

1 **Determinants of smallholder farmers’ maize grain storage protection**
2 **practices and understanding of the nutritional aspects of grain postharvest**
3 **losses**

4 **Short title: Grain protection practices and postharvest nutritional loss**

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15

16 **Abstract**

17 Poor storage methods lead to high postharvest losses in maize, an essential staple in sub-
18 Saharan Africa. Smallholder farmers’ knowledge and awareness of postharvest nutritional
19 losses (PHNLs), practices regarding maize grain storage, and factors influencing use of
20 improved storage protection practices were investigated in two districts in Zimbabwe through
21 a cross-sectional field survey of 331 households randomly selected from lists of farmers’ names
22 kept by local extension staff. A multistage sampling technique was used involving purposively
23 selecting the study districts then randomly selecting the study wards, the villages and the
24 households. Twenty eight key informant were purposively selected being officers and
25 stakeholders working or residing in the two districts and involved in postharvest and nutrition
26 issues. The most commonly used storage practices were the admixture of maize grain with
27 synthetic grain protectant pesticides followed by storage of untreated grain in polypropylene
28 bags. Highly toxic pesticides, such as Cabaryl 85 WP and Acetamiprid 20 SP, which are not
29 registered for stored food grain treatment, were being applied by 14.6% of the farmers to
30 protect their grain from insect attack. We developed a PHNL knowledge index that measured
31 farmers’ nutritional knowledge and awareness of PHNL. Level of education and district
32 positively correlated with farmers’ PHNL knowledge ($p < 0.05$), whereas the opposite was

33 found for farmers' age ($p < 0.05$). Multinomial logistic regression analysis showed that use of
34 grain storage protection practices was positively related to farmers' age, total maize grain
35 production, education level and PHNL knowledge ($p < 0.05$). Older farmers were less likely to
36 use non-recommended chemicals to protect their maize grain during storage. Farmers'
37 education level and total maize grain production were positively associated with higher use of
38 synthetic pesticides, while PHNL knowledge was associated with the use of traditional grain
39 protectants ($p < 0.05$). Training on grain storage management, especially safe grain storage
40 protection practices and PHNLs, is essential to contribute towards household food and nutrition
41 security.

42

43 **Key words:** Grain storage, Improved storage practices, Nutritional losses, Postharvest
44 nutrient loss index, Nutrition security

45

46 1. Introduction

47 Maize is a staple food crop in sub-Saharan Africa (SSA) and forms a substantial part of most
48 meals. Maize grain plays a key role as a food security crop and supplies most of the calories
49 for millions of people across SSA (Afzal et al., 2009; Nuss and Tanumihardjo, 2010). As maize
50 proteins are deficient in several amino acids (e.g., lysine and tryptophan) (Nuss and
51 Tanumihardjo, 2010), maize-based diets need complementing with other foods. Where
52 quantitative and qualitative postharvest losses (PHLs) occur, the nutritional value of the grain
53 can be reduced (Garbaba et al., 2017; Stathers et al., 2020). Despite being the main producers
54 of food on the sub-continent, smallholder farmers in SSA often grapple with poverty and food
55 insecurity (Sheahan and Barrett, 2017). The majority of smallholder farmers in SSA live below
56 the poverty line of US\$2 per day (FAO, 2019). Effective postharvest practices and technologies
57 can help minimise both quantitative and qualitative losses of maize grain.

58 The average annual PHLs of maize in SSA range from 10-20% (World Bank et al., 2011;
59 APHLIS, 2020). High PHLs contribute to food and nutritional insecurity, especially losses
60 incurred during storage when insects, rodents or fungi consume various components of kernels
61 leading to a loss in weight and ultimately nutrients. Additionally, studies in Zimbabwe have
62 found a high occurrence of mycotoxins (AFB_1 and FB_1) in maize (Hove et al., 2016; Murashiki
63 et al., 2018), respectively. Murashiki et al. (2018) investigated the effectiveness of different
64 types of storage containers in limiting aflatoxin B₁ (AFB_1) and fumonisin (FB_1) in stored maize
65 grain in Zimbabwe. Occurrence of AFB_1 was lower in grain stored in hermetic storage

66 containers than conventional stores, whereas occurrence of FB₁ was not affected by the type of
67 storage container used (Murashiki et al., 2018).

68 Postharvest quality loss due to fungal infestations and associated mycotoxins is a well-
69 recognised global issue (Ayalew et al., 2016). Comparatively little work has focused on
70 measuring the value of insect-mediated PHLs in quality (Hodges, 2013; Affognon et al., 2015).
71 Although fungal infestations can be difficult to determine due to the invisibility of mycotoxins;
72 a Kenyan study found farmers placed a large premium on maize they had grown themselves
73 relative to that available for purchase on the market without knowing the mycotoxin
74 contamination status (Hoffman and Gatobu, 2014). Another study in Kenya found farmers
75 producing maize for the market under-invested in quality relative to farmers producing for
76 home consumption (Hoffman, 2018). Although visible quality losses do lead to price discounts
77 at market (Kadjo et al., 2016; Jones et al., 2016), and can thus impact on household income as
78 well as nutrition (Stathers et al., 2020).

79 Many smallholder farmers focus on reducing the quantitative PHLs but do not pay attention to
80 qualitative losses that can further reduce the nutritional value of maize (Sheahan and Barrett,
81 2017; Garbaba et al., 2017; Stathers et al., 2020). The invisibility of some indicators of
82 postharvest nutrient loss can make it challenging to identify. For example, grain kernels may
83 be whole and physically undamaged, but with reduced nutritional value (e.g. loss of fat and
84 lipophilic vitamins such as vitamins A and E) due to oxidation during long-term storage at
85 ambient temperatures (Golob, 2002; Dubale et al., 2012). A decrease in carbohydrates and fats
86 in insect-infested maize due to consumption of the endosperm of the grain by storage insect
87 pests was observed by Garbaba et al. (2017) in Ethiopia. In the same study, dietary fibre and
88 minerals increased in infested grain as these nutrients are mostly concentrated in the bran and
89 germ of the grain. A knowledge gap exists with regards to many of aspects of postharvest
90 nutrient loss as some indicators of quality loss such as bad taste, discolouration, insect grain
91 perforations, mould growth and bad smell are easily discernible but are difficult to correlate
92 directly with nutritional loss. Improper storage facilities may lead to insect infestation and
93 oxidation of grain, causing postharvest losses in the nutritional value as well in other quality
94 loss parameters (Shee et al., 2019).

95 Awareness is defined as the ability to directly know and perceive, to feel, or to be cognisant of
96 events (Rogers, 2003). Awareness influences decision-making; for instance, farmers who are
97 aware of the need to maintain grain quality to conserve its nutritional value may adopt

98 improved storage practices to mitigate losses. However, the adoption or use of improved
99 practices will also depend on the availability, affordability, appropriateness of the technologies,
100 and ability to apply the practices, among other factors.

101 Optimal crop production aims to address many factors, including, germination, tolerance to
102 field drought and pest and disease damage, maximizing of grain yield, maturity periods, taste
103 and minimizing of environmental damage and PHLs. To minimise PHLs, it is important to
104 evaluate farmers' knowledge regarding PHLs and identify where interventions are needed
105 (Amponsah et al., 2018). In studying rice farmers in Ghana, Amponsah et al. (2018) found that
106 most farmers (98%) were fully aware of the causes and opportunities to reduce PHLs, yet they
107 continued to sustain high losses. This suggests other factors, beyond knowledge, contribute to
108 postharvest loss (Barham et al., 2004; Bokusheva et al., 2012). Smallholder farmers in
109 Zimbabwe reported obtaining their agricultural knowledge from their own experiences and that
110 of their friends and family, and through training by government agricultural extension staff,
111 different research institutions and Non-Governmental Organisations (NGOs) (Nyabako et al.,
112 2020). Measuring farmers' knowledge levels regarding postharvest nutrient loss (PHNL) is
113 complex, and there is a dearth of data on this topic. The development of a PHNL knowledge
114 index - building on other nutritional knowledge indices such as those described by Yahia et al.
115 (2016), Asakura et al. (2017), and Valmórbida et al. (2017) - could help in obtaining estimates
116 of this knowledge-base.

117 While significant effort has gone into the development and dissemination of PHL reduction
118 technologies, high losses continue to be recorded in SSA, prompting the need to review factors
119 affecting the adoption and use of postharvest technologies (Mvumi and Stathers, 2003;
120 Atibioke et al., 2012; Bokusheva et al., 2012; Villane et al., 2012; Gbénou-Sissinto et al., 2018).
121 In a project that facilitated the manufacture and dissemination of metal silos, a post-project
122 adoption analysis showed that regional policies, age of the head of household, land ownership
123 and production self-sufficiency were the main determinants of adoption (Bokusheva et al.,
124 2012). The objectives of the current study were: (i) to examine the knowledge and practices of
125 smallholder farmers with regards to maize grain storage and postharvest nutrient losses; and
126 (ii) to identify the factors influencing the use of improved grain storage protectant practices in
127 the Zambezi Valley of northern Zimbabwe.

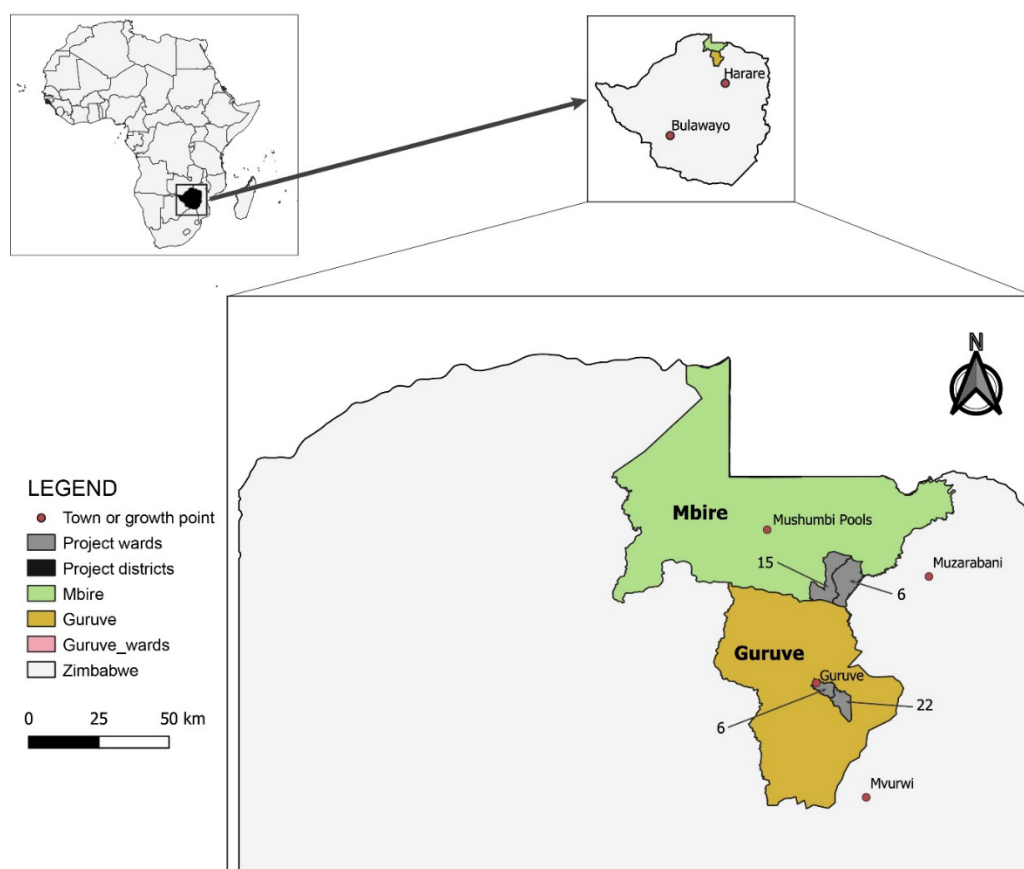
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130 **2. Materials and Method**

131 **2.1 Study sites**

132 The survey was conducted in October 2017 in two purposively selected districts of Zimbabwe,
 133 Guruve (16.6609° S, 30.7034° E) and Mbire (20°43'60" S and 30°34'60" E) (Figure 1), which
 134 differ with respect to agro-ecologies and socio-economies. Selection of the two districts
 135 capitalized on previous postharvest management activities that had been implemented in the
 136 districts, upon which rapport had already been built with the district leadership. Therefore, it
 137 was easy for the research team to mobilise and organise the communities for the study with the
 138 help of the local leadership. Guruve represents a cooler climate with temperatures between 10
 139 and 32°C and is within the relatively wetter zone with average annual rainfall of 775.5 mm
 140 (Moyo, 2000). Mbire is characterised by low annual rainfall (below 450 mm) and high
 141 temperatures between 32 and 42°C (Mugandani et al., 2012). Mbire is a relatively remote area
 142 about 250 km from the capital city of Harare, with mostly dirt roads. Guruve has better access
 143 to road networks, markets and information than Mbire district (Nyabako et al., 2020).



144
 145 **Fig. 1** Location of study districts and wards, Guruve (Ward 6 and 22) and Mbire (Ward 6 and
 146 15), Zimbabwe

147

148 **2.2 Target population, sample size and sampling techniques**

149 A multi-stage sampling procedure with three levels (ward, village and household) was used to
150 select household participants. A ward is an administrative division of a district. In each district,
151 two wards were randomly selected using stratified random sampling technique with assistance
152 from the district extension officers and District Development Coordinator (DDC) taking into
153 consideration agro-ecological regions and socio-economic characteristics. Guruve and Mbire
154 districts have 12 and 17 wards, respectively. A list of all the villages and households in the
155 selected wards was obtained from the local agricultural extension officers and eight villages
156 from each of the four wards were randomly selected using random numbers generated in
157 Microsoft Excel (2016). The same procedure was used to select 12 households per village to
158 give a total sample size of 96 households per ward i.e. 384 households between the two
159 districts. However, due to non-response and inaccessibility of some households, it was only
160 possible to interview 331 households (171 in Guruve district and 160 Mbire district).

161 **2.3 Data collection methods**

162 The survey was conducted through face-to-face individual interviews with household heads
163 and with key informants using a structured questionnaire, and focus group discussions (FGDs)
164 using a checklist. The survey tools were jointly developed by the team from the University of
165 Zimbabwe and the Natural Resources Institute of the University of Greenwich and
166 implemented by the former. In each district, four FGDs were conducted with each group
167 comprising either 15 women or men. Men and women were separated to capture gender
168 differences and facilitate more open discussion and sharing of views. A total of 331 farming
169 households were interviewed by trained enumerators who spoke the local language and knew
170 the study areas well. The questionnaires were administered in the local vernacular (Shona).
171 Fourteen key informant interviews (KIIs) were conducted in each of the two districts giving a
172 total of 28 KIIs. The key informants were selected based on their designation, working
173 experience in the district, area of specialisation, link to farming activities, and knowledge of
174 nutrition and public health – medical and public health practitioners. The informants included
175 officers from Government of Zimbabwe departments (Health, Agriculture, Education and
176 Social Welfare), local leadership, Non-Governmental Organisations (NGOs), quasi-state
177 organisations and local agro-dealers. The selected key informants included agricultural
178 extension officers (4), nutritionists (1), environmental health practitioners (2), nurses (6), social

179 workers (2), agro dealers (3), agronomists (2), councilors (2), village heads (4) and NGOs
 180 representatives (agronomist and nutritionist) (2) per district.

181 The survey tools (structured and semi-structured) questionnaires were pre-tested before
 182 conducting the actual survey as a means of validation. Five enumerators participated in the pre-
 183 testing of the tools in each of the two districts. The enumerators' experiences and feedback
 184 were used to adjust the tools before the actual survey was conducted.

185

186 **2.4 Data management and analysis**

187 Household survey data were collected and entered into the CS Pro 7.3.1 application (2019),
 188 while statistical analysis was performed using IBM SPSS version 16 and Stata 14 software.
 189 Descriptive statistics (means, frequencies and percentages) were generated for all variables.
 190 The analysis included cross-tabulations, calculation of frequencies and Chi-square tests of
 191 association. Qualitative variables from KIIs and FGDs were analysed by summarising
 192 responses from different respondents and grouping the information into thematic areas.

193 To evaluate the PHNL knowledge of farmers, a PHNL knowledge index was computed based
 194 on the response from farmers. Farmers may acquire PHNL knowledge from their own
 195 postharvest handling experience or in some cases, through training events organised by
 196 different research and extension institutions and NGOs working in both the technical and
 197 geographical areas. To our knowledge, no information on the construction of a PHNL
 198 knowledge index or score was available, and so we borrowed from the literature on index
 199 construction from other disciplines. Following work by Earnest et al. (2015) and Australian
 200 Bureau of Statistics. (2006), a knowledge index was constructed by combining different
 201 components relating to knowledge about aspects of the focal topic, which in this case was
 202 PHNL. A structured and iterative process was used to identify and include important variables
 203 on PHNL for inclusion in the final PHNL knowledge index (Parmenter and Wardle, 1999;
 204 Singh and Hensel, 2014; Earnest et al., 2015; Yahia et al., 2016; Asakura et al., 2017;
 205 Valmórbida et al., 2017; Zhibing et al., 2021).

206 We considered the following factors and numerical scores:

207 (1) Farmer's general knowledge on nutrients, the respondents able to explain what nutrients
 208 are would get a score of 1, otherwise a 0 score was given; (2) Knowledge and ability to name
 209 any of the specific nutrients present in maize grain. The sum of correct nutrients known by the

210 farmer was scored from 0 to 5, with a maximum score of 5 given if five or more nutrients were
 211 named; (3) Knowledge of any indicators of postharvest nutrient change in produce. The sum
 212 of nutrient change indicators known by the farmer was scored from 0 to 6. Such indicators
 213 included, bad smell, strange colour, insect feeding noise, physical loss of part of the grain, bad
 214 taste and grain floating in water; and (4) Knowledge and ability to name the life-stage group
 215 most affected by nutrient deficiencies in the community. The maximum score of 3 was given
 216 when the following three groups were identified: children, pregnant women, and the elderly.

217 The weighted score (w_w) for each factor was calculated by dividing the attained score by the
 218 range as shown in Equation 1. The PHNL knowledge index weighted score was computed by
 219 multiplying each score in the set by its weight, then adding up the products as shown in equation
 220 2.

$$221 \quad w_w = \frac{\text{actual score}}{\text{range}} = \frac{\text{actual score}}{\text{maximum score} - \text{minimum score}} \quad (\text{Equation 1})$$

222 Where w_w = weighted score for each factor

223

$$224 \quad PHNLS_i = \sum (w_w W_i + w_x X_i + w_y Y_i + w_z Z_i) \quad (\text{Equation 2})$$

225 where $PHNLS_i$ = Postharvest nutritional loss knowledge score, $W_i = (0,1)$ knowledge of
 226 farmers on nutrition, $X_i = (0, 1, \dots, 5)$ sum of nutrients known, $Y_i = (0, 1, \dots, 6)$ knowledge of
 227 indicators of postharvest nutrient change, and $Z_i = (0, 1, 2, 3)$ knowledge of life-stage groups
 228 most affected by nutrient deficiencies. w_w = weighted score for knowledge of farmers on
 229 nutrition, w_x = weighted score for sum of nutrients known, w_y = weighted score for knowledge
 230 of indicators of postharvest nutrient change, w_z = weighted score for knowledge of life-stage
 231 groups most affected by nutrient deficiencies.

232 The weighted PHNL knowledge score was used as a continuous variable in the analysis of data.
 233 Ordinary least squares (OLS) regression was performed to evaluate the determinants of PHNL
 234 knowledge (Zdaniuk, 2014). To evaluate the factors influencing farmers' use of different
 235 storage practices, a multinomial logistic regression analysis model was used following
 236 Mustapha et al. (2017), Bandara and Thiruchelvam. (2008) and Ojo et al. (2013). Multinomial
 237 regression is used to model nominal outcome variables, in which the log odds of the outcomes
 238 are modelled as a linear combination of the predictor variables (Acock, 2012; Peng et al., 2002).

239 This model was appropriate to test the hypothesis behind the aforementioned objective given
240 the nature of the dependent variable which has four categories of farmers. The basal group was
241 farmers who did not use any treatment to protect their grain, and the other categories were those
242 using synthetic grain protectant pesticides, those using traditional storage protectant practices,
243 and those using chemicals not recommended as grain protectants or for use by smallholder
244 farmers.

245 Synthetic grain protectant pesticides are registered chemicals formulated to kill storage insects
246 and they require investment. Directions for using the synthetic pesticides e.g., application rates
247 and methods, are given on the label and should be followed carefully to ensure safety and
248 efficacy. The traditional storage protectant practices included the mixing of ash or indigenous
249 pesticidal plants with grain, sunning of the grain, and rodent trapping. The chemicals not
250 recommended as grain protectants or for use by smallholder farmers included fumigants and
251 highly toxic chemicals typically used in cotton production, such as Carbaryl 85 WP. The no
252 treatment category referred to farmers who do not apply anything to their maize grain prior to
253 loading it into a polypropylene bag. Farmers using hermetic grain storage technologies, such
254 as hermetic bags or metal silos were excluded as only 1% of farmers utilise these methods. The
255 potential explanatory variables included district, sex, age, farm size/land holding, total grain
256 production, education and PHNL knowledge.

257 Multinomial logistic odds and marginal effects were computed in STATA as described by Ojo
258 et al. (2013) and Danso-Abbeam and Baiyegunhi. (2018). The farmer's PHNL knowledge,
259 farm size, maize production level and age were treated as continuous variables in the model.
260 The education level of respondents was segmented into three groups: high (secondary
261 education and above i.e., 8 and above years of education), medium (standard, primary
262 education and Zimbabwe Junior Certificate i.e., less than 8 years of education) and low (no
263 formal education). This classification was adapted from that of the Organisation for Economic
264 Co-operation and Development (OECD) classification of educational level in which pre-
265 primary = 0; primary = 1; lower secondary level of education = 2; upper secondary level of
266 education = 3; post-secondary non-tertiary = 4; first stage of tertiary education = 5 (OECD,
267 1999). However, our study considered the education classes such as no formal education,
268 primary, Zimbabwe Junior Certificate (ZJC), secondary and tertiary education according to the
269 classification of the Zimbabwean government (OECD, 1999). Our study merged some classes
270 i.e. high (secondary and tertiary, medium (standard, primary and Zimbabwe Junior Certificate)
271 and low (no formal education).

272

273 For a dummy variable, the effect of switching from the designated zero group to the exposed 1
274 group was used. For example, for the female dummy variable, the computed marginal effect
275 shows the effect of switching the sex of household head from male (0) to female (1) and also
276 district dummy shows the effect of changing of the district from Guruve (0) to Mbire (1).

277 3. Results

278 3.1 Maize storage protection practices

279 Synthetic grain protectant pesticides were the most commonly used storage insect pest control
280 practice, and were used by 76.5% and 63.0% of households in Guruve and Mbire districts,
281 respectively (Table 1). Only 1% of the farmers in Guruve and Mbire used hermetic
282 technologies, such as hermetic grain storage bags or metal silos.

283 There was low usage of traditional storage protectant practices in Guruve and Mbire districts,
284 2.9% and 11.3%, respectively (Table 1). The traditional methods included the use of maize cob
285 ash, cow dung ash, *Eucalyptus* leaves, use of indigenous pesticidal plants and sunning on a
286 prepared area of ground (mud-plastered) or on plastic sheeting for storage insect pest control
287 and cats or traps for rodent control. Chemicals not recommended for maize grain storage were
288 being applied to stored grain by 17.7% and 11.3% of farming households in Guruve and Mbire,
289 respectively. These chemicals included Cabaryl 85 WP and Acetamiprid 20 SP (Acetamark) -
290 both of which are pesticides intended for use on cotton during the field growth stages - and the
291 phosphine fumigant (Aluminium Phosphide 57%). Smallholder farmers do not have
292 appropriate equipment or training to ensure safe application of the phosphine fumigant, which
293 requires for example, airtight facilities, personal protective equipment such as a respirator and
294 gloves, knowledge of how to apply and use fumigants, including understanding that fumigation
295 must never be done within a 100 m radius of any human habitation. Among the respondents,
296 2.9% and 14.4% did not add any treatment to their grain to protect it during storage in Guruve
297 and Mbire, respectively (Table 1).

298

299

300 **Table 1** Use of different maize grain storage protection practices by smallholder farmers in
 301 Guruve and Mbire districts, Zimbabwe

Storage protection practice	Percentage of farmers using each storage practice		
	Guruve (n=171)	Mbire (n=160)	Total (n=331)
Synthetic grain protectant pesticides ^a	76.5	63.0	70.0
Traditional ^b	2.9	11.3	6.9
Not recommended chemicals ^c	17.7	11.3	14.6
No treatment ^d	2.9	14.4	8.5

302 *Key: ^aSynthetic grain protectant pesticides are registered chemicals formulated to kill storage insect pests and*
 303 *they need to be purchased; ^bTraditional includes ash, indigenous pesticidal plants, sunning and traps; ^cNot*
 304 *recommended chemicals, are those not registered for use as food grain protectants; ^dNo treatment refers to*
 305 *grain that is loaded into polypropylene bags without first applying anything to protect it*
 306

307 3.2 Socioeconomic attributes of farmers using different maize storage practices

308 The proportions of those farmers using synthetic grain protectant practices or those using
 309 chemicals not recommended for stored-grain protection, were higher (56.3% and 62.5%,
 310 respectively) for Guruve district than in Mbire district (43.7% and 37.5%, respectively). Of the
 311 few farmers using traditional grain protectant practices or applying no treatment at all, a much
 312 higher proportion were in Mbire district (78.3% and 82.1%, respectively) than in Guruve
 313 district (21.7% and 17.9%, respectively) (Table 2).

314 The majority of the farmers using synthetic grain protectant pesticides had medium or high
 315 levels of education, 47.6% and 38.1%, respectively; while 37.5% and 43.8% of the farmers
 316 who were using chemicals not recommended as grain protectants had medium or high levels
 317 of education, respectively. Amongst the few farmers using traditional grain protectant
 318 practices, 43.5% had a low level of education. With regards to the farmers who did not treat
 319 their grain with a protectant prior to storage 53.6% had a medium level of education, while
 320 only 14.3% had a high level of education.

321 The age of respondents in the household interviews ranged from 20 to 95 years, with a mean
 322 age of 48 years. No significant difference in age ($P>0.05$) was observed among users of the
 323 four different storage practices (Table 2).

324 The mean total cropped land area of the respondents ranged from 1.55 to 1.89 ha. No significant
 325 difference in farm size was recorded among users of the four different storage practices. The
 326 respondents using synthetic pesticides or chemicals not recommended as grain protectants
 327 produced a significantly higher quantity of maize grain (1176 and 1199 kg, respectively)

328 (P<0.05) than those using traditional storage protectant practices or not treating their grain (524
 329 and 455 kg, respectively). The farmers using traditional storage protectant practices produced
 330 significantly (P<0.05) more grain (524 kg) than those not treating the grain (455 kg).

331 The mean weighted PHNL knowledge score ranged from 1.94 to 2.47 among the farmers using
 332 the four different storage practices. No significant difference in PHNL knowledge was
 333 observed among the farmers belonging to the four different storage practice groups (P>0.05)
 334 (Table 2).

335 **3.3 Determinants of farmers' PHNL knowledge**

336 Ordinary Least Squares (OLS) regression estimated the determinants of PHNL knowledge
 337 using explanatory variables such as age, education, location and gender. The model was
 338 statistically significant (F = 4.791). The model had three significant variables correlated with
 339 farmers' PHNL knowledge namely age, district and education. Farmers' age was negatively
 340 correlated with farmers' PHNL knowledge, as age of farmer increased, the level of farmers'
 341 PHNL knowledge decreased. The district dummy was significant, and positively correlated
 342 with farmers' PHNL knowledge. The farmers residing in Guruve district were more likely to
 343 have higher PHNL knowledge than those in Mbire district. Education had a significant and
 344 positive association with PHNL knowledge, the farmers with high level of education had high
 345 level of PHNL knowledge. The farmers' sex had no significant correlation with farmers' PHNL
 346 knowledge (Table 3).

347

348 **Table 2** Socioeconomic attributes and maize storage grain protection practices of farmers in
 349 Guruve and Mbire districts, Zimbabwe

	Maize storage grain protection practice			
	Synthetic pesticides ^a (N=231)	Traditional ^b (N=23)	Not recommended ^c (N=48)	No treatment ^d (N=28)
District (%)				
Guruve	56.3	21.7	62.5	17.9
Mbire	43.7	78.3	37.5	82.1
Sex (%)				
Male	57.1	56.5	58.3	64.3
Female	42.9	43.5	41.7	35.7
Education (%)				
Low	14.3	43.5	18.8	32.1
Medium	47.6	39.1	37.5	53.6
High	38.1	17.4	43.8	14.3
Age (mean years)	47 (20)	52 (20)	43 (16)	51 (20)
Farm size (mean hectares)	1.79 (0.29)	1.89 (0.34)	1.55 (0.73)	1.85 (0.17)
Grain production (mean kg)	1176 (1192)	524*** (541)	1199 (910)	455*** (583)
PHNL knowledge score (mean)	2.07 (1.29)	2.47 (0.92)	2.04 (1.09)	1.94 (1.27)

350 Notes: *** p<0.01

351 Figures in parenthesis are standard deviations

352 Key: ^aSynthetic grain protectant pesticides are registered chemicals formulated to kill storage insect pests and they require
 353 investment; ^bTraditional includes ash, indigenous pesticidal plants, sunning and rodent trapping; ^cNot recommended
 354 chemicals are those not registered for food grain protection; ^dNo treatment refers to grain that is loaded into
 355 polypropylene bags without first applying anything to protect it.

356 Education: **Low** (no formal education), **Medium** (standard, primary education and Zimbabwe Junior Certificate i.e., less
 357 than 8 years of education) and **High** (secondary education and above i.e., 8 and above years of education).

358 PHNL knowledge score – Postharvest nutrient loss knowledge score

359

360 **Table 3** Determinants of farmers’ PHNL knowledge on Ordinary least squares (OLS)
 361 regression

	<i>Dependent variable:</i>
	PHNL knowledge
Age	-0.008** (0.004)
District – Mbire	0.274** (0.138)
Education_High	0.546** (0.218)
Education_Medium	0.217 (0.193)
Sex – Female	0.005 (0.143)
Constant	2.021*** (0.317)
Observations	331
R ²	0.070
Adjusted R ²	0.056
Residual Std. Error	1.201 (df = 317)
F Statistic	4.791*** (df = 5; 317)

362 *Notes: *** p<0.01, ** p<0.05, * p<0.1*

363 *Figures in parenthesis are standard errors*

364 *PHNL knowledge – Postharvest nutrient loss knowledge*

365 *Education: Low (no formal education), Medium (standard, primary education and Zimbabwe Junior Certificate i.e. less than*
 366 *8 years of education) and High (secondary education and above i.e. 8 and above years of education).*

367

368 **3.4 Determinants of smallholder farmers’ maize grain storage protectant practices**

369 A multinomial logit model was applied to estimate the factors associated with the use of
 370 different grain storage practices. The results of the multinomial logistic regression model
 371 marginal effects are shown in Table 4. The reference category of the dependent variable was
 372 farmers using no treatment to protect their maize grain.

373 The model had four significant variables in accounting for the probability to use the different
 374 storage practices. These variables were age, total grain produced, education and PHNL

375 knowledge. District (location), sex and farm size had no significant association with the use of
376 the storage practices (Table 4).

377 A one-year increase in a farmer's age was found to be associated with a 0.2% decreased
378 likelihood of their use of non-recommended chemicals as grain protectants (Table 4). The use
379 of commercial synthetic grain protectant pesticides was positively correlated with farmer's
380 total maize production (Table 4). A one-unit increase in the quantity of maize produced by a
381 farmer was more likely to increase the use of synthetic grain protectant pesticides.

382 The likelihood of using synthetic grain protectant pesticides or traditional storage protection
383 practices was found to increase by 20.8% and 8.5%, respectively, as farmers' education
384 increased from a low to a high level. In terms of PHNL knowledge, one-unit increase in PHNL
385 knowledge was associated with a 2.3% increased likelihood of using traditional storage
386 practices (Table 4).

387

388

389 **Table 4** Factors associated with the use of different maize grain storage protectant practices
 390 based on marginal effects of multinomial logit regression (basal group is those using no
 391 treatment)

	Dependent variable (Storage practice)					
	Synthetic pesticides		Traditional		Non-recommended chemicals	
	<i>dy/dx</i> (se)	z	<i>dy/dx</i> (se)	z	<i>dy/dx</i> (se)	z
District_Mbire	-0.087 (-0.06)	-1.394	0.048 (-0.04)	1.307	-0.04 (-0.05)	-0.811
Sex_Female	0.03 (-0.05)	0.552	0.005 (-0.03)	0.155	-0.012 (-0.04)	-0.281
Age	0.002 (0.000)	1.403	0.000 (0.000)	0.317	-0.002* (0.000)	-1.817
Farm size	0.005 (-0.01)	0.513	0.002 (0.000)	0.32	-0.006 (-0.01)	-0.775
Total maize produced	0.000*** (0.000)	2.73	0.000 (0.000)	-1.412	0.000 (0.000)	1.286
Education_High	0.208*** (-0.08)	2.605	-0.085** (-0.04)	-1.958	-0.034 (-0.06)	-0.534
Education_Medium	0.160** (-0.07)	2.335	-0.071** (-0.03)	-2.103	-0.071 (-0.06)	-1.205
PHNL knowledge	-0.005 (-0.02)	-0.231	0.023* (-0.01)	1.762	-0.007 (-0.02)	-0.409

Notes: *** p<0.01, ** p<0.05, * p<0.1

Figures in parenthesis are standard errors (se)

PHNL knowledge – Postharvest nutrient loss knowledge Education: Low (no formal education), Medium (standard, primary education and Zimbabwe Junior Certificate i.e., less than 8 years of education) and High (secondary education and above i.e., 8 and above years of education).

393 4. Discussion

394 4.1 Stored maize grain protection practices

395 Synthetic chemical grain storage protectant pesticides were the most commonly used storage
396 pest management method in both Guruve and Mbire districts of Zimbabwe. This concurs with
397 a previous study in Hwedza district of Zimbabwe, reported by Nyabako et al. (2020). A study
398 in the Eastern province of Kenya, also reported the use of synthetic grain protectant chemicals
399 as smallholder farmers' main stored grain pest management strategy (Abteu et al., 2016).

400 Hermetic storage technologies are not being widely used within Zimbabwe. The current study
401 found that just 1% of respondents in Guruve and Mbire districts were using them, despite their
402 high efficacy in protecting stored grain (Obeng-Ofori, 2011; De Groote et al., 2013;
403 Chigoverah and Mvumi, 2016; Mlambo et al., 2017; Murashiki et al., 2018; Singano et al.,
404 2019; Mubayiwa et al., 2021). Similar findings were reported by Moussa et al. (2014),
405 Bokusheva et al. (2012) and Gitonga et al. (2015); highlighting the slow adoption of modern
406 hermetic technologies by farmers in many parts of Africa. Where promotion has occurred, such
407 as in Nigeria, Niger and Burkina Faso, about two thirds of women farmers were reported to use
408 some type of modern hermetic technology e.g., hermetic grain storage bags or metal silos. Such
409 farmers cited training by extension staff and the radio as the most important sources of
410 information on use of hermetic storage technologies (Ibro et al., 2014). In the current study,
411 during the FGDs and KIIs, farmers and agricultural extension staff explained the major
412 constraints for use of hermetic technologies were their unavailability locally, combined with a
413 lack of knowledge about them. Training and awareness-raising regarding use of modern
414 hermetic technologies are critical in creating demand and ensuring that maximum benefits can
415 be obtained from the use of them.

416 The farmer's stored maize grain may be infested by a wide range of insect species and/or
417 rodents and fungi. These result in loss of grain quantity and nutrition due to feeding and
418 biological activities in the grain (Stathers et al., 2020). Fungi may consume the carbohydrate
419 and fat for energy, which contributes to nutritional changes (Reed et al., 2007). The fungi may
420 also produce toxic secondary metabolites and contaminate the grain with mycotoxins.
421 However, mycotoxin contamination is typically invisible. In situations where food safety
422 standards are implemented, such contamination, if above the agreed maximum tolerable level,
423 will result in a 100% loss of all the grain and the nutrients it contained. In a typical SSA
424 domestic food system, where food safety standards are rarely monitored or enforced and the

425 bulk of the population consume their own produce, grain infected by fungi may not be removed
426 resulting in the consumption of grain with reduced nutritional content and the harmful effects
427 of mycotoxin contamination (Shephard, 2008, Ayalew et al., 2016, Omotayo et al., 2019). The
428 current study, however focused on farmers postharvest nutrient loss knowledge. Further studies
429 incorporating fungi infestation and subsequent mycotoxin contamination is recommended.

430 In the current study, farmers reported using chemicals such as Cabaryl 85 WP, a cotton field
431 pesticide, to protect their grain during storage. This is not recommended as the chemical is
432 highly toxic with a rat oral LD₅₀ of 225 mg/kg (compared with > 2000 mg/kg for registered
433 synthetic grain pesticides) and may cause food poisoning when ingested. However, during the
434 FGDs, the farmers explained that these non-recommended pesticides were highly effective and
435 cost-effective in preventing insect pests attacking their stored grain. This situation is not
436 specific to Zimbabwe, for example, a survey in Volta Region of Ghana found 25-45% of
437 farmers used chemical pesticides on their stored grain, with 10% of farmers using unregistered
438 and environmentally harmful products such as Dichlorodiphenyltrichloroethane (DDT) (Addo
439 et al., 2002). Although Cabaryl 85 WP, was banned in some countries such as in California, it
440 is not yet banned in Zimbabwe and other SSA countries. Fumigants are also being used by
441 smallholder farmers in Guruve and Mbire districts, despite the risk they can pose to human
442 health and the environment if used by non-certified actors. Farmers reported preferring
443 fumigants to other methods due to their ease of use, rapid action and affordability, among other
444 reasons. Key informant interviews and FGDs revealed poor understanding of the use of
445 fumigants by farmers in both districts and absence of the necessary equipment (i.e. gas
446 respirators, gloves, phosphine meters), facilities (i.e. air-tight and a physical distance of at least
447 100m from human habitation), and training on safe application and monitoring of fumigants.

448 The use of traditional and locally-available grain storage protectants, such as maize cob ash or
449 Eucalyptus leaves was found to be low in Guruve and Mbire districts in the current study. These
450 traditional protectants, have been reported to have low efficacy, as they are effective for a short
451 period and smallholder farmers are uncertain about the optimal application rates (Mlambo et
452 al., 2017). However, as farmers in the FGDs explained, they are low-cost and locally available.
453 Overall, 8.5% of the farmers in Guruve and Mbire districts used ‘no protectant treatment’ on
454 their stored grain. This may be due to a lack of funds to procure storage protectants. However,
455 leaving grain untreated during storage can result in weight losses, of up to 50% (Mlambo et al.,
456 2017). More farmers in Mbire district (14.4%) than Guruve district (2.9%) used no protectant
457 treatment on their stored grain. This is likely linked to the farmers in Guruve district being

458 more knowledgeable about postharvest systems than those in Mbire and perhaps due to better
 459 access to road networks, markets and information. In addition, the farmers may be afraid of
 460 adding chemicals directly to their food.

461

462 **4.2 Factors associated with the use of different maize grain storage protectant practices**

463 Use of improved grain storage protectant practices is crucial for the reduction of both
 464 quantitative and qualitative PHLs and for improving farmers income generation from grain
 465 sales (Garbaba et al., 2017). The current study found that a farmer's age has a significant
 466 association with the use of non-recommended chemicals as grain protectants, older farmers
 467 were less likely to use non-recommended chemicals than younger farmers. This may suggest
 468 older farmers are more knowledgeable regarding the health dangers of using non-recommended
 469 chemicals on their stored food grain. Furthermore, it suggests that the younger farmers were
 470 more likely to grow cotton and other commercial crops where insecticides such as Carbaryl 85
 471 WP are supplied as input packages by contract buyers. Possibly, it was because of ignorance
 472 of the health hazards or because they were not intending to consume the grain themselves, that
 473 the young farmers applied those non-recommended chemicals to protect stored grain.

474 Our study revealed that higher PHNL knowledge was positively associated with use of
 475 traditional storage protectant practices as opposed to leaving grain untreated during storage.
 476 Traditional storage protectant practices may be preferable due to their local-availability, lower
 477 cost than commercially-available synthetic pesticides, and long-term integration in the local
 478 culture.

479 There was no significant relationship between having higher PHNL knowledge and use of
 480 synthetic chemical grain protectants. Despite the importance of the safety aspects of adding
 481 chemicals to one's stored food, people do not want to eat food that has been laced with
 482 chemicals (whether approved or not), as they perceive chemicals to affect the taste and
 483 wholesomeness of the food.

484 Use of commercially-available synthetic chemical grain protectants, was positively associated
 485 with higher levels of maize production. This may be due to a number of factors, including
 486 farmers who produce more grain being more conscious about protecting it from PHLs and thus
 487 being more interested in and having more resources to invest in improved storage practices,
 488 such as, use of synthetic chemical grain protectant pesticides. Farmers' production volume has

489 been reported to be positively related to income and access to credit (Kumar et al., 2020;
490 Moahid and Maharjan, 2020), higher income may therefore allow farmers to invest in
491 commercially-available synthetic grain protectant pesticides. Currently, the Zimbabwe
492 Government is rolling out a new policy on subsidising grain protectant inputs which may
493 promote use of improved grain protectants by smallholder farmers.

494 Farmers' education level was positively correlated with the use of commercial synthetic grain
495 protectant pesticides, and negatively associated with use of traditional storage protectant
496 practices. Higher education may prompt farmers to mitigate PHLs through use of commercial
497 synthetic grain protectant pesticides, which may be more effective in protecting grain than
498 traditional storage grain protectant practices (Mlambo et al., 2018). Farmers' education level
499 has also been shown to be positively correlated with pest management technology awareness
500 and adoption (Abang et al., 2014), knowledge about the negative health effects of pesticides
501 and contamination routes (Abteu et al., 2016), and their openness to innovative ideas and new
502 technologies that promote change (Madisa et al., 2010; Mwebaze and Mugisha, 2011; Atibioke
503 et al., 2012). Additionally, in Uganda, Mwebaze and Mugisha. (2011) found that even if the
504 household head was illiterate, the existence of a literate spouse in the family confers a "positive
505 externality which questions traditional thinking", increasing the chances of using new
506 postharvest technologies. Training and extension services have also been reported to be
507 positively associated with the adoption and use of new postharvest technologies in Swaziland
508 (Mwebaze and Mugisha, 2011). However, the cost of the technology may also influence
509 technology adoption despite the level of education; the higher the cost the more prohibitive to
510 smallholder farmers. Although in contrast to this, Gbénou-Sissinto et al. (2018) reported that
511 the high cost of technology was viewed as an indicator of good quality by large-scale farmers,
512 and therefore promoted adoption and use of improved storage technologies in Benin.

513 Our study found the district (location) the farmer resided was not correlated with the farmers'
514 maize storage protectant practice, suggesting that factors other than location may be associated
515 with adoption. In a study on the adoption of hermetic technologies for cowpeas, the factors
516 positively correlated with adoption were; living in a village with hermetic bag activities,
517 participating in the activities and exposure to village technicians (Moussa et al., 2014). This
518 highlights the influence of access to information on postharvest management for postharvest
519 technology adoption or use.

520 The sex of the household head had no association with the type of grain storage protectant
521 practice used in the current study. However, in Nigeria, male-headed households were more
522 receptive to postharvest technology adoption than female-headed (Atibioke et al., 2012) while
523 in Swaziland, women were found to be more likely to have adopted postharvest technologies
524 than men (Villane et al., 2012). These examples highlight how culture, gender and geographical
525 location, among other factors, interact and influence the use of grain storage technologies.

526 Farm size had no correlation with the farmers' maize grain storage protectant practices choice.
527 It had been expected that ownership of larger pieces of land would translate to higher
528 production and thus farmer income which could be used for investing in commercially-
529 available synthetic chemical grain protectant pesticides. A study in the Northern Ecological
530 Zone of Edo State, Nigeria found that farmers with large farm sizes were four times more likely
531 to adopt new storage technologies than those with smaller farms (Okoedo-Okojie and
532 Onemolease, 2009). Land ownership is, however, an important determinant as land property
533 rights increase the eagerness to invest, and generally land owners have larger capital investment
534 making it easier for them to acquire the improved postharvest technologies such as synthetic
535 grain protectant pesticides (Ersado et al., 2004; Bokusheva et al., 2012).

536

537 **5. Conclusion**

538 Most farmers (>60%) in the focal districts, admix their maize grain with the commercially-
539 available synthetic chemical grain protectant pesticides to protect it from insect attack during
540 storage. Non-recommended chemicals were found to be being used as grain protectants by
541 14.6% of farmers. Few farmers (7%) in either of the focal districts use traditional storage
542 protection practices, such as admixture with ash or plant materials, although in other field trials
543 these methods have been reported to be ineffective. Very few farmers (1%) were storing their
544 maize grain in hermetic bags or metal silos. PHNL knowledge was found to be positively
545 correlated to education level, and negatively correlated to farmers' age. PHNL knowledge
546 differed between the two districts. Farmers' maize storage grain protectant practices were
547 associated with farmers' age, total grain quantity produced, education and PHNL knowledge.
548 The higher a farmer's education and maize production levels the more likely the adoption/use
549 of commercial synthetic grain protectant pesticides. While use of traditional storage protectant
550 practices as opposed to synthetic chemical grain protectant use or use of non-recommended
551 chemicals or leaving of grain untreated during storage, was positively associated with higher

552 PHNL knowledge levels. Training and timely support services, e.g., smallholder farmer-
 553 focused extension on awareness of and management of postharvest losses in the quality as well
 554 as quantity of grain during storage, and the food and nutrition security and safety risks posed
 555 by quality losses in storage, together with the dangers of using non-recommended chemicals
 556 on stored food grain, are strongly recommended. To drive increased awareness and
 557 adoption/use of interventions that reduce both quantitative and qualitative PHLs, investment
 558 may be needed to support supply chain development for new storage technologies alongside
 559 capacity building of service providers and farmers on postharvest management.

560

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567

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569

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