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Food-energy-water security in sub-Saharan Africa: Quantitative and spatial assessments using an indicator-based approach

4 Abstract

5 The challenge of achieving food, energy and water (FEW) security is greatest in sub-Saharan Africa 6 (SSA) where millions of people lack access to electricity, reliable drinking water and one in four people are undernourished. To develop targeted policies, it is necessary to identify at-risk countries 7 and the spatial patterns of FEW insecurity in the region. However, country and sub-regional level 8 9 assessments of FEW security have received scant attention. In this study, we carried out quantitative 10 and spatial assessments of FEW security in SSA using the Pardee Rand FEW Index. Results show that 41 countries in SSA are FEW insecure, with Burundi being the most affected country while the 11 West African sub-region seems to have many FEW insecure countries. Spatial analysis of FEW 12 security reveals the presence of spatial patterns in the distribution of FEW insecurity in SSA 13 14 suggesting that a sub-regional approach may be used to tackle this challenge. However, literature review shows that this has to be approached with caution given that different contextual factors such 15 as socio-economic and governance conditions may influence FEW security within countries. Our 16 17 analyses imply that any policy response designed to enhance FEW security needs to address both socio-economic, governance and other contextual factors within countries. 18

Keywords: food security, energy security; water security; FEW security nexus; quantitative and
spatial analysis; sustainable development goals

21 **1. Introduction**

Achieving global food, energy and water (FEW) security in a changing climate is one of the greatest challenges facing humanity in the 21st century (Gain et al., 2016). Around the world, over 821 million people are undernourished and 151 million children are stunted (WHO, 2018). Between 2015 and 2018, approximately 1.3 billion people lacked access to electricity while 3 billion were unable to secure clean fuel for cooking (Alstone et al., 2015; Ritchie and Roser, 2018). Hundreds of millions of people continue to face severe water insecurity around the world (Gain et al., 2016). In sub-Saharan Africa (SSA) over 319 million people lack access to reliable drinking water, with about
695 million people lacking access to improved sanitation facilities (Kanyerere et al., 2018). Although
SSA is endowed with sufficient energy resources capable of meeting domestic demand, access to
modern energy services including electricity has remained limited, with over 620 million people
lacking access to electricity while about 730 million rely on traditional biomass for cooking
(Ouedraogo, 2017).

Amidst existing challenges, new initiatives such as the Food-Energy-Water security nexus are 34 being developed and/or operationalized globally to contribute efforts towards achieving nexus 35 resource security which is crucial for sustainable development (Howells et al., 2013). The Sustainable 36 Development Goals (SDGs) are used as a road map or guiding framework to attain this objective (le 37 Blanc 2015). The SDGs, launched in 2015, target important issues that are central to FEW security 38 nexus. These are: "zero hunger" (SDG 2); "clean water and sanitation" (SDG 6); and "affordable and 39 clean energy" (SDG 7). Addressing food, energy and water security using the nexus approach is 40 necessary due to increased stress on these resources as a result of rapid population growth, changing 41 42 consumption patterns, economic growth, competition for land resources and climate change 43 (Abulibdeh and Zaidan, 2020). However, efforts to achieve SDGs 2, 6 and 7 are increasingly undermined by limited understanding of the current state of FEW security particularly access and 44 45 availability, as well as limited knowledge of how countries threatened by FEW shortages can develop contextually-appropriate and nationally-owned FEW-related policies and interventions. Despite the 46 importance of FEW assessments in advancing knowledge on FEW security issues, no study (to our 47 knowledge) has sought to investigate the regional and spatial dimensions of FEW security in SSA. 48

This paper fills an important gap in the FEW security literature by quantitatively and spatially analysing the state of FEW security across SSA in ways that clearly reveal how access and availability of FEW resources vary across countries using an indicator-based approach. The study addresses three objectives, which are to: 1) identify countries and sub-regions in SSA that are at low and high risk of FEW insecurity; 2) assess the spatial patterns in FEW insecurity; and (3) provide an overview of the

local drivers of FEW insecurity as well as important needs-based approaches for spurring 54 achievement of FEW security. In achieving these objectives, this paper provides new insights on the 55 extent of FEW insecurity across countries and sub-regions in SSA and reveals sub-regions where 56 commonalities and differences exist in terms of FEW shortages. Quantitative and spatial assessments 57 of FEW security is important because FEW are increasingly interlinked on spatial scales by resource 58 constraints, environmental constraints, technology, markets and speculation, trade, demand and 59 supply, trends in agricultural commodity and energy prices (Ringler et al., 2013). Quantitative and 60 spatial assessments are equally important for taking decisions relating to the transfer of nexus 61 resources from areas of abundance to areas facing scarcity (Cansino-Loeza et al., 2020). Findings 62 from the study have implications for FEW-related cross-sectoral policy development and 63 implementation in SSA. The study is in line with recent calls for quantitative and spatial assessments 64 65 of FEW security at national and regional scales (Hameed et al., 2019; Mohammadpour et al., 2019) and provides evidence on the ways in which researchers can enhance FEW security analysis beyond 66 SSA. 67

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2. Understanding food – energy – water (FEW) security

The concept of FEW security encapsulates concerns for food security, energy security and 69 water security. Food security is when all people, always have physical, social and economic access 70 71 to sufficient, safe and nutritious food which meets their dietary needs and food preferences for an 72 active and healthy life. Energy security is access to reliable and affordable energy for cooking, heating, lighting, communications and productive uses. Water security relates to the availability of, 73 and access to sufficient and good quality water for human and ecosystem use. Although the FEW 74 75 security concept has gained considerable attention in recent times, a universally acceptable framing of the concept is lacking (Zhang and Vesselinov, 2017). The interdisciplinary dimension of the FEW 76 concept itself, as well as the challenges and opportunities that a linked-FEW security presents, may 77 be the reason for this. In this study, we conceptualise FEW security as the availability of and access 78

to: sufficient, safe and nutritious food to meet the dietary needs and food preferences for an activeand healthy life; clean, reliable and affordable energy; and safe drinking water and sanitation.

Conceptualising FEW security this way offers a lens with which to understand the 81 82 interdependencies between food, energy and water (Markantonis et al., 2019). To explain the interdependencies in a simple form: food can be used to produce energy, energy is needed to produce 83 84 food, water is needed to grow food, while food transports (virtual) water, often using energy; water is often needed to generate energy, energy is needed to supply water, particularly to areas far away 85 from the water source. Changes to any one of food, energy or water can affect the remaining two 86 across a range of scales (Hoffmann et al., 2017). Previous empirical studies have used this interlinked 87 FEW idea to reveal how interventions, such as river basin management and climate adaptation in the 88 water sector, cross-cut energy, water and food policy issues, including advancing the utility of FEW 89 as a tool for addressing wider socio-ecological and economic concerns (Keskinen et al., 2015; 90 Momblanch et al., 2019). Using the FEW concept to inform analysis of trade-offs in decision-making 91 92 on programs involving different sectors and stakeholders can spur resource use efficiency while at 93 the same time helping to adapt policies and institutional arrangements to sustainable development 94 ideals (Markantonis et al., 2019).

95 FEW security is context-specific, and as such previous researchers (e.g. Mohammdpour et al., 96 2019; Abulibdeh and Zaidan, 2020) have viewed it in terms of its spatial scale (local, national, 97 regional or global); and other researchers (e.g. Momblanch et al., 2019;) in terms of its temporal 98 scale (past and present conditions vs likely future conditions). Similarly, methodological differences 99 (qualitative and quantitative) and data availability define how FEW security is approached/studied 100 (Wichelns, 2017; Mohammadpour et al., 2019), including how specific in-country FEW-related 101 problems and policy issues are assessed (Bieber et al., 2018).

102 Knowledge of FEW interlinkages is growing timidly amongst policy makers and development 103 actors in SSA, and an increasing number of case studies demonstrate how this knowledge is used to 104 address a wide range of regional FEW-related concerns (Bieber et al., 2018; Yang et al., 2018; Allam 105 and Eltahir, 2019; Sahle et al., 2019). For example, Yang et al. (2018) used 'knowledge of FEW interlinkages' to assess the impact of climate and anthropogenic changes on the water, energy, food 106 and ecosystems services in the Niger River Basin, revealing that accounting for FEW security goals 107 108 in dam development can help to mitigate the negative impacts of climate change on water, energy and food resources across the basin. Sahle et al. (2019) applied the FEW security concept in the Omo-109 Gibe River Basin in Ethiopia and revealed that enhanced water management was key to achieving 110 FEW security. The FEW idea has equally been used to demonstrate the vulnerability of energy 111 infrastructure in Ghana, highlighting a need to diversify the energy sector, optimize investment in 112 energy and water infrastructure, and strengthen agricultural intensification to achieve FEW security 113 (Bieber et al., 2018). It has also been applied to show how win-win FEW outcomes may reinforce 114 cooperation between riparian countries in transboundary basin management in the Blue Nile (Allam 115 116 and Eltahir, 2019). Other studies have identified food, energy and water security as crucial to achieving sustainable development goals in the region (e.g. Gill et al., 2019). Although case study 117 research focusing on FEW security is growing, little has been done to date to identify and spatially 118 map countries and sub-regions in SSA that are at risk of FEW insecurity, including to understand 119 why FEW insecurity persists and how to address the issue. 120

121 **3. Methods**

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3.1. Brief information on sub-Saharan Africa

This study covers the entire sub-Saharan Africa and countries are grouped into different subregions following the approach used by the African Development Bank (AfDB). These sub-regions include: i) Economic and Monetary Community of Central Africa (CEMAC), ii) Economic Community of West African States (ECOWAS), iii) East Africa Community (EAC) and iv) Southern African Development Community (SADC). In total, the different sub-regions consist of 48 countries (Somalia is not included in this study due to data limitations). A full list of countries that make up each sub-region is available in Appendix A.

Figure 1: Map of SSA showing countries and different sub-regions. Notes on country codes areavailable in Appendix A.

In grouping these countries into different economic sub-regions, we have no intention to violate or reintroduce any geopolitical boundaries or suggest countries should join any sub-regional block. However, the existing sub-regional blocks have helped to harmonize investment incentives, standards, technical regulations, as well as policies relating to transportation, infrastructure and as such has enhanced intra-Africa trade (Kagochi and Durmaz, 2018). The basic demographic and economic indicators of the different economic sub-regions are shown in Table 1.

Rainfall in SSA is highly variable both spatially and temporally, and mostly controlled by the 138 latitudinal migration of the intertropical convergence zone (Nkiaka et al., 2017a). As agriculture in 139 140 SSA is predominantly rain-fed, rainfall constitutes a critical factor for (and accounts for) about 95% of overall crop production (Calzadilla et al., 2013; Serdeczny et al., 2017). Water resources are 141 equally very variable both in space and time as a result of rainfall variability. Hydropower is the main 142 source of electricity in SSA supplying more than 50% of total electricity consumption in the region 143 (Conway et al., 2017). Rainfall, therefore, plays a critical role in food, energy and water security in 144 SSA. 145

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3.2. The Pardee Rand Water-Energy-Food Index

This study utilised indicator scores collated from the Pardee Rand Food - Energy - Water 148 (PR-FEW) Index database to assess the state of FEW insecurity in SSA. The PR-FEW Index is a 149 quantitative model of FEW scores developed by the Rand Corporation (Willis et al., 2016). The 150 database covers country scores of food, energy and water resources, depicting the FEW status and 151 providing a benchmark for a composite computation of FEW index per country. Two sub-indicators 152 are used as proxy to describe FEW security: availability and accessibility. Availability is the extent 153 to which a population has adequate FEW resources to meet its needs, while accessibility describes 154 the distribution of FEW resources across a given population. 155

Under the food sub-index, 'availability' measures if food supply in a country is sufficient to meet basic nutritional requirements while 'accessibility' demonstrates the extent to which a given

population has access to diverse diets that meet its nutritional needs. Energy availability measures if 158 a country's electricity infrastructure meets the energy needs of individuals and accessibility is used 159 to measure if individuals have access to modern forms of energy for residential uses such as 160 161 electricity, heating and cooking. Water availability is the amount of water that is used relative to amount needed to support basic domestic activities (cooking, eating and sanitation) and accessibility 162 is described as access to improved drinking water and sanitation (Willis et al., 2016). These sub-163 indices (across each FEW resource) are integrated using an unweighted, geometric mean as shown in 164 equation 1. 165

166 167 $FEW Index = \sqrt[3]{(Food sub index)X(Energy sub index)X(Water sub index)}$ (1)

To ensure that the PR-FEW Index is not influenced by any sub-index scale, all indicators are 168 normalised and scaled from 0 to 1, where 1 is the most favourable score (suggesting conditions of 169 FEW security) and 0 is the least favourable. Details on the development and calculation of the PR-170 FEW Index and sub-indices are available in Willis et al. (2016) and Abbott et al. (2017). The PR-171 172 FEW Index and sub-indices scores for all countries in SSA were obtained from the Pardee Rand online database¹. The PR-FEW Index has been used in many studies (Abbott et al., 2017; Hameed et 173 al., 2019; Abulibdeh and Zaidan, 2020). We chose the Pardee Rand FEW Index database and scores 174 because: (1) they were recently published (i.e. within the last 5 years), (2) they capture data on food, 175 energy and water availability and accessibility (3) they cover all countries in our study region (except 176 Somalia) and (4) the index tool is easily accessible and has remained active (accessed last in 177 September 2020). 178

The data extracted from the Pardee Rand online database was imported into a data processing
tool to plot graphs that provide a visualisation of FEW variations across countries and sub-regions.
To demonstrate how FEW varies spatially, FEW index scores were exported into ArcGIS 10.4.

¹See <u>https://www.prgs.edu/pardee-initiative/food-energy-water.html</u>. A detailed overview of the methodology and indicators is available in the PR technical documentation: https://www.rand.org/pubs/tools/TL165.html. The FEW Index interactive tool is available here: https://www.prgs.edu/pardee-initiative/food-energy-water/interactive-index.html

Previous studies have adopted a similar approach to depict the spatial distribution of FEW resources (e.g. Mohammadpour et al., 2019). We also conducted a desk review of existing FEW studies in SSA to identify factors/local drivers reinforcing FEW insecurity and approaches to address them. We consider countries with a PR-FEW index and sub-index score of 0.50 or above as those on a path to achieving FEW security while those with a score below 0.50 are considered as FEW insecure (i.e. lagging behind).

3.3. Study limitations

The PR-FEW Index offers many advantages (e.g. provision of data on FEW availability), yet 189 it has been criticised for not capturing impacts of socio-ecological shocks/stresses, and for ignoring 190 potential future changes in availability and accessibility of FEW resources (Venghaus and Dieken, 191 2019). Since the publication of the PR-FEW Index in 2016, many countries in SSA have made 192 progress on FEW security for their citizens. For example, more than 1000 MW of electricity from 193 hydropower have been commissioned in SSA since 2016 (IHA, 2019) and other renewable energy 194 projects (wind and solar) have equally been operationalised. Since 2017, food insecurity has been 195 reversed (to some extent) in some countries, such as South Africa (Mabhaudhi et al., 2019), due to 196 changes in FEW governance, better policies and improved access to land and water resources. These 197 recent developments are not capture in the PR-FEW Index. 198

The PR-FEW Index provides information only at the national scale, masking FEW security situation at sub-national level (Mohammadpour et al., 2019). Based on this limitation, our results reflect only FEW conditions at the national and sub-regional levels. In spite of these limitations, the PR-FEW Index remains a useful tool for carrying out comparative analyses of FEW (in)security across countries and sub-regions (Willis et al., 2016; Abbott et al., 2017). We equally acknowledge that the number of factors reinforcing FEW insecurity and approaches to address this insecurity are in SSA are not exhaustive as some important factors may have been inadvertently left out.

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207 4. FEW resource conditions and spatial variations

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4.1. FEW security outlook in SSA

Six of the 47 SSA countries (i.e. 13%) in this study recorded PR-FEW Index scores of 0.50
and above. These are: Gabon (CEMAC, Fig. 2A), Botswana, Mauritius, Namibia, South Africa and
Eswatini (SADC, Fig. 2D). We found that no country in the EAC (Fig. 2B) and ECOWAS (Fig. 2C)
regions achieved an overall FEW Index score above 0.50.

Figure 2: Pardee Rand Index and sub-indices for CEMAC (panel A), EAC (panel B), ECOWAS
(panel C) and SADC (panel D)

In considering national scores for individual FEW elements, we observed that eight countries 215 216 (17%) recorded food sub-index score above 0.50 – these are Djibouti and Sudan (EAC, Fig. 2B), Guinea Bissau (ECOWAS, Fig. 2C) and Botswana, Mauritius, South Africa, Eswatini and Zimbabwe 217 (SADC, Fig. 2D). Eleven countries (23%) recorded energy sub-index score above 0.50: Equatorial 218 Guinea and Gabon (CEMAC, Fig. 2A), Djibouti and Seychelles (EAC, Fig. 2B), Cabo Verde and 219 Senegal (ECOWAS, Fig. 2C) and Botswana, Mauritius, Namibia, South Africa and Eswatini (SADC, 220 221 Fig. 2D). Eleven countries (23%) also recorded a water sub-index score above 0.50: Equatorial Guinea and Gabon (CEMAC, Fig. 2A), Cote d'Ivoire and Gambia (ECOWAS, Fig. 2C) and 222 Botswana, Mauritius, Namibia, South Africa, Eswatini, Zambia and Zimbabwe (SADC, Fig. 2D). 223 224 Furthermore, we found that no country in the CEMAC region recorded a food security sub-index above 0.50 while all countries in the EAC region scored below 0.50 for water security sub-index. 225 Overall, the PR-FEW Index scores for more than 87% of the countries are less than 0.50, with Burundi 226 227 having the lowest score for both PR-FEW Index and sub-indices. Table 2 shows the full ranking of countries based on the PR-FEW Index and sub-indices scores. 228

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4.2. Spatial variations in food, energy, and water across SSA

Based on the PR-FEW Index and sub-indices scores, we observed substantial FEW variations (as well as similarities in FEW availability and access) across countries and sub-regions in SSA (see Fig. 3A-D). CEMAC and SADC sub-regions show similarities in their FEW security status, depicted by PR-FEW Index scores of 0.24 - 0.68; whereas EAC and ECOWAS sub-regions show similar FEW status (scores here range from 0.19 - 0.45). Although considerable FEW variations exist across
CEMAC and SADC countries, no substantial variations were recorded across countries in the EAC
and ECOWAS sub-regions (Fig. 3A).

The food security sub-index scores reveal similarities in food security status across the CEMAC and ECOWAS sub-regions (scores for both regions range from 0.36 - 0.50); although the FEW variations among countries in these sub-regions is weak. Similarly, we observed that the EAC and SADC sub-regions display similar food security sub-index scores (ranging from 0.26 - 0.60). Despite this, substantial variations exist among countries within these sub-regions (Fig. 3B).

Further, EAC and SADC sub-regions show similar energy sub-index with scores ranging from 0.13 - 0.85; however, there are substantial variations among countries in the sub-regions (Fig. 3C). The energy security sub-index scores show similarities in energy security status across the CEMAC and ECOWAS sub-regions (scores range from 0.15 - 0.72).

CEMAC and SADC display similar water security status (the water sub-index scores range 247 from 0.24 - 0.75) with strong variations existing among countries in the sub-regions. There are 248 equally strong variations in the water sub-index among ECOWAS countries while EAC countries 249 show weak variations. EAC countries also have low water sub-index scores compared to the rest of 250 the sub-regions (Fig. 3D). PR-FEW Index scores indicate that countries within the same sub-region 251 display the widest variations in energy sub-index scores in comparison to countries across sub-252 regions; the food security sub-index scores reveal that among countries in the same sub-region there 253 254 are wide spatial variations in availability and access.

Figure 3: Regional variations in FEW resources based on Pardee Rand Index and sub-indices:
FEWI (panel A), FI (panel B), EI (panel C) and WI (panel D) (FEWI: Food, Energy and Water
Index; FI: Food Index; EI: Energy Index; WI: Water Index)

In trying to pin down the spatial distribution of FEW resources across SSA, our analysis of the PR-Index scores reveal that countries in the SADC sub-region have relatively similar spatial FEW distribution patterns (Fig. 4A). Two countries in the CEMAC sub-region, three in EAC, four in ECOWAS and five in the SADC share similar spatial FEW distribution patterns (Fig. 4B). Countries 262 in the SADC sub-region display the highest energy security sub-index scores compared to other SSA countries except for Malawi, Madagascar and Mozambique. Countries in the CEMAC, EAC and 263 ECOWAS sub-regions have similar spatial energy distribution patterns as shown in the energy 264 265 security sub-index score (Fig. 4C), except for Burundi with the lowest energy security. Higher energy security sub-index scores were observed for Djibouti and Senegal in the EAC and ECOWAS sub-266 regions respectively and Gabon and DR Congo in the CEMAC sub-region. Most countries in the EAC 267 sub-region have similar spatial water distribution patterns (their water security sub-index scores are 268 relatively low). Four CEMAC, Six ECOWAS and three SADC countries have similar spatial water 269 distribution patterns (see Fig. 4D). Malawi recorded the lowest water sub-index score in the SADC 270 sub-region. 271

Figure 4: Spatial distribution of FEW insecurity based on Pardee Rand FEW Index (A), Food
Index (B), Energy Index (C) and Water Index (D)

4.3. Countries and Sub-regions at low and high risk of FEW insecurity

Quantitative assessment of FEW resources suggest that countries and sub-regions seem to 275 perform differently in terms of FEW availability and accessibility and apparently operate at different 276 levels of FEW insecurity risks. Focusing on food insecurity, we observed that the CEMAC sub-region 277 is relatively at high risk: countries here have the lowest food sub-index scores which portray them as 278 having the worst case of food insecurity. Across all countries, Chad (CEMAC), Burundi (EAC), 279 Guinea (ECOWAS) and Zambia (SADC) seem to be worst-off in terms of food insecurity risks. This 280 finding corroborates the results from past studies (e.g. Ware and Kramer, 2019), which identified 281 Burundi, Chad and Zambia among the top ten most food insecure countries in the world, with Burundi 282 topping the list. 283

Further analysis reveal that the ECOWAS sub-region faces the highest level of energy insecurity (the sub-region has the lowest energy sub-index scores); whereas the EAC and SADC subregions are relatively the most energy secured. Across SSA countries, Chad and Central Africa Republic (CEMAC), Burundi (EAC), Liberia, Niger and Sierra Leon (ECOWAS) and Malawi and Madagascar are the least energy secured countries. In terms of water security, the EAC sub-region faces the highest risk of water insecurity. Comparison across countries reveal that Chad (CEMAC),
Burundi and Eritrea (EAC), Cabo Verde and Niger (ECOWAS) and Lesotho and Malawi (SADC)
face the highest risk of water insecurity. Taking together, Burundi recorded the lowest scores across
all the PR-FEW Index and sub-indices, suggesting that the country is the most at-risk country in SSA.

5. Factors reinforcing FEW insecurity in SSA and approaches to address them

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5.1. FEW insecurity factors

295 Our review of a wide range of scientific literature and policy documents suggests that several 296 factors and practices reinforce FEW insecurity in SSA. First, most projects aimed at addressing food, 297 energy and water insecurity as a nexus often focus on regional (Conway et al., 2017) or national scales 298 (Imasiku and Ntagwirumugara, 2020). Such top-down approaches often relegate some critical needs at the local level where communities, households, institutions and small businesses face the real 299 challenges of simultaneously meeting their food, energy and water needs (Terrapon-Pfaff et al., 2018; 300 Gebreyes et al., 2020). Second, most countries in SSA are classified as Least Developing Countries 301 (LDC) reflecting low economic growth, weak institutions, poor planning and management capacities 302 303 which may limit the ability of a country to achieve resource security (van Ginkel et al., 2019). It has been argued that developed infrastructure such as transport, irrigation, water, energy and information 304 and communication technology are critical for achieving SDGs including WEF security (Le Blanc, 305 306 2015). Other studies have also highlighted the importance of enhanced socio-economic conditions for achieving FEW security nexus in SSA. 307

Other reasons are related to climate change, increasing trends in land degradation, desertification, water scarcity, rapid population growth and the unsustainable exploitation of FEW resources (UNCCD, 2019; Ware and Kramer, 2019). In addition, a recent study has reported that the construction of large-scale dams for hydropower and irrigation schemes may instead lead to negative social transformation and disintegration of communities due to the loss of farming and grazing land, without alternative livelihood options - thereby reinforcing FEW insecurity (Gebreyes et al., 2020). These findings suggest that implementaion of large-scale dams may be the decision of top-level 315 (external) authorities who lack an understanding of local and community needs, circumstances and insitituional arrangements. Therefore, to maximise the value of limited resources towards addressing 316 FEW insecurity, it is improtant that a bottom-up approach is adopted to co-develop context-specific 317 318 alternative FEW security scenarios and solutions with different community and national stakeholders. In the food sub-sector, food insecurity in SSA may be attributed to many factors such as post-harvest 319 losses due to the absence of infrastructure including storage facilities, farm-to-market roads to 320 evacuate agricultural products to market centres, irrigation facilities, fertiliser (Calzadilla et al., 2013; 321 Sheahan and Barrett, 2017; Nkiaka and Lovett, 2019). Food insecurity in SSA may also be attributed 322 to climate related events such as flood and droughts (Twongyirwe et al., 2019; Ware and Kramer, 323 2019), climate change and large-scale land acquisition from small-holder farmers and lack of 324 incentives to stimulate increased agricultural production in SSA (Yengoh and Armah, 2015; Giller, 325 2020). Analysing survey data from 5,299 households, Niles and Salerno (2018) found that 71% of 326 households in Africa, South Asia and Latin America reported that they experienced climatic shocks, 327 and this was correlated with food insecurity as such households were 1.73 times more likely to have 328 reported that they were food insecure (at least one month in a year). The study further discovered that 329 while climate shocks influence food insecurity, access to and use of fertilisers, pesticides, veterinary 330 medicines, and reliable household assets moderate the impact of climate variability on food 331 insecurity. This suggests that amid poverty, access to and use of these resources could help farmers 332 adapt to climate shocks and help them to reduce the impact of climate variability on food production 333 and insecurity. Food security could further be enhanced if smallholder farmers exploit crop genetic 334 diversity as this strategy could increase the production of food in SSA (Njeru, 2013). 335

Other contextual factors also account for FEW insecurity in the different sub-regions. For example, food insecurity in the CEMAC sub-region is attributed to recurrent conflicts, lack of economic and political reforms in the agriculture and food security sector and lack of sub-regional integration. (FAO, 2015; UNDP, 2017). In the ECOWAS sub-region, food insecurity has been partly attributed to poor market reforms (Moseley et al., 2010). These factors suggest that governance has akey role to play in achieving food security in Africa.

Energy insecurity is mostly due to widespread under developed energy systems (Ouedraogo, 342 343 2017) and high electricity cost (the cost of electricity in most SSA countries is more than double that of other developing countries) (Eberhard and Shkaratan, 2012). Energy insecurity in SSA can also be 344 due to recurrent droughts in some regions as a result of rainfall deficits triggering water scarcity which 345 reduces the output capacity of hydropower dams (Conway et al., 2017). According to International 346 Energy Agency (IEA) urbanisation and population growth with a lack of proportional investment in 347 the energy sector is also another factor undermining energy security in SSA (IEA, 2019). Although 348 renewable energy diversification is an essential precursor for energy security and sustainability 349 (Akrofi, 2021), a lack of efficient and economically sustainable power systems, coupled with costly 350 351 infrastructure investments currently constrain the penetration of those resources in the electricity mix in SSA (Pistelli, 2020). Energy insecurity pushes many households in SSA to rely on unsafe sources 352 of energy including open fires for cooking, and lighting, with severe health implications such as acute 353 respiratory infections and lung cancer (Boateng et al., 2020). 354

Water insecurity may be attributed to different factors such as limited technical and 355 institutional capacity, lack of adequate investment in the water sector, weak governance, absence of 356 357 legislative and environmental regulations and lack of hydro-meteorological data for monitoring water resources across different countries (Gain et al., 2016; Nkiaka et al., 2017b; Yomo et al., 2019). In 358 urban areas, most of the water supply is derived from rural landscapes, hence an increase in unplanned 359 360 development and poor land management practices in rural catchments have substantially increased water scarcity and pollution. This has in turn contributed to reducing water availability and increasing 361 delivery costs in urban areas (TNC, 2016). Groundwater exploitation to reduce water insecurity is 362 constraint by services needed to support its development including energy, drilling and pumping 363 equipment, physical access, limited financial resources and institutional support (Cobbing 2020). 364 365 Contextual factors reinforcing water insecurity in EAC sub-region are mostly due to unfavourable

366 climatic conditions characterized by rainfall variability and prolonged droughts (McNally et al., 2019;

367 Thomas et al., 2019).

368 Overall, we find that there are generic and contextual factors that account for FEW insecurity 369 in SSA with sub-regions having different peculiarities, highlighting the fact that a one-size-fits-all 370 approach to addressing FEW insecurity may not be successful.

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5.2. Approaches to address FEW insecurity in SSA

To date sectoral approaches to resources management have often resulted in an imbalance and 372 uneven resource allocation, utilisation and distribution in SSA. As such, it is necessary to identify 373 priority areas across FEW interrelated resources systems where intervention may benefit all the three 374 sectors at the same time (Mabhaudhi et al., 2019). At the same time, efforts should be made by 375 stakeholders to integrate environmental concerns and climate change adaptation into such policy 376 decision making processes (Babu et al., 2018; Onyutha, 2018). It has equally been suggested that 377 harmonising institutions and policies, enhancing governance as well as setting targets and indicators 378 to direct, monitor and evaluate FEW security in both rural and urban areas may enhance FEW security 379 (Nhamo et al., 2018). Other studies have suggested that for projects such as dam construction for 380 hydropower and irrigation to be beneficial to the communities, bottom-up approaches must be 381 adopted to address the needs of local communities by ensuring democratic decision making and 382 accountability (Terrapon-Pfaff et al., 2018). Below, we outline approaches for addressing insecurity 383 concerns related to each component of the FEW nexus. 384

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5.2.1. Food security

To address food insecurity in SSA, the African Union Commission (AUC) has put in place a Comprehensive Africa Agriculture Development Programme (CAADP) (Mabhaudhi et al., 2019). Given the importance of food distribution in achieving food security, the CAADP suggests that improving rural infrastructure and market access will be critical for reducing food insecurity (Mabhaudhi et al., 2019). Other studies have suggested that reducing post-harvest losses will also contributed to reduce food insecurity in SSA (Sheahan and Barrett, 2017). Considering that 95% of

agriculture in SSA is rain-fed, it is equally suggested that increasing small-holder irrigation can 392 potentially reduce food insecurity in SSA (Xie et al., 2014; Mabhaudhi et al., 2019). However, due 393 to the sensitivity of surface water to rainfall variability, using groundwater for irrigation may resolve 394 395 the problem of water scarcity in food production (Cobbing and Hiller, 2019). This could be achieved by using solar photovoltaic pumps for groundwater abstraction (Schmitter et al., 2018). Other studies 396 have proposed the adoption of climate information services (Akwango et al., 2017), conservation 397 agricultural practices, introducing new crop varieties, choice of cropping system and sowing date, 398 introducing agrobiodiversity, genetic improvement, sustainable intensification, and market 399 monitoring (Waha et al., 2013; Thierfelder et al., 2014). It is hoped that implementing some of these 400 proposals may potentially reduce food insecurity in SSA. 401

402

5.2.2. Energy security

According to IEA (2019), plans towards achieving energy security in SSA may begin by 403 404 liberalizing access to electricity and clean cooking fuel. In the face of climate change impact on hydropower in SSA, countries with low scores for energy security such as Chad and Burundi may 405 intensify the adoption of renewables (e.g. wind and solar energies) to meet local energy demands 406 (Sweerts et al., 2019). Promoting/implementing energy and climate policies (with special attention 407 on decarbonising power supply) can spur the adoption of hybrid mixes of variable renewable power 408 409 sources - solar, wind and hydropower (Sterl et al., 2018). For instance, a recent study has shown that 60% of electricity demand in West Africa can be met with complementary renewable of which 410 411 roughly half would be solar and wind power and the other half hydropower (Sterl et al., 2020). Arguably, to achieve energy security through renewables, countries may have to demonstrate policy 412 413 commitment by putting in place clear targets and concrete plans to catalyse private sector investment in renewable energy projects and increase investor confidence through financial de-risking measures 414 415 (Kazimierczuk, 2019; Sweerts et al., 2019). Similarly, developing climate services for the energy sector will be crucial for developing the renewable energy sector in SSA (Sterl et al., 2018). In 416 addition, creating regional power pools will equally be play an important role in achieving energy 417

security (Conway et al., 2017; Sterl et al., 2020). For example, energy security in the Southern Africa
sub-region has largely been attributed to the creation of Southern African power pool which led to
the putting in place of a sub-regional energy protocol and a regional energy access strategic action
plan (Stiles et al., 2015).

422

5.2.3. Water security

Achieving water security in SSA may being by addressing water governance which is still at 423 its infancy stage. Considering much of the water supply to urban areas in SSA is captured in rural 424 areas, protecting rural catchments has the potential to improve urban water security and enhance 425 rural livelihoods (TNC, 2016; Asibey et al., 2019). To achieve this, efforts are required to understand 426 427 and to influence the behaviour of water users and other stakeholders (Okumah et al., 2019) as well as their willingness to support policies aimed at sustainable water resource management (Okumah et al., 428 2020). Similarly, exploitation of groundwater resources may reduce water insecurity in both urban 429 430 and rural areas (Cobbing and Hiller, 2019). Although the success of this option is not guaranteed (due to the complex factors influencing resource exploitation, access and use), it could improve availability 431 and access (Okumah et al., 2019). Ultimately, a combination of strategies – including regulations, 432 increasing investment in the water sector, technical capacity building in the water sector, enhancing 433 data acquisition, adopting climate information services in the water management sector, raising 434 435 awareness and putting in place educative programs aimed at reducing water pollution - will be needed to reduce water insecurity in SSA. 436

437 **6.** Conclusions

This paper focuses on quantitative and spatial assessments of FEW security in sub-Saharan Africa using data from the Rand corporation. Previous studies investigating FEW security have mostly relied on small datasets focusing on small spatial (regional) scales in SSA. Our analysis: identifies countries and sub-regions in SSA that are at low and high risk of FEW insecurity; describes how FEW varies spatially in SSA; and unpacks the local drivers reinforcing FEW insecurity as well as important needs-based approaches for tackling FEW insecurity in SSA. Our analyses show that (as at 2016) 41 countries (87%) obtained a PR-Index score below 0.50; indicating that many SSA
countries are on a 'relatively high risk' position in terms of food, energy and water insecurity. Taking
together, Burundi had the highest level of food, energy and water insecurity. Our findings provide
insights on regional variation of FEW in SSA

A review of existing literature indicates that FEW insecurity across SSA can be attributed to factors such as: top-down FEW development approaches that relegate local FEW needs; increasing population and low economic growth; natural disasters and large-scale land acquisition; and overexploitation of FEW resources. Other factors such as conflicts, poorly coordinated market reforms, unfavourable climatic conditions, poor governance and poor land management have continued to constrain the achievement of FEW in majority of SSA countries.

We envisage that findings from this study will (1) provide policy makers, NGOs and development partners with clear evidence on the state of food, energy and water security in SSA, (2) enable development actors to better identify countries and sub-regions with high risk of food, energy and water insecurity, (3) provide countries with a clearer picture of critical development targets in the FEW sectors to pursue and (4) redirect the focus of future research, for example, by helping scientists to take informed decisions on critical research areas to prioritise.

Future research, e.g., in the form of case studies at local levels, can build on the findings from this study to systematically unpack the complex socio-economic, political, and ecological factors driving availability and access to food, energy and water in SSA. To do this will require a combination of quantitative and qualitative techniques to provide rich data on dynamic socio-economic and ecological forces across multiple scales.

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468

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474 Authors' contributions

- 475 Elias Nkiaka: Conceptualization; Data curation; Formal analysis, Writing original draft. Uche
- 476 Okpara: Conceptualization, Writing and editing original and revised drafts. Murat Okumah:

477 Conceptualization; Data curation; Formal analysis, Writing - original draft.

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Table 1: Basic demographic and economic outlook of the different economic regions in SSA

Region	Number of countries	Total surface area (km ² thousand)	Population (thousand)	GDP per capita (\$)	Ave. Annual GDP growth 2010-20 (%)
CEMAC	7	5,365	137,555	2,185	3.50
ECOWAS	15	5,115	377,437	4,483	4.00
EAC	13	6,214	362,265	2,603	3.50
SADC	13	6,571	208,704	6,340	2.60

Note: Available from African Development Bank (AfDB, 2019). GDP per capital is based on purchasing power parity valuation.

Country	FEW Index	Country	Food sub- index	Country	Energy sub- index	Country	Water sub- index
Mauritius	0.68	Sudan	0.6	Seychelles	0.85	Gabon	0.75
Gabon	0.64	Djibouti	0.57	Mauritius	0.82	Botswana	0.75
S. Africa	0.63	S. Africa	0.57	S. Africa	0.81	Mauritius	0.75
Botswana	0.62	Mauritius	0.52	Gabon	0.72	Namibia	0.61
Namibia	0.54	Botswana	0.51	Cabo Verde	0.64	Eswatini	0.59
Eswatini	0.54	G. Bissau	0.5	Botswana	0.62	Gambia	0.56
Zimbabwe	0.46	Eswatini	0.5	Djibouti	0.61	Eq. Guinea	0.53
Cote d'Ivoire	0.45	Zimbabwe	0.5	Namibia	0.56	S. Africa	0.53
Angola	0.44	CAR	0.49	Eswatini	0.53	Cote d'Ivoire	0.51
Cameroon	0.43	Gabon	0.49	Eq. Guinea	0.5	Zambia	0.51
Djibouti	0.42	Ghana	0.49	Senegal	0.5	G. Bissau	0.47
Gambia	0.41	Cabo Verde	0.48	Sao T & P	0.47	Guinea	0.46
Nigeria	0.40	Liberia	0.48	Zimbabwe	0.46	Cameroon	0.44
Congo-Brazza	0.39	Uganda	0.47	Angola	0.45	Liberia	0.44
Sudan	0.39	Comoros	0.46	Cameroon	0.43	Angola	0.44
Senegal	0.39	Namibia	0.45	Cote d'Ivoire	0.42	Zimbabwe	0.42
Ghana	0.38	Cote d'Ivoire	0.43	Nigeria	0.42	Sierra Leon	0.41
G. Bissau	0.38	Gambia	0.43	Comoros	0.41	CAR	0.40
Zambia	0.38	Cameroon	0.42	Ghana	0.41	Congo-Brazza	0.40
Cabo Verde	0.37	Kenya	0.42	Lesotho	0.39	Nigeria	0.35
Comoros	0.36	Mali	0.42	Congo-Brazza	0.38	Madagascar	0.35
Lesotho	0.34	Niger	0.42	Eritrea	0.38	Mozambique	0.34
Kenya	0.33	Nigeria	0.42	Sudan	0.36	S Sudan	0.33
Guinea	0.33	Angola	0.42	Zambia	0.34	Mali	0.33

Table 2: Countries rankings for PR-FEW Index and sub-Indices from the highest to lowest

Liberia	0.33	Sao T & P	0.42	Kenya	0.32	DR Congo	0.32
CAR	0.31	Rwanda	0.4	Benin	0.28	Senegal	0.31
Togo	0.31	Burkina Faso	0.4	Gambia	0.28	Togo	0.31
Mali	0.3	Sierra Leon	0.4	Togo	0.25	Sudan	0.28
Mozambique	0.3	Lesotho	0.4	Mozambique	0.24	Kenya	0.27
Sierra Leon	0.29	Congo-Brazza	0.39	G. Bissau	0.23	Chad	0.26
Uganda	0.28	Benin	0.39	DR Congo	0.21	Burkina Faso	0.26
Benin	0.28	Senegal	0.39	Ethiopia	0.21	Ghana	0.26
Madagascar	0.28	Togo	0.39	Guinea	0.21	Lesotho	0.26
Eritrea	0.27	Guinea	0.38	Tazania	0.2	Comoros	0.25
Burkina Faso	0.27	Malawi	0.37	Uganda	0.2	Tazania	0.25
Tazania	0.26	Chad	0.36	Burkina Faso	0.2	Uganda	0.24
Ethiopia	0.25	Ethiopia	0.35	Mali	0.2	Malawi	0.24
Rwanda	0.25	Tazania	0.35	Madagascar	0.19	Djibouti	0.22
Malawi	0.25	Mozambique	0.35	Rwanda	0.18	Ethiopia	0.22
Chad	0.24	Seychelles	0.33	Malawi	0.18	Rwanda	0.22
Niger	0.23	Madagascar	0.32	Liberia	0.17	Benin	0.22
Burundi	0.19	Zambia	0.31	Niger	0.17	Burundi	0.20
DR Congo	-	Eritrea	0.30	CAR	0.16	Eritrea	0.18
Eq. Guinea	-	Burundi	0.26	Chad	0.15	Cabo Verde	0.16
Seychelles	-	DR Congo	-	Sierra Leon	0.15	Niger	0.16
S Sudan	-	Eq. Guinea	-	Burundi	0.13	Seychelles	-
Sao T & P	-	S Sudan	-	S Sudan	-	Sao T & P	-

(-) indicates no data

Code	Country	FEW Index	Food sub- index	Energy sub- index	Wate sub- index
	Economic Communit	y of Centra			
CAM	Cameroon	0.43	0.42	0.43	0.44
CAR	Central Africa Republic	0.31	0.49	0.16	0.4
CHA	Chad	0.24	0.36	0.15	0.26
CNG	Congo-Brazzaville	0.39	0.39	0.38	0.4
DRC	DR Congo	-	-	0.21	0.32
EQG	Equatorial. Guinea	-	-	0.5	0.53
GAB	Gabon	0.64	0.49	0.72	0.75
	Economic Comm	unity of Eas	t African	States (EA	C)
BUR	Burundi	0.19	0.26	0.13	0.20
COM	Comoros	0.36	0.46	0.41	0.25
DJI	Djibouti	0.42	0.57	0.61	0.22
ERI	Eritrea	0.27	0.30	0.38	0.18
ETH	Ethiopia	0.25	0.35	0.21	0.22
KEN	Kenya	0.33	0.42	0.32	0.27
RWA	Rwanda	0.25	0.4	0.18	0.22
SEY	Seychelles	-	0.33	0.85	-
SUD	Sudan	0.39	0.60	0.36	0.28
SS	S Sudan	-	-	-	0.33
TAN	Tanzania	0.26	0.35	0.20	0.25
UGA	Uganda	0.28	0.47	0.20	0.24
	Economic Communi				
BEN	Benin	0.28	0.39	0.28	0.22
BUR	Burkina Faso	0.27	0.4	0.2	0.26
CAP	Cabo Verde	0.37	0.48	0.64	0.16
CDI	Cote d'Ivoire	0.45	0.43	0.42	0.51
GAM	Gambia	0.41	0.43	0.28	0.56
GHA	Ghana	0.38	0.49	0.41	0.26
GIN	Guinea	0.33	0.38	0.21	0.46
GIB	G. Bissau	0.38	0.5	0.23	0.47
LIB	Liberia	0.33	0.48	0.17	0.44
MAL	Mali	0.3	0.42	0.2	0.33
NIG	Niger	0.23	0.42	0.17	0.16
NIR	Nigeria	0.4	0.42	0.42	0.35
SEN	Senegal	0.39	0.39	0.5	0.31
SIL	Sierra Leon	0.29	0.4	0.15	0.41
TOG	Togo	0.31	0.39	0.25	0.31
	South African D				
ANG	Angola	0.44	0.42	0.45	0.44
BOT	Botswana	0.62	0.51	0.62	0.75
LES	Lesotho	0.34	0.4	0.39	0.26
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Appendix A: Pardee Rand FEW Index scores for different countries in each sub-region

MAA	Malawi	0.25	0.37	0.18	0.24
MU	Mauritius	0.68	0.52	0.82	0.75
MOZ	Mozambique	0.3	0.35	0.24	0.34
NAM	Namibia	0.54	0.45	0.56	0.61
STP	Sao Tome & Principe	-	0.42	0.47	-
SOU	South Africa	0.63	0.57	0.81	0.53
SWA	Eswatini	0.54	0.5	0.53	0.59
ZAM	Zambia	0.38	0.31	0.34	0.51
ZIM	Zimbabwe	0.46	0.5	0.46	0.42