

1 **Mapping farmer perceptions, Conservation Agriculture practices and on-farm**
2 **measurements: the role of systems thinking in the process of adoption**

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32

33

34 **Abstract**

35 CONTEXT

36 Conservation Agriculture (CA) usage, particularly in Southern Africa, has remained low with
37 lower yield, higher weed pressure and lower soil quality cited as reasons for ‘disadoption’.

38 OBJECTIVE

39 Using a detailed case study of 50 farmers in two villages in Cabo Delgado (Northern
40 Mozambique), this study seeks to test the hypothesis that farmers’ perceptions of CA are
41 associated with distinctly different ‘mental models’ and if these “ways of thinking” overlap
42 with farmers’ identified/self-identified groupings (e.g. CA users, ‘disadopters’ and
43 conventional tillage users). Secondly, we examine whether these different mental models
44 (perceptions) are associated with actual differences in on-farm measurements. Finally, we
45 explore the hypothesis that ‘systems thinking’ (i.e., understanding nonlinear causal
46 relationships and internal feedback loops that drive a complex system) and CA usage are
47 positively associated.

48 METHODS

49 Fuzzy Cognitive Mapping (FCM) was used to elicit representations of farmers’ mental models.
50 To explore the association between farmers’ mental models of CA/conventional practices and
51 on-farm measurements we evaluated cowpea aboveground biomass, yield, weed cover, and soil
52 quality parameters from the farmer’s main plot. We drew on network analysis to measure
53 structural metrics of cognitive maps that provide important information about a person’s mental
54 model (perceptions) of causal interdependencies of farming dynamics.

55 RESULTS AND CONCLUSIONS

56 We find evidence of two data-driven distinct clusters of farmers’ mental models that are in
57 relative alignment with farmers’ identified/self-identified groupings. Cluster 1 mainly consists
58 of conventional users and cluster 2 mainly consists of CA users/disadopters. While no
59 significant differences in socio-demographic variables were observed, clusters of mental
60 models were associated with key differences in on-farm measurements. Importantly, cluster 1,
61 who tended to be conventional users, had lower yields, lower soil cover, significantly lower
62 carbon stock and higher weed coverage than cluster 2. Soil quality indicators were higher in
63 cluster 2 as were farmers’ overall revenue per hectare. Moreover, cluster 2 had significantly

64 higher degrees of ‘systems thinking’ (measured through complex network analysis of graphical
65 mental models) than cluster 1 which had higher forms of linear thinking. We argue that higher
66 forms of experiential learning and practice of CA relate to higher degrees of systems thinking
67 and stronger positive perceptions of CA, even among the CA ‘disadopters’.

68 SIGNIFICANCE

69 Our findings highlight the importance of systems thinking abilities and the need to consider
70 detailed biophysical, socio-economic and mental modelling variables rather than simple binary
71 measurements which may have led to erroneous conclusions on CA and thus has implications
72 for how CA is understood and promoted in future.

73

74 **Keywords: Conservation Agriculture; Decision-making; Mental models; Cropping**
75 **systems**

76

77 1. Introduction

78 *1.1 Background and objectives*

79 Conservation Agriculture (CA) has been promoted as a method that contributes to the
80 sustainable intensification of smallholder farming in Africa (Pretty et al., 2011). CA is now
81 practiced worldwide across all continents, diverse agro-ecosystems and varied farm sizes
82 (Friedrich et al., 2012). CA is defined by three principles, namely: (i) no or minimum
83 mechanical soil disturbance through no-till seeding; (ii) the maintenance of soil mulch cover
84 with crop biomass, stubbles and cover crops; (iii) cropping system diversification through
85 rotations and/or associations involving annuals and perennials, including legume crops (FAO,
86 2016).

87 In Sub-Saharan Africa (SSA), conventional tillage practice is still pervasive and usually
88 conducted through hand-hoe or animal traction. This has resulted in widespread soil erosion
89 and loss of soil organic matter which is further exacerbated by the practices of crop residue
90 removal and stubble burning (Rockström et al., 2009). Despite many positive experiences
91 across the region (e.g. Thierfelder et al., 2015; Thierfelder et al., 2016; Kassam et al., 2017),
92 recent research (e.g. Giller et al., 2009; Giller et al., 2015; Brown et al., 2018) has suggested
93 that CA practice in SSA (particularly in Southern Africa) remains low. Key areas of contention

94 have surrounded yields, weeds, soil quality and labour. Studies have shown, for instance, that
95 CA practice may contribute to a decrease in yields (particularly in the short-run) compared to
96 those obtained under conventional tillage based agriculture, which can severely impede usage
97 (Giller et al., 2009; Thierfelder and Wall, 2010). Giller (2009 and 2012) have also suggested
98 that resource-poor farmers particularly in SSA, where there exists a strong crop-livestock
99 interaction, are likely to face important trade-off decisions given that crop biomass is often fed
100 to livestock. The challenges associated with higher weed pressure and an increase in labour
101 requirements are also frequently cited as significant barriers to CA practice (Baudron et al.,
102 2012; Chauhan et al., 2012; Chinseu et al., 2018). This is further compounded by arguments
103 which have centred around the need to include agricultural inputs such as herbicides and
104 fertilisers in the production process in order for CA to be successful (e.g. to reduce weeds and
105 increase crop productivity) (Rusinamhodzi et al., 2011; Thierfelder et al., 2013). In addition,
106 its agro-ecological suitability (e.g. whether suitable for drier rather wetter regions) has been an
107 area of contention (Giller et al., 2009; Pittlekow et al., 2015). More recently, authors have
108 questioned the role CA has in carbon sequestration due to inadequate soil sampling of soil
109 organic carbon stock which has likely caused significant overestimates of its potential in
110 climate change mitigation (e.g. Powlson et al., 2016). Rather research has suggested that the
111 diversification potential of CA should be explored further and the benefits to near soil surface
112 physical conditions as opposed to its climate change mitigation potential should be given more
113 attention (Powlson et al., 2016).

114 In contrast, Baudron et al. (2015) have argued that a ‘niche’ exists where CA fits and this is
115 likely to increase with time, particularly in Southern and Eastern Africa, given the predicted
116 variation in changing climate. This is characterised by areas where the energy establishment
117 such as labour costs are high; where yield is severely limited primarily by a lack of water
118 availability; and where severe erosion problems exist (Baudron et al., 2015). Across Southern
119 Africa, in recent years there has been an increase in donor and government interest in funding
120 CA programmes. Sumberg et al. (2013) have been critical though of the blanket policy
121 prescriptions taken by some development agencies, as it can lack contextualisation and
122 consideration of alternate pathways.

123 Thus, recent research on CA and sustainable agriculture practices have also highlighted the
124 need to consider more data to better judge the level of ‘adoption’ (including detailed
125 biophysical and farmer characteristics) to track changes over time (Pannell and Claasen, 2020);
126 the inclusion of farm-level data as opposed to on-station trials to better understand on-farm

127 realities including opportunity costs (e.g. Pannell et al., 2014); the use of additional indicators
128 with respect to tillage implements and farm practices (Findlater et al., 2019) and consideration
129 of environmental threats/productivity of the soil (Knowler and Bradshaw, 2007). Dessart et al.
130 (2019) have further highlighted the importance of considering behavioural factors (e.g.
131 openness to new experiences, risk seeking and social pressure from key social referents) that
132 affect the ‘adoption’ of sustainable agriculture practices as have Lalani et al. (2016). Similarly,
133 Weersink and Fulton (2020) highlight ‘adoption’ cannot be understood in binary form
134 (adopt/non-adopt) and involves multiple stages which need to be considered in sequence and
135 include economic and non-economic factors. Furthermore, Levy et al. (2018) has also shown
136 that the level of ‘systems thinking’ (i.e. network metrics that measure the degree of complexity,
137 non-linearity, cyclic interdependence and feedback representation) may play a role in
138 understanding decision-making with regards to sustainable agriculture.

139 Building on Lalani et al. (2016) and previous work on mental models and CA (e.g. Halbrendt
140 et al., 2016; Levy et al. 2018), this study tests a hypothesis related to whether farmers’
141 perceptions of CA are associated with distinctly different ‘mental models’ and whether these
142 ‘ways of thinking’ are associated with farmers’ identified/self-identified groupings a priori.
143 Secondly, we examine whether these mental model groupings (distinct clusters of system
144 perceptions) are associated with actual on-farm measurements. Finally, we explore the
145 hypothesis that ‘systems thinking’ and CA usage are positively associated—that is, mental
146 models generated by farmers with a higher level of experiential learning and practice of CA
147 demonstrate higher degrees of systems thinking and stronger positive perceptions of CA, while
148 mental models of farmers who practice conventional tillage more frequently show evidence of
149 linear thinking.

150 In the next section, we describe the role of mental models in environmental decision-making
151 and previous applications. and the study background. In section 2 we outline the case study
152 background and our empirical framework. Results are presented in section 3, followed by
153 discussion (section 4) and concluding section (section 5).

154 *1.1.1 Mental models*

155 To understand individual farmers’ perceptions, we focus on their ‘mental models’ as they relate
156 to CA. The notion of mental models, which was first introduced by Craik (1943), has been
157 widely used as a construct to understand how individuals and groups understand the world and
158 make decisions within it (see review by Jones et al. 2011). These internal models are often

159 elicited and represented through concept or cognitive mapping. A cognitive map can be thought
160 of as a graphical map that reflects mental processing, which is comprised of collected
161 information and a series of cognitive abstractions by which individuals filter, code, store, refine
162 and recall information about physical phenomena and experiences into an external
163 representation (Vanwindekens et al., 2013; Vuillot et al. 2016; Levy et al., 2018). Therefore,
164 understanding variation in farmer mental models, and indeed in some cases how consistent
165 these perceptions align with measurements of external “reality”, is considered to shed light on
166 human decision-making and subsequent behavioural intentions and behaviours (Halbrendt et
167 al., 2014)



168

169

170 **Figure 1.** Map of Mozambique showing the studied province (Cabo Delgado, in red) and
171 district (Pemba-Metuge, in black).

172 **2. Methods**

173

174 **2.1 Case study area**

175 Cabo Delgado is the northernmost province of Mozambique and is situated on the
176 Mozambiquan coastal plain approximate latitudes and longitudes -12.3335° S, and longitude
177 39.3206° E, respectively (Fig. 1). Its climate is sub-humid, moist Savanna, characterized by a
178 long dry season spanning May to November and a rainy season commencing December and
179 extending into April. Within greater Mozambique there exist ten different agro-ecological
180 regions each grouped into three different agroecological categories based in large part on mean
181 annual rainfall and degrees of evapotranspiration. A detailed explanation of the agroecological
182 zones in Mozambique and covering Cabo Delgado province can be found in INIA (1994), Silici
183 et al. (2015) and Salvador et al. (2014). The district under study, Pemba-Metuge, falls
184 predominantly under the R8 classification (See Salvador et al., 2014), typified by
185 comparatively low rainfall less than 1000mm per annum and have high evapotranspiration but
186 the rainfall distribution is often variable with many intense dry spells and frequent heavy
187 downpours. The predominant soil type is Alfisols (Maria and Yost, 2006), which are red clay
188 soils notably deficient in nitrogen and phosphorous (Soil Survey Staff, 2010). Poverty is a
189 major concern in Cabo Delgado. Indicators throughout Mozambique generally place Cabo
190 Delgado among the poorest of provinces in Mozambique (Fox et al., 2005). In addition, there
191 is a heavy reliance on agriculture though livestock numbers are very low; infrastructure
192 including roads are of poor quality which significantly impede market access.

193 **2.1.1. Conservation Agriculture in Cabo Delgado**

194 A number of actors have participated in the promotion of CA in Cabo Delgado including a host
195 of Non-governmental organisations (NGOs) including WWF and Umokazi. State actors (e.g.
196 Ministry of Agriculture) have also supported its promotion as CA has formed part of the
197 government's strategic agriculture reform (Lalani et al., 2017b). The institutional presence of
198 the Aga Khan Foundation Coastal Rural Support Programme (AKF-CRSP) has also
199 spearheaded promotion throughout the province (including the district under study) through
200 the establishment of farmer field schools, within each of the districts. As of 2014, there were
201 266 farmer field schools in Cabo Delgado that focus on CA leading to a combined membership
202 of 5000 members (Lalani et al., 2017b). The end of project funding in 2015, however, halted
203 the CA project and farmer field school establishment in the district of Metuge (personal
204 communication, Jose Dambiro, 2018). Locally adapted/context specific manual systems (e.g.

205 micro-pits/shallow holes similar to basins promoted elsewhere in SSA but do not require tillage
206 each year) as well as direct seeding with use of a hand-hoe have been promoted.

207

208 **2.2. Farm and field selection**

209 In October 2017, discussions were held with farmers/key informants in two villages in Pemba-
210 Metuge district (Nangua and Tataru) regarding their use/non-use of CA and perceptions of
211 wealth in their respective villages. The district/villages were chosen due to their ease of access
212 by road from Pemba (main city). Five groups of farmers were identified based on their self-
213 identification and in consultation with key informants, familiar with CA practices, from the
214 two villages: (i) early users of CA (1- 3 years); (ii) experienced users of CA (4 years or more);
215 (iii) those that had stopped using CA; (iv) conventional tillage (i.e. with use of a hand-hoe)
216 with mulch and; (v) those practicing conventional tillage (i.e. use of hand hoe) with no mulch.
217 Key informants from both villages drew up a list of farmers/groupings (including farmers of a
218 similar wealth strata e.g. size of the land). It was agreed that due to time constraints and
219 resources 50 farmers (5 from each group) i.e. 25 per village would be interviewed/followed
220 during the season. Farmers from each of the groupings were selected (from the list of farmers)
221 and asked if they would like to participate in the study. Informed consent was gathered through
222 explanation of a consent form in the local language. If a farmer did not want to participate in
223 the study another farmer was contacted until the desired number was reached. 50 farmers from
224 2 villages (31 Males and 19 Females) were interviewed/followed in total i.e. 25 from each
225 village (5 per group per village).

226 For each farmer, their main machamba (plot) cultivated with cowpea was chosen for field
227 assessment during the 2017/2018 season as this was the crop that all 50 farmers shared in
228 common and cultivated. All farmers cultivated a mixture of at least three crops e.g. maize,
229 cassava, pigeonpea, sesame or peanuts/lablab. Some farmers were using micro-pits (shallow
230 holes) with similar depths-the majority were CA farmers or those that had stopped using CA,
231 i.e. CA left group (Appendix Table A2 and Table A3). In addition, only one farmer used
232 compost. None of the farmers applied manure, fertiliser, pesticides or herbicides.

233

234 **2.2.1 Field measurements**

235 To explore the association between farmers' mental model predictions of outcomes of
236 CA/conventional practices and on-farm measurements of those impacts, we evaluated cowpea
237 aboveground biomass and yield, weed cover, and soil quality parameters from the farmer's
238 main plot.

239 Aboveground biomass of cowpea and weed cover were evaluated in April 2018 in four 1 m x1
240 m quadrats. In each quadrat, cowpea biomass was cut at ground level and weighed as fresh
241 biomass. It was then left to dry for 5 days on the farmer's main plot and weighed again to
242 determine dry biomass. After removal of cowpea biomass, weed cover was determined in each
243 quadrat using Canopeo (Patrignani and Ochsner, 2015). Total soil cover, green cover and the
244 amount of coverage of cover plant dead residues was also assessed visually in each quadrat.
245

246 Cowpea dry yield was determined manually at harvest time, in June 2018, in four 1 m x1 m
247 quadrats. Soil samples were taken for each field before harvest, in May 2018. For each field,
248 five cores were taken and mixed to obtain a unique composite sample, at two different depths,
249 0-20 cm and 20-40 cm. Soil samples were analysed at the ARC-Institute for Soil, Climate and
250 Water in Pretoria, South Africa. Composite samples were analysed for texture, pH (water),
251 organic carbon (loss on ignition), total nitrogen (Kjeldhal method), available phosphorus (Bray
252 method) and available potassium (ammonium acetate extraction).

253 Bulk density was determined for each field taking five undisturbed cores at two different
254 depths. The cylinders had a diameter of 7 cm and height of 5 cm. The cores were taken in the
255 middle of the two studied layers (i.e. between 7.5 and 12.5 cm for the 0-20 cm layer, and
256 between 27.5 and 32.5 cm for the 20-40 cm layer). The soil contained in each cylinder was
257 then dried at 105°C and weighed. Bulk density was obtained by dividing the dry weight by the
258 volume of the cylinder. The median of the five cores was used to represent the field. Carbon
259 stock for the topsoil layer was computed using the minimum equivalent soil mass approach
260 (Lee et al., 2009). Intergroup differences between carbon stock and carbon concentration were
261 also tested with ANCOVAs, using clay content as a covariate.

262

263 ***2.3. Measuring agricultural beliefs and belief based predictions***

264 This study uses Fuzzy Cognitive Mapping (FCM) to elicit representations of farmers' mental
265 models of the perceived causal relationships between environmental conditions (e.g. soil
266 moisture and soil fertility), agricultural outcomes (e.g. crop yield, weed coverage, crop

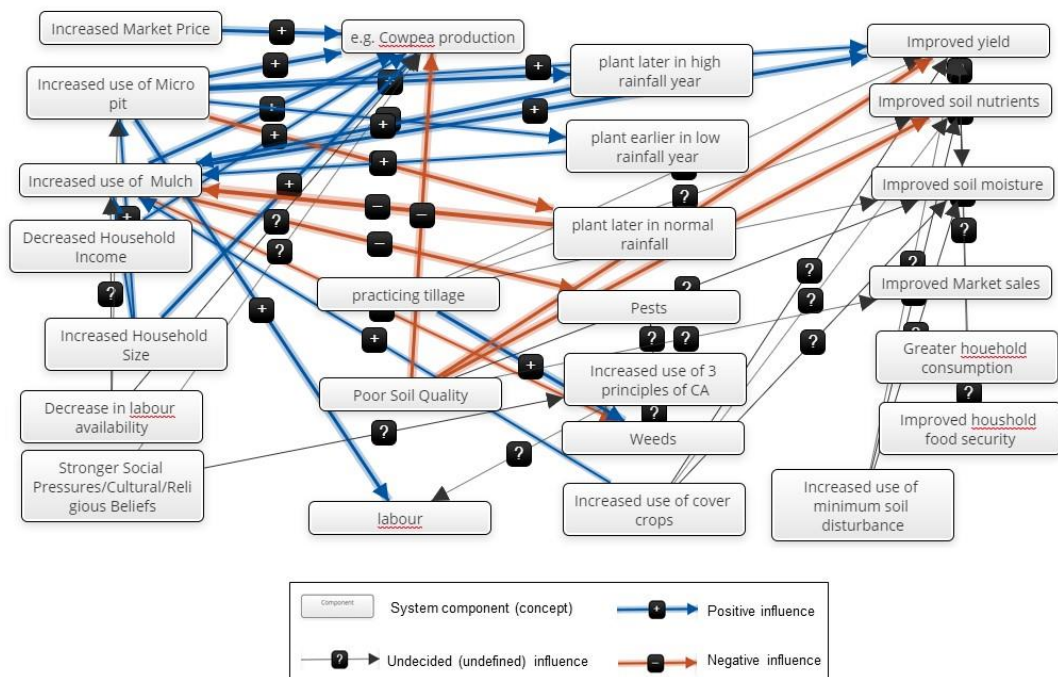
267 income), farmers' decisions (e.g. crop selection) and agricultural practices (e.g. conservation
268 agriculture/conventional practices). For more details about FCM methodology see Ozesmi and
269 Ozesmi (2004). FCMs are semi-quantitative forms of concept maps which allow for the mental
270 model representations of system structure (i.e., description of how system components are
271 interconnected) and system function (i.e., prediction of how changes in system components
272 impact system outcomes) (Gray et al., 2015; Giabbanelli et al. 2017; Aminpour et al. 2020).
273 FCMs represent causal relationships among factors (i.e., system components) using weighted
274 directed graphs, where each causal link is assigned a normalized numeric weigh (e.g. between
275 -1 to +1) or qualitative weight (e.g., low, medium, or high) that show the strength of the causal
276 relationship (Wei et al., 2008). In addition, FCMs use aspects of fuzzy logic, neural networks,
277 semantic networks, and nonlinear dynamic systems (Glykas, 2010) to predict system changes.
278 These FCMs can be collected either in the form of having someone draw out their models
279 graphically (e.g. through in-person or online interviews where individuals, with the help of
280 facilitators, build their own maps like the process described in Cholewicki et al. 2019) or can
281 be constructed through responses to surveys (Halbrendt et al., 2014).

282 ***2.4. Data collection***

283 ***2.4.1. Survey and mental models elicitation***

284 In May, 2018 a series of focus group interviews were conducted with farmers from the two
285 villages in Metuge District, Cabo Delgado to ascertain an understanding of agricultural
286 practices in these villages. The result of these focus group interviews was a list of 20
287 standardised concepts which represented key environmental factors (e.g. soil moisture and soil
288 nutrients), agricultural outcomes (e.g. crop yield, weed coverage, crop income), farmers'
289 choices (e.g. crop selection) and agricultural practices relevant to CA or conventional tillage.
290 With the aid of an experienced field facilitator/enumerator, these list of concepts were then
291 translated into the local dialect and a hypothetical concept map was created, such that potential
292 causal relationships between concepts were hypothesised as + (positive influence) – (negative
293 influence) or undecided (no influence) (Fig. 2). Using a formal household survey, which was
294 administered in June 2018, we asked farmers to customize the hypothetical concept map based
295 on their own perceptions of causal relationships. That is, the survey asked farmers to adjust the
296 strength of relationships using a Likert scale. For example, survey participants were asked if
297 improved yield influenced soil quality and to what degree using a scale from strongly negative
298 (-1) to strongly positive (+1). These individual survey responses formed individually

299 customised FCMs representing each individual farmer’s mental model. The individual FCMs
 300 were then translated into an adjacency matrix (a mathematical representation of a directed
 301 graph) to be analysed computationally. In addition to the mental model related questions the
 302 household survey also gathered data on household demographics, farm practices, off-farm
 303 income, farm budget and wellbeing indicators. Furthermore, we conducted post-survey
 304 informal discussions (i.e., unstructured interviews) with all of the farmers surveyed to
 305 triangulate information from the household survey.



306
 307 **Figure 2.** A hypothetical “social” cognitive map (see Ozesmi and Ozesmi 2004) created with
 308 Mental Modeler online tool (www.mentalmodeler.org). The arrows linking boxes show
 309 potential causal relationships between concepts that are hypothesised as + (blue links) –
 310 (orange links) or undecided (question mark). Each Individual farmer customised this map
 311 through survey responses to reflect their own understanding.

312 **2.5 Data analysis**

313 **2.5.1. Farm-budget analysis**

314 The study used gross margin (GM) analysis to compare farmers net returns among farmer
 315 practice groups. Farmers’ net returns (NR) are calculated by yield per hectare multiplied by
 316 price ($y \times p$) for all crops in the specific mix less full labour costs (hired and family labour

317 costed based on the local price of labour for a typical day/hour) per hectare (l) and opportunity
318 cost of mulch (m) per hectare (i.e. if applicable).

$$319 \quad NR = (y \times p) - (l + m) \quad (1)$$

320 The cost of mulch is based a crop grain to residue ratio using a 1:1 grain to residue ratio for
321 maize and sesame and 1:1.35 for legumes (see Lalani et al., 2017; Pannell et al., 2014) i.e.
322 cowpea and cassava is used to calculate the opportunity cost of mulch as feed.¹ These are
323 presented in the local currency i.e. Mozambique Meticaais (MZN).

324 *2.5.1.1 Comparing means between groups/clusters*

325 Independent samples t-tests were used to compare the means of field measurements and socio-
326 economic variables/the main socio-demographic variables; including land-related variables,
327 soil characteristics, and cropping management practices between farmers.

328 *2.5.2. Mental model clustering to understand variation in “ways of thinking”*

329 We drew on network analysis to measure structural metrics of cognitive maps that provide
330 important information about a person’s mental model (perceptions) of causal
331 interdependencies. The network analysis metrics we used included number of connections (i.e.,
332 number of nonzero links between nodes) in each FCM, sum of the absolute value of the link
333 strengths, centrality of five key concepts of CA (i.e., use of micro pits, mulch, cover crops,
334 minimum soil disturbance, and tillage), total number of concepts (i.e. nodes in a graph),
335 network density (i.e., number of nonzero links proportion to the number of all possible links),
336 number of drivers (i.e. nodes with zero in-degree), receivers (i.e. nodes with zero out-degree),
337 ordinary concepts (i.e. nodes with nonzero in-degree and out-degree), MacDonald hierarchy
338 index (MacDonald 1983), and complexity score (ratio of receivers to drivers). For more details
339 see Ozesmi & Ozesmi (2004; Table 1). We subjected these 14 metrics to a principle component
340 analysis (PCA) to reduce the dimensions. A hierarchical clustering was then performed using
341 Ward’s minimum variance method on the Euclidian distances between points on the reduced
342 dimensions of resultant principle components (see Appendix Table A2 and Fig. A1).

343 *2.5.3. Scenario analysis using FCMs to understand simulated “farmer decision-making”*

¹ We consider cassava under legume for the purpose of valuing the leaf residues. ‘Green’ in the case of cowpea refers to cassava foilage that are usually harvested mid- season before seed is harvested.

344 Importantly, FCMs can be used artificially to run “what-if” scenarios (Kosko 1986, Ozesmi
345 and Ozesmi 2004). That is, FCM computation can show the relative changes in the state of
346 system’s components given a particular input or combination of inputs (i.e. a forced
347 manipulation in the state of the system, also known as system “activation”): when one
348 component is activated (i.e. send signal), it triggers a cascade of changes to other system
349 components based on how they are structurally connected. This process continues in several
350 iterations until the initial signal has passed through the entire FCM and all components reach
351 a steady state. By comparing the system state at the beginning and end of the process, we can
352 assess the direction and strength of impact that changing a particular component (or
353 combination of components) has on all other component. Such FCM simulations provide the
354 toolset for a dynamic analysis of mental models and has been used by many researchers to
355 represent belief-based predictions (e.g. Cholewicki et al., 2019; Halbrendt et al., 2014; Steir et
356 al., 2017). For more information about the scenario analysis and equations (see Ozesmi and
357 Ozesmi, 2004; Aminpour et al., 2020a and Aminpour et al., 2020b).

358 In this study we use FCM adjacency matrices and Python codes for computational FCM
359 analyses developed by Aminpour (2018) (<https://github.com/payamaminpour/PyFCM>) to
360 implement decision-making scenario analysis. We run two scenarios using matrix calculation
361 to determine farmers’ perceptions of changes to the model under specified conditions: in the
362 first scenario (*S1*), practicing tillage was artificially increased to a value of 1 to show the
363 predicted impacts on the other model components. In the second scenario (*S2*), several
364 conservation agriculture practices were collectively aggregated (including decreased use of
365 practicing tillage; increased use of minimum soil disturbance; increased use of mulch;
366 increased use of cover crops; and increased use of micro pits) to simulate the practice of 3
367 principles of CA.

368 In FCMs, there are nodes (i.e., representing system concepts) and links between them (i.e.,
369 representing how concepts are related through causal connections). These graphical maps
370 therefore represent a person’s mental model which is his/her internal understanding about how
371 things are connected through cause-and-effect relationships subjectively articulated by these
372 persons through logical chains of reasoning and therefore help him/her understand/perceive
373 something (e.g., how CA practices would influence crop production). We therefore, by
374 collecting these FCMs, were able to measure farmers' perceptions of how the system works
375 (i.e., how things are interconnected and influence each other), and by conducting FCM dynamic

376 analysis (scenario analysis) we were able to measure how a person would perceive, for
377 example, the impact of CA practice on yield or soil fertility.

378

379 **2.5.4. Measuring degrees of systems thinking using network analysis**

380 Systems thinking is an important skillset that helps us understand and manage complex systems
381 (Senge and Sterman, 1992). The ability to define components and understand the dynamics of
382 a system in a systematic way can improve farmers' engagement with sustainability issues
383 which are always complex with intertwined social, environmental, and economic aspects
384 (Aminpour et al., 2020b). Farmers with higher systems thinking might be presumed to better
385 understand the complex dynamics of a CA system, and thus they are more likely to better
386 predict a system's behaviour identify intervention points (Meadows, 2008), and evaluate the
387 trade-offs between different decisions made within the system. In addition, systems thinking is
388 thought to enable farmers to develop habits of mind that allows for reasoning about possible
389 system outcomes and suggest actions with optimum trade-offs between ecosystem and human
390 well-being (Gray et al., 2019). Lack of systems thinking, conversely, is associated with an
391 inability to understand certain dimensions of complexity of the system (Senge and Sterman,
392 1992).

393 Levy et al. (2018) has shown that degree of 'systems thinking' can be measured using network
394 analysis of mental modes that represent perceived causal structures between system
395 components. As such, network metrics that measure the degree of complexity, non-linearity,
396 non-hierarchical causation, cyclic (closed loop) interdependence and feedback representation
397 may exemplify higher levels of systems thinking. We used four overarching network metrics
398 to measure systems/linear thinking:

- 399 i. **Complexity index:** The ratio of the number of receiver nodes to the number of driver
400 nodes can be used to compare cognitive maps in terms of their complexity. Larger
401 number of receiver variables indicate that "the cognitive map considers many outcomes
402 and implications that are a result of the system" while a large number of driver variables
403 indicates multiple causes and more frequent top down influences (Ozesmi and Ozesmi,
404 2004).
- 405 ii. **Simple cycles ratio:** The ratio of number of simple cycles in a graph to the number of
406 connections can be used to measure the average number of times a connection appears

407 in a simple cycle of any length. It demonstrates the prevalence of feedback loops and
408 thus higher simple cycles ratio indicates higher systems thinking (Levy et al. 2018).

409 **iii. *MacDonald hierarchy index:*** This hierarchy index, conceptualized by MacDonald
410 (1983), measures the extent to which limited number of outcomes are derived by
411 multiple causal origins. It is in fact a “measure of variance of out-degree” and is
412 negatively correlated with complexity score (Levy et. 2018).

413 **iv. *Flow hierarchy index:*** This hierarchy index is defined as the fraction of edges not
414 participating in cycles in a directed graph (Luo et al. 2011). Flow and MacDonald
415 hierarchy indices are both proxy measurements of top–down structure in cognitive
416 maps conceptualized by Krackhardt (1994), showing the degree to which a cognitive
417 map involves in leaner-thinking. Thus, lower value of these hierarchy scores indicates
418 higher systems thinking.

419

420 **3. Results**

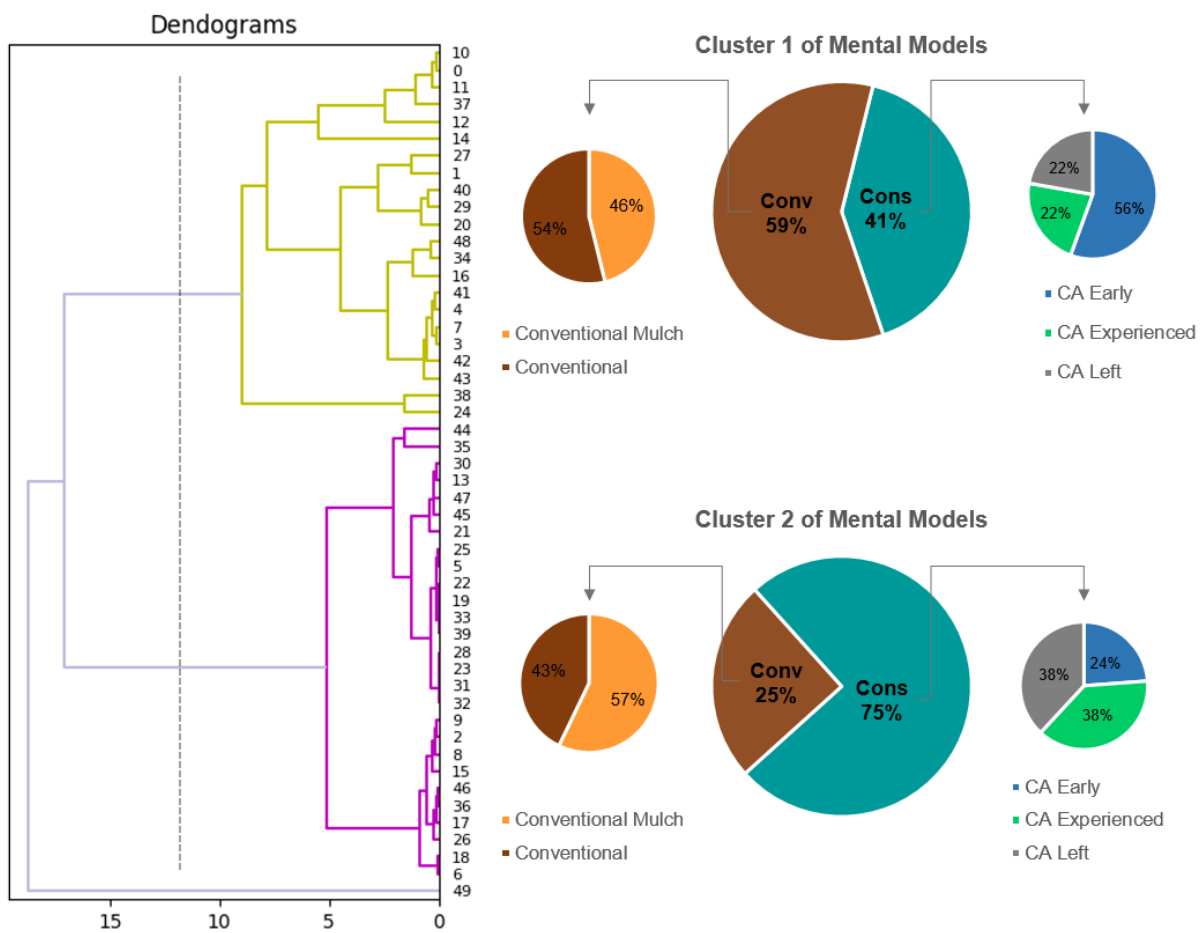
421 We split the results into 4 sections. First, we explore whether hierarchical clustering of farmers’
422 mental models using their network metrics emerges into distinct clusters of cognitive maps,
423 and then compare the composition of each cluster to examine the degree to which clusters
424 match self-identified groupings (mentioned in section 2.2). Second, we compare farmers’
425 beliefs and perceptions across clusters of mental models represented by their predictions of
426 scenario outcomes under *S1* and *S2*. Third, we examine whether on-farm measurements
427 demonstrate important differences across clusters of mental models. Finally, we use complex
428 networks and systems theory to compare degrees of systems thinking (i.e., prevalence of
429 network metrics that measure the degree of complexity, non-linearity, cyclic interdependence,
430 and feedback representation) across clusters of mental models.

431 **3.1 Clustering**

432 Fig. 3 shows the results of the mental model clustering. As shown by the dendrograms, 50
433 farmers’ mental models were significantly classified into two distinct clusters through
434 hierarchical clustering based on two principle components explaining about 75% of variance
435 in FCM structural metrics (see Appendix Fig. A1).² Analysis of the composition of clusters

² We first tried to understand if these mental models, regardless of who created them, demonstrate any emergent clusters, only based on their structural characteristics (i.e. only based on how someone articulates a network of causal relationships between concepts to develop an internal perception of the problem/system). Two clusters

436 based on farmers' self-identification revealed that cluster 1 was mainly (about 60%) composed
 437 of *Conv* farmers (those who practice conventional agriculture³ i.e. practices more akin to
 438 conventional tillage). On the contrary, the majority of farmers (about 75%) who constituted
 439 cluster 2 were *Cons* farmers (those who practice/have practiced CA). These findings suggested
 440 that there may be a meaningful association between practicing CA and the structure of farmers'
 441 mental models such that farmers who received CA trainings or based on their experiences
 442 (independent of the fact that they may no longer believe they are practicing CA) developed in
 443 their minds distinct mental models that are structurally distinguishable from those who did not
 444 claim to practice CA 'officially' (i.e. conventional tillage users).



445
 446 **Figure 3.** Farmers' mental model clustering. The dendrograms in the left side show how 50
 447 farmers' mental models are classified into distinct clusters through hierarchical clustering using
 448 Ward's minimum variance method on the Euclidian distances between mental models. The pie

emerged, however, which suggested that clusters differ mainly due to the practice of CA or conventional agriculture (self-identification).

³ Conventional agriculture and conventional tillage are used interchangeably

449 charts in the right side show the composition of clusters based on farmers' self-identification.
450 *Cons* stands for conservation and *Conv* stands for conventional agriculture.

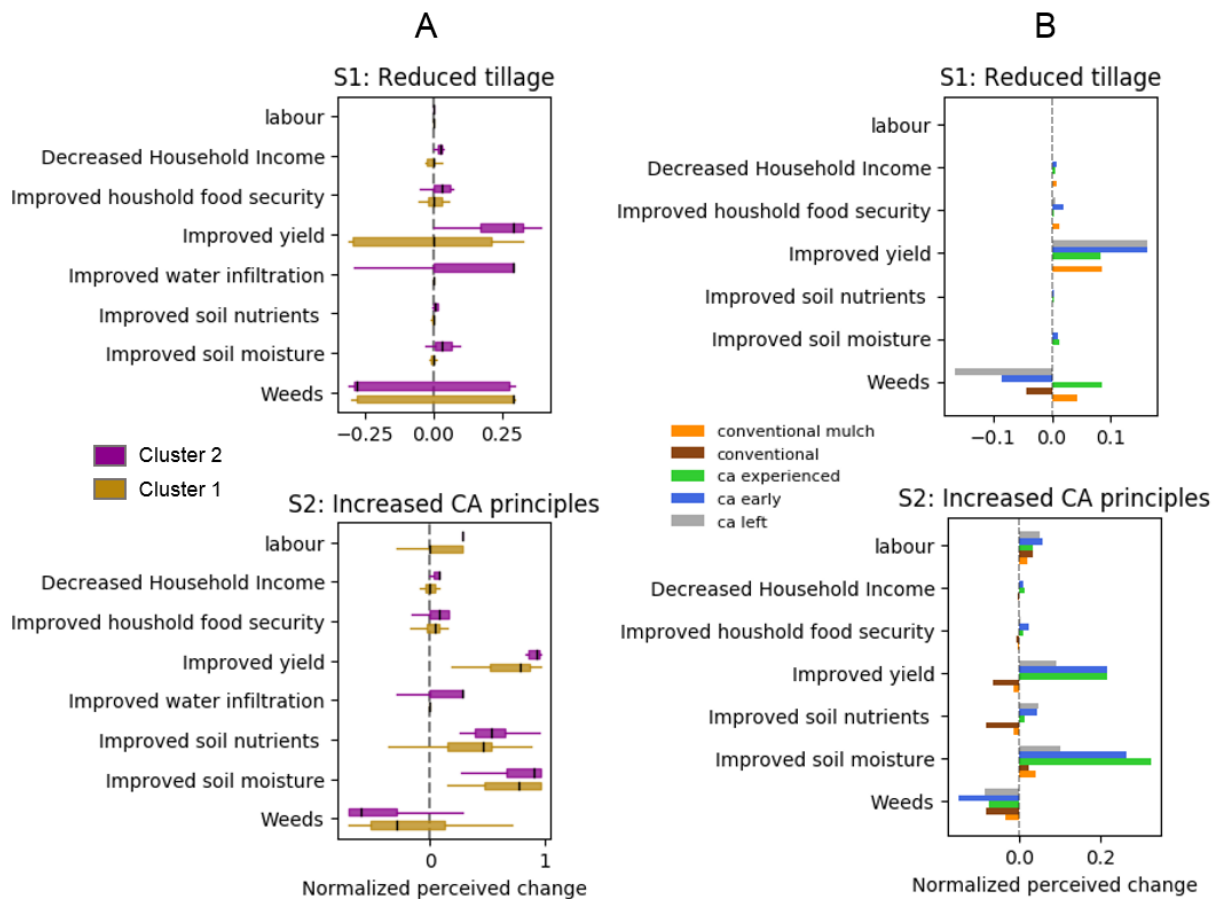
451 **3.2. Scenarios analysis**

452 Fig. 4 shows the results of scenario analysis under two artificial scenarios: (*S1*) decreased use
453 of tillage and (*S2*) increased use of all 3 principles of CA. The boxplots in Fig. 4A show the
454 distribution of predicted changes by clusters.⁴ These results indicated that farmers in cluster 2,
455 as opposed to cluster 1, had stronger positive perceptions of CA (e.g., they predicted stronger
456 improvement of yield, soil moisture, soil nutrients, and reduced weed coverage). Yet, farmers
457 of cluster 2 had stronger negative perceptions of some of the socio-economic outcomes of CA
458 than farmers in cluster 1 (e.g. related to labour and household income).

459 We have also created the average map (i.e. group FCM) of each self-identified group to
460 compare the overall predictions across those groups (Fig. 4B) which could provide one
461 explanation for this. For example, negative perceptions of socio-economic outcomes are
462 considered a contributor to CA 'disadoption'. Interestingly, however, all farmers in the CA left
463 group (i.e. 'disadopters') cited a lack of adequate information/ training and support in close
464 proximity as the main reason behind 'stopping' CA though a few farmers in informal
465 discussions also mentioned the lack of money to hire additional labour as another reason.
466 Similarly, farmers from the conventional tillage groups also cited the lack of access to
467 information (assistance and training) as the primary reason for not using CA. Importantly, the
468 main differences between conventional tillage and CA users regarding their overall group
469 perceptions were reflected in their predictions of CA principles impacts on soil moisture, soil
470 nutrient, and yield (e.g. on average, conventional tillage users predicted a decrease in yield and
471 soil nutrients, while CA users and CA 'disadopters' predicted an increase in yield and soil
472 nutrients as result of increased practice of CA principles).

473

⁴ The figures only depict key changes for the relevant scenario. Where effects are negligible/small these are not shown.



474

475 **Figure 4.** Prediction of changes using scenario analysis. Box plots in A show the distribution
 476 of predicted changes by different clusters of mental models (cluster 1 and 2). Bar charts in B
 477 show the predicted changes by the average map of each group (i.e., an aggregated mental
 478 model where the weight of causal links are average values) based on self-identification
 479 grouping (conventional, conventional mulch, CA experienced, CA early, and CA left).

480

481

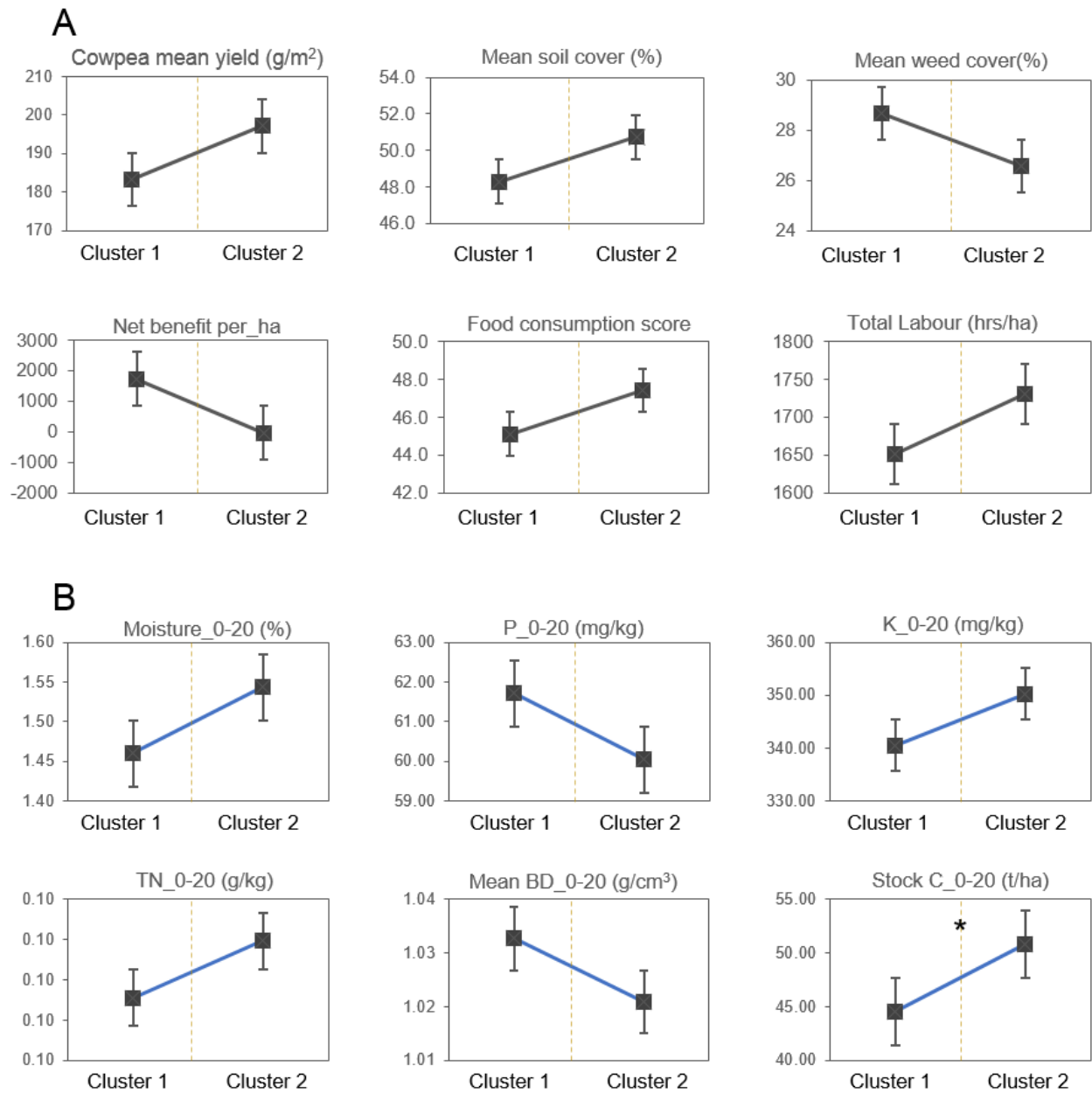
482 3.3 Field (on-farm) measurements

483 Field measurements revealed no significant differences between clusters 1 and 2 for the main
 484 socio-demographic, land-related variables, soil characteristics, and cropping management
 485 practices (independent samples t-tests were used to compare the means). For example, similar
 486 means of age, education levels, soil type, and numbers of leguminous trees planted were
 487 observed (see Appendix Table A2 for the full results). The statistically non-significant
 488 differences of these characteristics (i.e. covariates) across clusters increased the reliability of

489 clustering results—that is, clusters of farmers emerged because of their distinct mental model
490 structures mainly driven by farmers’ agricultural practices.

491 In addition, we measured on-farm agricultural outcomes and compared them across two
492 clusters (Fig. 5A). Although on-farm measurements for cluster 2 revealed higher yield, higher
493 soil cover, and lower weed cover than cluster 1, none of these differences were statistically
494 significant. Cowpea yield showed huge variability across individuals, with an average of 1.9
495 t/ha over all fields, ranging from 1.0 t/ha to 2.5 t/ha. Mean weed cover was 57%, ranging from
496 8% to 49%. Similarly, no significant differences between clusters were observed for socio-
497 economic outcomes; however, these observations suggested that farmers of cluster 2
498 experienced slightly lower net-benefits and higher labour usage, but better food consumption
499 scores (Fig. 5A). In general, these trends are in high alignment with farmers perceptions (e.g.
500 See Fig 4, farmers in cluster two perceived the practice of CA would improve household food
501 security but perceived that it requires more labour).

502 Similarly, no statistically significant differences between clusters were observed for soil
503 properties (e.g. bulk density, soil moisture at sampling, total nitrogen, available phosphorus
504 and potassium) at 0-20 cm. Yet, mean values for these properties for cluster 2 demonstrated
505 slightly higher soil moisture, total nitrogen, and potassium than cluster 1, while these
506 observations revealed slightly lower phosphorus and bulk density from cluster 2 compared to
507 cluster 1. Importantly, however, carbon stock in the first layer (48 t/ha on average for 1790 t/ha
508 soil mass for the whole sample) was significantly different across clusters where the mean was
509 higher in cluster 2 compared to cluster 1(Fig. 5B)

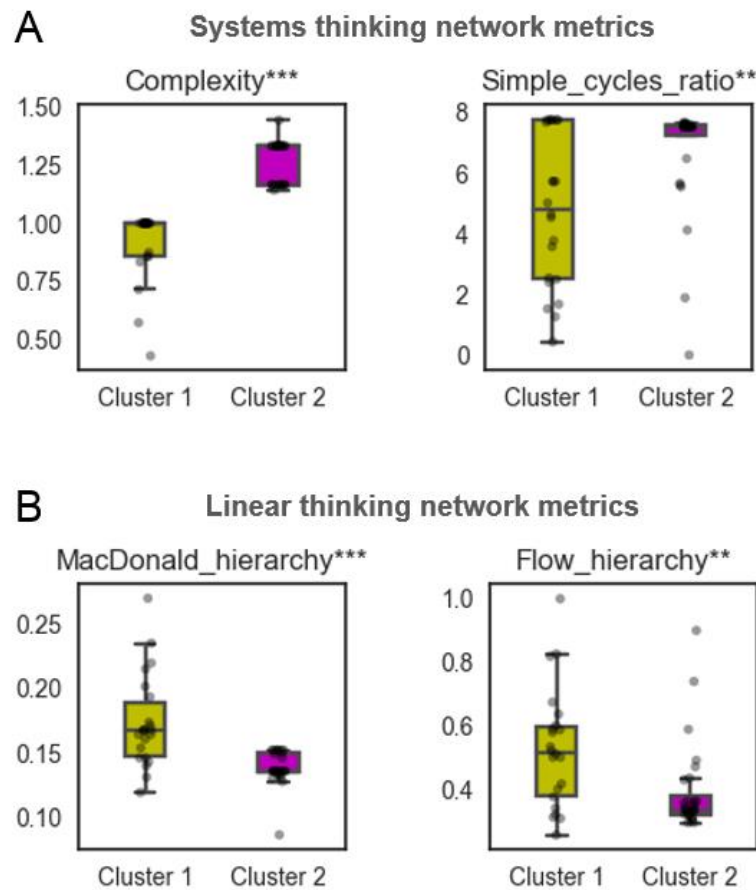


510

511 **Figure 5.** On-farm measurements/other indicators. Net benefit per_ha = net returns/ha in
 512 Mozambique Meticals (MZN), Total Labour (hrs/ha)= Total person hours per hectare, Food
 513 Consumption Score (FCS) represents households' dietary diversity and nutrient intake based
 514 on the frequency food items from the different food groups are consumed by the household
 515 over the past 7-days, Moisture_0_20 (%)= Soil moisture (%) (0-20 cm), K_0-20 = available
 516 potassium (0-20 cm), P_0-20 = available phosphorus (0-20 cm), TN_0-20 = total nitrogen (0-
 517 20 cm), Mean BD_0-20 = Bulk density (0-20 cm), Stock C_0-20 = carbon stock (minimum
 518 equivalent soil mass basis, 0-20 cm). Tests of significance of mean differences between the
 519 two clusters were tested using independent samples t-tests. The number of asterisks stands for
 520 the level of significance for p -value < 0.01, 0.05, and 0.001 respectively.

521 **3.4. Network analysis of systems vs linear thinking**

522 Fig. 6 shows the differences between clusters of network metrics for systems thinking and
523 linear thinking. Comparing the distribution of these network metrics across clusters revealed
524 that farmers' mental models in cluster 2 demonstrated significantly higher degrees of systems
525 thinking measured by complexity score and simple cycles ratio than farmers' mental models in
526 cluster 1 (Fig. 6A). On the contrary, mental models in cluster 2 had significantly lower degrees
527 of linear thinking than cluster 1, measured by MacDonald and flow hierarchy indices (Fig. 6B).
528 These results suggest that a higher level of experiential learning and practice of CA leads to
529 higher degrees of systems thinking, even among the CA disadopters.



530

531 **Figure 6.** (A) Network metrics of systems thinking including the Complexity index and
532 Simple cycles ratio. (B) Network metrics of linear thinking including McDonald hierarchy
533 and Flow hierarchy indices (see section 2.4.4). Tests of significance of mean differences
534 between two clusters were tested using independent samples t-tests. The number of asterisks
535 stands for the level of significance for p -value < 0.01, 0.05, and 0.001 respectively.

536 4. Discussion

537 4.1 Farmers' perceptions

538 The results have demonstrated that the 50 farmers' mental models were significantly polarised
539 into two distinct clusters which indicate a meaningful association between farmers' self-
540 identification and the structure of farmers' mental models. For example, there are distinctly
541 different models in cluster 2, consisting of those with more experience of using CA, compared
542 to cluster 1: cluster 2, as opposed to cluster 1 (Fig. 1), had stronger positive perceptions of CA
543 (e.g., they perceived higher yield, improved soil moisture/soil nutrients, and reduced weed
544 coverage as a result of CA) (See Fig. 4). These results support previous findings about the
545 relationship between farmers' CA perceptions, practice, and experiences. For example,
546 Hoffman et al. (2014) identified that winegrape grower mental models of sustainability were
547 strongly related to the adoption of sustainable agriculture practices and farmers' participation
548 in extension programmes. Lalani et al. (2016) also found that farmers with a high intention to
549 use CA had a higher perceived behavioural control and were motivated by key cognitive drivers
550 such as higher yield, lower weeds, and higher soil quality. In addition, those that participated
551 in a Farmer Field School (FFS) had stronger positive perceptions and found CA easier to use.
552 Wuepper et al. (2019) also showed that farmers in Ghana with a significantly higher perceived
553 self-efficacy were more likely to practice mulching and perceived the costs associated with the
554 practice to be lower.

555 Interestingly, however, farmers in cluster 2 had stronger negative perceptions of some of the
556 socio-economic outcomes of CA than farmers in cluster 1 (e.g. they predicted stronger
557 increases in labour) (see Fig. 4). Increased labour requirements have been identified as a major
558 contributor to CA 'disadoption' (e.g. Chinseu et al., 2018). However, all farmers in the CA left
559 group (i.e. 'disadopters') mostly cited a lack of adequate information/ training and support as
560 the main reason for 'disadoption whilst a lack of labour was also mentioned as a contributing
561 factor. Whilst causes of non-usage of CA are multi-dimensional (e.g. economic, social,
562 institutional) it has been argued that there are likely to be proximate causes such as
563 disenchantment with advisory services and technical support (Chinseu et al., 2019). Other
564 authors have also suggested that where the learning process is hampered or benefits may not
565 materialise/be apparent 'non-adoption' or 'disadoption' can occur. (Kassam 2014; Weersink
566 and Fulton, (2020)). Furthermore, Lalani et al. (2016) found for farmers in the same district
567 under study that the perceived increase in labour and a lack of knowledge/skills were key

568 cognitive barriers for those with a lower intention to practice CA whilst reduction in labour
569 was considered a cognitive driver among those with high intention to CA. This being said, it
570 should be noted that perceptions can be biased or partial. For example, Waldman et al. (2019)
571 showed that cognitive bias can occur as farmers' perceptions of earlier rainfall onset and the
572 physically derived onset did not match. Though, on the whole, farmers in this context are
573 positive about CA practices which also point to the need for appropriate 'framing of costs and
574 benefits' (Dessart et al., 2019).

575 ***4.2 On-farm measurements and socio-economic outcomes***

576 The measurements, for the most part, in this study align with the perceptions of farmers (Fig.
577 5). For example, farmers in cluster 2 (mainly consists of CA users) had stronger positive
578 perceptions of CA than cluster 1 (mainly consists of conventional users) and most of the on-
579 farm measurements/other indicators highlighted this (even if not significant) such as higher
580 yield, lower weeds, improved soil quality etc. (See Fig. 4 and 5). Thus, if people in one cluster
581 perceived a stronger positive influence on yield as a result of CA practice, we also measured
582 higher actual yield from their plots (Fig 4 and 5). Moreover, conventional users also had
583 stronger positive perceptions of the practice of tillage (data not shown).

584 Though, caution should be raised regarding equating correlation with causation. For example,
585 there are likely to be several omitted variable bias such as the quality of the field and/or self-
586 selection bias. Other techniques have been used to account for this such as randomised control
587 trials (RCTs) and spatial regression continuity design. For example, Wuepper et al. (2020)
588 employ a spatial regression discontinuity design to examine erosion rates with the emphasis on
589 comparing observations that are close to each other (similar) and controlling for all 'spatially
590 continuously distributed confounders'.

591 Notwithstanding this, field measurements revealed no significant differences between the two
592 clusters of farmers (clusters 1 and 2) for the main socio-demographics, land-related variables,
593 soil characteristics, and cropping management practices (see Table A2). For example, similar
594 means of age, education levels, soil type, and numbers of leguminous trees planted were
595 observed between groups (covariates). Thus, taken together, there are some meaningful
596 deductions that can be made for some of the outcomes. As mentioned, farmers in cluster 2 had
597 stronger positive perceptions of CA (e.g., higher yield, improved soil moisture/soil nutrients,
598 and reduced weed coverage). This was also reflected in on-farm measurements e.g. higher
599 cowpea yield, lower weed coverage, higher soil moisture/soil nutrients (Fig 5a and 5b). Cluster

600 2 also had overall higher gross revenues (cluster 1 total revenue = 30796.50 *MZN* and Cluster
601 2 total revenue = 35996.30 *MZN*) though the inclusion of the opportunity cost of mulch and
602 labour resulted in lower returns compared to cluster 1 (mainly conventional farmers). Previous
603 research in the same district (based a larger sample size/randomly selected) found benefits to
604 labour/net returns for CA farmers (with the opportunity cost of mulch and labour accounted
605 for) relative to conventional farmers but these were dependent on crop-mix (Lalani et al., 2017).

606 With respect to soil properties it is also important to note that mean values for cluster 2
607 demonstrated slightly higher soil moisture, total nitrogen, potassium and lower bulk density
608 than cluster 1, though these were not significantly different (Fig. 5B). Similarly, higher soil
609 cover, yield and significantly higher carbon stock (in the topsoil) for cluster 2 compared to
610 cluster 1 were observed. This supports the notion that under CA systems where the amounts of
611 biomass production are higher (retained as surface mulch), and yield is not negatively
612 impacted, this can also lead to higher amounts of SOC (particularly in the topsoil) compared
613 to conventional plots (Thierfelder et al., 2012; Page et al., 2020; Kassam et al., 2014). In
614 addition, the association of higher soil cover and lower bulk density among cluster 2 is
615 supported by previous research which also found under a no-till system that increased residue
616 retention reduced bulk density (e.g. Shaver et al., 2002; Thierfelder et al., 2012). Furthermore,
617 these results are in line with direct seeded CA systems (similar to those used in this region) that
618 have shown to provide yield benefits over time due in large part to better planting arrangements,
619 increased soil quality over time, improved soil moisture conditions for crop
620 growth/development and less soil disturbance (Thierfelder and Wall, 2010 and 2012). The
621 results also point to the benefits of the simultaneous application of all three principles of CA.
622 For example, Pittelkow et al. (2015) highlight yield benefits are realised when all three
623 principles of CA are combined. Whilst there has been some debate regarding the role CA has
624 in carbon sequestration, mainly because of overestimation caused by inadequate sampling and
625 a lack of understanding of what practices/processes are involved in climate change mitigation;
626 SOC increases due to crop diversification will more than likely contribute to 'genuine
627 mitigation' (Powlson et al., 2016). Thierfelder et al. (2017) has also argued that the climate
628 change mitigation potential of CA in Southern Africa will largely depend on factors such as
629 the duration of practice, the amount of crop residue retention and the specific cropping system.
630 Our results also point to continued use of CA as a potential contributor to climate change
631 mitigation and adaptation. The role of extreme weather events should also be considered as a
632 potential driver of CA use. Ding et al. (2009) has shown, in the case of no-till, how farmers

633 increased their use of the practice following extremely dry conditions, over several seasons,
634 though reduced their use in extremely wet years. Knowler and Bradshaw (2007) also showed
635 in a comprehensive review of CA studies how ‘awareness of environmental threats’ positively
636 influenced CA use. The importance of locally adapted systems that take into consideration
637 various agro-ecologies has also been noted. For example, Thierfelder et al. (2016) found in
638 Mozambique and Malawi that direct seeded CA treatments led to higher yields in areas of
639 higher rainfall and basins performed well only in dry environments compared to conventional
640 practice. Ward et al. (2018) also showed that practicing mulching and intercropping/rotation
641 had a ‘multiplier effect’ on usage of zero tillage among conventional tillage users in Malawi.
642 This may explain the positive perception among conventional tillage users (with mulch)
643 regarding reduced tillage in this study (Fig. 4). Engler et al. (2019) has pointed to the need to
644 consider the ‘*plasticity of adoption*’ which is incremental by nature as continuous change and
645 adaptation relevant to the particular context occurs. The authors highlight how a farmer’s
646 attitude regarding zero-tillage, for instance, can become more positive over time as more
647 information is garnered and by learning over time/through relevant experiences.

648 ***4.3 Linear thinking and systems thinking***

649 The network metrics for systems thinking and linear thinking showed higher degrees of
650 complex reasoning patterns such as appreciation of feedback loops and understanding the non-
651 linear interrelationships between multiple aspects of the system among farmers in cluster 2,
652 while farmers in cluster 1 showed evidence of more linear, hierarchical thinking (Fig 6 a and
653 6b). This suggests that higher forms of experiential learning and practice of CA leads to higher
654 degrees of systems thinking, even among the CA ‘disadopters’. This is also supported by
655 previous research which suggests that CA is complex to practice and requires continuous
656 adaptation based on experiential learning (e.g. Derpsch, 2008). Gray (2018) has noted the need
657 to understand what types of experiences, interventions or training may lead to more complex
658 reasoning patterns and thus higher degrees of systems thinking, which in turn leads to more
659 accurate perceptions of the complex human-environment interactions and may improve the
660 adaptation process and leverage sustainability.

661 We argue, therefore, that ‘systems thinking’ and the ability to perceive complex causal
662 interrelationships is an important factor contributing to CA usage. However, informational
663 challenges/perceived self-efficacy and barriers to active experiential learning need to be
664 addressed through a host of methods (e.g. Wellard et al., 2014; Leeuwis 2004; Hoff and Walsh,

665 2018) so farmers do not feel isolated/excluded and thereby able to contribute to enhancing
666 innovation processes. Notwithstanding this, Levy et al. (2018) also suggest that development
667 of systems thinking can provide other benefits including stimulating social learning as it
668 encourages receptiveness to new ideas and to a variety of causal pathways which can also be
669 used to encourage collective problem-solving. Singer et al. (2017) showed that through
670 participatory engagement and modelling with stakeholders how more complex cognitive maps
671 developed regarding water quality issues and how communities were then able to better
672 structure ideas regarding recovery and communicate with those responsible. More broadly,
673 enhanced systems thinking ability could further strengthen community engagement (e.g.
674 addressing sustainability problems at different scales) with respect to socio-ecological
675 decision-making/other ‘wicked problems’ in similar settings (e.g. Gray et al., 2019). Policy
676 options to encourage this and improve knowledge exchange, in general, could form part of
677 locally constructed innovation systems that support the development of mutually reinforcing
678 stakeholders (e.g. Lalani et al., 2017b) that account for farmers’ knowledge and integrate
679 experiential and social learning which thereby forge new relationships, trust and collective
680 action (e.g. Tafesse et al., 2020; Kerenecker et al. 2021).

681 *4.4 Summary and limitations*

682 Our purpose here is to merely highlight the potential link between the degree of systems
683 thinking, use of various CA practices and the possible association with field
684 measurements/socio-economic outcomes. We presuppose that the significantly higher level of
685 systems thinking found for farmers in cluster 2 is associated with a higher level of experiential
686 learning as the clusters differ with respect to farmers’ ‘self-identified’ experience with CA and
687 some of the outcomes point to benefits in this regard (for those in cluster 2 in particular).⁵
688 However, most of the differences are non-significant and longitudinal data/ econometric
689 approaches would provide a more robust understanding of the relationship between cluster
690 groups and outcomes.

⁵ To further test the robustness of our findings and validate the findings, we ran a *power analysis*. We have two clusters ($N1=22$, and $N2=28$) and the sum of sample size is 50. For this sample size (50 subjects) and for the significance level of ($p \leq 0.05$), the power analysis for an independent-sample t-test would tell us that the statistical power will be larger than 0.8 for all of the features for which we reported statistically significantly different means across two clusters (in particular, the Systems Thinking and Linear Thinking metrics in Fig 6). The desired power level is typically 80%, which means that there is a >80% probability we will not commit a type II error. Generally, a power of .80 (80 percent) or higher is considered acceptable for a study/ parametric test.

691

692

693 **5. Conclusions**

694 Our study advances the use of a mental modelling approach to leveraging sustainable
695 agriculture and inform policies and management strategies regarding CA practices. Our results
696 demonstrated that there is a link between farmers' perception of CA/non-CA, and the structure
697 of their mental models. In addition, we showed that the elicitation of mental models of local
698 farmers could be implemented by a certain cognitive mapping technique which was simply
699 embedded in a survey. Our study, therefore, provides local communities, researchers, and
700 policy makers with new forms of information about how CA users/non-users perceive the
701 system differently, how these distinct perceptions are internally represented by farmers mental
702 models, and how these internal representations can be elicited to inform decision-making,
703 facilitate communication, and approach 'disadoption' or 'non-usage'. For example, in reality,
704 the on-farm application of CA practices is often 'messy' and 'fluid' as farmers experiment and
705 make adaptations (Hermans, 2020) We also examined whether these distinct mental models
706 (i.e., clusters of perceptions) are associated with actual differences in on-farm measurements.
707 We provided empirical evidence for the potential link between farmers' perceptions and their
708 real-world on-farm measurements such as yield, weed cover, soil nutrients and soil moisture,
709 etc. Finally, we expand upon previous research by investigating the link between the degree
710 of 'systems thinking' and the structure of farmers' mental models. By combining a semi-
711 quantitative cognitive mapping technique and complex network analysis we were able to
712 measure the complexity of causal relationships in a mental model, thereby providing a practical
713 tool for measuring the degree of systems thinking. We showed that systems thinking—as
714 measured by quantitative network metrics such as complexity index, frequency of feedback
715 loops, and the lower degrees of linear hierarchical causalities—is a critical component of
716 'successful' CA usage, and that non-usage of CA is associated with a lack of systems thinking
717 and a stronger negative perception of the potential benefits of CA. Despite our assumption
718 about the impact of active experiential learning, support and access to information/assistance
719 and educational opportunities on the development of systems thinking, future research is
720 needed to investigate what important factors and innovative strategies may foster farmers'
721 systems thinking ability (e.g. Gray et al. 2019; Levey et al. 2018) and to what extent these
722 abilities correspond to 'successful' CA 'adoption' and adaptation. More importantly our

723 findings demonstrate that simple binary measurements/reductionist views on CA do not
724 capture the nuanced nature of CA usage and underscores the importance of considering a
725 detailed combination of biophysical, socio-economic and mental modelling related variables
726 in order to better understand farmers' decision making, learning processes and use of practices.

727

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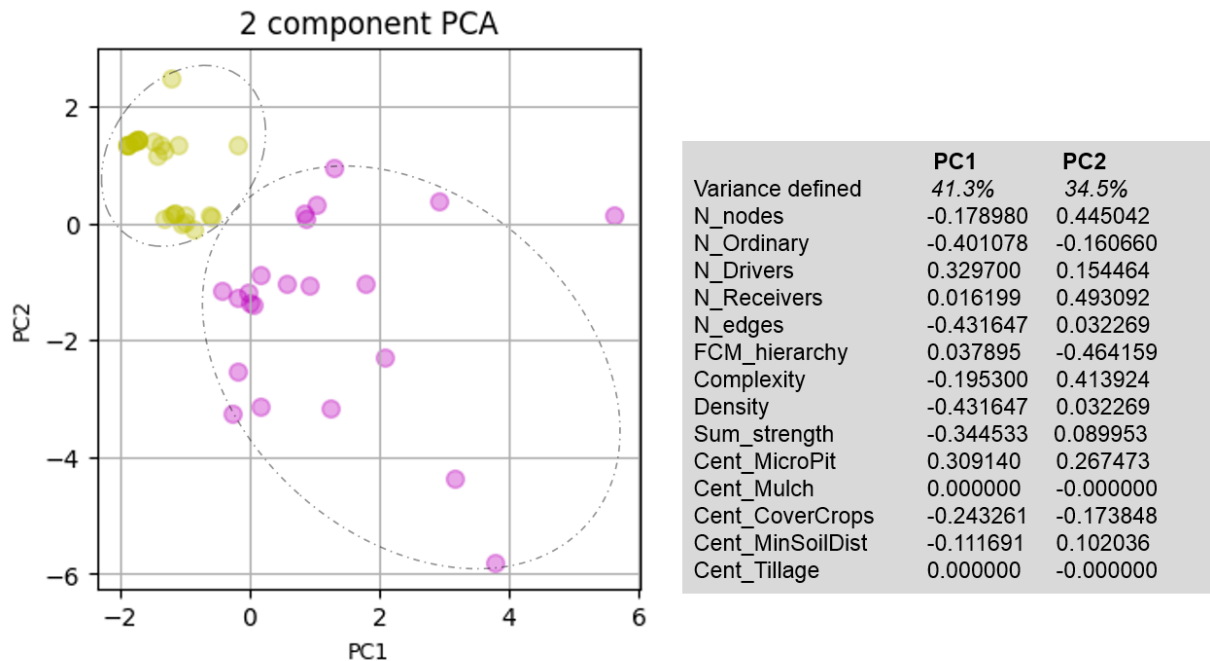
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1086 **Appendix A**

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1089 **Figure A1.** 14 structural metrics of fuzzy cognitive maps (FCM) were subjected to a
 1090 principle component analysis (PCA) to reduce the dimensions. We used PCA with orthogonal
 1091 rotation (varimax). The Kaiser–Meyer–Olkin measure verified the sampling adequacy for the
 1092 analysis, KMO = 0.8 which is well above the acceptable limit of 0.5. An initial analysis was
 1093 run to obtain eigenvalues for each component in the data. Two components had eigenvalues
 1094 over Kaiser’s criterion of 1 and in combination explained more than 75% of the variance in
 1095 FCM structural metrics. The table in the right side of this figure shows the factor loadings
 1096 after rotation. Different colours in the scatter plot shows different clusters of mental models
 1097 emerged as a result of a hierarchical clustering using Ward’s minimum variance method on
 1098 the Euclidian distances between mental models.

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1102 **Table A1** The structural metrics of fuzzy cognitive maps, their definitions, and their

1103 Mean and Standard Deviation in the sample of 50 farmers.

FCM Metric	Details	Mean (Std)
N_nodes	Number of concepts used	28.72 (1.429)
N_edges	Number of connections used	95.74 (4.11)
N_Drivers	Number of Driver variables	6.28 (0.73)
N_Receivers	Number of Receiver variables	6.96 (1.484)
N_Ordinary	Number of Ordinary variables	15.48 (1.297)
Sum_strength	Sum of connection strength	48.13 (2.691)
Density	Number of connections divided by total possible connections	0.1 (0.004)
Complexity	Number Receivers divided by number of Drivers	1.11 (0.216)
FCM_hierarchy	The McDonald Hierarchy index	0.15 (0.031)
Cent_CoverCrops	Centrality of concept "Increased use of Cover Crops"	0.56 (0.018)
Cent_MicroPit	Centrality of concept "Increased use of Micro Pit"	0.48 (0.004)
Cent_MinSoilDist	Centrality of concept "Minimum Soil Disturbance"	0.53 (0.05)
Cent_Mulch	Centrality of concept "Increased used of Mulch"	0.5 (0.00)
Cent_Tillage	Centrality of concept "Practicing Tillage"	0.5 (0.00)

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1111 **Table A2** The results of independent samples t-tests to evaluate the differences between the
 1112 cluster of farmers for the main socio-demographics, land-related variables, soil
 1113 characteristics, and cropping management practices.

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		Levene's Test for Equality of Variances		t-test for Equality of Means						
		F	Sig.	t	df	Sig. (2-tailed)	Mean Difference	Std. Error Difference	95% Confidence Interval of the Difference	
									Lower	Upper
Sex_HH_head	Equal variances assumed	2.553	.117	.952	48	.346	.133	.140	-.148	.414
	Equal variances not assumed			.944	43.668	.350	.133	.141	-.151	.417
AgeofHHhead	Equal variances assumed	3.565	.065	-.506	45	.615	-1.563	3.087	-7.780	4.654
	Equal variances not assumed			-.481	32.507	.633	-1.563	3.246	-8.171	5.045
Maritulstatus	Equal variances assumed	.000	.998	.105	45	.917	.033	.318	-.607	.673
	Equal variances not assumed			.104	39.057	.918	.033	.322	-.618	.684
highestlevelofeducation	Equal variances assumed	1.900	.175	-1.545	46	.129	-.936	.606	-2.155	.283
	Equal variances not assumed			-1.681	44.452	.100	-.936	.557	-2.057	.186
total number of plots (machamba)	Equal variances assumed	.058	.811	.325	48	.746	.058	.180	-.303	.420
	Equal variances not assumed			.325	45.203	.746	.058	.180	-.303	.420

size of total land (hectares)	Equal variances assumed	.013	.910	- 1.367	48	.178	-.2256	.1651	-.5576	.1063
	Equal variances not assumed			- 1.388	47.285	.172	-.2256	.1626	-.5528	.1015
plot1_distancefromhome	Equal variances assumed	.089	.767	-.445	45	.658	-.105	.235	-.578	.369
	Equal variances not assumed			-.447	41.597	.657	-.105	.234	-.577	.368
leguminous_trees	Equal variances assumed	1.187	.282	- 1.189	46	.241	-.357	.300	-.962	.248
	Equal variances not assumed			- 1.275	45.634	.209	-.357	.280	-.921	.207
soil_erosion_perception	Equal variances assumed	.487	.490	-.184	32	.855	-.035	.191	-.424	.353
	Equal variances not assumed			-.189	31.945	.852	-.035	.186	-.414	.344
plot1_compostquantity	Equal variances assumed	3.062	.087	-.843	46	.404	-1.786	2.119	-6.052	2.480
	Equal variances not assumed			- 1.000	27.000	.326	-1.786	1.786	-5.450	1.878
plant_in_lines	Equal variances assumed	.250	.620	.250	44	.804	.016	.062	-.110	.141
	Equal variances not assumed			.242	34.401	.810	.016	.064	-.115	.146
soil_type	Equal variances assumed	6.294	.016	- 1.188	46	.241	-.100	.084	-.269	.069
	Equal variances not assumed			- 1.000	19.000	.330	-.100	.100	-.309	.109
slope_type	Equal variances assumed	.060	.808	.083	46	.934	.014	.172	-.332	.361
	Equal variances not assumed			.082	39.839	.935	.014	.174	-.337	.365
Micro_pits	Equal variances assumed	2.716	.106	.788	44	.435	.115	.146	-.179	.410
	Equal variances not assumed			.801	38.422	.428	.115	.144	-.176	.406

B1.1_frequency _meetings	Equal variances assumed	3.387	.074	-876	37	.386	-.045	.052	-.151	.060
	Equal variances not assumed			- 1.000	21.000	.329	-.045	.045	-.140	.049
B3_livestock	Equal variances assumed	8.944	.004	1.766	46	.084	.250	.142	-.035	.535
	Equal variances not assumed			1.808	44.076	.077	.250	.138	-.029	.529

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1117 **Table A3** Socio-demographic variables experience with CA and cropping/management

1118 practices of farmers by type.

Type of Farmer	Mean age of farmer	Mean years of experience using CA	Mean level of education (grades of education completed)	Mean total land size (hectares)	Number of leguminous trees	Using micro-pit in 2017/2018 season (N)	Mean depth of micro- pit (cm)*
CA early	41 (2.5)	1	5 (1.1)	1.6 (0.23)	3	5	16 (1)
CA experienced	53 (2.7)	4.4 (0.6)	3 (0.74)	2.1 (0.13)	5	8	18.75 (1.8)
CA left	53 (3.2)		4 (0.41)	1.3 (0.15)	5	2	15
Conventional mulch	43 (2.9)		4 (0.59)	1.2 (0.13)	3	1	15
Conventional	47.5		3.1 (0.5)	1.2 (0.14)	5		

1119 Std error in parenthesis * only some farmers were able to estimate depth. Blank i.e. no
1120 farmers answered or std error zero. Age and education rounded to the nearest whole number
1121 for ease of interpretation.

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