1	In vitro, ex vivo and in vivo evaluation of taste masked low dose acetylsalicylic acid
2	loaded composite wafers as platforms for buccal administration in geriatric patients
3	with dysphagia
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8 Abstract

This study reports the development and characterization of taste masked, freeze-dried 9 composite wafers for potential oral and buccal delivery of low dose aspirin (acetylsalicylic 10 acid) to prevent thrombosis in elderly patients with dysphagia. The wafers were formulated 11 by combining metolose (MET) with carrageenan (CAR), MET with chitosan (CS) at low 12 molecular weight or CAR with CS using 45 % v/v ethanol as solvent for complete 13 solubilization of acetylsalicylic acid. Each wafer contained 75 mg of acetylsalicylic acid and 14 sweetener (sucralose, stevia or aspartame) with a drug: sweetener ratio of 1:1 w/w. The 15 16 formulations were characterized for physical properties using texture analyzer (hardness and mucoadhesion), scanning electron microscopy (SEM), X-ray diffractometry (XRD), Fourier 17 transform infrared (FTIR) spectroscopy, swelling capacity, and in vitro drug dissolution. 18 Further, permeation studies with three different models (PermeapadTM artificial barrier, 19 EpiOralTM and porcine buccal mucosa) using HPLC, cell viability using MTT assay and *in* 20 *vivo* taste masking evaluation using human volunteers were undertaken. The sweeteners 21 22 increased the hardness and adhesion of the wafers, XRD showed the crystalline nature of the samples attributed to acetylsalicylic acid, SEM confirmed a compacted polymer matrix due to 23 recrystallized acetylsalicylic acid and sweeteners dispersed over the surface. Drug dissolution 24 25 studies showed that acetylsalicylic acid was rapidly released in the first 20 minutes and then continuously over 1 hour. EpiOralTM had a higher cumulative permeation than porcine buccal 26 tissue and PermeapadTM artificial barrier, while MTT assay using Vero cells (ATCC® CCL-27 81) showed that the acetylsalicylic acid loaded formulations were non-toxic. In vivo taste 28 29 masking study showed the ability of sucralose and aspartame to mask the bitter taste of acetylsalicylic acid and confirm that acetylsalicylic acid loaded MET:CAR, CAR:CS and 30 31 MET:CS composite wafers containing sucralose or aspartame have potential for buccal 32 delivery of acetylsalicylic acid in geriatric patients with dysphagia.

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Key words: Acetylsalicylic acid; aspartame; buccal mucosa; drug permeation; geriatric
patients; sucralose; taste masking

37 **1. Introduction**

The buccal region of the oral mucosa cavity offers an attractive route of 38 administration for systemic drug delivery. The oral cavity is highly acceptable by patient as 39 40 the mucosa is relatively permeable with a rich blood supply, it is robust and shows short recovery times after stress or damage (Rathabone & Hadgraft, 1991). In addition, the oral 41 42 mucosa route bypasses first pass metabolism by delivering the drug directly into the bloodstream. These factors make the oral mucosa a very attractive and feasible site for 43 systemic drug delivery (Shojaei, 1998). Further, there has been an increased interest in novel 44 drug delivery systems, over the past few decades, to improve safety, efficacy and patient 45 compliance and increase the product patent life cycle (Panda, et al., 2012). Fast dissolving 46 47 and sustained release lyophilized wafers and films are examples of formulations for oral and 48 buccal mucosa drug delivery and can be used for various classes of drugs (Peh & Wong, 1999). 49

Acetylsalicylic acid (commonly referred to as aspirin) has anti-thrombin action, which 50 51 inhibits clot formation, thus reducing the rate of heart attacks and strokes. Such 52 administration for the purpose of reducing the clotting action of platelets, is referred to as 53 'low-dose aspirin' (usually administered as a single tablet with 75mg of the drug). 54 Acetylsalicylic acid acts as an acetylating agent and causes an irreversible inhibition of 55 cyclooxygenase (COX)-1 which is an essential enzyme for the production of thromboxane A_2 56 (TxA₂) in the platelets and supresses the generation of prostaglandin H₂, which is a precursor of TxA₂. TxA₂ is a powerful stimulant of platelet aggregation and use of acetylsalicylic acid 57 inactivates these platelets (Hovens, et al., 2006). Advantages of acetylsalicylic acid over other 58 'blood thinners' such as warfarin, include low cost, once-daily administration and no need for 59 dose monitoring (Mekaj, et al., 2015). 60

Low dose acetylsalicylic acid is recommended for people with heart or vascular 61 62 disease and patients who have had heart bypass surgery (British Medical Association, 2014) 63 and most people who suffer from these problems are older (geriatric) patients, who usually 64 also present with other chronic conditions. The impact of demographic ageing is likely to be 65 of major significance in the coming decades, due to low birth rates and higher life 66 expectancy. Older people generally require more prescribed medicines due to the presence of multiple conditions such as dysphagia (difficulty in swallowing). This occurs when the 67 68 swallowing physiology changes with advancing age due to reduction in the muscle mass and 69 connective tissue elasticity, resulting in the loss of strength and motion. These changes

reduce the effective and efficient flow of materials, such as food and medications through the
upper aero digestive tract (Sura, et al., 2012).

72 Freeze-dried wafers are usually prepared by freeze-drying a polymeric solution or gel in an appropriate solvent (usually water). Freeze drying of water-soluble polymers produces 73 74 shaped materials of highly porous nature that can be turned back to gels and solutions when they come into contact with fluids such as saliva. Lyophilized wafers can easily be applied to 75 76 mucosa surfaces and they offer advantages over solid polymer gels and solvent cast films 77 (Boateng, et al., 2010). Semi solid polymer gels flow easily after application, while wafers 78 can maintain their swollen gel structure for a longer period and therefore longer residence times (Matthews, et al., 2005) to allow for effective drug absorption. 79

Freeze dried wafers are preferred over chewable acetylsalicylic acid tablets because 80 the latter contains sorbitol which causes diarrhoea and flatulence. In addition the flavouring 81 agents present in chewable tablets may cause ulcers in the oral cavity and the prolonged 82 chewing may cause pain in the facial muscles which may increase the risk of poor adherence, 83 medication errors or reduced patient quality of life. This is because of a loss of muscle 84 strength in the mouth and throat regions, which makes it difficult for geriatric patients to 85 chew. Further, these chewable tablets also show fragile (poor mechanical strength) and 86 87 effervescent granular characteristics and therefore careful handling is required (Renu, et al., 2015; (Farias & Boateng., 2018)). 88

89 This paper reports the formulation design and development of composite polymer based lyophilized wafers, taste masked with sweeteners, for potential buccal delivery of 90 91 acetylsalicylic acid to geriatric patients and improved compliance from masking the bitter 92 taste of the drug. The formulations were initially characterized for their physico-chemical 93 properties (resistance to compression - 'hardness' and mucoadhesion), crystallinity, internal 94 and surface morphology and chemical interactions. Drug dissolution and permeation studies using three different models (EpiOralTM, porcine buccal tissue and PermeapadTM an artificial 95 buccal membrane) were performed for the optimized acetylsalicylic acid loaded and taste 96 masked wafers using HPLC and finally the in vivo taste masking of acetylsalicylic acid by 97 sucralose and aspartame was investigated using healthy adult human volunteers. 98 99

100 2. Materials and methods

101 2.1 Materials

Metolose (MET) grade type (60SH), viscosity (4000 cP) and MW (1261.4 g/mol) was 102 obtained as a gift from Shin Etsu (Stevenage, Hertfordshire, UK), gelatin from porcine skin, 103 MW (10000 g/mol), mucin from bovine submaxillary glands, MW (4000 kDa), MTT [3-(4,5-104 dimethylthiazol-2-yl)-2,5diphenyltetrazolium bromide] reagent, dimethyl sulfoxide (DMSO) 105 and acetyl salicylic acid were purchased from Sigma-Aldrich (Gillingham, UK). Kappa 106 carrageenan [(CAR) (low viscosity grade NF 911, MW < 100,000Da, 25% sulfate esters, 107 stable at pH values > 3.8)] was obtained as a gift from IMCD Ltd (Sutton, UK), low 108 109 molecular weight chitosan (CS) with 95% degree of deacetylation and MW of 3000Da was 110 purchased from Qingdao Yuda Century Economy and Trade CO, Ltd (China), calcium chloride, sodium chloride, sodium phosphate dibasic, magnesium chloride hexahydrate, 111 112 potassium carbonate hemihydrate and sodium phosphate monobasic monohydrate were purchased from Fisher Scientific (Loughborough, UK). Sucralose, stevia and aspartame were 113 114 obtained from a local ASDA Supermarket (London, UK). Dulbecco's modified Eagle's medium (DMEM), fetal bovine serum (FBS), penicillin, streptomycin and glutamine were all 115 116 obtained from Gibco (Paisley, UK). Pig cheeks were obtained from a local slaughterhouse (Tunbridge Wells, Kent, UK). PermeapadTM was a gift from InnoME GmbH (Espelkamp, 117 Germany) and EpiOralTM ORL-200 buccal tissue kit was purchased from MatTek 118 Corporation (Ashland MA, USA). 119

120

121 **2.2 Formulation optimization**

The drug loaded (DL) wafers were prepared by freeze-drying solutions combining 122 MET with CAR and MET with CS in different weight ratios with each final wafer containing 123 75 mg of acetylsalicylic acid as previously reported (Farias & Boateng, 2018) and 124 subsequently taste masked using different ratios of sucralose (Suc), aspartame (Asp) and 125 stevia (Stev) as summarized in (Table 1). Then 1 g was poured into each well of a 24 multi-126 well plate (diameter 15.5 mm) with 75 mg of acetylsalicylic acid per well. The freeze-drying 127 process was conducted using an automated lyophilization cycle on a Virtis Advantage XL 70 128 freeze-dryer (Biopharma Process Systems, Winchester, UK). In the freezing step the samples 129 were frozen to produce a required condition for low temperature drying (Nireesha, 2013). 130 The sample was cooled from room temperature to 5 °C for 40 minutes, 5 °C to -10 °C for 40 131 minutes, -10 °C to -55 °C for 120 minutes. An annealing process was integrated into the 132

133 freezing cycle to boost pore size distribution by increasing the temperature from -55 °C to -35 °C (2 hours), cooled back to – 55 °C (3 hours) and maintained for 1 hour, at a pressure of 134 135 200mTorr to assure uniformity. For the primary drying phase, the pressure was reduced to 50 mTorr, and temperature was increased from -55 °C to -20 °C (8 hours) and further increased 136 from -20 °C to -15 °C (10 hours). The secondary drying occurred at the same pressure as 137 primary drying with temperature raised from -15 °C to 25 °C over 12 hours 30 minutes to 138 139 remove the amount of water molecules that remained during primary drying (Okeke & Boateng, 2016). 140

Table 1. Polymeric solutions for preparing taste masked DL freeze-dried formulations in 100 ml aqueous ethanol (45 % v/v). The acetylsalicylic
acid loading was such that each final wafer contained 75 mg of the drug.

Sample name	MET	CAR	CS	Polymer	Acetylsalicylic	drug:	Total polymer excipient
	(% w/v)	(% w/v)	(%w/v)	ratio	acid (g)	sweetener	content in the solution
						ratio	(% w/v)
DL MET:CAR Suc 1	1.87	0.63	0.00	3:1	7.5	1:1	2.50
DL MET:CAR Asp 1	1.87	0.63	0.00	3:1	7.5	1:1	2.50
DL MET:CAR Stev 1	1.87	0.63	0.00	3:1	7.5	1:1	2.50
DL MET:CS Suc 2	3.00	0.00	1.00	1:3	7.5	1:1	4.00
DL MET:CS Asp 2	3.00	0.00	1.00	1:3	7.5	1:1	4.00
DL MET:CS Stev 2	3.00	0.00	1.00	1:3	7.5	1:1	4.00
DL CAR:CS Suc 3	0.00	1.00	3.00	1:3	7.5	1:1	4.00
DL CAR:CS Asp 3	0.00	1.00	3.00	1:3	7.5	1:1	4.00
DL CAR:CS Stev 3	0.00	1.00	3.00	1:3	7.5	1:1	4.00

145 **2.3** *In vivo* taste masking evaluation

Aspartame and sucralose were used at a sweetener to drug ratio of 1:1 to mask the 146 bitter taste of acetylsalicylic acid in the optimized DL wafers, as outlined in Table 1. Twelve 147 healthy adult volunteers were recruited to take part in the taste masking assessments and were 148 provided detailed written information about the study, and they subsequently gave signed 149 informed consent with approval from the Ethics Committee of the University of Greenwich 150 (12 December 2017). The volunteers were expected to make a suitable judgment on the taste 151 of the wafers and give a written score. The wafers were placed on the tongue for 1 minute, 152 the volunteers recorded their score and the sample was spat out and the mouth washed 153 154 immediately with fresh drinking water. They subsequently responded to a questionnaire and 155 scored each wafer from (1-10), using the following criteria: 1 (bitter), 5 (bland or no taste) 156 and 10 (sweet). After collecting the results from the questionnaire, it was possible to identify 157 which sweetener (sucralose or aspartame) was able to better mask the bitter taste of acetylsalicylic acid. As a control, commercially available chewable acetylsalicylic acid 158 159 tablets (Bayer 81 mg), available in orange flavor was also judged by the volunteers in order to obtain a comparison of the formulated acetylsalicylic acid with a currently marketed 160 161 chewable acetylsalicylic acid tablet.

162

163 2.4 Physico-chemical characterization

164 *2.4.1 Texture analysis*

165 Texture analyzer (HD plus, Stable Micro System, Surrey, UK) fitted with a 5 kg load cell, was used to analyze the mechanical hardness (resistance to compression) and 166 mucoadhesion properties of the taste masked DL wafers as previously reported (Boateng & 167 Ayensu, 2014). Briefly, wafers (n = 3) were compressed in 3 or more places using a 2mm 168 diameter probe and the resistance to compression determined for each formulation. To 169 analyze the *in vitro* mucoadhesion behavior, each formulation was attached to an adhesive 170 probe (35 mm diameter). Gelatin solution [6.67% (w/v)], was allowed to set to a gel, and 500 171 μ l of simulated saliva (SS) at pH 6.8 ± 0.1 spread over the surface of the set gelatin. 172 The *ex vivo* mucoadhesion experiment was performed on taste masked DL wafers (*n* 173 = 3) to estimate the effect of SS on their adhesion profiles on porcine buccal tissue. The 174 175 samples were tested using the TA HD plus Texture Analyzer described above and the wafers

- were attached to an adhesive probe (75 mm diameter) with double sided adhesive tape. An 88
- 177 mm diameter Petri dish containing buccal epithelium membrane of porcine tissue was used.

The wafers were positioned in contact with the epithelium for 60 seconds to provide optimalcontact before being detached (Khan et al., 2015).

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181 *2.4.2 Swelling capacity*

The swelling capacity of the taste masked DL wafers was determined by immersing each formulation into 5 ml of SS pH 6.8 ± 0.1 set at a temperature of 37 ± 0.1 °C and weighing the swollen wafer at predetermined time intervals. The swelling capacity was determined for three replicates (n = 3) and calculated using equation 1.

186 Swelling index =
$$\frac{Ws - Wd}{Wd} \times 100$$
 (Equation 1)

where; Wd = dry weight of wafers; Ws = weight of wafers after swelling

188

189 The composition of various salts in 1L of SS was: 0.228 g of calcium chloride dihydrate,

190 1.017 g of sodium chloride, 0.204 of sodium phosphate dibasic, 0.061 g of magnesium

191 chloride hexahydrate, 0.603 g of potassium carbonate hemihydrate, 0.273 g of sodium

192 phosphate monobasic monohydrate and 1.000 g of submaxillary mucin. The pH was adjusted

193 to 6.8 ± 0.1 with phosphoric acid (Marques, et al., 2011).

194

195 2.4.3 Scanning electron microscopy (SEM)

The surface morphology of the gold coated taste masked DL wafers was analyzed
using a Hitachi SU8030 (Hitachi High-Technologies, Krefeld, Germany at an accelerating
voltage of 1 kV.

199

200 *2.4.4 Pore analysis*

The porosity of the wafers was measured using the solvent displacement method. Ethanol was used as it fills the pores and wets the wafers without hydrating them, compared to water which hydrates and eventually dissolves them. The taste masked DL wafers were weighed, completely immersed in 10 ml ethanol, covered, and left to stand for 2 hours for complete saturation. The saturated wafers were degassed to remove all air bubbles and the wafers subsequently removed very quickly from the solvent and immediately weighed. The porosity (%) was calculated using equation 2 (Okeke & Boateng, 2016).

209	$P = \frac{Vp}{Vg} \times 100 = \frac{Wf - Wi}{\rho s Vg}$							
210								
211	where; $V_p = pore volume$							
212	V_g = wafers geometrical volume							
213	$W_f = final$ weight of wafer							
214	$W_i = initial$ weight of wafer							
215	ρ_e = ethanol density (0.789 g/cm ³)							
216								
217	2.4.5 X-ray diffraction (XRD)							
218	X-ray diffractograms of taste masked DI							

218 X-ray diffractograms of taste masked DL wafers were obtained using a D8 Advantage 219 X-ray diffractometer, by pressing the formulations before placing on the holder, mounting on 220 the sample cell and analyzed in transmission mode at diffraction angle range of 5° to 50° 2 θ , 221 step size 0.04°, and scan speed of 0.4 s/step.

(Equation 2)

222

223 2.4.6 Attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR)

ATR-FTIR spectra were obtained with a Perkin Elmer Spectrum instrument equipped 224 with a diamond universal ATR unit. The taste masked DL wafers were placed on the ATR 225 226 diamond crystal and force applied with a pressure clamp to allow suitable contact between the samples and the diamond crystal. Spectra were recorded at a resolution of 4 cm⁻¹ within 227 the range of 500-4000 cm⁻¹ with subtraction of background spectra before plotting, to allow 228 consistent absorbance of each sample. In addition, pure acetylsalicylic acid, aspartame, 229 sucralose and physical mixtures of the drug with each sweetener, were analyzed by placing a 230 231 small amount of the powder on the diamond crystal and the same process used for analyzing wafers was followed. 232

233

234 2.4.7 In vitro drug release

Drug dissolution of taste masked DL wafers was performed using a Franz-diffusion cell apparatus with its receptor compartment filled with 8 ml of SS pH 6.8 ± 0.1 . The system was placed on a water bath at 37 °C and magnetically stirred (200 rpm). At predetermined time intervals, 0.5 ml aliquots (n = 3) of the SS were withdrawn, filtered through a 0.45 µm cellulose acetate membrane, and analyzed using HPLC by following the method previously reported (Farias & Boateng., 2018).

241 **2.5 Permeation studies**

Permeation studies were undertaken for DL wafers using three different model buccal membranes; (i) *in vitro* EpiOralTM tissue culture membrane, (ii) *ex vivo* buccal tissue from pig cheek, (iii) *in vitro* artificial membrane barriers (*Permeapad*TM).

- 245
- 246 $2.5.1 EpiOral^{TM}$ permeation studies

EpiOralTM assay medium (MatTek, Ashland MA, USA) was pre warmed to 37 ± 0.1 247 °C for 30 minutes. Then, using a sterile technique, 0.3 mL/well of EpiOralTM assay medium 248 were pipetted into 4 wells of a 24 well plate and labelled 1hour equilibrium. The remaining 249 wells were labelled 30 minutes, 1, 2, 3 and 4 hours. The EpiOralTM samples were transferred 250 into the 30 minute labelled well, treated with 0.5 mL donor solution (SS pH 6.8 ± 0.1) into 251 which 15 mg of wafers was added with the mucoadhesive layer in contact with the apical 252 surface of the EpiOralTM buccal tissue and returned to the incubator. After 30 minutes, the 253 254 tissue was moved to the next time point until the total elapsed time (4 hours). 50 μ L of the receiver fluid was collected at predetermined time intervals and transferred to a vial for 255 256 HPLC analysis.

257

258 2.5.2 Ex vivo permeation studies using pig cheek membrane

259 The ex vivo permeation studies was performed using Franz diffusion cell. The Franz diffusion cell is a simple, reproducible test for measuring the *in vitro* drug release from 260 formulations. The Franz cells consists of two primary chambers separated by a membrane of 261 defined diameter, which determines the transportation area. The formulation is applied to the 262 membrane via the top chamber (donor compartment). The bottom chamber (receptor 263 compartment) contains the fluid from which samples are taken at regular intervals for 264 analysis, which determines the amount of active drug per unit area that has permeated the 265 membrane at each time point. 266

Ex vivo permeation was performed by following previously reported method (Okeke & Boateng, 2016) (Ayensu, et al., 2012). Briefly, buccal tissues from the cheek of pigs were obtained from a local slaughterhouse (Tunbridge Wells, Kent, UK). After removal, the tissues were immediately transferred into cold Krebs buffer (pH 6.8 ± 0.1) modified with sodium carbonate, placed in sealed box filled with dry ice and quickly transported to the laboratory. The buccal mucosa, with part of the sub mucosa, was immediately separated from the fat and muscles using a sharp scalpel and the epithelium isolated from the underlying tissue. The

thickness of the sample was approximately 500 µm and the buccal mucosa was used within 2
hours (Patel, et al., 2012).

The prepared mucosal membrane was washed with SS at 37 °C and mounted between 276 the donor and receiver compartments of a Franz-type diffusion cell, with the epithelial side 277 facing the donor compartment to permit contact with the DL wafer (Attia, et al., 2004). 8 ml 278 of SS at 37 \pm 0.1 °C was placed in the receiver chamber with magnetic stirring at 250 rev/min 279 to provide uniform mixing. After an equilibration period of 30 min, 0.5 ml of SS was placed 280 281 in the donor compartment and 5 mg of the acetylsalicylic acid wafers was placed in the donor 282 chamber with the mucoadhesion layer in contact with the epithelial surface. The compartments were held together by a cell clamp and sealed with parafilm to avoid 283 evaporation. At predetermined time intervals, aliquots (1 ml) were withdrawn from the 284 sampling port of the receiver compartment and replaced with the same amount of SS pH 6.8 285 \pm 0.1 also at 37 \pm 0.1 °C to maintain a constant volume for 2 hours. The sampled aliquots 286 were analyzed using HPLC (n = 3) and the % cumulative permeation plotted against time 287 (Khan, et al., 2015). 288

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290 2.5.3 PermeapadTM permeation studies

PermeapadTM barriers were placed between the donor and receiver chambers of the 291 Franz-diffusion cells as described in previous studies (Bibi et al., 2015, 2016). The receiver 292 293 compartment contained (8 mL) of SS at 37 ± 0.1 °C with magnetic stirring at 250 rev/min and the donor compartment was filled with (1.5 mL) of SS and 5 mg of DL wafers. The 294 295 compartments were held together by a cell clamp and sealed with parafilm to avoid evaporation. At predetermined time intervals, aliquots (1 ml) were withdrawn from the 296 sampling port of the receiver compartment and replaced with the same amount of SS pH 6.8 297 ± 0.1 to maintain a constant volume for 4 hours. The sampled aliquots were analyzed using 298 299 HPLC (n = 3) and the % cumulative permeation plotted against time (Bibi, et al., 2015) (Bibi, et al., 2016). 300

The permeation flux (J) across the EpiOralTM, pig cheek membrane and PermapadTM
 was determined using equation 3.

 $_{303} J = \frac{dQ}{dt} \cdot \frac{1}{A}$

 $d_0/dt =$ amount of drug permeated

(Equation 3)

304 where; J = steady state flux

A = effective diffusion area

307

308 **2.6 Cell viability (MTT assay)**

MTT assay on Vero cells was used to determine the cytotoxicity of pure MET, CAR, 309 CS, acetylsalicylic acid, and the various formulated wafers. Vero cells (ATCC[®] CCL-81TM) 310 are adherent cells derived from the kidney of the African Green monkey (Cercopithecus 311 aethiops) and are one of the commonly used mammalian cell lines in cell biology, 312 microbiology and molecular biology (Ammerman, et al., 2008). The Vero cells were obtained 313 314 from the cell and tissue culture labs within the School of Science (Richardson Lab, University of Greenwich, Medway) and stored at -80 °C. The cells were used to seed a 315 sterile, flat-bottomed 96 well tissue culture plate with Dulbecco's modified Eagle's medium 316 (DMEM), fetal bovine serum (FBS) 10% (v/v), penicillin (100 units/mL), streptomycin (100 317 µg/mL) and glutamine 0.292 mg/mL. Two cultures (treated and control) were kept under 318 sterile conditions in a laminar hood and incubated at 37 °C in 5% (v/v) CO₂ for 24 hours 319 (Khan, et al., 2015). The controls only contained cells in growth media. The wafers and pure 320 321 compounds were initially weighed (~ 175 mg) and placed in flow cabinet under UV light for 24 hours to sterilize. For the treated groups, the weighed sterilized samples were placed in 2.5 322 323 mL of growth medium and left in the incubator for 24 hours, and the extract was filtered 324 through 0.2 µm filter and collected into Eppendorf tubes.

The cells in culture medium were exposed to the collected sample extracts and 325 incubated for 24 and 72 hours. For the former time period (24 hours), the cells were initially 326 incubated for 20 hours, then 10 µL of MTT stock solution was added to each well and 327 incubated for a further 4 hours. For the latter incubation period (72 hours), the cells were 328 initially exposed to new set of samples for 68 hours, $10 \,\mu$ L of the MTT stock solution was 329 added to each well and the plate incubated for a further 4 hours, bringing the total incubation 330 time to 72 hours. The contents of the plates (24 and 72 hours) were decanted and 100 μ L of 331 DMSO was added to each well, incubated at room temperature for 30 minutes and the 332 333 absorbance read on a Multiscan EX Micro-plate photometer (Thermo Scientific, Essex, UK) at optical density (OD) of 540 nm. Data obtained was expressed as percentage cell viability 334 335 (n = 3) for all the samples tested (Khan, et al., 2015).

337 **2.7 Statistical analysis**

338 Statistical analysis was carried out to compare the results using two tailed student t-339 test with 95% confidence interval (p-value < 0.05) as the minimum level of significance. All 340 the experiments were carried out in triplicates with mean and standard deviation.

341

342 **3. Results and discussion**

Table 2 shows the porosity (%) of taste masked DL wafers relative to total polymer content in 343 the original gels and polymer ratios. The results demonstrated that taste masked DL 344 345 formulations containing sucralose were more porous than those with aspartame. However, there were no significant differences (p > 0.05) between the same formulation containing 346 sucralose and aspartame. Formulations containing CS (DL CAR:CS Asp 3 and DL MET:CS 347 Asp 2) showed lower porosity of 55 ± 5 % and 49 ± 4 % respectively, compared with the DL 348 MET:CAR Suc 1 formulation, which showed a porosity of 65 ± 3 %. The porosity results are 349 350 confirmed by the SEM images in section 3.6, which showed that taste masked DL MET:CAR Suc 1 appeared more porous than the DL MET:CS Suc 2 and DL CAR:CS Suc 3 wafers, 351 352 which were more compact. The porosity of the taste masked DL wafers was lower when the hardness results from section 3.3.1 were higher. This is due to the addition of the sweeteners 353 354 which formed a compressed solid resulting in smaller pores and therefore, slowed down the penetration of solvent within the taste masked drug loaded wafer. This also affected swelling 355 capacity as the DL MET:CAR taste masked formulations were able to swell more while the 356 DL MET:CS and DL CAR:CS formulations which were less porous showed a lower swelling 357 capacity in section 3.5, due to the compacted polymer structure, decreasing the water ingress 358 (hydration) and subsequently % swelling capacity. 359

Table 2: Mechanical properties, porosity (%) and in vitro and ex vivo mucoadhesive profiles of taste masked DL wafers in simulated saliva (SS) Three replicates were performed for each sample (mean \pm SD, n = 3).

			In vitro mucoadhesion			Ex vivo mucoadhesion				
Taste masked	Hardness	Porosity	PAF	TWA	Cohesiveness	PAF	TWA	Cohesiveness		
formulations	(N)	(%)	(N)	(mJ)	(mm)	(N)	(mJ)	(mm)		
DL MET:CAR Suc 1	26.16 ± 3.04	65 ± 3	0.21 ± 0.11	0.12 ± 0.10	0.96 ± 0.09	0.37 ± 0.15	0.42 ± 0.08	6.62 ± 1.54		
DL MET:CAR Asp 1	22.96 ± 4.45	63 ± 4	0.12 ± 0.05	0.05 ± 0.03	0.81 ± 0.12	-	-	-		
DL MET:CS Suc 2	20.00 ± 3.09	59 ± 2	0.12 ± 0.11	0.03 ± 0.03	0.83 ± 0.19	-	-	-		
DL MET:CS Asp 2	18.38 ± 1.02	49 ± 4	0.05 ± 0.04	0.02 ± 0.01	1.00 ± 0.14	0.25 ± 0.13	0.42 ± 0.12	5.14 ± 0.81		
DL CAR:CS Suc 3	18.79 ± 6.22	56 ± 2	0.17 ± 0.10	0.03 ± 0.00	1.18 ± 0.07	-	-	-		
DL CAR:CS Asp 3	10.35 ± 3.07	55 ± 5	0.03 ± 0.01	0.01 ± 0.00	1.09 ± 0.21	0.15 ± 0.10	0.93 ± 0.18	11.53 ± 0.81		

Compared with the blank DL (non-taste masked) wafers (BDL), previously reported (Farias & Boateng, 2018), it can be observed that the BDL MET:CAR and BDL MET:CS showed higher porosity of 82 ± 12 and 75 ± 7 % respectively. This confirms both the hardness and SEM results which showed that the pores originally present before loading of sweeteners were largely filled with excess sweetener as well as recrystallized acetylsalicylic acid after freeze-drying.

369

370 **3.4** *In vivo* taste masking evaluation

To improve patient adherence to medication, proven methods for reduction and 371 372 inhibition of bitter taste have resulted in improved palatability of these formulations (Kleinert, et al., 1993). Taste is a function of sensation by the taste buds in the mouth and for 373 formulations intended for geriatric, non-cooperative and bed ridden patients, the main 374 challenge is to mask the taste of bitter drugs, to enhance patient acceptability and to ensure 375 they will receive the optimal therapeutic dose of their medication (Momin, et al., 2012). 376 377 Some of the methods employed in taste masking include coating of bitter drug particles with coating agents such as starch, polyvinyl pyrrolidone, gelatin, ethyl cellulose (Gowthamarajan, 378 379 et al., 2004). Microencapsulation is a process of applying thin coating to small particles of 380 solids, droplets of liquids and dispersions using coating agents such as gelatin and povidone.

Another commonly used method is to add sweeteners, as they impart a sweet taste 381 382 that is highly preferred by geriatric and paediatric patients (Mennella, et al., 2011) and was the method of choice in this study. Taste-masked formulations can be challenging to develop, 383 and the best method is often dictated by the physicochemical properties and taste profile of 384 the drug (Vesey, 2018). Taste masking by amino acids, sweeteners and flavours is the most 385 simple and oldest technique for improving taste characteristic of active ingredients within 386 formulations. The sweeteners and flavours overcome the unpleasant taste by occupying the 387 taste buds and therefore preventing direct sensation of the bitter taste of the drug of interest, 388 long enough to allow effective therapeutic dosing (Karolewicz, 2016). The aim of the taste 389 masking study was to solicit judgement of human volunteers about the taste of the optimized 390 391 DL wafers (CAR:CS, MET:CS and MET:CAR) loaded with sucralose or aspartame, to help in the selection of the most suitable formulations for the target (geriatric) patient group. The 392 393 participants were required to choose a number between 1 and 10, in which 1 was (bitter) 5 (bland or no taste) and 10 (sweet) and scores for each formulation by the 12 volunteers are 394 summarised in Table 3. 395

- 396 *Table 3. Results of in vivo taste masking study showing the selected optimized samples*
- 397 and the scores per taste category (i.e. bitter, bland, and sweet) for each formulation as
- *judged by the volunteers. Scores from 5 to 10 (bland sweet) were considered as having*
- 399 *masked the bitter taste.*

		Number of volunteers giving a particular score									
	Scores	1	2	3	4	5	6	7	8	9	10
Sample	Formulations		Bit	ter		Bland			Sweet		
Α	DL MET: CAR			1		1	2	3	4	1	
	Suc 1										
Ε	DL CAR: CS	1	2	2	1	2	3				1
	Asp 3										
F	DL MET: CS	1	1	2	1	1	3	2			1
	Asp 2										
Bayer	Commercial			1		4	3	3	1		
	chewable										
	acetylsalicylic										
	acid (Aspirin)										
	tablet (orange										
	flavour)										

The *in vivo* taste masking evaluation were performed on 3 optimized formulations and 401 402 showed that sucralose and aspartame were able to mask the bitter taste of acetylsalicylic acid in the DL MET:CAR Suc1, DL CAR:CS Asp 3 and DL MET:CS Asp 2, respectively. 403 404 Overall, the formulations containing DL MET:CAR and MET:CS showed more palatability 405 and acceptance because according to Amelian and Winnicka, MET also possesses appropriate properties to be used for effective taste masking (Amelian & Winnicka, 2017). At the 406 407 molecular level, the drug-polymer complex with aspartame or sucralose exhibited significant 408 taste-masking, as confirmed in the taste assessment by volunteers. As a result of the in vivo taste masking evaluation, further characterizations were performed on the DL MET:CAR 409 Suc1, DL CAR:CS Asp 3 and DL MET:CS Asp 2. The volunteers also judged a 410 commercially available chewable acetylsalicylic acid with orange flavour to compare with 411 the results for the formulated wafers. Though the commercially available chewable 412 acetylsalicylic acid was largely accepted, some volunteers judged it as bitter or bland, and 413 this might be due to taste receptors being different for each person. 414 415

416 **3.5 Swelling capacity**

The swelling capacity of DL MET:CAR Suc 1, DL CAR:CS Asp 2 and DL MET:CS 417 Asp 3 are shown in Figure 1. The % swelling capacity for (DL MET:CAR 3:1 Suc) was 418 observed to be 283 ± 47 %. The high rate of swelling is related to the total percentage 419 polymer content (by weight), as they were prepared from 2.5% w/y gels while the other taste 420 masked formulations, were prepared from 4.0% w/v gels with the latter being more dense due 421 to the higher total polymer content after freeze-drying. DL MET:CS Asp 2 wafers showed a 422 swelling capacity of 185 ± 33 %. The results showed that MET in the formulations made the 423 424 wafers more stable, thus they had longer duration of swelling of about 30 to 70 minutes, before disintegrating, compared to the DL CAR: CS Asp 3 formulations. This is because 425 MET acts as a stabilizer for the wafers (Shin Etsu Chemical, 2005). DL CAR:CS Asp 2 wafer 426 was able to maintain its structural integrity for 10 minutes, after which it disintegrated 427 because of excessive absorption of water molecules. Compared to our previously reported 428 study (Farias & Boateng, 2018) of DL formulations without any sweeteners, the taste masked 429 DL wafers showed lower swelling capacity. This could be due to the increased hardness and 430 431 brittleness of the taste masked DL wafers because of the compact and denser solid matrix that resulted in wafers with smaller pores and therefore, less capacity for water ingress (Kianfar, 432 433 et al., 2014).



434



⁴³⁶ Suc 1, DL CAR:CS Asp 3 and DL MET:CS Asp 2) in SS. It was determined for three

437 *replicates (mean* \pm *SD,* n = 3*) and calculated using equation 1.*

The maximum swelling capacity (%) of the taste masked DL wafers occurred within 2 minutes compared to the DL wafers without sweeteners as previously reported (Farias & Boateng, 2018). The reason for the rapid water ingress in the former is because of the high water solubility of both sweeteners. When compared with results in PBS (Farias & Boateng, 2018), the swelling capacity values were lower in SS which can be attributed to the difference in ionic strength of the media which plays an important role in the swelling profile of porous formulations such as wafers (Peh & Wong, 1999).

445

446 **3.6 Scanning electron microscopy (SEM)**

Figure 2 (a) and (b) shows the surface morphology of the sweeteners' (aspartame and sucralose) crystals. The morphology of acetylsalicylic acid crystals have been previously reported (Farias & Boateng., 2018). It was observed that the aspartame and sucralose crystals were similar as they are mainly composed of maltodextrin and the particles seem to be fused with each other, thus forming larger clusters with no uniform size or shape (Singh, et al., 1993). Figure 2 (c to e) shows the surface morphology of representative taste masked DL wafers (DL MET:CAR Suc 1, DL CAR:CS Asp 3 and DL MET:CS Asp 2).



Figure 2. SEM images showing surface morphology of (A) aspartame, (B) sucralose, and
internal porous structure of (C) BDL MET:CAR (DL only wafer) (D) DL MET:CAR Suc 1,
(E) DL CAR:CS Asp 3 and (F) DL MET:CS Asp 2.

455

The taste masked DL wafers showed a very compact matrix structure with crystals of excess acetylsalicylic acid and maltodextrin distributed over their surfaces, which is a major reason for the high hardness values described in section 3.1 above. The small pores in these taste masked DL formulations is attributed to the thicker walls formed due to polymer- drug interaction (Farias & Boateng, 2018) and addition of sweetener. The SEM images confirm the swelling capacity results in section 3.5, with the DL MET:CAR Suc 1 able to swell more

- due to being more porous, and thus exhibiting faster rate of water ingress and hydration,compared to DL MET:CAR Asp 2 and DL CAR:CS Asp 3.
- 468

469 **3.7 X-ray diffraction (XRD)**

470 The transmission diffractograms of acetylsalicylic acid, sucralose, aspartame, and representative taste masked DL formulations (DL MET:CAR Suc 1, DL MET:CS Asp 2 and 471 472 DL CAR:CS Asp 3) are shown in Figure 3. The results confirm the amorphous nature of the sweeteners (sucralose and aspartame) demonstrated by the broad peak at 2θ of 15° and 25° . 473 Acetylsalicylic acid showed its crystalline nature with the presence of sharp peaks at 2θ of 474 15°, 20°, 23° and 27°. The crystalline peaks from acetylsalicylic acid can be observed at the 475 same 2 θ positions of 15°, 20°, 23° and 27° in the diffractograms of the taste masked DL 476 formulations (DL MET:CAR Suc 1, DL MET:CS Asp 2 and DL CAR:CS Asp 3) suggesting 477 that the addition of sweeteners did not change the crystallinity of the acetylsalicylic acid 478

479 within the wafers.



484 Figure 3. XRD-transmission diffractograms of acetylsalicylic acid, sucralose, aspartame and taste masked DL formulations (DL MET:CAR Suc
485 1, DL MET:CS Asp 2 and DL CAR:CS Asp 3.

486 **3.8** Attenuated total reflectance – Fourier transform infrared (ATR-FTIR)

Figure 4 (a) shows the ATR-FTIR spectra of pure sucralose, aspartame, 487 acetylsalicylic acid and physical mixtures of acetylsalicylic acid with aspartame and 488 acetylsalicylic acid with sucralose. Sucralose is manufactured by the selective chlorination of 489 sucrose, in which three of sucrose's hydroxyl groups are substituted with chlorine atoms. 490 Dextrose and maltodextrin are used as bulking agents and are the major components of the 491 492 sweetener. The IR spectrum contains a broad band near 3258 cm⁻¹ due to the hydrogen bonded hydroxyl (O-H) groups in the structure. There are C-H symmetric and asymmetric 493 stretching bands between 2800 and 3000 cm⁻¹ and a series of bands between 1200 and 650 494 cm⁻¹ which are the result of vibration of the C-O, C-C and C-O-H groups of the sugar. The 495 spectrum of aspartame was similar to that of sucralose as the major components of both 496 sweeteners is maltodextrin. 497



500 Figure 4a. ATR-FTIR spectra of pure acetylsalicylic acid, sucralose, aspartame, and physical

501 *mixtures of* acetylsalicylic acid *with the two sweeteners*.

502

The IR spectra of acetylsalicylic acid which has three functional groups, a benzene ring (aromatic group), a carboxylic acid (COOH) group and an ester (R-C=O-O-R) group is also shown in Figure 4a. The broad and wide peak from 2500 to 3300 cm⁻¹ represents the carboxylic acid (COOH) part of the molecule. The aromatic functional group is represented by the sharp peak for the C-H stretch around 1710-1780 cm⁻¹, a medium peak around 1500-1700 cm⁻¹ and a carbonyl C=O group stretch around 1710-1780 cm⁻¹. The ester group is represented by a C=O stretch at 1735-1750 cm⁻¹.

Figure 4 (b) shows the ATR-FTIR of taste masked DL formulations (DL MET:CAR 510 511 Suc 1, DL MET:CS 1:3 Asp 2 and DL CAR:CS Suc 3). It can be observed that there was interaction of acetylsalicylic acid with the polymers as well as with the sweeteners by the 512 2500 cm⁻¹ to 3300 cm⁻¹ band representing the COOH group. This interaction is shown by the 513 shifting of the peaks to a high wavenumber and the reduced peak intensity between 1710 -514 1780 cm⁻¹ (aromatic group) for acetylsalicylic acid. The bands at 1223 cm⁻¹ and 843 cm⁻¹ 515 were attributed to O-S-O symmetric vibration and the band at 925 cm⁻¹ demonstrated the 516 existence of C-O-C of the 3,-anhydro-D-galactose for CAR. The bands around 3389, 1036 517 cm⁻¹ were related to O-H and C-O stretch. 518



Figure 4b. ATR-FTIR spectra of taste masked DL formulations (DL MET:CAR Suc 1, DL
MET:CS Asp 2 and DL CAR:CS Asp 3).

The intense band at 1625 cm⁻¹ was related to the deformation of hydrogen bond in water and described as water deformation band (Farias & Boateng, 2018). The interaction is also confirmed by the presence of C-H symmetric and asymmetric stretching bands between 2800 and 3000 cm⁻¹ and a series of bands between 1200 and 650 cm⁻¹ which is a result of vibration of the C-O, C-C and C-O-H groups of the sugars (dextrose and maltodextrin) present in the sweeteners within the taste masked DL formulations.

528

529 **3.9** *In vitro* drug release

The drug dissolution study was carried on samples that were optimized from the *invivo* taste masking evaluation using SS at pH 6.8 ± 0.1 as observed in Figure 5.



532

Figure 5. Drug dissolution profiles of selected optimized taste masked DL formulations (DL
MET:CAR Suc 1, DL MET:CS Asp 2 and DL CAR:CS Asp 3) in SS at pH 6.8 ± 0.1 (mean ±
SD, n = 3).

536

The mean percent released was 63.9 %, 89.1 % and 81.1 % for DL MET:CAR Suc 1,
DL CAR:CS Asp 3 and DL MET:CS Asp 2 respectively, in the first 20 minutes. The DL
MET:CAR Suc 1 wafers achieved maximum release of 99.1 % in 60 minutes, while the DL
CAR:CS Asp 3 and DL MET:CS Asp 2 wafers achieved maximum release of 99.5 and 99.3
% respectively in 40 minutes. DL MET:CAR Suc wafers were able to release the drug for a
longer period. This is due to the MET in the formulations which helps to increase the

viscosity and density of the formulations, thus slowing drug diffusion and release. When

comparing the taste masked DL formulations with the BDL formulations with no sweeteners 544 from previous studies (Farias & Boateng, 2018), it can be observed that the % release in SS 545 increased with the addition of sweetener. For example, DL MET:CAR Suc 1 had a percent 546 release of 63.9 % at 20 minutes and the respective BDL formulation had a percent release of 547 14.5 %. Addition of sweetener in the formulation enhanced the rate of drug release from the 548 polymeric systems and this is because sucralose and aspartame are highly water soluble 549 materials which allowed a faster ingress of dissolution medium into the wafers and 550 subsequent rapid hydration, swelling and drug diffusion from the swollen matrix and its 551 552 subsequent erosion.

The observed drug release period appears to be a relatively long time for buccal absorption. However, once the wafer becomes fully hydrated, it is expected to form a flowing gel, which will then be mixed with saliva and the patient can unconsciously swallow more readily. This is an important advantage for geriatric patients with dysphagia, who will typically struggle to swallow solid or even semi solid formulations. Since acetyl salicylic acid is stable in the gastric acid, eventual swallowing is not expected to be a limitation.

559

560 **3.10 Permeation studies**

561 $3.10.1 EpiOral^{TM}$ permeation studies

EpioralTM has been previously used in the permeation studies of lyophilized thiolated 562 chitosan xerogels for buccal delivery of insulin (Boateng, et al., 2014). In their study the 563 permeation parameters of insulin for the optimized drug loaded chitosan xerogels through the 564 EpioralTM was determined. Another study which used the EpioralTM was reported by Giovino 565 and co-workers, who developed an integrated buccal delivery system combining chitosan 566 567 films impregnated with insulin loaded PEG-b-PLA nanoparticles (Concetta, et al., 2013). EpioralTM was also used by Brian Keyser and colleagues, for the development of 3D human 568 569 oral tissue model for oral permeation of smokeless moist snuff (Keyser, et al., 2018). The cumulative permeation curves of the optimised taste masked DL wafers using EpiOralTM 570 buccal tissue are shown in Figure 6. The permeation flux (J) of acetylsalicylic acid released 571 from the optimised wafers are shown in Table 4. 572





575 Figure 6. Cumulative permeation curve of optimized DL wafers using EpiOralTM buccal 576 tissue ($n = 3, \pm SD$).

578 The highest cumulative permeation within 4 hours and permeation flux (J) was observed for BDL MET: CAR wafer with the maximum cumulative permeation of 1440 \pm 579 1.0 μ g/cm² and permeation flux (J) of 360 \pm 0.3 μ g/cm²/h. The BDL MET: CS wafers 580 demonstrated the lowest cumulative permeation and permeation flux within 4 hours, with a 581 maximum cumulative permeation of $90 \pm 2.7 \,\mu\text{g/cm}^2$ and permeation flux (J) of 22.4 ± 6.7 582 $\mu g/cm^2/hr$ which were significantly different (p < 0.05) from the other two formulations. Due 583 to the high cost of EpiOralTM tissue and associated budgetary constraints for the current 584 research, it was not possible to test permeation for the corresponding taste masked 585 586 formulations, therefore, both sets of optimized formulations (taste masked and non-taste masked wafers) were further tested for permeation using cheaper ex vivo porcine model and 587 artificial buccal membrane barriers (PermeapadTM) which was freely donated. 588 589 590

	Formulation	Flux (J) ($\mu g/cm2/h$) (mean \pm SD, $n = 3$)
	BDL MET: CAR	360.0 ± 0.3
EpiOral TM	BDL MET: CS	22.4 ± 6.7
	BDL CAR: CS	129.3 ± 7.5
	DL MET:CAR Suc 1	19.5 ± 1.4
Porcine tissue	DL CAR:CS Asp 3	7.9 ± 0.7
	DL MET:CS Asp 2	5.9 ± 1.9
	DL MET:CAR Suc 1	14.7 ± 1.5
Permeapad TM	DL CAR:CS Asp 3	18.0 ± 5.1
	DL MET:CS Asp 2	9.1 ± 0.5

591 Table 4. Permeation flux (J) for optimized DL wafers from porcine tissue, PermeapadTM and 592 $EpiOral^{TM}$ buccal tissue.

594 *3.10.2 Ex-vivo permeation studies*

The cumulative permeation curve of the optimized taste masked DL wafers using 595 porcine buccal tissue are shown in Figure 7 and the permeation flux (J) values are shown in 596 Table 4. The taste masked DL wafers in general showed a significantly (p < 0.05) lower 597 cumulative permeation than the corresponding BDL (non-taste masked) formulations. The 598 599 highest cumulative permeation and permeation flux (J) was shown for DL MET:CAR Suc 1 with the maximum cumulative permeation of $78.0 \pm 5.5 \,\mu g/cm^2$ within 4 hours and 600 permeation flux (J) of $19.5 \pm 1.4 \,\mu \text{g/cm}^2/\text{h}$ while the lowest cumulative permeation and 601 permeation flux (J) was shown for optimized taste masked DL MET:CS Asp 2 with the 602 maximum cumulative permeation of $24 \pm 7 \,\mu g/cm^2$ within 4 hours and permeation flux (J) of 603 $5.9 \pm 1.9 \,\mu g/cm^2/h$. 604



606

Figure 7. Cumulative permeation curve of optimized taste masked DL wafers using porcine buccal tissue ($n = 3, \pm SD$).

609

610 3.10.3 PermeapadTM permeation studies

The cumulative permeation curves of the optimized taste masked DL wafers using 611 PermeapadTM are shown in Figure 8 and the permeation flux (J) of acetylsalicylic acid are 612 shown in Table 4. The highest cumulative permeation within 4 hours and the permeation flux 613 (J) was observed for DL CAR:CS Asp 2 wafers with the maximum cumulative permeation of 614 $59 \pm 6 \,\mu\text{g/cm}^2$ and permeation flux (J) $14.7 \pm 1.5 \,\mu\text{g/cm}^2/\text{h}$ for DL MET:CAR Suc 1. 615 Optimized DL MET:CS Asp 2 wafers demonstrated lowest cumulative permeation within 4 616 hours and permeation flux (J), with a maximum cumulative permeation of $36 \pm 2 \mu g/cm^2$ and 617 permeation flux (J) $9.1 \pm 0.5 \,\mu g/cm^2/h$. 618



624

622 Figure 8. Cumulative permeation curve of optimized taste masked DL wafers using 623 PermeapadTM artificial barrier ($n = 3, \pm SD$).

Generally, the rates of hydration, swelling, release of acetylsalicylic acid from the 625 formulations and mucoadhesion played a role on the permeation flux via the three different 626 permeation models employed (EpiOralTM, porcine buccal tissue and PermeapadTM artificial 627 buccal membrane). EpiOralTM buccal tissue demonstrated a higher flux than porcine buccal 628 tissues which can be attributed to fatty tissues beneath the porcine buccal mucosa tissue. On 629 the other hand, PermeapadTM artificial barrier demonstrated a lower flux than porcine and 630 EpiOralTM buccal tissues. Though PermeapadTM demonstrated lower cumulative permeation 631 than the other buccal permeation models tested, the results indicated that PermeapadTM is well 632 suited as a cheap alternative for fast and reliable preliminary prediction of passive drug 633 634 permeability (Bibi, et al., 2015). Moreover, the investigated biomimetic barrier has been proven to maintain its functionality over time and in different pH environments (di Cagno, et 635 al., 2015), making it a useful addition to the *in vitro* permeation testing tool kit. The reason 636 for the low permeation and permeation flux (J) in the taste masked DL formulations is 637 638 confirmed by the SEM results in section 3.6, which showed that the taste masked DL wafers were more compacted with crystals of excess acetylsalicylic acid and maltodextrin distributed 639 640 over their surfaces, blocking the wafer pores.

642 **3.11 MTT assay**

There are many factors involved in determining the successful and safe application of 643 polymers as drug carriers in humans, with toxicity being an important factor (Khan, et al., 644 2016). Tissue viability was assessed using 3-[4,5-dimethylthiazol-2-ul]-2,5 diphenyl 645 tetrazolium bromide (MTT) cytotoxicity testing for pure polymers, acetylsalicylic acid, 646 optimized BLK and DL formulations previously reported in (Farias & Boateng, 2018) and the 647 taste masked acetylsalicylic acid loaded formulations. This is a reduction assay where yellow 648 MTT is reduced to purple formazan primarily by the action of enzymes which are located 649 650 inside the mitochondria of the viable cells (Koschier, et al., 2011). Figure 9 shows the respective cell viability data for the samples described when exposed to Vero cells as 651 measured by MTT assay after 24 hours. Triton-X-100 (positive control) killed 90% of cells 652 compared with untreated cells (negative control) after 24 hours of exposure. Data for 72 653 hours incubation are not shown as they were similar to that after 24 hours, which is a more 654 655 ideal exposure time for buccal delivery.

656



657

658 *Figure 9. MTT assay results, showing cell viability of pure polymers and pure drug, BDL*

659 *loaded formulations (non-taste masked), their respective blank (no* acetylsalicylic acid

660 *loaded*) wafers, taste masked DL wafers, Triton-X-100 and untreated cells (mean \pm SD, n = 661 3) after 24 hours of incubation.

The results show a clear profile of the cytotoxicity of the pure materials, and the various
formulations on adherent mammalian cells with greater than 70% cell viability in all cases.
This confirms that the pure polymers, pure acetylsalicylic acid and the drug released from the
taste masked DL wafers were non-toxic and can be employed for geriatric drug delivery
(Moritz, et al., 2014). This study confirms that acetylsalicylic acid poses no physical threats
to endothelial cells when used for potential buccal application in geriatric patients compared
with the known toxic Triton-X-100.

In addition to MTT cell viability testing, mucosal irritation caused by formulations 670 671 meant for local application to the buccal mucosa is important. However, the wafers were designed and formulated using well known and FDA approved mucoadhesive GRAS 672 (generally regarded as safe) polymers of high viscosity with greater flexibility and optimum 673 chain length to avoid mucosal irritation. Among the various mucoadhesive drug delivery 674 systems, buccal wafers and films are better than oral gels due to relatively longer residence 675 676 time, more flexibility to cover the buccal mucosa and better comfort (Semalty, et al., 2010). Furthermore, the neutral environment of the mouth allows for administration of acidic drugs 677 678 such as acetylsalicylic acid (Ribeiro Costa, et al., 2019). The salivary pH varies from 5.5 to 7.0 and the wafers produced would therefore not be expected to produce any local irritation to 679 680 the mucosal surface upon application (Kassem, et al., 2015). However, this will require further investigation in the future in the form of mucosal irritation test, to confirm it 681 definitively. 682

683

684 **4.** Conclusions

The functional properties of taste masked DL wafers for geriatric delivery, have been 685 686 characterized. Wafers comprising sucralose and aspartame showed higher hardness compared 687 to their corresponding non-taste masked wafers which was reflected in the SEM, swelling 688 capacity and porosity results. However, adding the sweeteners increased the rate of release compared to the BDL formulations. Both sucralose and aspartame showed similar effect in 689 masking the bitter taste of acetylsalicylic acid while MET:CAR and MET:CS wafers showed 690 more palatability and acceptance because of MET's known taste masking properties. The 691 wafers showed enough drug permeability after release of acetylsalicylic acid through 692 EpiOralTM, porcine buccal tissues and artificial PermeapadTM membrane, which is expected 693 to ensure therapeutic bioavailability and therefore a potentially useful alternative to oral 694 tablets. MTT assay showed that all the wafers were safe for continuous attachment in the 695 696 cheek region and therefore suitable for geriatric patients. Taste masked DL formulations (DL

- 697 MET:CAR Suc 1, DL CAR:CS Asp 3 and DL MET:CS Asp 2) are very promising systems
- 698 for the delivery of low dose acetylsalicylic acid to geriatric patients with dysphagia.
- 699

700 **Conflict of interest**

- 701 The authors report no conflict of interest
- 702

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