

1 **Full title:**

2 **Microbiological safety of spinach throughout commercial supply chains in Gauteng Province,**
3 **South Africa and characterisation of isolated multidrug resistant *Escherichia coli***

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20 **Running title:** Spinach production microbial quality

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22 **Key words:** antimicrobial resistance, fresh produce, irrigation water, food safety

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27

28 Abstract

29 Aim

30 To investigate the microbiological quality, potential human foodborne pathogen presence, and to
31 phenotypically (antimicrobial resistance profiles) and genotypically (DNA fingerprinting and
32 diarrheagenic gene presence) characterise *Escherichia coli* isolated throughout commercial spinach
33 production systems from farm-to-sale.

34

35 Methods and Results

36 Samples (n=288) were collected from two commercial supply chains using either river or borehole
37 water for irrigation. *Escherichia coli* was enumerated throughout the chain where river water was
38 directly used for overhead irrigation at levels between 0.00-3.22 log CFU.g⁻¹. Mean
39 Enterobacteriaceae and coliform counts of spinach ranged between 3.33-6.57 log CFU.g⁻¹ and 3.33-
40 6.64 log CFU.g⁻¹, respectively. Following enrichment, isolation and MALDI-TOF identification, *E.*
41 *coli* was isolated from 22.57% (n=65/288) of all samples, *Salmonella* spp. from 3% (n=9/288) of all
42 samples, specifically river and irrigation water samples on one farm, and no *Listeria monocytogenes*
43 was detected throughout the study. Of the 80 characterised *E. coli* isolates, one harboured the *stx2*
44 virulence gene, while 43.75% (n=35) were multidrug resistant. This included 26.30% multidrug
45 resistant *E. coli* isolates from production scenario one, where river water was used for irrigation, and
46 17.50% from the second production scenario that used borehole water for irrigation. Overall, a greater
47 percentage of resistance phenotypes were from water *E. coli* isolates (52.50%), than isolates from
48 spinach (37.50%). *Escherichia coli* isolates from spinach and irrigation water clustered together at
49 high similarity values (>90%) using ERIC-PCR analysis.

50 Conclusions

51 The results from this study provide valuable background information regarding the presence of
52 multidrug resistant environmental *E. coli* throughout spinach production from farm, during
53 processing and up to retail. Furthermore, the similarity of MDR *E. coli* isolates demonstrated transfer

54 from irrigation water to spinach in both scenarios, reiterating that irrigation water for vegetables
55 consumed raw, should comply with standardised microbiological safety guidelines.

56 Significance and Impact of Study

57 Multidrug resistant *E. coli* presence throughout spinach production emphasises the necessity of
58 increased surveillance of antimicrobial resistance in fresh produce and the production environment
59 within a One Health paradigm to develop antimicrobial resistance mitigation strategies.

60

61 **Introduction**

62 Enterobacteriaceae colonize the gastrointestinal tracts of humans and animals. Moreover, members
63 of this family form part of the concept of microbiological criteria commonly used to assess hygiene
64 standards and is often linked to safety of food products, including fresh produce (Rajwar *et al.*, 2015).
65 Although most fresh vegetables carry epiphytic microorganisms, contamination with potential human
66 pathogenic bacteria (including pathogenic *Escherichia coli* and *Salmonella* spp.) may arise
67 throughout production and processing of fruit and vegetables. This follows as manure-amended soil,
68 contaminated irrigation water, and different handling practices is often used in fresh produce
69 production, and the ability of pathogens to persist and proliferate in vegetables (Tope *et al.*, 2016).

70

71 Surveillance of foodborne pathogens form an important part of disease outbreak assessment and is a
72 critical component of food safety. However, foodborne diseases in South Africa (SA) are often not
73 reported in an epidemiological surveillance system- or are under-reported and poorly investigated
74 (Frean, 2010; Bisholo *et al.*, 2018). Globally, an increase in foodborne outbreaks linked to fresh
75 produce have been reported, with leafy green vegetables in particular posing a higher risk for the
76 consumer [World Health Organisation (WHO), 2008]. Leafy green vegetables often associated with
77 foodborne illness include spinach, lettuce and kale [Centre for Disease Control and Prevention
78 (CDC), 2017; European Food Safety Authority (EFSA), 2018]. Sources of contamination with
79 pathogens such as *E. coli* O157:H7 or *Listeria monocytogenes* in leafy green vegetables include
80 contaminated irrigation water, soil or processing facilities (Self *et al.*, 2019; CDC, 2020). Specific

81 examples in the United States of America (USA) include the 2006 multistate packaged spinach
82 outbreak and the 2019 multistate romaine lettuce outbreak, both associated with *E. coli* O157:H7,
83 whilst in 2016 a multistate outbreak in packaged leafy green salads associated with *L. monocytogenes*
84 were reported (Jay *et al.*, 2007; Self *et al.*, 2019; CDC, 2020).

85

86 Irrigation water is regarded as one of the primary reservoirs, and routes of transmission, of human
87 pathogenic bacteria onto fresh produce during primary production (Allende and Monaghan, 2015).

88 In SA, 25 – 30% of the agricultural industry relies on irrigation, with the total volume of water utilised
89 for irrigated agriculture estimated to be between 51% and 63% of total water available in the country
90 (Bonthuys, 2018). Sources of irrigation water include untreated or treated wastewater, surface water,
91 borehole water from shallow- or deep groundwater and potable or rainwater (Iwu and Okoh, 2019).

92 The water scarcity in SA has led to the use of mainly surface water for irrigation purposes in vegetable
93 production (Du Plessis *et al.*, 2015). The microbiological quality of surface water are severely
94 compromised due to mainly densely populated human settlements close to the surface water sources
95 as well as mining and industry activities (Oberholster and Botha, 2014; Du Plessis *et al.*, 2015;
96 Duvenage and Korsten, 2017; Iwu and Okoh, 2019). As fresh produce production and processing rely
97 on potable water, increased food safety risks arise when irrigation water are increasingly being
98 polluted (Uyttendaele *et al.*, 2015). The frequency of fresh produce contamination, prevalence of
99 generic *E. coli* levels, and the presence of pathogenic foodborne bacteria in irrigation water may vary
100 (Allende and Monaghan, 2015; Alegbeleye *et al.*, 2018). This follows as seasonality, land use
101 interactions (e.g. waste water treatment plants upstream of irrigation source water) and farming
102 production practices differ (Allende and Monaghan, 2015; Alegbeleye *et al.*, 2018).

103

104 In addition to the prevalence of foodborne pathogens, the need for surveillance of antimicrobial
105 resistance (AMR) in crop production exists. Prevalence of antimicrobial multidrug resistant bacteria
106 isolated from agricultural environments poses an additional potential health threat to consumers
107 (Blaak *et al.*, 2014; Ben Said *et al.*, 2016; Tope *et al.*, 2016; Ye *et al.*, 2017). Previous South African
108 studies reported close AMR phenotypic relatedness at a 69% similarity level in *E. coli* isolated from
109 irrigation water and onion samples (Du Plessis *et al.*, 2015), whilst *E. coli* isolates from river water

110 and field cabbage were phenotypically related at a 80% similarity level (Jongman and Korsten, 2016).
111 Njage and Buys (2014), further reported a high degree of genetic relatedness in *E. coli* with similar
112 β -lactamase resistance profiles in isolates from irrigation water and lettuce.

113

114 However, no studies have investigated the microbiological quality and presence of antimicrobial
115 resistance in foodborne pathogens throughout fresh produce supply chains including the on-farm
116 environment, harvesting, processing and packaging, up to the point of sale. The aim of this study was
117 to determine the microbiological quality and presence of foodborne pathogens (*E. coli*, *Salmonella*
118 spp. and *L. monocytogenes*) in irrigation water and spinach from farm, through processing up to retail.
119 Furthermore, to characterise the *E. coli* isolated from the respective spinach supply chains
120 phenotypically (antibiotic resistance profiles) and genotypically (diarrheagenic gene screening and
121 Enterobacterial Repetitive Intergenic Consensus (ERIC)-PCR analysis) to determine the
122 dissemination and similarity of antimicrobial resistant *E. coli* within the water-plant-food interface.

123

124 **Materials and Methods**

125

126 **Sampling study areas**

127 Samples were collected from two different commercial spinach production scenarios typically seen
128 in vegetables supply chains in Gauteng Province (Figure 1) as previously described (Richter *et al.*,
129 2020). River water was used with overhead irrigation and open field cultivation in the first scenario
130 (Farm A). Depending on the field layout, river water was either used directly or used after storing in
131 a holding dam. For the second spinach production scenario, two farms were selected from various
132 farms supplying a central processing facility for sampling of baby spinach grown in tunnels using
133 borehole water for irrigation. A comparison of the farms and their practices is given in Table 1.

134

135 Postharvest processing of spinach on Farm A included hand picking and making up of spinach
136 bunches in the field. At the packhouse, spinach bunches were then soaked in a wash bath (containing
137 borehole water) to remove excess soil, labelled and stored in a cold room (4°C, \leq 24h), before
138 transportation to the specific retailers or retailer-distribution centres usually within two days (48h).

139 Additionally, hand harvested spinach leaves in crates were also sorted in the packhouse, where the
140 stalks were cut (by hand) and the leaves were put through a cutting machine, chlorine washed, dried,
141 hand-packed and sealed prior to cold-room storage (4°C , $\leq 24\text{h}$), before transportation to the specific
142 retailers or retailer-distribution centres within a day (24h).

143

144 The baby spinach harvested on Farms B and C were hand sorted along a conveyer belt and packed
145 and weighed in plastic containers in the pack houses on the farm for the unwashed product line, prior
146 to cold-storage and transportation (4°C , $\leq 24\text{h}$) to the processing facility where it was labelled and
147 distributed to the specific retailers. Additionally, baby spinach leaves harvested in crates were cold-
148 stored (4°C , $\leq 24\text{h}$) and transported to the processing facility. At the processing facility, the baby
149 spinach leaves from Farms B and C were cold stored no longer than three days (72h), chlorine washed
150 (75 – 80ppm active chlorine), packed, and sealed before transportation to the specific retailers.

151

152 **Sample collection**

153 A total number of 288 samples were collected at selected sampling points throughout the supply
154 chains from the two spinach production scenarios as previously described (Richter *et al.*, 2020). Soil
155 samples were collected at harvest (n=6 composite samples). Water samples (n=42) were analysed
156 from the source (borehole or river) and irrigation point, as well as treated wash water during
157 processing (n=30). Spinach samples (n=192) included samples taken at harvest, during processing
158 and at retail for each respective farm. Additionally, contact surface swab samples throughout
159 production and processing of the fresh produce (n=18) were also included.

160

161 **Microbiological analysis**

162 **Soil.** Soil samples were collected from five replicate points during harvest from the spinach
163 production fields. A composite sample of 25g (5g from each replicate) were added to 225ml 3M
164 buffered peptone water (BPW) (3M Food Safety, Minnesota, USA), from which a tenfold dilution
165 series of each soil sample was prepared and plated in duplicate onto *E. coli*/ coliform count plates
166 (3M Petrifilm, 3M, St. Paul, Minnesota, USA) for hygiene indicator bacteria enumeration, (coliforms,
167 *E. coli*) and on Violet Red Bile Glucose (VRBG) (Oxoid, Basingstoke, UK) agar plates for

168 Enterobacteriaceae enumeration following incubation for 24h at 37 °C (Du Plessis *et al.*, 2015; van
169 Dyk *et al.*, 2016).

170 The remaining BPW-sample mixture was incubated for 24h at 37°C for detection of *E. coli* and
171 *Salmonella* spp. After incubation, the BPW-sample mixtures were subsequently streaked (10µl) onto
172 Eosin methylene blue (EMB) media (Oxoid) for the detection of *E. coli*. The presence of *Salmonella*
173 spp. was assessed using the iQ-Check *Salmonella* II Kit AOAC 010803 (BioRad, Johannesburg, SA)
174 according to the manufacturer's instructions. Once positive results were obtained, the sample was
175 streaked onto Xylose lysine deoxycholate (XLD) agar (Biolabs, Johannesburg) and *Salmonella*
176 Brilliance agar (Oxoid) and incubated for 24h at 37°C. The presence of *Listeria* spp. was assessed by
177 incubating an additional 25g of each sample in 225ml Buffered *Listeria* Enrichment Broth (BLEB)
178 (Oxoid) at 30°C and subsequently using the iQ-Check *Listeria monocytogenes* II Kit AOAC 010802
179 (BioRad) according to the manufacturer's instructions. Once positive results were obtained, the
180 sample was streaked onto Agar Listeria Ottavani and Agosti (ALOA) (Biomérieux, Johannesburg)
181 and Rapid'L.mono agar (BioRad) and incubated for 48h at 37°C.

182

183 **Water.** Water (100ml and 1L) samples were collected in triplicate from each sampling point (source,
184 irrigation pivot point and wash water). According to the manufacturer's instructions, the 100ml water
185 samples were used for enumeration of coliforms and *E. coli* using the most probable number (MPN)
186 with Colilert-18 (IDEXX Laboratories Incorporated, Westbrook, ME, USA) reagents heat sealed in
187 a Quanti-Tray/2000 (IDEXX). The trays were incubated at 37°C for 24h and inspected for
188 chromogenic reactions and fluorescence indicating the presence of coliforms and *E. coli*, respectively.
189 The results were recorded as log MPN *E. coli*/100 ml and log MPN coliforms/100ml. From the 1L
190 water samples, 1ml was used to conduct a serial dilution in 9ml 0.1 % BPW, with a 100µl aliquot
191 from each serial dilution (ranging from 10⁻¹ – 10⁻⁴) plated in duplicate onto VRBG (Oxoid) agar plates
192 for enumeration of Enterobacteriaceae.

193

194 The remaining 1L water samples were filtered through a 0.45µm nitrocellulose membrane (Sartorius,
195 Johannesburg, SA). The membrane was subsequently placed into 50 ml BPW and incubated for 24h

196 at 37°C for detection of foodborne pathogens (*E. coli*, *Salmonella* spp. and *Listeria* spp.). Following
197 enrichment, the same detection methods as described for the soil samples were conducted for the
198 water samples.

199

200 **Fresh produce.** After removal of the spinach stalks, at least three leaves were used to prepare 50g
201 composite samples. For the baby spinach, 50g composite samples were obtained. Each sample was
202 aseptically cut and placed into a sterile polyethylene strainer stomacher bag (Seward Ltd., London,
203 UK) containing 200ml (3M, Johannesburg) BPW in a 1:4 weight to volume ratio. Individual
204 vegetable samples were blended for 5min at 230g in a Stomacher® 400 Circulator paddle blender
205 (Seward Ltd., London, UK). To enumerate hygiene indicator bacteria (coliforms and *E. coli*), a
206 tenfold dilution series of each BPW sample was made in duplicate, plated onto *E. coli*/coliform count
207 plates and incubated for 24h at 37 °C according to the manufacturer's instructions (3M Petrifilm, 3M,
208 St. Paul, Minnesota, United States of America, ISO method 4832). Enterobacteriaceae were
209 enumerated by plating 100 µl of the dilution series in duplicate onto VRBG agar plates and incubated
210 for 24 h at 37°C (Oxoid). The remaining BPW samples were incubated for 24h at 37°C and after
211 enrichment, detection of foodborne pathogens was conducted as described for the soil samples.

212

213 **Contact surfaces.** Transystem™ swabs with Amies medium (Lasec, Johannesburg) were used to
214 sample a 25cm² area from crates, tables and conveyer belt surfaces respectively, in triplicate,
215 according to the standard procedures for environmental swab sampling (Public Health England,
216 2014). The swab samples were added to 9ml 3M BPW for enumeration of coliforms/*E. coli* and
217 Enterobacteriaceae as described for the soil samples. The swab samples were subsequently enriched
218 for 24h at 37°C in BPW. Detection and isolation of *E. coli*, *Salmonella* spp. and *Listeria* spp. were
219 done as described for the soil samples.

220 All presumptive positive *E. coli*, *Salmonella* spp. and *Listeria monocytogenes* colonies from the soil,
221 water, spinach, and contact surface samples were isolated and purified. Isolates were identified using
222 matrix assisted laser desorption ionisation time-of-flight mass spectrometry (MALDI-TOF MS)
223 (Bruker, Bremen, Germany) to species level as described by Standing *et al.* (2013) and AOAC-
224 OMA#2017.09. Briefly, the purified presumptive positive colonies were regrown in 9 ml tryptone

225 soy broth (TSB) (MERCK, Johannesburg) and incubated overnight at 37°C. Subsequently, isolates
226 (10µl) were streaked out on Nutrient Agar (MERCK) and the plates were incubated overnight at 37°C
227 and subjected to the MALDI Biotyper protocol (Bruker) (Standing *et al.*, 2013). All strains were
228 tested in duplicate.

229

230 **Antimicrobial susceptibility testing**

231 The *E. coli* isolates (n=80) from the different spinach production scenarios were further tested for
232 antimicrobial resistance against seven antibiotic classes. The Kirby-Bauer disk diffusion technique
233 was used to determine the resistance patterns of the isolates [Clinical Laboratory Standard Institute
234 (CLSI), 2018]. Briefly, each isolate was cultured in 9ml TSB and incubated for 24h at 37 °C. Of each
235 TSB sample, 100µl was subsequently inoculated into 9ml brain heart infusion (BHI) broth (MERCK)
236 and incubated for 24h at 37°C. A 120 µl bacterial suspension was then plated onto Mueller-Hinton
237 agar plates (MERCK) and screened for resistance against 11 antibiotics belonging to seven classes.
238 (Mast Diagnostics, Bootle, UK, supplied by Davies Diagnostics, Midrand, SA) using the Disk Master
239 Disc dispenser (Mast Diagnostics, Bootle, UK), and incubated for 16-18hr at 37°C. Antibiotics
240 screened for included ampicillin-10µg, amoxicillin-clavulanic acid-20µg/10µg, amoxicillin-10µg,
241 trimethoprim-sulfamethoxazole/cotrimoxazole-1.25µg/23.75µg, cefoxitin-30µg, cefepime-30µg,
242 imipenem-10µg, neomycin-10µg, tetracycline-30µg, gentamycin-10µg, and chloramphenicol-30µg
243 (Mast Diagnostics, Randburg, SA) (CLSI, 2018). Breakpoints were then compared to (CLSI, 2018)
244 and isolates resistant to three or more antimicrobial classes were regarded as multidrug resistant.
245 *Escherichia coli* ATCC 25922 was included as a control (CLSI, 2018).

246

247 **Molecular characterisation of diarrheagenic *Escherichia coli***

248 The presence of different diarrheagenic *E. coli* virulence genes for enterotoxigenic *E. coli* (ETEC) (*lt*
249 and *st* genes), enteropathogenic *E. coli* (EPEC) (*bfpA* and *eaeA* genes), enteroaggregative *E. coli*
250 (Eagg) (*eagg* gene), enterohaemorrhagic *E. coli* (EHEC) (*eaeA*, *stx1* and *stx2* genes), and
251 enteroinvasive *E. coli* (EIEC) (*ipaH* gene) were analysed by PCR and sequencing, with the *mdh* gene
252 used as internal control in all reactions (Supplementary Table S1) (Omar and T. G. Barnard, 2010).

253 *Escherichia coli* control strains for the PCR reactions included DSM 10973 and DSM 27503 (ETEC);
254 DSM 8703 and DSM 8710 (EPEC); DSM 27502 (Eagg); DSM 9028 and DSM 9034 (EIEC); *E. coli*
255 O157:H7 (ATCC 35150) (EHEC), and ATCC 25922 (negative control).

256

257 Single colonies of each *E. coli* isolate were cultured aerobically under shaking conditions at 200g in
258 tryptone soy broth (TSB) (MERCK, Johannesburg) for 24h at 30°C. The cells were pelleted by
259 centrifugation (12,500g for 10min), DNA was extracted using the Quick-gDNA Mini-Prep kit (Zymo
260 Research, Irvine, USA) and the DNA concentration was determined using the Qubit dsDNA Broad
261 Range Assay and a Qubit 2.0 fluorometer (Life Technologies, Johannesburg). PCR was performed
262 using 1x DreamTaq Green PCR Master Mix (ThermoFisher Scientific, Johannesburg), with specific
263 primers, and thermocycling conditions for each of the genes as described in (Supplementary Table
264 S1).

265

266 **Genomic fingerprinting of *Escherichia coli* by repetitive PCR**

267 The same *E. coli* isolates analysed for antimicrobial susceptibility and virulence genes were used to
268 conduct repetitive PCR through generation of Enterobacterial Repetitive Intergenic Consensus
269 (ERIC)-PCR fingerprints from each individual spinach production scenario. PCR was performed
270 using 1x DreamTaq Green PCR Master Mix (ThermoFisher Scientific), 80-100ng template DNA and
271 4µM of each primer in a total reaction volume of 25µL. The forward and reverse primer sequences
272 used to generate the DNA fingerprints were 5'-ATGTAAGCTCCTGGGGATTAC-3' and 5'-
273 AAGTAAGTGACTGGGTGAGCG-3', respectively (Soni *et al.*, 2014). The PCR conditions were:
274 95 °C for 4min, followed by 30 cycles of 94°C for 30s, 40°C for 1min and 72°C for 8min, with a final
275 elongation step at 72°C for 15min. The PCR amplicons were visualised in a 2% agarose gel and band
276 patterns were analysed and compared using Bionumerics 7.6 fingerprint analyst software (Applied
277 Maths, Saint-Marten-Latem, Belgium). The percent similarities of digitized bands were calculated
278 using the Pearson's correlation coefficient and the unweighted pair group method with arithmetic
279 mean, and complete linkage algorithms were used to derive a dendrogram.

280

281 **Statistical analysis**

282

283 Data were analysed using SAS version 9.3 statistical software (SAS/STAT User's Guide 1999). A
284 separate analysis of variance (ANOVA) was done for each sampling type to test for significant
285 differences between sampling points (sources) and trip (a repeated measurement over time) was added
286 as a sub-plot factor in the ANOVA. The Shapiro-Wilk test was performed on the standardised
287 residuals to test for deviations from normality (Shapiro and Wilk, 1965). Student's protected t-LSD
288 (Least significant difference) was calculated at a 5% significance level to compare means of
289 significant source effects (Snedecor and Cochran, 1980).

290

291 **Results**

292 **Microbiological quality analysis**

293 The *Escherichia coli*, coliforms and Enterobacteriaceae levels in the analysed irrigation water, wash
294 water, and spinach from the farm, through processing and at the retailer are shown in Figures 2-4,
295 while fluctuations of counts within each respective chain and results of statistical analysis are shown
296 in Supplementary Tables S2 – S9.

297 In the first production scenario, the *Escherichia coli* levels in river water ranged from 2.20-2.64 log
298 MPN.100ml⁻¹, in the holding dam water from 1.43-1.50 log MPN.100ml⁻¹ and in the irrigation pivot
299 point water from 1.50-2.56 log MPN.100ml⁻¹ (Figure 2). These *E. coli* levels were higher than the
300 national regulation limits for vegetable and crop irrigation water (<1000 *E. coli*.100ml⁻¹) [Department
301 of Water Affairs and Forestry (DWAF), 1996]. The river water *E. coli* levels during Trip 1 were
302 significantly higher than that of the holding dam and irrigation pivot point water samples ($p=0.0257$)
303 (Supplementary Table S2). During Trip 2, river was directly used for irrigation, subsequently the *E.*
304 *coli* levels in the irrigation pivot point and river water samples were not significantly different
305 ($p=0.0257$) (Supplementary Table S2). The coliform levels of river, holding dam and irrigation pivot
306 point water samples from Farm A ranged from 3.38-4.76 log MPN.100ml⁻¹, 3.19-3.38 log
307 MPN/100ml⁻¹ and 3.11-4.76 log MPN.100ml⁻¹, respectively. Similar to the *E. coli* counts, differences

308 were observed in the coliform levels, with the counts from the river water during Trip 1 being higher
309 than the holding dam and irrigation pivot point water samples during the same trip ($p=0.0077$)
310 (Supplementary Table S2). Enterobacteriaceae counts in river water from Farm A ranged from 2.84-
311 3.20 log CFU.ml⁻¹, while the holding dam and irrigation pivot point counts ranged from 1.61-3.78
312 log CFU.ml⁻¹ and 0.00-3.83 log CFU.ml⁻¹, respectively (Figure 2).

313
314 The *E. coli* levels on spinach from Farm A ranged from 0.00-4.03 log CFU.g⁻¹. The *E. coli* (trip x
315 source) count interactions from spinach were significantly different ($p = 0.0012$) (Supplementary
316 Table S3). No *E. coli* was enumerated from any of the spinach samples during Trip 1. Where river
317 water was used directly for overhead irrigation during Trip 2, *E. coli* were enumerated from harvested
318 spinach, the unwashed spinach bunches as well as spinach at receipt in the packhouse, spinach after
319 cut, after wash, after pack and the retailed samples of the washed spinach product line (Figure 2). The
320 *E. coli* levels during Trip 2 on spinach at receipt were significantly higher ($p=0.0012$) than spinach
321 at harvest, after cut, and after pack, with all other samples having significantly lower *E. coli* levels
322 ($p=0.0012$) (Supplementary Table S3). The coliform and Enterobacteriaceae levels on spinach from
323 Farm A ranged from 3.90-6.50 log CFU.g⁻¹ and 0.00-6.52 log CFU.g⁻¹, respectively.

324
325 For the second production scenario, *Escherichia coli* counts in borehole water used for irrigation on
326 Farm B were 0.00 log MPN.100 ml⁻¹ (Figure 3). The reservoir dam water (Trip 1 and Trip 2) and
327 irrigation pivot point (Trip 1) *E. coli* counts ranged between 0.61-4.56 log MPN.100ml⁻¹ and 0.00-
328 0.72 log MPN.100ml⁻¹ respectively, and were significantly higher ($p<0.0001$) than that of the
329 borehole source water (Figure 3, Supplementary Table S5). Moreover, the *E. coli* levels of the
330 reservoir dam water sampled during Trip 2 were unacceptable according to the national regulation
331 for irrigation water (DWAf, 1996). However, the *E. coli* levels measured during the same trip at the
332 irrigation pivot point in the field was significantly lower and with acceptable levels according to the
333 guidelines (Supplementary Table S5). Similarly, the coliform and Enterobacteriaceae counts from the
334 water samples were significantly different ($p<0.0001$) (Supplementary Table S5). The coliform
335 counts of the borehole water were 0.00 log MPN.100ml⁻¹, while the coliform counts from the
336 reservoir dam and irrigation pivot point water samples ranged between 2.65-3.84 log MPN.100ml⁻¹,

337 and 2.35-3.64 log MPN.100ml⁻¹, respectively (Figure 3). Similar results were obtained for the
338 Enterobacteriaceae counts of the borehole, reservoir and irrigation pivot point water from Farm B
339 (Figure 3).

340

341 The *E. coli* counts of the Farm B spinach samples from harvest up to the retailer ranged between 0.00-
342 2.00 log CFU.g⁻¹ (Figure 3), and were not significantly different ($p=0.7069$) (Supplementary Table
343 S5). Coliform and Enterobacteriaceae counts on spinach from Farm B ranged between 0.00-6.65 log
344 CFU.g⁻¹ and 0.00-7.05 log CFU.g⁻¹, respectively (Figure 3), with significant differences observed in
345 the trip x source interactions (Supplementary Table S6).

346

347 On Farm C, *E. coli* was enumerated in low levels during Trip 1 from the source dam water (borehole)
348 only, with counts ranging between 0.00-0.61 log MPN.100 ml⁻¹. The *E. coli* levels from the water
349 samples were significantly different ($p=0.0014$) (Supplementary Table S7), with counts in water from
350 the source dam being significantly higher during Trip 1. Coliform counts in the irrigation water from
351 Farm C ranged between 4.44-5.44 log MPN.100 ml⁻¹ and 0.93-2.44 log MPN.100ml⁻¹ in the borehole
352 source and irrigation pivot point water samples, respectively. The Enterobacteriaceae levels ranged
353 between 2.41-3.23 log CFU.ml⁻¹ and 0.00-1.71 log CFU.100ml⁻¹ in the borehole source and irrigation
354 pivot water samples, respectively (Figure 4). Similar to the *E. coli* counts on spinach from Farm B,
355 the *E. coli* counts on spinach from Farm C ranged between 0.00-3.70 log CFU.g⁻¹ (Figure 4), with no
356 significant difference ($p=0.6166$) in *E. coli* levels on spinach from harvest up to retail (Supplementary
357 Table S8). The coliform counts on spinach from Farm C ranged between 1.04-7.01 log CFU.g⁻¹
358 (Figure 4) and had significant differences ($p<0.0001$) (Supplementary Table S8). Similarly, the
359 Enterobacteriaceae levels on spinach ranged from 0.00-7.07 log CFU.g⁻¹ (Figure 4), with significant
360 differences in the trip x source interactions ($p<0.0001$) (Supplementary Table S8).

361

362 The composite soil samples of the three farms had similar mean Enterobacteriaceae and coliform
363 counts, ranging between 3.29-5.22 log CFU.g⁻¹ and 3.05-5.19 log CFU.g⁻¹ respectively, with no *E.*
364 *coli* enumerated from soil on any of the farms (Supplementary Table S10).

365 **Detection of foodborne pathogens**

366 Overall, 65/288 samples (22.57%) contained *E. coli* after enrichment. A higher number of *E. coli*
367 isolates were recovered from the second production scenario after enrichment, yet the enumerated *E.*
368 *coli* levels was higher from the first production scenario. *Escherichia coli* isolates (n=80) were
369 recovered from the two spinach production scenarios. This included 35 isolates from the first
370 production scenario from soil (n=1), water (n=13), fresh produce (n=14), and contact surfaces (n=7),
371 whilst the 45 *E. coli* isolates recovered from the second production scenario were from water (n=29)
372 and fresh produce (n=16). Only one *E. coli* isolate from the holding dam water in the first production
373 scenario, was positive for the *stx2* virulence gene, whilst none of the other diarrheagenic virulence
374 genes tested for were detected. *Salmonella* spp. isolates (n=11) were recovered from river (n=4),
375 holding dam (n=1) and irrigation pivot point (n=4) water samples from the first production scenario.
376 No *Listeria* spp. were isolated from any of the samples.

377 **Phenotypic antimicrobial resistance profiling of *Escherichia coli* isolates**

378 Of the 80 *E. coli* isolates recovered, 95.00% were resistant against at least one antibiotic. This
379 included resistance to aminoglycosides (73.42%), cephalosporins (50.62%), penicillins (44.30%),
380 tetracycline (37.98%), sulfonamides (21.52%), chloramphenicol (15.19%) and carbapenems (5.06%).
381 Overall, a greater percentage of resistance phenotypes were from water *E. coli* isolates (52.50%),
382 followed by isolates from spinach (37.50%) and contact surfaces (10.00 %) (Figure 5 and Figure 6)
383 In total, 35/80 (43.75%) of the isolates were multidrug resistant; 26.30% from production scenario
384 one, and 17.50% from the second production scenario, where borehole water was used for irrigation
385 (Table 2). The multidrug resistant *E. coli* isolates predominantly showed, within the β -lactam group,
386 resistance to penicillins (66.3%), followed by 4th generation cephalosporins (61.3%) and carbapenems
387 (11.3%). Multidrug resistant phenotypes predominantly included resistance profiles of β -lactams
388 combined with aminoglycosides, followed by β -lactams combined with tetracyclines, sulfonamides,
389 and chloramphenicol, respectively (Table 2).

390

391 **Enterobacterial Repetitive Intergenic Consensus (ERIC)–PCR cluster analysis and** 392 **antimicrobial resistance profiles of *Escherichia coli* isolates**

393 At a 70% similarity cut-off, cluster analysis of ERIC-PCR DNA fingerprints generated 7 distinct *E.*
394 *coli* profiles for the 35 isolates from the first production scenario (Figure 5 A-G). The largest cluster
395 (Cluster A) included *E. coli* isolates (n=24) from water, soil, spinach from farm to retail, as well as
396 contact surfaces through processing. Several water and contact surface samples, as well as spinach at
397 different points throughout production and irrigation water samples clustered together in cluster A
398 with $\geq 94.0\%$ similarity values. Cluster B included isolates from spinach at different points in the
399 packhouse and irrigation water with similarity values of 78.0%. Similarly, cluster C included an *E.*
400 *coli* isolate from spinach after cut that was 72.0% similar to a river water isolate. Cluster D was
401 composed of two *E. coli* isolates from spinach (at harvest and at retail) at similarity values $>90.0\%$,
402 whilst in cluster F, two *E. coli* isolates from the river and holding dam water clustered together at
403 75.0% similarity. Cluster G consisted of a single *E. coli* isolate from the floor swab samples. The *E.*
404 *coli* ERIC-PCR DNA fingerprints in the second production scenario generated 12 distinct clusters.
405 This included seven clusters in the supply chain from the first supplier, Farm B (Figure 6 A-G) and
406 five clusters in the supply chain from the second supplier, Farm C (Figure 6 H-L). Cluster E was
407 composed of three *E. coli* isolates from the irrigation pivot point and spinach at retailer, with 86.0%
408 similarity values. In cluster F, several *E. coli* isolates from the water reservoir, spinach at receipt in
409 the packhouse as well as washed and unwashed retail spinach clustered together at similarity values
410 ranging from 73.0-99.0%. In cluster I, three *E. coli* isolates from the washed and unwashed spinach
411 product lines at the retailer clustered together with 92.0% similarity. Clusters K consisted of nine *E.*
412 *coli* isolates, including three spinach at receipt isolates and one holding dam isolate with 94.0%
413 similarity. Furthermore, *E. coli* isolates from spinach at harvest, holding dam (source water) and the
414 unwashed spinach at retailer had 98.0% similarity. The five isolates in cluster L included three *E. coli*
415 isolates from spinach at harvest, and holding dam (source) water with 90.0% similarity.

416

417 **Discussion**

418 To the authors knowledge, this is the first study in SA where complete spinach production systems
419 with different irrigation water sources from the farm, throughout processing and up to retail, were
420 investigated for the presence of multidrug resistant foodborne pathogens and quality indicator
421 organisms. As water is central in fresh produce production and processing, and applied in large

422 volumes, it is crucial that the microbiological quality is acceptable (Makinde *et al.*, 2020).
423 Inconsistencies of irrigation water sources, guidelines, and regulations, however, result in complex
424 assessment and mitigation strategies globally. When spinach was irrigated directly with river water
425 via overhead irrigation in this study, *E. coli* was enumerated from the irrigation water, spinach, contact
426 surface and wash water samples throughout the supply chain. The average river water *E. coli* levels
427 (2.4 log MPN.100 ml⁻¹) were similar to the results reported for river water used for overhead irrigation
428 of commercially produced leafy greens in a previous study in Gauteng Province (2.9 log MPN.100
429 ml⁻¹) (Jongman and Korsten, 2016). In contrast, *E. coli* was not enumerated from the river water used
430 to irrigate produce in KwaZulu Natal, South Africa (Mdluli *et al.*, 2013). According to the SA
431 Department of Water Affairs and Forestry (DWAF) guidelines of <1000 *E. coli* .100 ml⁻¹ for irrigation
432 water (DWAF, 1996), the river water *E. coli* levels in the current study would have been satisfactory.
433 This is also in agreement with the World Health Organisation (WHO) recommendation of <1000 CFU
434 faecal coliforms.100 ml⁻¹ in irrigation water used for minimally processed fresh produce (WHO,
435 2006). However, the river water *E. coli* levels exceeded the Canadian standards' acceptable limit of
436 <100 *E. coli*.100 ml⁻¹ for irrigation water used for produce to be consumed raw (Canadian Council of
437 Ministers of the Environment [CCME], 2003) and the European Union (EU) limit of 100 *E.*
438 *coli*.100ml⁻¹ in irrigation water used for fresh fruit and vegetables (likely to be eaten uncooked) with
439 the edible portion in direct contact of the irrigation water [European Commission (EC), 2017].
440 Additionally, fresh produce industries such as the Leafy Greens Marketing Agreement (LGMA) in
441 the U.S. has commodity specific guidelines for irrigation water used for production and harvest of
442 leafy greens (FDA 2021). The guidelines are based on the U.S. Food Safety Modernisation Act
443 (FSMA) with a strong food safety focus shifting from responding to preventing foodborne illness
444 (FDA, 2021). The LGMA and produce safety rule of the FSMA propose a water microbiological
445 quality standard of average generic *E. coli* levels <126 MPN/100ml for multiple samples of irrigation
446 water used in leafy green production (Haymaker et al., 2019). The river water *E. coli* levels from the
447 current study would not have been compliant according to the FSMA irrigation water guidelines.
448
449 Where borehole water was used for irrigation, the source water *E. coli* levels from the first supplier
450 farm (Farm B) met the current SA and WHO irrigation water standards of <1000 *E. coli* .100 ml⁻¹

451 (DWAF, 1996; WHO, 2006). *E. coli* levels in the holding dam water did not meet this requirement,
452 reiterating that water quality may affect the microbiological quality of irrigated produce. The *E. coli*
453 levels in the source water from the second supplier farm in production scenario two was acceptable
454 according to the SA national regulation limits (DWAF, 1996) as well as the EU, FSMA and Canadian
455 standards' acceptable limit (CCME, 2003; EC, 2017, FDA, 2021). Internationally, guidelines and
456 regulations for agricultural water quality vary by country/region with different acceptable *E. coli*
457 limits stipulated based on the risk of types of agricultural water systems and specific uses within
458 production and processing (Banach and Van Der Fels-Klerx, 2020). The wash water during
459 processing from the current study had acceptable *E. coli* levels according to international guidelines
460 of *E. coli* <100 CFU.ml⁻¹ in pre-wash water to remove soil and debris (Australia and New Zealand
461 Fresh Produce Safety Centre) or water used for first washing of ready-to eat products (EU), and *E.*
462 *coli* <1 CFU.100ml⁻¹ in water for the final wash step of produce that may be eaten uncooked [Fresh
463 Produce Safety Centre Australia & New Zealand (FPSC A-NZ), 2019; EC, 2017].

464

465 The microbiological characteristics of raw fruit and vegetables are one of the most important
466 properties related to safe fresh produce consumption (Faour-Klingbeil *et al.*, 2016; Schuh *et al.*,
467 2020). Internationally, no consensus exists regarding the microbiological standards that apply to RTE/
468 minimally processed vegetables (Health Protection Agency, 2009; [Food Safety Authority of Ireland
469 (FSAI), 2016]; FPSC A-NZ, 2019). A number of countries do suggest exclusion of coliform counts,
470 as high levels are expected due to the natural occurrence (New South Wales Food Authority, 2007;
471 Health Canada, 2010; Centre for Food Safety [CFS], 2014). In SA, the Department of Health (DoH)
472 guidelines stipulated that coliform levels of < 2.3 log CFU.g⁻¹ was acceptable on fresh vegetables
473 (DoH, 2000), however, these guidelines are currently under revision. Coliforms were enumerated
474 from 98% of the spinach samples in the current study with levels that exceeded 2.3 log CFU.g⁻¹,
475 similar to other South African studies that reported coliform levels > 2.3 log CFU.g⁻¹ on retailed leafy
476 green vegetables (du Plessis *et al.*, 2017; Richter *et al.*, 2021). Globally, high coliform levels in
477 retailed leafy greens have also been reported (Cerna-Cortes *et al.*, 2015; Korir *et al.*, 2016; Maffei *et*
478 *al.*, 2016).

479 In contrast to the coliforms, *E. coli* was only enumerated from 8.33% of the spinach samples, thus,
480 91.6% of the spinach samples had acceptable *E. coli* levels according to the previous DoH *E. coli*
481 guidelines of zero CFU.g⁻¹ (DoH, 2000). The EU guidelines for *E. coli* limits on RTE pre-cut fruit
482 and vegetables state that levels <100 CFU.g⁻¹ are satisfactory, *E. coli* levels between 10² – 10³ CFU.g⁻¹
483 are borderline and samples with *E. coli* >10³ CFU.g⁻¹ are unsatisfactory (EC, 2007). Interestingly,
484 the spinach samples where *E. coli* was enumerated in the current study, included predominantly
485 spinach samples from the first production scenario, during Trip 2, where river water was directly
486 applied for irrigation. The spinach *E. coli* counts throughout the chain in this scenario ranged between
487 1.71 log CFU.g⁻¹ – 4.03 log CFU.g⁻¹, and the washed samples after pack and at the point of sale would
488 have been borderline according to the EU guidelines for *E. coli* limits on RTE pre-cut fruit and
489 vegetables. Additionally, *E. coli* was enumerated from unwashed retailed spinach samples from the
490 second production scenario where borehole water was used for irrigation with levels that would also
491 have been borderline (between 10² – 10³ CFU.g⁻¹) according to these guidelines (EC, 2007).

492

493 The natural occurrence of Enterobacteriaceae on spinach at various stages of production and
494 processing, regardless of the source of irrigation water, were expected (Leff and Fierer, 2013; Berg
495 et al., 2014; Al-Kharousi et al., 2018). In the current study, Enterobacteriaceae levels on packed,
496 washed retail spinach samples ranged between 3.56 and 6.52 log CFU.g⁻¹ and on unwashed retail
497 spinach samples between 3.92 and 6.78 log CFU.g⁻¹. Similar Enterobacteriaceae levels were reported
498 on minimally processed and unprocessed vegetables in Italy, suggesting that the microbial flora can
499 be primarily attributed to a natural environmental source (Cardamone et al., 2015; Al-Kharousi et al.,
500 2018). However, higher Enterobacteriaceae loads could also represent higher loads of potential
501 pathogens such as *E. coli* and *Salmonella* spp. and opportunistic pathogens including *Klebsiella*
502 *pneumoniae* and *Enterobacter* species (Kilonzo-Nthenge et al., 2018).

503

504 After enrichment, generic *E. coli* was isolated from 40.30% and 14.60% of water and spinach
505 samples, respectively. This was lower than the 84.80% and 38.30% generic *E. coli* prevalence in
506 irrigation water and lettuce samples previously reported in Brazil (Decol et al., 2017). Similar to Du

507 Plessis *et al.*, (2015) and Decol *et al.*, (2017), more irrigation water samples in the current study were
508 contaminated with *E. coli* than fresh produce samples. Additionally, only one water *E. coli* isolate
509 was positive for the *stx2* virulence gene. This corresponds to previous South African studies where a
510 low incidence of virulence genes in *E. coli* from retailed fresh produce were seen (Jongman and
511 Korsten, 2016a; du Plessis *et al.*, 2017; Richter *et al.*, 2021). In the current study, no *Salmonella* spp.
512 were isolated from any of the spinach samples, however the river irrigation water samples from the
513 first production scenario were positive for *Salmonella* spp. Similarly, Castro-Ibanez *et al.*, (2015) have
514 reported low prevalence of *Salmonella* spp. in irrigation water samples of commercially produced
515 spinach, with no isolates from the spinach samples. Selected *Salmonella* spp. isolates from the current
516 study was screened for antimicrobial resistance (data not shown), and the isolates with extended-
517 spectrum β -lactamase resistance profiles have previously been reported (Richter *et al.*, 2020).
518 Furthermore, no spinach samples from the current study harboured *L. monocytogenes*, which
519 corresponds to a previous study of retailed fresh produce sold formally and informally (Richter *et al.*,
520 2021). However, previous studies have confirmed that spinach support the growth of *L.*
521 *monocytogenes*, with the retailed product not showing any obvious deterioration (Culliney *et al.*,
522 2020). This poses a serious health risk to consumers, making surveillance of *L. monocytogenes*
523 together with potential pathogenic Enterobacteriaceae in food supply crucial, as leafy greens have
524 previously been implicated in listeriosis outbreaks, including a multistate outbreak in the U.S. (Self
525 *et al.*, 2019). Although *Salmonella* spp. were only detected in 3% of the samples in the current study,
526 presence of potential foodborne pathogens, as well as antibiotic resistant commensal bacteria
527 highlights irrigation water as a potential risk factor for introduction of resistance genes and pathogens
528 in leafy green primary production, which agrees with previous studies (Vital *et al.*, 2018; Castro-
529 Ibanez *et al.*, 2015).

530

531 Knowledge of bacterial antimicrobial resistance patterns, is crucial for reduction of the number of
532 treatment failures if a foodborne disease outbreak do occur (Kim *et al.*, 2019). Previously, commensal
533 bacteria have been reported to harbour clinically significant antimicrobial resistance genes as well as
534 mobile genetic elements, which is concerning when considering resistance gene transfer to

535 opportunistic and pathogenic bacteria (Al-Kharousi et al., 2018). In this study, 95% *E. coli* isolates
536 were resistant to at least one antibiotic with 43.75% being multidrug resistant. *Escherichia coli*
537 isolates from both irrigation water and spinach in the current study were resistant to antibiotics that
538 are traditionally first-line drug treatment options for gastrointestinal infections (tetracycline,
539 ampicillin and cotrimoxazole) (Alanazi et al., 2018; Kim et al., 2019). More antibiotic resistant *E.*
540 *coli* isolates were detected from irrigation water (52.5%) than from spinach (37.5%) in the current
541 study, which is similar to antibiotic resistant *E. coli* isolates reported in irrigation water and harvested
542 spinach by Vital et al., (2018). The highest resistance in irrigation water *E. coli* isolates from the
543 current study was against aminoglycosides (35.0%), followed by cephalosporins (28.8%), penicillins
544 (23.8%) and tetracycline (15.0%). In contrast, Vital et al. (2018) reported the highest resistance in *E.*
545 *coli* isolates from irrigation water in the Philippines against tetracycline (45.6%) and ampicillin
546 (34%). The results from the current study, similar to antimicrobial resistance reported in *E. coli* from
547 irrigation water and harvested leafy greens in other studies (Vital et al., 2018; Summerlin et al., 2021),
548 indicates the need for expanded antimicrobial resistance surveillance systems in the water-plant-food
549 interface, that can be integrated with antimicrobial resistance surveillance systems in other sectors.
550 Currently, antimicrobial resistance in foods of plant origin is not well documented, especially in low-
551 and middle-income countries [Food and Agriculture Organization (FAO), 2018]. However, selected
552 studies have previously shown the potential of linking *E. coli* as antimicrobial resistance indicator
553 bacteria between irrigation water and fresh produce, through phenotypic antimicrobial resistance
554 analysis and DNA fingerprinting (Njage and Buys, 2014; Du Plessis et al., 2015).

555
556 The ERIC-PCR profiles in the current study showed high similarity values (>90.0 %) for irrigation
557 water and spinach *E. coli* isolates at different points of production, processing or retail of each of the
558 respective supply chains. Previous studies have reported the transfer of potential pathogenic enteric
559 bacteria onto produce via irrigation with polluted water (Ijabadeniyi, 2012; Du Plessis et al., 2015).
560 For example, Du Plessis et al. (2015) highlighted the link between irrigation water quality and
561 microbiological quality of onions, whilst Jongman and Korsten (2016a) showed a link between *E.*
562 *coli* isolates from different leafy green vegetables and the associated irrigation water. Interestingly,
563 cluster analysis within each spinach supply chain in the current study (regardless of the water source

564 and overall microbiological quality of the irrigation water) showed irrigation water *E. coli* isolates
565 clustering together with *E. coli* from washed and unwashed spinach samples at retail at similarity of
566 at least 85.0%. This indicates that contamination that occurs on the farm can influence the safety of
567 the final product at retail, regardless of processing steps (which often include washing in potable
568 water) followed through production. The importance of irrigation water as contamination source of
569 vegetables, in accordance to previous studies (Du Plessis *et al.*, 2015; Jongman and Korsten, 2016b;
570 Decol *et al.*, 2017), is further reiterated. Within the *E. coli* ERIC-PCR DNA fingerprint clusters
571 generated for each supply chain, no specific pattern in phenotypic antimicrobial resistance profiles
572 were established. To elucidate the antimicrobial resistance relatedness between these similar isolates
573 throughout the respective supply chains, higher-resolved microbial typing through more sensitive
574 methods such as whole genome sequencing, should be included in future studies.

575 The results from this study provide valuable background information regarding the presence of
576 multidrug resistant environmental *E. coli* throughout spinach production from farm, during
577 processing and up to retail. As antimicrobial resistance is a worldwide public health concern,
578 surveillance of environmental bacteria as possible reservoirs in the water-plant-food interface
579 becomes important. Furthermore, the necessity of using clean and safe irrigation water was
580 highlighted with the need for standardised risk-based microbiological safety parameters for irrigation
581 water of RTE fresh vegetables, as a link between *E. coli* from irrigation water and spinach at different
582 points of the respective production systems were shown.

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595

596 **Conflict of interest**

597 No conflict of interest declared

598 **References**

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666 [OFFICERS-ON-THE/285f0dbac1fc870a3586a22d58220f891af86ca3](https://www.semanticscholar.org/paper/GUIDELINES-FOR-ENVIRONMENTAL-HEALTH-OFFICERS-ON-THE/285f0dbac1fc870a3586a22d58220f891af86ca3)

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806

807

808 **Table 1:** Comparison of the processing practices and cultivation of the three spinach farms assessed

Practice	Farm A (July and November)	Farm B (June and October)	Farm C (July and October)
Certification status	GLOBAL G.A.P., Intertek food management system based on SANS 10049, 150/75 22002, Codex HACCP principles and GFS1	GLOBAL G.A.P., Packing facility: SANS 10330, SANS 10049, R918, The Global Food Safety Initiative, Act 54 of 1972 Act 85, Codex Alimentarius, R692	GLOBAL G.A.P.
Production system	Open field cultivation	Tunnels	Tunnels
Irrigation water source	River, water pumped directly from river or to a storage dam	Borehole water, pumped into a storage dam	Borehole water, pumped into a storage dam
Irrigation water	Uncovered storage dam	Two additional water storage dams (covered with a net) over which the source water is pumped in and circulated	Source water is pumped into another water storage dam
Irrigation method	Overhead irrigation	Overhead irrigation	Overhead irrigation

809 for this study in 2017

810

Table 2: Summary of the number of antimicrobials, most frequent resistance patterns, number, and type of antibiotic classes to which generic *Escherichia coli*

isolates from different spinach production scenarios were resistant

No of antimicrobials to which isolates were resistant	No of isolates (n=79)	No of isolates per production scenario		No of isolates with specific pattern	Most frequent pattern ^a	No of antibiotic classes to which isolates were resistant	Antibiotic class(es)
		Production scenario 1	Production scenario 2				
0	4	1	3	4			
1	22	11	6	17	NE10C	1	Aminoglycosides
		1	3	4	CPM30C	1	Cephalosporins
			1	1	A10C	1	Penicillins
2	10		2	2	GM10C - NE10C	1	Aminoglycosides
			3	3	T30C - NE10C	2	Tetracyclines, Aminoglycosides
			1	1	NE10C - C30C	2	Aminoglycosides, Chloramphenicol
			1	1	FOX30C - NE10C	2	Cephalosporins, Aminoglycosides
			1	1	CPM30C - T30C	2	Cephalosporins, Tetracyclines
			1	1	A10C - CPM30C	2	Penicillins, Cephalosporins
			1	1	TS25C - T30C	2	Sulfonamides, Tetracyclines
3	5		1	1	FOX30C - GM10C - NE10C	2	Cephalosporins, Aminoglycosides
			1	1	CPM30C - GM10C - NE10C	2	Cephalosporins, Aminoglycosides
			1	1	GM10C - T30C - NE10C	2	Aminoglycosides, Tetracyclines
			1	1	AP10C - A10C - CPM30C	2	Penicillins, Cephalosporins
		1	1	1	CPM30C - T30C - NE10C	3	Cephalosporins, Tetracyclines, Aminoglycosides
4	8		2	2	FOX30C - CPM30C - GM10C - NE10C	2	Cephalosporins, Aminoglycosides
		1	1	1	AP10C - AUG30C - A10C - CPM30C	2	Penicillins, Cephalosporins
			1	1	AP10C - A10C - GM10C - C30C	3	Penicillins, Aminoglycosides, Chloramphenicol
			1	1	AUG30C - A10C - CPM30C - NE10C	3	Penicillins, Cephalosporins, Aminoglycosides
			1	1	AP10C - A10C - FOX30C - CPM30C	2	Penicillins, Cephalosporins
			1	1	AP10C - A10C - CPM30C - TS25C	3	Penicillins, Cephalosporins, Sulfonamides
		1	1	1	AP10C - CPM30C - TS25C - NE10C	4	Penicillins, Cephalosporins, Sulfonamides, Aminoglycosides
5	11		1	1	AP10C - AUG30C - A10C - FOX30C - CPM30C	2	Penicillins, Cephalosporins
		2	2	2	AP10C - AUG30C - A10C - CPM30C - NE10C	3	Penicillins, Cephalosporins, Aminoglycosides
			1	1	AP10C - A10C - CPM30C - GM10C - NE10C	3	Penicillins, Cephalosporins, Aminoglycosides
			1	1	FOX30C - CPM30C - IMI10C - GM10C - NE10C	3	Cephalosporins, Carbapenems, Aminoglycosides
			1	1	AP10C - A10C - FOX30C - CPM30C - T30C	3	Penicillins, Cephalosporins, Tetracyclines
		1	1	1	AP10C - A10C - CPM30C - T30C - NE10C	4	Penicillins, Cephalosporins, Tetracyclines, Aminoglycosides
			1	1	AP10C - A10C - CPM30C - T30C - C30C	4	Penicillins, Cephalosporins, Tetracyclines, Chloramphenicol
			1	1	AP10C - A10C - FOX30C - T30C - NE10C	4	Penicillins, Cephalosporins, Tetracyclines, Aminoglycosides
			1	1	CPM30C - IMI10C - GM10C - T30C - NE10C	4	Cephalosporins, Carbapenems, Aminoglycosides, Tetracyclines
			1	1	CPM30C - TS25C - T30C - NE10C - C30C	5	Cephalosporins, Sulfonamides, Tetracyclines, Aminoglycosides, Chloramphenicol
6	7	1	1	1	AP10C - AUG30C - A10C - GM10C - T30C - NE10C	3	Penicillins, Aminoglycosides, Tetracyclines

		3	3	AP10C - AUG30C - A10C - CPM30C - T30C - NE10C	4	Penicillins, Cephalosporins, Tetracyclines, Aminoglycosides
		1	1	AP10C - AUG30C - A10C - TS25C - T30C - C30C	4	Penicillins, Sulfonamides, Tetracyclines, Chloramphenicol
		1	1	AP10C - AUG30C - A10C - CPM30C - TS25C - GM10C	4	Penicillins, Cephalosporins, Sulfonamides, Aminoglycosides
			1	AP10C - A10C - TS25C - IMI10C - T30C - NE10C	5	Penicillins, Sulfonamides, Carbapenems, Tetracyclines, Aminoglycosides
		1	1	AP10C - AUG30C - A10C - FOX30C - CPM30C - T30C - NE10C	4	Penicillins, Cephalosporins, Tetracyclines, Aminoglycosides
		5	5	AP10C - AUG30C - A10C - TS25C - T30C - NE10C - C30C	5	Penicillins, Sulfonamides, Tetracyclines, Aminoglycosides, Chloramphenicol
7	9	1	1	AP10C - AUG30C - A10C - CPM30C - TS25C - T30C - NE10C	5	Penicillins, Cephalosporins, Sulfonamides, Tetracyclines, Aminoglycosides
			1	AP10C - A10C - CPM30C - TS25C - GM10C - T30C - NE10C	5	Penicillins, Cephalosporins, Sulfonamides, Aminoglycosides, Tetracyclines
			1	AP10C - AUG30C - A10C - CPM30C - TS25C - T30C - C30C	5	Penicillins, Cephalosporins, Sulfonamides, Tetracyclines, Chloramphenicol
8	1		1	AP10C - AUG30C - A10C - FOX30C - CPM30C - TS25C - GM10C - NE10C	4	Penicillins, Cephalosporins, Sulfonamides, Aminoglycosides
		1	1	AP10C - AUG30C - A10C - CPM30C - TS25C - GM10C - T30C - NE10C - C30C	6	Penicillins, Cephalosporins, Sulfonamides, Aminoglycosides, Tetracyclines, Chloramphenicol
9	2		1	AP10C - AUG30C - A10C - CPM30C - TS25C - IMI10C - T30C - NE10C - C30C	7	Penicillins, Cephalosporins, Sulfonamides, Carbapenems, Tetracyclines, Aminoglycosides, Chloramphenicol

“Abbreviations of antibiotics: AP10C, Ampicillin; AUG30C, Amoxicillin-clavulanic acid; A10C, Amoxicillin; FOX30C, Cefoxitin; CPM30C, Cefepime; TS25C, Trimethoprim-sulfamethoxazole/cotrimoxazole; IMI10C, Imipenem; T30C, Tetracycline; NE10C, Neomycin; GM10C, Gentamycin; C10C, Chloramphenicol.

List of Figure legends:

Figure 1: Typical spinach production scenarios in Gauteng Province, South Africa. Square brackets show all production practices that occurred on the same farm/premises of each respective scenario. Dashed arrows indicate transportation for processing at a different location and retail of the spinach. In the first scenario, all processing occurred on farm before spinach was transported to commercial retailers or retail distribution centres, whilst a central processing facility was used in the second scenario where supplier farms with different production practices provided the fresh produce.

Figure 2: Indicator bacteria levels from water (log MPN.100ml⁻¹) and spinach (log CFU.g⁻¹) from farm to retail in a spinach production system using river water for irrigation.

Figure 3: Indicator bacteria levels from water (log MPN.100ml⁻¹) and spinach (log CFU.g⁻¹) from farm to retail in a spinach production system using borehole water for irrigation and produce were processed at a centralised processing facility.

Figure 4: Indicator bacteria levels from water (log MPN.100ml⁻¹) and spinach (log CFU.g⁻¹) from farm to retail in a spinach production system using borehole water for irrigation and produce were processed at a centralised processing facility.

Figure 5: Dendrogram showing the genetic relatedness of *Escherichia coli* isolates from irrigation water sources (river, holding dam, and irrigation pivot point), soil, spinach (at harvest, throughout processing and at retail) and contact surfaces throughout spinach production.

Figure 6: Dendrogram showing the genetic relatedness of *Escherichia coli* isolates from irrigation water sources (borehole water sources) and spinach (at harvest, throughout processing and at retail) from two farms supplying spinach to a central processing facility.

Supporting information

Table S1: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated in water samples from a spinach production system where river water was used for irrigation

Table S2: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated in spinach samples from a spinach production system where river water was used for irrigation

Table S3: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated in contact surface samples from a spinach production system where river water was used for irrigation

Table S4: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated in water samples from a spinach production system where borehole water was used for irrigation

Table S5: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated in baby spinach samples from a spinach production system where borehole water was used for irrigation

Table S6: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated in water samples from a spinach production system where borehole water was used for irrigation

Table S7: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated in baby spinach samples from a spinach production system where borehole water was used for irrigation

Table S8: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated in contact surface samples from a spinach production system where borehole water was used for irrigation

Table S9: Enterobacteriaceae, coliforms and *Escherichia coli* enumerated from soil samples during harvest on three farms representing two spinach production scenarios