , i.e.

$$\|\zeta_t(x_0)\|_X = \|(P(t), S(t, \cdot))\| = |P(t)| + \int_0^\infty |S(t, a)| da.$$

## 2 3. Main Properties of Solutions for System (2.3)

### 3 3.1. Well-posedness

4 By using the methods proposed by Webb [24] and Iannelli [10], it can be shown that  
5 model (2.3) exists with the unique and non-negative solution with positive initial  
6 conditions. Denote  $\Omega$  as the state space, i.e.

$$\Omega = \left\{ (P(t), S(t, \cdot)) \in X \mid P(t) + \int_0^\infty S(t, a) da \leq \frac{\lambda}{u} \right\}.$$

7 We can obtain the following proposition for  $\zeta$  and  $\Omega$ .

8 **Proposition 1.** *For all  $t \geq 0$  and  $x_0 \in \Omega$ , we obtain  $\zeta(t, x_0) \in \Omega$ . Moreover,  $\Omega$   
9 attracts all points in  $X$  and  $\zeta$  is point dissipative.*

10 **Proof.** From (2.8) we have

$$\frac{d}{dt} \|\zeta_t(x_0)\|_X = \frac{d}{dt} P(t) + \frac{d}{dt} \int_0^\infty S(t, a) da.$$

11 It follows from (2.6) and the fact that  $K_0(0) = 1$  that we obtain

$$\begin{aligned} \frac{d}{dt} \int_0^\infty S(t, a) da &= \frac{d}{dt} \int_0^t P(t-a) B(t-a) K_0(a) da \\ &\quad + \frac{d}{dt} \int_t^\infty S_0(a-t) \frac{K_0(a)}{K_0(a-t)} da \\ &= P(t) B(t) - \int_0^\infty (u + \alpha(a)) S(t, a) da. \end{aligned}$$

12 Thus,

$$\begin{aligned} &\frac{d}{dt} \left( P(t) + \int_0^\infty S(t, a) da \right) \\ &= \lambda - \int_0^\infty \beta(a) P(t) S(t, a) da + \int_0^\infty (1 - \epsilon_1) \alpha(a) S(t, a) da - u P(t) \\ &\quad + P(t) B(t) - \int_0^\infty (u + \alpha(a)) S(t, a) da \\ &\leq \lambda + \int_0^\infty \alpha(a) S(t, a) da - u P(t) - \int_0^\infty (u + \alpha(a)) S(t, a) da \\ &= \lambda - u \left( P(t) + \int_0^\infty S(t, a) da \right). \end{aligned} \tag{3.1}$$

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1 It follows from the variation of the formula that

$$\|\zeta_t(x_0)\| \leq \frac{\lambda}{u} - e^{-ut} \left( \frac{\lambda}{u} - \|x_0\|_X \right), \quad t \geq 0,$$

2 which implies that for any  $t \geq 0$  and  $x_0 \in \Omega$ , we have  $\zeta(t, x_0) \in \Omega$ , and so the set  
3  $\Omega$  is positive invariant.

4 When  $t \rightarrow \infty$ , then

$$\lim_{t \rightarrow \infty} \|\zeta_t(x_0)\|_X \leq \frac{\lambda}{u}, \quad x_0 \in X,$$

5 which means that  $\zeta$  is point dissipative and  $\Omega$  attracts all points in  $X$ . This com-  
6 pletes the proof.  $\square$

7 **Remark 1.** For some constant  $h$  that satisfies the condition  $h \geq \lambda/u$ , it follows  
8 from Assumption 1 and Proposition 1 that if for any  $x_0 \in X$  and  $\|x_0\|_X \leq h$  then  
9  $P(t)$  and  $S(t)$  are bounded above by  $h$  and bounded below by zero.

### 10 3.2. Asymptotic smoothness

11 We introduce the following two lemmas to show the asymptotic smoothness of the  
12 semiflow  $\{\zeta(t, \cdot)\}_{t \geq 0}$  [21].

13 **Lemma 1.** *The semiflow  $\zeta : R^+ \times X \rightarrow X$  is asymptotically smooth if there exist  
14 the two maps  $\zeta_1, \zeta_2 : R^+ \times X \rightarrow X$  such that  $\zeta(t, x) = \zeta_1(t, x) + \zeta_2(t, x)$ , and for any  
15 bounded closed set  $\mathcal{A} \subset X$  ( $\mathcal{A}$  is forward invariant of  $\zeta$ ) the following conditions  
16 hold: (1)  $\lim_{t \rightarrow +\infty} \text{diam} \zeta_2(t, \mathcal{A}) = 0$ ; (2) there is a  $t_{\mathcal{A}} \geq 0$  and each  $t \geq t_{\mathcal{A}}$  will lead  
17 to  $\zeta_1(t, \mathcal{A})$  which has compact closure.*

18 *Because  $X$  is an infinite dimensional space and  $L^1_+(0, +\infty) \subset X$ , to guarantee  
19 the precompactness we need the following results.*

20 **Lemma 2.** *Denote  $\mathcal{A}_1$  as a bounded subset of  $L^1_+(0, +\infty)$ . The sufficient and nec-  
21 essary conditions for  $\mathcal{A}_1$  having a compact closure are as follows:*

- 22 (i)  $\sup_{f \in \mathcal{A}_1} \int_0^{+\infty} |f(s)| ds < +\infty$ ;  
23 (ii)  $\sup_{t \rightarrow +\infty} \int_t^{+\infty} |f(s)| ds = 0$  uniformly in  $f \in \mathcal{A}_1$ ;  
24 (iii)  $\sup_{t \rightarrow 0^+} \int_0^{+\infty} |f(s+t) - f(s)| ds = 0$  uniformly in  $f \in \mathcal{A}_1$ ;  
25 (iv)  $\sup_{t \rightarrow 0^+} \int_0^t |f(s)| ds = 0$  uniformly in  $f \in \mathcal{A}_1$ .

26 *By using the above lemmas, we can show that the semiflow  $\zeta(t, x)$  is asymptoti-  
27 cally smooth. First of all, we give the definitions of  $\zeta_1$  and  $\zeta_2$ . Let*

$$S_1(t, a) = \begin{cases} S(t, a), & 0 \leq a < t; \\ 0, & a \geq t \geq 0 \end{cases} \quad (3.2)$$

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1 and

$$S_2(t, a) = \begin{cases} 0, & 0 \leq a < t; \\ S(t, a), & a \geq t \geq 0. \end{cases} \quad (3.3)$$

2 Then  $\zeta_1$  and  $\zeta_2$  can be defined as  $\zeta_1(t, x_0) = (P(t), S_1(t, \cdot))$  and  $\zeta_2(t, x_0) =$   
 3  $(0, S_2(t, \cdot))$ , respectively. It is clear that the semiflow  $\zeta(t, x_0) = \zeta_1(t, x_0) +$   
 4  $\zeta_2(t, x_0)$ .

5 **Theorem 1.** *The semiflow  $\zeta$  defined by (2.8) for system (2.3) is asymptotically*  
 6 *smooth.*

7 **Proof.** It follows from Remark 1 that for each  $x_0 \in \mathcal{A}$  (here  $\mathcal{A} \subset X$ ) yields  
 8  $\|x_0\|_X \leq h$ . Concerning (2.6) and (3.3),

$$\begin{aligned} \|\zeta_2(t, x_0)\|_X &= \int_t^{+\infty} |S_2(t, a)| da = \int_t^{+\infty} \left| S_0(a-t) \frac{K_0(a)}{K_0(a-t)} \right| da \\ &= \int_t^{+\infty} \left| S_0(\tau) \frac{K_0(t+\tau)}{K_0(\tau)} \right| d\tau = \int_t^{+\infty} \left| S_0(\tau) e^{-\int_\tau^{t+\tau} (u+\alpha(s)) ds} \right| d\tau \\ &\leq e^{-(u+c_0)t} \int_t^{+\infty} |S_0(\tau)| d\tau \leq e^{-(u+c_0)t} \|x_0\|_X \leq e^{-(u+c_0)t} h. \end{aligned} \quad (3.4)$$

9 So  $\lim_{t \rightarrow +\infty} \text{diam} \zeta_2(t, \mathcal{A}) = 0$ .

10 Now, we need to show that  $\zeta_1(t, \mathcal{A})$  exists with compact closure for any  $t \geq 0$ .  
 11 In the light of Remark 1,  $P(t)$  lies in the compact set  $[0, h]$  for  $t \geq 0$ . Moreover, it is  
 12 necessary to prove that  $S_1(t, a)$  remains in a precompact subset of  $L_1^+(0, +\infty)$  which  
 13 is independent of  $x_0$ . From (2.6) and (3.2), it is clear that  $S_1(t, a)$  is non-negative  
 14 and

$$S_1(t, a) = \begin{cases} P(t-a)B(t-a)K_0(a), & 0 \leq a < t; \\ 0, & a \geq t \geq 0. \end{cases} \quad (3.5)$$

15 Considering Assumption 1 and Remark 1, we have

$$S_1(t, a) \leq h e^{-(u+c_0)a} B(t-a) \leq h e^{-(u+c_0)a} \hat{\beta} \|x_0\| \leq h^2 \hat{\beta} e^{-(u+c_0)a}. \quad (3.6)$$

16 Thus, we conclude that (i), (ii) and (iv) of Lemma 2 hold true. Next, we only need  
 17 to show that the condition (iii) of Lemma 2 holds. Assume that  $\tau \in (0, t)$ ,

$$\begin{aligned} &\int_0^{+\infty} |S_1(t, a+\tau) - S_1(s, a)| da \\ &= \int_0^{t-\tau} |P(t-a-\tau)B(t-a-\tau)K_0(a+\tau) - P(t-a)B(t-a)K_0(a)| da \\ &\quad + \int_{t-\tau}^t |0 - P(t-a)B(t-a)K_0(a)| da \end{aligned}$$

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$$\begin{aligned}
&= \int_0^{t-\tau} |P(t-a-\tau)B(t-a-\tau)| |K_0(a+\tau) - K_0(a)| da \\
&\quad + \int_0^{t-\tau} |K_0(a)| |P(t-a-\tau)B(t-a-\tau) - P(t-a)B(t-a)| da \\
&\quad + \int_{t-\tau}^t |P(t-a)B(t-a)K_0(a)| da \\
&\leq h^2 \hat{\beta} \int_0^{t-\tau} |K_0(a+\tau) - K_0(a)| da + h^2 \hat{\beta} \tau \\
&\quad + \int_0^{t-\tau} |K_0(a)| |P(t-a-\tau)B(t-a-\tau) - P(t-a)B(t-a)| da \\
&\doteq h^2 \hat{\beta} \int_0^{t-\tau} |K_0(a+\tau) - K_0(a)| da + h^2 \hat{\beta} \tau + \Upsilon.
\end{aligned}$$

1 Note that  $K_0(a)$  is non-increasing with respect to  $a$  and  $0 \leq K_0(a) \leq e^{-(u+c_0)a} \leq 1$ ,  
2 so

$$\begin{aligned}
&\int_0^{t-\tau} |K_0(a+\tau) - K_0(a)| da \\
&= \int_0^{t-\tau} K_0(a) da - \int_0^{t-\tau} K_0(a+\tau) da \\
&= \int_0^{t-\tau} K_0(a) da - \int_\tau^t K_0(a) da \\
&= \int_0^{t-\tau} K_0(a) da - \int_\tau^{t-\tau} K_0(a) da - \int_{t-\tau}^t K_0(a) da \\
&\leq \int_0^\tau K_0(a) da - \int_{t-\tau}^t K_0(a) da \leq \tau.
\end{aligned}$$

3 In the light of (3.1), we have

$$\left| \frac{dB(t)}{dt} \right| \leq \hat{\beta} \lambda, \quad \left| \frac{dP(t)}{dt} \right| \leq \lambda + uh + \hat{\beta} h^2 + (1 - \epsilon_1) \hat{\alpha} h.$$

4 Then

$$\begin{aligned}
&|P(t-a-\tau)B(t-a-\tau) - P(t-a)B(t-a)| \\
&\leq |P(t-a-\tau)| |B(t-a-\tau) - B(t-a)| \\
&\quad + |B(t-a)| |P(t-a-\tau) - P(t-a)| \\
&\leq \{h\hat{\beta}\lambda + h\hat{\beta}(\lambda + uh + \hat{\beta}h^2 + (1 - \epsilon_1)\hat{\alpha}h)\} \tau.
\end{aligned}$$



1 Furthermore,

$$\begin{aligned} \Upsilon &\leq \tau \{h\hat{\beta}\lambda + h\hat{\beta}(\lambda + uh + \hat{\beta}h^2 + (1 - \epsilon_1)\hat{\alpha}h)\} \int_0^{t-\tau} e^{-(u+c_0)a} da \\ &\leq \frac{\tau}{u+c_0} \{h\hat{\beta}\lambda + h\hat{\beta}(\lambda + uh + \hat{\beta}h^2 + (1 - \epsilon_1)\hat{\alpha}h)\}. \end{aligned}$$

2 Hence,

$$\begin{aligned} &\int_0^{+\infty} |S_1(t, a + \tau) - S_1(s, a)| da \\ &\leq \tau \left\{ 2h^2\hat{\beta} + \frac{1}{u+c_0} [h\hat{\beta}\lambda + h\hat{\beta}(\lambda + uh + \hat{\beta}h^2 + (1 - \epsilon_1)\hat{\alpha}h)] \right\}. \end{aligned}$$

3 The above inequality converges uniformly to 0 as  $\tau \rightarrow 0$ . It means condition (iii)  
4 of Lemma 2 holds, and all conditions of Lemma 2 are satisfied. This indicates that  
5  $\zeta_1(t, \mathcal{A})$  has compact closure for any  $t_{\mathcal{A}} \geq 0$ . Therefore, the semiflow  $\zeta$  defined by  
6 (2.8) for system (2.3) is asymptotically smooth. This completes the proof.  $\square$

7 Concerning Proposition 1 and Theorem 1, the following result holds [6, 16].

8 **Theorem 2.** *The semiflow  $\zeta$  defined by (2.8) for system (2.3) has a global attractor*  
9 *in  $X$  and it attracts any bounded subset of  $X$ .*

## 10 4. Equilibria and Stability

### 11 4.1. Existence and local stability of the equilibria

12 Denote  $E^*(P^*, S^*)$  as the equilibria of system (2.3), and  $E^*$  satisfies the following  
13 equations:

$$\begin{cases} \lambda - \int_0^{\infty} \beta(a)P^*S^*(a)da + \int_0^{\infty} (1 - \epsilon_1)\alpha(a)S^*(a)da - uP^* = 0, \\ \frac{dS^*(a)}{da} + (u + \alpha(a))S^*(a) = 0, \\ S^*(0) = P^* \int_0^{\infty} \beta(a)S^*(a)da = P^*B^*. \end{cases} \quad (4.1)$$

14 It is clear that there always exists a smoking free equilibrium  $E_0(P_0, 0)$ , where  
15  $P_0 = \lambda/u$ . Solving the second equation of (4.1) yields

$$S^*(a) = S^*(0)e^{-\int_0^a (u+\alpha(s))da} = P^*B^*K_0(a), \quad (4.2)$$

16 then

$$\beta(a)S^*(a) = P^*B^*\beta(a)K_0(a),$$

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1 i.e.

$$B^* = P^* B^* K_2,$$

2 where  $K_2$  is defined by (2.5). Thus,  $P^* = 1/K_2$ . Considering the first equation of  
3 (4.1) and making use of (4.2), we have

$$\lambda - P^* B^* + P^*(1 - \epsilon_1)B^* K_1 - uP^* = 0, \quad (4.3)$$

4 where  $K_1$  is defined by (2.5), solving Eq. (4.3) with respect to  $B^*$ ,

$$B^* = \frac{\lambda - uP^*}{P^* - P^*(1 - \epsilon_1)K_1}.$$

5 Substituting the expressions of  $P^*$  and  $B^*$  into (4.2) we get

$$S^*(a) = \frac{(\lambda K_2 - u)K_0(a)}{K_2 - (1 - \epsilon_1)K_1 K_2}. \quad (4.4)$$

6 Notice that  $K_1$  is less than 1, so  $S^*(a) > 0$  if and only if  $\lambda K_2 - u > 0$ , or  $R_0 > 1$ ,  
7 where

$$R_0 = \frac{\lambda K_2}{u}.$$

8 System (2.3) exists with a positive endemic equilibrium  $E^*(P^*, S^*)$  provided that  
9  $R_0 > 1$ .

10 **Theorem 3.** *System (2.3) always exists with a smoking free equilibrium  $E_0$ . If*  
11  *$R_0 > 1$ , then there is a positive endemic equilibrium  $E^*$  for system (2.3).*

12 *Theorem 3 provided the conditions for the existence of the equilibria, in the*  
13 *following we investigate the local stability of these equilibria.*

14 **Theorem 4.** *The equilibrium  $E_0$  of system (2.3) is locally stable if  $R_0 < 1$ .*

15 **Proof.** To show the local stability of the equilibrium  $E_0$ , we need to consider the  
16 linearized model of system (2.3) at  $E_0$ . To this end, let  $y_1(t) = P(t) - P_0$  and  
17  $y_2(t, a) = S(t, a)$ , then substituting these into system (2.3), we get the correspond-  
18 ing linearized system at  $E_0$ :

$$\begin{cases} \frac{dy_1(t)}{dt} = -uy_1(t) - P_0 \int_0^\infty \beta(a)y_2(t, a)da + \int_0^\infty (1 - \epsilon_1)\alpha(a)y_2(t, a)da, \\ \frac{dy_2(t, a)}{dt} + \frac{dy_2(t, a)}{da} = -(u + \alpha(a))y_2(t, a), \\ y_2(t, 0) = P_0 \int_0^\infty \beta(a)y_2(t, a)da. \end{cases} \quad (4.5)$$

1 Let  $y_1(t) = \tilde{y}_1 e^{\theta t}$  and  $y_2(t, a) = \tilde{y}_2(a) e^{\theta t}$ , from (4.5) we get

$$\begin{cases} \theta \tilde{y}_1 = -u \tilde{y}_1 - P_0 \int_0^\infty \beta(a) \tilde{y}_2(a) da + \int_0^\infty (1 - \epsilon_1) \alpha(a) \tilde{y}_2(a) da, \\ \theta \tilde{y}_2(a) + \frac{d\tilde{y}_2(a)}{da} = -(u + \alpha(a)) \tilde{y}_2(a), \\ \tilde{y}_2(0) = P_0 \int_0^\infty \beta(a) \tilde{y}_2(a) da. \end{cases} \quad (4.6)$$

2 Integrating the second equation of (4.6) from 0 to  $a$  yields

$$\tilde{y}_2(a) = \tilde{y}_2(0) e^{-\int_0^a (u + \alpha(s) + \theta) ds}. \quad (4.7)$$

3 Putting (4.7) into the third equation of (4.6),

$$\mathcal{L}(\theta) \doteq \frac{\lambda}{u} \int_0^\infty \beta(a) e^{-\int_0^a (u + \alpha(s) + \theta) ds} da - 1 = 0. \quad (4.8)$$

4 Obviously,

$$\mathcal{L}(0) = R_0 - 1, \quad \lim_{\theta \rightarrow +\infty} \mathcal{L}(\theta) = 0, \quad \lim_{\theta \rightarrow -\infty} \mathcal{L}(\theta) = \infty, \quad \frac{d}{d\theta} \mathcal{L}(\theta) < 0.$$

5 Hence, if  $R_0 > 1$ , then  $\mathcal{L}(\theta)$  has a unique positive real root  $\theta^*$ , i.e.  $R_0 > 1$  implies  
6 that  $E_0$  is unstable. If  $R_0 < 1$ , then  $\theta^* < 0$ , i.e.  $E_0$  is locally stable. Otherwise,  
7 denote  $\theta = a_1 + ib_1$  as any complex root of  $\mathcal{L}(\theta)$  with real part  $a_1 \geq 0$ . However,

$$|\mathcal{L}(a_1 + ib_1)| = \frac{\lambda}{u} \int_0^\infty \beta(a) e^{-\int_0^a (u + \alpha(s)) ds} da - 1 = R_0 - 1 < 0.$$

8 It indicates that if  $R_0 < 1$ , all roots of  $\mathcal{L}(\theta)$  exist with negative real parts, then  $E_0$   
9 is locally stable. This completes the proof.  $\square$

10 **Theorem 5.** *The equilibrium  $E^*$  of system (2.3) is locally stable if  $R_0 > 1$ .*

11 **Proof.** Let  $y_1(t) = P(t) - P^*$  and  $y_2(t, a) = S(t, a) - S^*$ , then we obtain the  
12 linearized system at  $E_0$  of system (2.3):

$$\begin{cases} \frac{dy_1(t)}{dt} = -u y_1(t) - P^* \int_0^\infty \beta(a) y_2(t, a) da - \int_0^\infty \beta(a) S^* y_1(t) da \\ \quad + \int_0^\infty (1 - \epsilon_1) \alpha(a) y_2(t, a) da, \\ \frac{dy_2(t, a)}{dt} + \frac{dy_2(t, a)}{da} = -(u + \alpha(a)) y_2(t, a), \\ y_2(t, 0) = P_0 \int_0^\infty \beta(a) y_2(t, a) da + \int_0^\infty \beta(a) S^* y_1(t) da. \end{cases} \quad (4.9)$$

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- 1 Then taking the exponential solutions  $y_1(t) = \tilde{y}_1 e^{\theta t}$  and  $y_2(t, a) = \tilde{y}_2(a) e^{\theta t}$  into  
2 account and putting these into system (4.9)

$$\left\{ \begin{array}{l} \theta \tilde{y}_1 = -u \tilde{y}_1 - \int_0^\infty \beta(a) \tilde{y}_1 S^* da - \int_0^\infty \beta(a) P^* \tilde{y}_2(a) da \\ \quad + \int_0^\infty (1 - \epsilon_1) \alpha(a) \tilde{y}_2(a) da, \\ \theta \tilde{y}_2(a) + \frac{d\tilde{y}_2(a)}{da} = -(u + \alpha(a)) \tilde{y}_2(a), \\ \tilde{y}_2(0) = \int_0^\infty \beta(a) \tilde{y}_1 S^* da + \int_0^\infty \beta(a) P^* \tilde{y}_2(a) da. \end{array} \right. \quad (4.10)$$

- 3 Solving the second equation of (4.10) yields

$$\tilde{y}_2(a) = \tilde{y}_2(0) e^{-\int_0^a (u + \alpha(s) + \theta) ds}. \quad (4.11)$$

- 4 Combining (4.11) with the third equation of (4.10),

$$\tilde{y}_2(0) = \int_0^\infty \beta(a) \tilde{y}_1 S^* da + \int_0^\infty \beta(a) P^* \tilde{y}_2(0) e^{-\int_0^a (u + \alpha(s) + \theta) ds} da. \quad (4.12)$$

- 5 From the first equation of (4.10) we have

$$\tilde{y}_1 = \frac{-\int_0^\infty \beta(a) P^* \tilde{y}_2(a) da + \int_0^\infty (1 - \epsilon_1) \alpha(a) \tilde{y}_2(a) da}{\theta + u + \int_0^\infty \beta(a) \tilde{y}_1 S^* da}. \quad (4.13)$$

- 6 Substituting (4.13) into (4.12) and after simplification we get

$$\begin{aligned} \mathcal{L}_1(\theta) &\doteq \frac{B^* \int_0^\infty (1 - \epsilon_1) \alpha(a) e^{-\int_0^a (u + \theta + \alpha(s)) ds} da}{\theta + u + B^*} \\ &\quad + \frac{(\theta + u) \int_0^\infty \beta(a) P^* e^{-\int_0^a (u + \theta + \alpha(s)) ds} da}{\theta + u + B^*} = 1. \end{aligned}$$

- 7 If  $R_0 > 1$ , then  $E^*$  is locally stable. Otherwise, denote  $\theta = a_2 + ib_2$  as any complex  
8 root of  $\mathcal{L}_1(\theta)$  with real part  $a_2 \geq 0$ . However,

$$\begin{aligned} |\mathcal{L}(a_2 + ib_2)| &\leq \frac{B^*(1 - \epsilon_1) \int_0^\infty \alpha(a) K_0(a) da + u P^* \int_0^\infty \beta(a) K_0(a) da}{u + B^*} \\ &= \frac{B^*(1 - \epsilon_1) K_1 + u P^* K_2}{u + B^*} \\ &\leq \frac{B^*(1 - \epsilon_1) + u}{u + B^*} \leq 1, \end{aligned}$$

1 which is a contradiction. It implies that if  $R_0 > 1$ , all roots of  $\mathcal{L}_1(\theta) = 1$  exist with  
 2 negative real parts, then  $E^*$  is locally stable. This completes the proof.  $\square$

### 3 4.2. Uniform persistence

4 This subsection deals with the uniform persistence of system (2.3) when  $R_0 > 1$ .  
 5 Define

$$M_0 = \left\{ (P(t), S(t, a))^T \in X \mid \int_0^\infty S(t, a) da > 0 \right\},$$

6 let  $\partial M_0 = X \setminus M_0$  and  $X = M_0 \cup \partial M_0$ .

7 **Proposition 2.** *Under the semiflow  $\zeta(t, \cdot)$ , the sets  $M_0$  and  $\partial M_0$  are both positively*  
 8 *invariant.*

9 **Theorem 6.** *The equilibrium  $E_0$  of system (2.3) is globally asymptotically stable*  
 10 *for the semiflow  $\{\zeta(t, \cdot)\}_{t \geq 0}$  restricted to  $\partial M_0$ .*

11 **Proof.** Notice that  $P(t) \leq \lambda/u$  as  $t \rightarrow \infty$ . Hence,  $S(t, a) \leq \tilde{S}(t, a)$  where  $\tilde{S}(t, a)$   
 12 satisfies

$$\begin{cases} \frac{d\tilde{S}(t, a)}{dt} + \frac{d\tilde{S}(t, a)}{da} = -(u + \alpha(a))\tilde{S}(t, a), \\ \tilde{S}(t, 0) = \int_0^\infty \beta(a)P(t)\tilde{S}(t, a)da, \quad \tilde{S}(0, a) = S_0(a). \end{cases}$$

13 It follows from (3.2)–(3.4) and (3.6) that we get  $\lim_{t \rightarrow +\infty} \tilde{S}(t, a) = 0$ , which  
 14 means  $\lim_{t \rightarrow +\infty} S(t, a) = 0$ . Furthermore, the first equation of (2.3) leads to  
 15  $\lim_{t \rightarrow +\infty} P(t) = P_0$ . Therefore,  $\lim_{t \rightarrow +\infty} (P(t), S(t, a)) = (P_0, 0)$ , i.e. the equilib-  
 16 rium  $E_0$  of system (2.3) is globally asymptotically stable for the semiflow  $\zeta(t, \cdot)$   
 17 restricted to  $\partial M_0$ . This completes the proof.  $\square$

18 **Theorem 7.** *If  $R_0 > 1$ , then the semiflow  $\{\zeta(t, \cdot)\}_{t \geq 0}$  is uniformly persistent with*  
 19 *regard to the decomposition  $(M_0, \partial M_0)$ , and there is a compact subset  $\mathfrak{A}_0 \subset M_0$  for*  
 20  *$\{\zeta(t, \cdot)\}_{t \geq 0}$  in  $X$ .*

21 **Proof.** Notice that  $E_0$  is globally asymptotically stable in  $\partial M_0$ , let

$$W_s(E_0) = \left\{ x \in X \mid \lim_{t \rightarrow \infty} \zeta(t, x) = E_0 \right\},$$

22 then we only need to ensure that  $W_s(E_0) \cap M_0 = \emptyset$ . Otherwise, there exists  
 23 a  $\tilde{x} \in M_0$  such that  $\tilde{x} \in W_s(E_0)$ . Thus, there is a list of  $\{\tilde{x}_n\} \in M_0$  and  
 24 it satisfies  $\|\zeta(t, \tilde{x}_n) - E_0\|_X < n$  ( $t \geq 0$ ). Note that  $Q_t(t) = 0$  at  $E_0$ , let  
 25  $\zeta(t, \tilde{x}_n) = (P^n(t), S^n(t, \cdot), Q_t^n(t))$ . For  $t \geq 0$  we have

$$P_0 - \frac{1}{n} < P^n(t) < P_0 + \frac{1}{n}, \quad 0 \leq Q_t^n(t) \leq \frac{1}{n}.$$

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1 Equation (2.6) leads to  $S(t, a) \geq P(t - a)B(t - a)K_0(a)$ . Concerning these inequalities and the third equation of system (2.1) yields  $Q_t^n(t) \geq Q_n(t)$ , where

$$\begin{cases} \frac{dQ_n(t)}{dt} = \int_0^\infty \epsilon_1(1 - \epsilon_2)\alpha(\tau) \left(P_0 - \frac{1}{n}\right) B(t - \tau)K_0(\tau)d\tau - uQ_n(t), \\ Q_n(0) = Q_t^n(0), \end{cases}$$

3 If  $R_0 > 1$ , the large  $n > 0$  implies that

$$\begin{aligned} & \left(P_0 - \frac{1}{n}\right) \int_0^\infty \epsilon_1(1 - \epsilon_2)\alpha(\tau)B(t - \tau)K_0(\tau)d\tau \\ & \geq \left(P_0 - \frac{1}{n}\right) \int_0^\infty uQ_n(t)\alpha(\tau)K_2(\tau)d\tau \geq uQ_n(t). \end{aligned}$$

4 It follows from [1] that  $Q_t^n(t)$  is unbounded, and then  $Q_t^n(t)$  is unbounded. It implies  
5 that  $\zeta(t, \tilde{x}_n)$  is unbounded, which contradicts the boundedness of  $Q_t^n(t)$ . Therefore,  
6  $W_s(E_0) \cap M_0 = \emptyset$  holds, so we conclude that semiflow  $\{\zeta(t, \cdot)\}_{t \geq 0}$  is uniformly  
7 persistent. Furthermore, from [16] we can find a compact subset  $\mathfrak{A}_0 \subset M_0$  for  
8  $\{\zeta(t, \cdot)\}_{t \geq 0}$  in  $X$ , which is a global attractor. This completes the proof.  $\square$

### 9 4.3. Global stability of the equilibria

10 This part mainly deals with the global stability of system (2.3), for which we first  
11 introduce a very useful function [9].

12 **Proposition 3.** *For the Volterra function  $M(x) = x - 1 - \ln x$ , it is clear that*  
13  *$M(x) \geq 0$  if  $x > 0$  and  $M(1) = 0$  is a global minimum.*

14 **Theorem 8.** *If  $R_0 < 1$ , then the equilibrium  $E_0$  of system (2.3) is globally asymptotically stable.*

16 **Proof.** Define a positive function  $L_1(a)$  as

$$L_1(a) = \int_a^\infty P_0\beta(\tau)e^{-\int_a^\tau (u+\alpha(s))ds}d\tau,$$

17 then it is clear that  $L_1(a) > 0(a \geq 0)$  and

$$L_1(0) = P_0 \int_0^\infty \beta(\tau)e^{-\int_0^\tau (u+\alpha(s))ds}d\tau = P_0K_2 = R_0.$$

18 Further, taking the derivative of  $L_1(a)$  with respect to  $a$  yields

$$\begin{aligned} \frac{dL_1(a)}{da} &= L_1(a)(u + \alpha(a)) - e^{\int_0^a (u+\alpha(s))ds} P_0\beta(a)e^{-\int_0^a (u+\alpha(s))ds} \\ &= L_1(a)(u + \alpha(a)) - P_0\beta(a). \end{aligned} \quad (4.14)$$

19 Considering any solution  $(P(t), S(t, a))$  of system (2.3), we define the Lyapunov  
20 function  $V(t)$  as follows:

$$V(t) = P_0M\left(\frac{P(t)}{P_0}\right) + \int_0^\infty L_1(a)S(t, a)da \doteq V_1(t) + V_2(t).$$

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1 We calculate the derivative of  $V_1(t)$  along with the solutions of system (2.3),

$$\begin{aligned}
 \frac{dV_1(t)}{dt} &= \left(1 - \frac{P_0}{P(t)}\right) \left(\lambda - \int_0^\infty \beta(a)P(t)S(t,a)da\right. \\
 &\quad \left. + \int_0^\infty (1 - \epsilon_1)\alpha(a)S(t,a)da - uP(t)\right) \\
 &= uP_0 \left(2 - \frac{P_0}{P(t)} - \frac{P(t)}{P_0}\right) - \int_0^\infty \beta(a)P(t)S(t,a)da \\
 &\quad + \int_0^\infty (1 - \epsilon_1)\alpha(a)S(t,a)da + \int_0^\infty \beta(a)P_0S(t,a)da \\
 &\quad - \frac{P_0}{P(t)} \int_0^\infty (1 - \epsilon_1)\alpha(a)S(t,a)da. \tag{4.15}
 \end{aligned}$$

2 Concerning (4.14) and the derivative of  $V_2(t)$  along the solutions of system (2.3)  
 3 yields

$$\begin{aligned}
 \frac{dV_2(t)}{dt} &= - \int_0^\infty L_1(a) \frac{\partial}{\partial a} S(t,a) da - \int_0^\infty L_1(a)(u + \alpha(a))S(t,a) da \\
 &= -L_1(a)S(t,a)|_{a=0}^{a=\infty} + \int_0^\infty S(t,a) \frac{dL_1(a)}{da} da \\
 &\quad - \int_0^\infty L_1(a)(u + \alpha(a))S(t,a) da \\
 &= L_1(0)S(t,0) + \int_0^\infty S(t,a) (L_1(a)(u + \alpha(a)) - P_0\beta(a)) da \\
 &\quad - \int_0^\infty L_1(a)(u + \alpha(a))S(t,a) da \\
 &= L_1(0)S(t,0) - \int_0^\infty S(t,a)P_0\beta(a) da. \tag{4.16}
 \end{aligned}$$

4 Hence,

$$\begin{aligned}
 \frac{dV(t)}{dt} &= uP_0 \left(2 - \frac{P_0}{P(t)} - \frac{P(t)}{P_0}\right) + L_1(0)S(t,0) - \int_0^\infty \beta(a)P(t)S(t,a)da \\
 &\quad + \int_0^\infty (1 - \epsilon_1)\alpha(a)S(t,a)da - \frac{P_0}{P(t)} \int_0^\infty (1 - \epsilon_1)\alpha(a)S(t,a)da \\
 &= uP_0 \left(2 - \frac{P_0}{P(t)} - \frac{P(t)}{P_0}\right) + (R_0 - 1)S(t,0) \\
 &\quad + \frac{P(t) - P_0}{P(t)} \int_0^\infty (1 - \epsilon_1)\alpha(a)S(t,a)da. \tag{4.17}
 \end{aligned}$$

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1 From Proposition 1,  $P(t) \leq \lambda/u = P_0$  holds. Thereby, if  $R_0 < 1$ , then  $dV(t)/dt \leq 0$ .  
 2  $dV(t)/dt = 0$  holds only for  $P(t) = P_0$  and  $S(t, a) = 0$ , i.e. the equality holds  
 3 only at the equilibrium  $E_0$ . Therefore,  $\{E_0\} \in \Omega$  is the largest invariant subset of  
 4  $\{(P(t), S(t, a)) | dV(t)/dt = 0\}$ , it follows from the Lyapunov–LaSalle theorem for  
 5 semiflows that the equilibrium  $E_0$  is globally asymptotically stable if  $R_0 < 1$ . This  
 6 completes the proof.  $\square$

7 **Theorem 9.** *If  $R_0 > 1$  and  $\epsilon_1 = 1$ , then the equilibrium  $E^*$  of system (2.3) is*  
 8 *globally asymptotically stable.*

9 **Proof.** Introduce a function  $L_2(a)$  such that  $L_2(a) > 0 (a \geq 0)$ , where

$$L_2(a) = \int_a^\infty P^* \beta(\tau) e^{-\int_a^\tau (u+\alpha(s)) ds} d\tau$$

10 with

$$L_2(0) = \int_0^\infty P^* \beta(\tau) e^{-\int_0^\tau (u+\alpha(s)) ds} d\tau = P^* K_2.$$

11 The derivative of  $L_2(a)$  with respect to  $a$  yields

$$\begin{aligned} \frac{dL_2(a)}{da} &= L_2(a)(u + \alpha(a)) - e^{\int_0^a (u+\alpha(s)) ds} P^* \beta(a) e^{-\int_0^a (u+\alpha(s)) ds} \\ &= L_2(a)(u + \alpha(a)) - P^* \beta(a). \end{aligned} \quad (4.18)$$

12 Defining the Lyapunov function  $U(t)$  as follows:

$$U(t) = P^* M \left( \frac{P(t)}{P^*} \right) + \int_0^\infty L_2(a) S^*(a) M \left( \frac{S(t, a)}{S^*(a)} \right) da \doteq U_1(t) + U_2(t).$$

13 In the light of system (2.3), the derivative of  $U_1(t)$  yields

$$\begin{aligned} \frac{dU_1(t)}{dt} &= \left( 1 - \frac{P^*}{P(t)} \right) \left( \lambda - uP(t) - \int_0^\infty \beta(a) P(t) S(t, a) da \right) \\ &= uP^* \left( 2 - \frac{P^*}{P(t)} - \frac{P(t)}{P^*} \right) + \int_0^\infty \beta(a) P^* S^*(a) \\ &\quad \times \left( 1 - \frac{P(t)S(t, a)}{P^* S^*(a)} - \frac{P^*}{P(t)} + \frac{S(t, a)}{S^*(a)} \right) da. \end{aligned} \quad (4.19)$$

14 To get the expression of  $dU_2(t)/dt$ , we first need to calculate the derivative of  
 15  $M(S(t, a)/S^*(a))$  with respect to  $a$ , i.e.

$$\begin{aligned} \frac{\partial}{\partial a} M \left( \frac{S(t, a)}{S^*(a)} \right) &= \frac{\partial}{\partial a} \left( \frac{S(t, a)}{S^*(a)} - 1 - \ln \left( \frac{S(t, a)}{S^*(a)} \right) \right) \\ &= \frac{\partial}{\partial a} \left( \frac{S(t, a)}{S^*(a)} \right) - \frac{S^*(a)}{S(t, a)} \frac{\partial}{\partial a} \left( \frac{S(t, a)}{S^*(a)} \right) \end{aligned}$$



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$$\begin{aligned}
&= \left(1 - \frac{S^*(a)}{S(t, a)}\right) \left(\frac{\frac{\partial S(t, a)}{\partial a} S^*(a) - S(t, a) \frac{\partial S^*(a)}{\partial a}}{(S^*(a))^2}\right) \\
&= \left(1 - \frac{S^*(a)}{S(t, a)}\right) \left(\frac{1}{S^*(a)} \frac{\partial S(t, a)}{\partial a} + \frac{S(t, a)}{S^*(a)} (u + \alpha(a))\right) \\
&= \left(\frac{1}{S^*(a)} - \frac{1}{S(t, a)}\right) \frac{\partial S(t, a)}{\partial t} \\
&= -\frac{\partial}{\partial t} M \left(\frac{S(t, a)}{S^*(a)}\right).
\end{aligned} \tag{4.20}$$

1 It follows from (4.20) that

$$\begin{aligned}
\frac{dU_2(t)}{dt} &= \int_0^\infty L_2(a) S^*(a) \frac{\partial}{\partial t} M \left(\frac{S(t, a)}{S^*(a)}\right) da \\
&= -\int_0^\infty L_2(a) S^*(a) \frac{\partial}{\partial a} M \left(\frac{S(t, a)}{S^*(a)}\right) da \\
&= -L_2(a) S^*(a) M \left(\frac{S(t, a)}{S^*(a)}\right) \Big|_{a=0}^{a=\infty} \\
&\quad + \int_0^\infty M \left(\frac{S(t, a)}{S^*(a)}\right) \frac{\partial}{\partial a} (L_2(a) S^*(a)) da \\
&= L_2(0) S^*(0) M \left(\frac{S(t, 0)}{S^*(0)}\right) - \int_0^\infty P^* S^*(a) \beta(a) M \left(\frac{S(t, a)}{S^*(a)}\right) da \\
&= \int_0^\infty P^* S^*(a) \beta(a) \left(M \left(\frac{P(t) B(t)}{P^* B^*}\right) - M \left(\frac{S(t, a)}{S^*(a)}\right)\right) da.
\end{aligned} \tag{4.21}$$

2 From (4.19) and (4.21),

$$\begin{aligned}
\frac{dU(t)}{dt} &= u P^* \left(2 - \frac{P^*}{P(t)} - \frac{P(t)}{P^*}\right) \\
&\quad + \int_0^\infty \beta(a) P^* S^*(a) \left(M \left(\frac{P(t) B(t)}{P^* B^*}\right) - M \left(\frac{S(t, a)}{S^*(a)}\right)\right) da \\
&\quad + \int_0^\infty \beta(a) P^* S^*(a) \left(1 - \frac{P(t) S(t, a)}{P^* S^*(a)} - \frac{P^*}{P(t)} + \frac{S(t, a)}{S^*(a)}\right) da \\
&= u P^* \left(2 - \frac{P^*}{P(t)} - \frac{P(t)}{P^*}\right) - \int_0^\infty \beta(a) P^* S^*(a) M \left(\frac{P^*}{P(t)}\right) da \leq 0.
\end{aligned} \tag{4.22}$$

3 Moreover,  $dU(t)/dt = 0$  if and only if  $P(t) = P^*$  and  $S(t, a) = S^*(a)$ , i.e.

4  $dU(t)/dt = 0$  holds only at the equilibrium  $E^*$ . Therefore, the largest invariant

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1 subset of  $\{(P(t), S(t, a)) | dU(t)/dt = 0\}$  is  $\{E^*\} \in \Omega$ , according to the Lyapunov–  
 2 LaSalle theorem for semiflows, the equilibrium  $E^*$  is globally asymptotically stable  
 3 if  $R_0 > 1$ . This completes the proof.  $\square$

4 From Theorem 9, the equilibrium  $E^*$  of system (2.3) is globally asymptotically  
 5 stable provided that  $R_0 > 1$  and  $\epsilon_1 = 1$ . However, we cannot determine whether it  
 6 is also true when  $\epsilon_1 \in [0, 1)$ . To this end, we will discuss the results when  $\epsilon_1 \neq 1$  by  
 7 means of numerical investigations in the next section.

## 8 5. Numerical Investigations and Discussion

9 In order to carry out numerical analysis to support the theoretical results, we  
 10 assume that the age-dependent transmission rate  $\beta(a)$  and the age-dependent smok-  
 11 ing quitting rate  $\alpha(a)$  have the following expressions:

$$\beta(a) = \beta_1 \left( 1 + \sin \frac{(a-10)\pi}{20} \right), \quad \alpha(a) = 0.01 \left( 1 + \sin \frac{(a-10)\pi}{20} \right).$$

12 Firstly, it is very important to show how parameter values affect the final states  
 13 of the chain smokers. Since  $R_0$  is a threshold which determines the stability of  
 14 the equilibria and contains all important parameters of model (2.3), we carry out  
 15 sensitivity analysis to address the effects of parameters on the threshold  $R_0$ . If we  
 16 fix all parameter values as shown in Fig. 1, it is found that  $R_0$  is increasing when  $\lambda$   
 17 increases, but  $R_0$  decreases once the age  $a$  increases. In view of Theorems 4 and 8,  
 18 this indicates that increasing the lower smoking age  $a$  and decreasing the constant

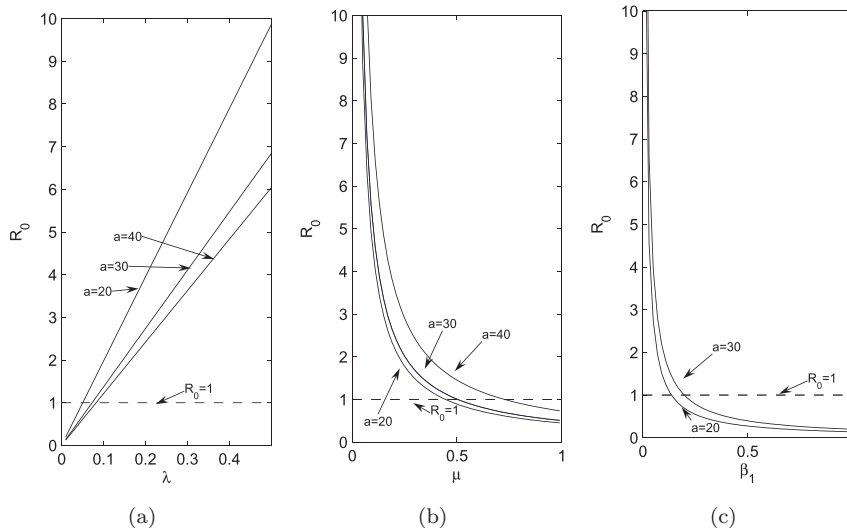


Fig. 1. Sensitivities of the threshold condition  $R_0$  with respect to key parameters, we set  $u = 0.0736$ ,  $\alpha(a) = 0.01(1 + \sin \frac{(a-10)\pi}{20})$  ( $0 \leq a \leq 20$ ) and  $\beta(a) = \beta_1(1 + \sin \frac{(a-10)\pi}{20})$  ( $0 \leq a \leq 20$ ). (a)  $\beta_1 = 0.1$ ; (b)  $\beta_1 = 0.1$  and  $\lambda = 0.5$ ; (c)  $\lambda = 0.1$ .

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1 recruitment rate  $\lambda$  will make the smoking free equilibrium  $E_0$  stable (Fig. 1(a)).  
 2 In Figs. 1(b) and 1(c), it is clear that  $R_0$  is decreasing when  $u$  and  $\beta_1$  increase,  
 3  $R_0$  is increasing when  $u$  and  $\beta_1$  increase. Thus, increasing the death rate  $u$  and  
 4 transmission rate  $\beta_1$  and at the same time decreasing the age  $a$  will stabilize  $E_0$ .  
 5 Meanwhile, because

$$S^*(a) = \frac{(\lambda K_2 - u)K_0(a)}{K_2 - (1 - \epsilon_1)K_1 K_2},$$

6 so a stronger determination  $\epsilon_1$  will decrease the final state of  $S^*(a)$ , i.e. a larger  
 7 determination  $\epsilon_1$  will lead to a high quitting rate for chain smokers. Thus, feasible  
 8 ways to give up smoking include: strengthening the determination to quit smoking,  
 9 decreasing the constant recruitment rate and increasing the age, increasing both  
 10 the death rate and transmission rate and decreasing the age.

11 In Fig. 2, with the parameter values fixed as shown in Fig. 1(a) with  $a = 20$ , it  
 12 is observed that  $R_0 < 1$  holds when  $\lambda = 0.05$ . In fact, by simple calculation we have  
 13  $R_0 \approx 0.955 < 1$ . It follows from Theorems 4 and 8 that the smoking free equilibrium  
 14  $E_0$  is globally asymptotically stable. Because  $R_0$  is a monotone increasing function  
 15 with respect to  $\lambda$ , when  $\lambda$  is increased, the value of  $R_0$  will increase and be greater  
 16 than 1. For example, fixing  $\lambda = 0.05$  indicates  $R_0 \approx 9.865 > 1$ , the results of  
 17 Theorems 5 and 9 imply that the smoking endemic equilibrium  $E^*$  is globally  
 18 asymptotically stable (Fig. 3).

19 In Theorem 9, we proved that the equilibrium  $E^*$  of system (2.3) is globally  
 20 asymptotically stable when  $R_0 > 1$  and  $\epsilon_1 = 1$ . But we cannot determine whether

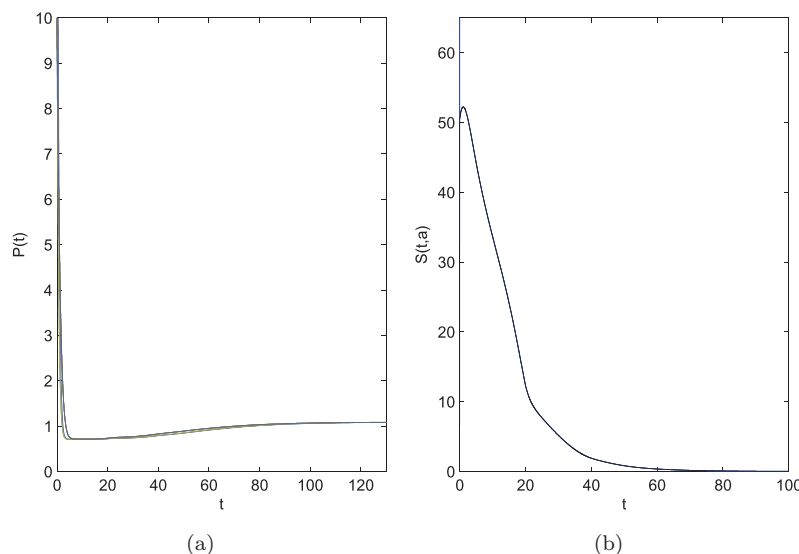


Fig. 2. Time series of potential smokers  $P(t)$  and chain smokers  $S(t, a)$  with different initial conditions. The parameters were fixed as:  $\lambda = 0.05$ ,  $u = 0.0736$ ,  $a = 20$ ,  $\epsilon_1 = 0.3$ ,  $\alpha(a) = 0.01(1 + \sin \frac{(a-10)\pi}{20})(0 \leq a \leq 20)$  and  $\beta(a) = 0.1(1 + \sin \frac{(a-10)\pi}{20})(0 \leq a \leq 20)$ .

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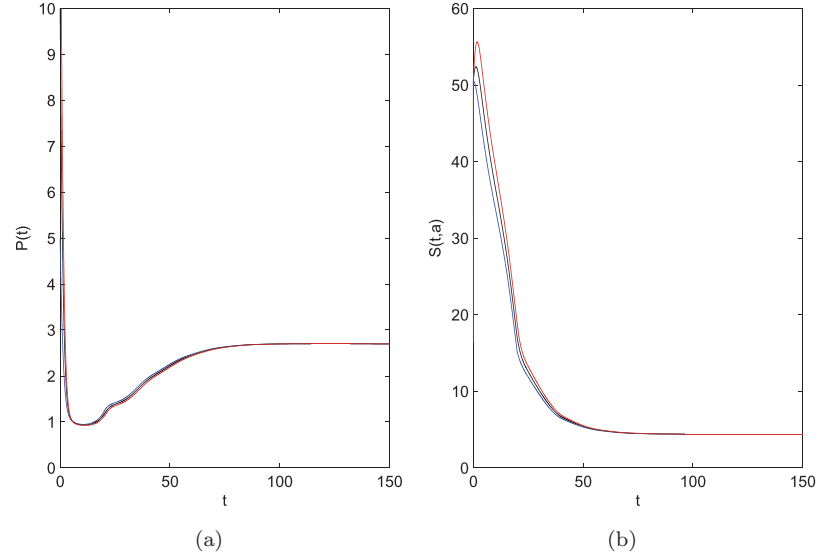


Fig. 3. Time series of potential smokers  $P(t)$  and chain smokers  $S(t,a)$  with different initial conditions. The parameters were fixed as:  $\lambda = 0.5$ ,  $u = 0.0736$ ,  $a = 20$ ,  $\epsilon_1 = 0.3$ ,  $\alpha(a) = 0.01(1 + \sin \frac{(a-10)\pi}{20})(0 \leq a \leq 20)$  and  $\beta(a) = 0.1(1 + \sin \frac{(a-10)\pi}{20})(0 \leq a \leq 20)$ .

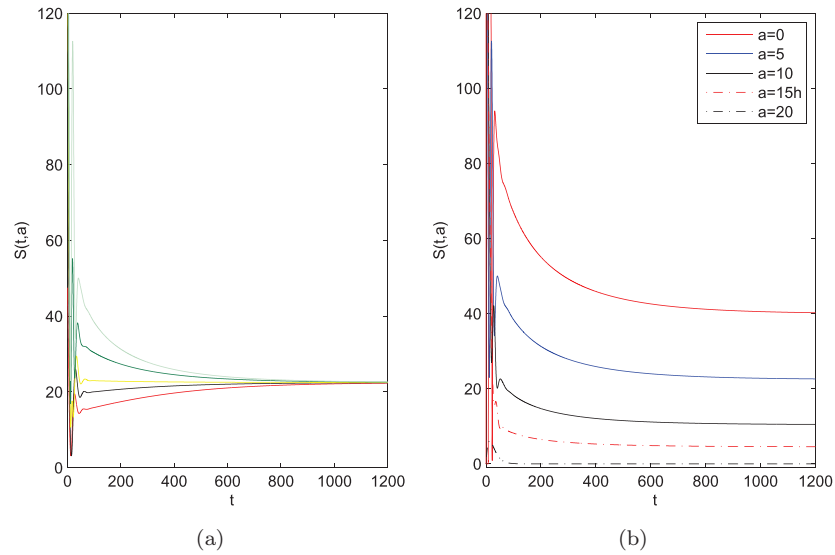


Fig. 4. Time series of  $S(t,a)$  showing the global stability of  $E^*$ . (a) for  $\epsilon_1 \in [0, 1]$  with different initial conditions; (b) at different fixed ages  $a$ . The parameters were fixed as:  $\lambda = 0.2$ ,  $u = 0.0736$ ,  $a = 20$ ,  $\epsilon_1 = 0.2$ ,  $\alpha(a) = 0.01(1 + \sin \frac{(a-10)\pi}{20})(0 \leq a \leq 20)$  and  $\beta(a) = 0.1(1 + \sin \frac{(a-10)\pi}{20})(0 \leq a \leq 20)$ .

1 it is also true when  $\epsilon_1 \in [0, 1)$ . To this end, fix  $\lambda = 0.2$  and  $\epsilon_1 = 0.2$  such that  
 2  $R_0 \approx 3.946 > 1$ , it is observed that the solutions of system (2.3) with different  
 3 initial values tend to the equilibrium  $E^*$  when  $t$  is large enough (Fig. 4(a)). In  
 4 this case, we also find that the equilibrium  $E^*$  of system (2.3) is also globally  
 5 asymptotically stable at different smoking ages (Fig. 4(b)), the final states of the  
 6 chain smokers  $S(t, a)$  decreases when the age increases. The main reasons may be  
 7 that as the smoking age increases, the chain smokers may be affected by many  
 8 interventions including media reports on the dangers of smoking, education, their  
 9 determination, expostulations from their families, and so on (as can be seen in  
 10 Eq. (4.2)).

## 11 6. Conclusions

12 It has been known that smoking has caused a series of public health problems [7],  
 13 and many scholars have tried to construct different types of mathematical models  
 14 to explore the internal transmission mechanisms of smoking [4, 8, 18, 20, 22, 29].  
 15 In this study, we proposed a more generalized age-structured smoking dynamical  
 16 model with interventions to evaluate the effectiveness of the important parameters  
 17 on giving up smoking.

18 We first studied the main properties of the solutions including well-posedness  
 19 and asymptotic smoothness, by defining the semiflow of system (2.3) and show-  
 20 ing that it is globally attractive. Then we derived the explicit expression of the  
 21 smoking generation number  $R_0$  which determines the global stability of the bound-  
 22 ary equilibrium  $E_0$  and the endemic equilibrium  $E^*$ . If  $R_0 < 1$ , then the smoking  
 23 free equilibrium  $E_0$  is globally asymptotically stable, if  $R_0 > 1$ , then the endemic  
 24 equilibrium  $E^*$  is globally asymptotically stable. Biologically, numerical simulation  
 25 not only verified the theoretical results but also suggested feasible ways to give  
 26 up smoking such as strengthening the determination to quit smoking, decreasing  
 27 the constant recruitment rate and increasing the age, increasing both the death  
 28 rate and transmission rate and decreasing the age. On the one hand, we discussed  
 29 the relationship among age, transmission rate, smoking quitting rate and smoking  
 30 generation number. On the other hand, the effectiveness of the key parameters for  
 31 quitting smoking was evaluated. Therefore, we have solved two problems raised in  
 32 the introduction.

33 Compared to the previous studies [18, 28], highlights of this paper included  
 34 (1) consideration of a more generalized age-structured smoking model with treat-  
 35 ments; (2) an age parameter was included in the threshold condition  $R_0$ , which  
 36 indicated that the age effect has a substantial effect on the stability of the system;  
 37 (3) discussion of the effects of the treatment parameters and biological significance.

38 There are still many problems worthy of further study. For example, it is believed  
 39 that there is a relationship between media reports and smoking cessation [22], but  
 40 how to consider the role of media reports in the proposed model is challenging.  
 41 Media reports may raise individuals' awareness of quitting smoking [13], and closely

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1 related to the final states of the chain smokers [11, 17]. Another very challenging  
 2 question is whether we could investigate media impact by employing a piecewise  
 3 smooth function to model the individuals' awareness depending on the number of  
 4 chain smokers [25, 26].

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