doi:10.1093/brain/awaa009 BRAIN 2020: Page 1 of 12 | / I



#### **UPDATE**

# Consensus on the reporting and experimental design of clinical and cognitive-behavioural neurofeedback studies (CRED-nf checklist)

Tomas Ros, <sup>1,\*</sup> Stefanie Enriquez-Geppert, <sup>2,\*</sup> Vadim Zotev, <sup>3</sup> Kymberly D. Young, <sup>4</sup> Guilherme Wood, <sup>5</sup> Susan Whitfield-Gabrieli, <sup>6,7</sup> Feng Wan, <sup>8</sup> Patrik Vuilleumier, <sup>9</sup> François Vialatte, <sup>10</sup> Dimitri Van De Ville, <sup>11</sup> Doron Todder, <sup>12,13</sup> Tanju Surmeli, <sup>14</sup> James S. Sulzer, <sup>15</sup> Ute Strehl, <sup>16</sup> Maurice Barry Sterman, <sup>17</sup> Naomi J. Steiner, <sup>18</sup> Bettina Sorger, <sup>19</sup> Surjo R. Soekadar, <sup>20</sup> Ranganatha Sitaram, <sup>21</sup> Leslie H. Sherlin, <sup>22</sup> Michael Schönenberg, <sup>23</sup> Frank Scharnowski, <sup>24,25</sup> Manuel Schabus, <sup>26</sup> Katya Rubia, <sup>27</sup> Agostinho Rosa, <sup>28</sup> Miriam Reiner, <sup>29</sup> Jaime A. Pineda, <sup>30</sup> Christian Paret, <sup>31</sup> Alexei Ossadtchi, <sup>32</sup> Andrew A. Nicholson, <sup>24,25</sup> Wenya Nan, <sup>33</sup> Javier Minguez, <sup>34</sup> Jean-Arthur Micoulaud-Franchi, <sup>35</sup> David M.A. Mehler, <sup>36</sup> Michael Lührs, <sup>19</sup> Joel Lubar, <sup>37</sup> Fabien Lotte, <sup>38</sup> David E.J. Linden, <sup>39</sup> Jarrod Lewis-Peacock, <sup>40</sup> Mikhail A. Lebedev, <sup>41,42,43</sup> Ruth A. Lanius, <sup>44</sup> Andrea Kübler, <sup>45</sup> Cornelia Kranczioch, <sup>46</sup> Yury Koush, <sup>47</sup> Lilian Konicar, <sup>48</sup> Simon H. Kohl, <sup>49</sup> Silivia E. Kober, <sup>5</sup> Manousos A. Klados, <sup>50</sup> Camille Jeunet, <sup>51</sup> T. W. P. Janssen, <sup>52</sup> Rene J. Huster, <sup>26</sup> Kerstin Hoedlmoser, <sup>53</sup> Laurence M. Hirshberg, <sup>54</sup> Stephan Heunis, <sup>55</sup> Talma Hendler, <sup>56</sup> Michelle Hampson, <sup>57</sup> Adrian G. Guggisberg, <sup>57</sup> John H. Gruzelier, <sup>58</sup> Rainer W. Göbel, <sup>19</sup> Nicolas Gninenko, <sup>11</sup> Alireza Gharabaghi, <sup>59</sup> Paul Frewen, <sup>44</sup> Thomas Fovet, <sup>60</sup> Thalía Fernández, <sup>61</sup> Carlos Escolano, <sup>34</sup> Ann-Christine Ehlis, <sup>62</sup> Renate Drechsler, <sup>63</sup> Christopher R. deCharms, <sup>64</sup> Stefan Debener, <sup>65</sup> Dirk De Ridder, <sup>66</sup> Eddy J. Davelaar, <sup>67</sup> Marco Congedo, <sup>68</sup> Marc Cavazza, <sup>69</sup> Marinus H.M. Breteler, <sup>70</sup> Daniel Brandeis, <sup>63,71</sup> Jerzy Bodurka, <sup>72</sup> Niels Birbaumer, <sup>73</sup> Olga M. Bazanova, <sup>74</sup> Robert Guggenberger, <sup>59</sup> Beatrix Barth, <sup>62</sup> Panagiotis D. Bamidis, <sup>75</sup> Tibor Auer, <sup>76</sup> Martijn Arns<sup>77</sup> and Robert T. Thibault<sup>78,79,\*</sup>

\*These authors contributed equally to this work. All other authors are listed in reverse alphabetical order.

Neurofeedback has begun to attract the attention and scrutiny of the scientific and medical mainstream. Here, neurofeedback researchers present a consensus-derived checklist that aims to improve the reporting and experimental design standards in the field.

- 30 1 Departments of Neuroscience and Psychiatry, University of Geneva; Campus Biotech, Geneva, Switzerland
  - 2 Faculty of Behavioural and Social Sciences, University of Groningen, The Netherlands
  - 3 Laureate Institute for Brain Research, Tulsa, Oklahoma, USA
  - 4 University of Pittsburgh School of Medicine, Pittsburgh, PA, USA
  - 5 Institute of Psychology, University of Graz, Graz, Austria
  - 6 Massachusetts Institute of Technology, Cambridge, MA, USA
    - 7 Northeastern University, Boston, MA, USA

Received April 18, 2019. Revised October 10, 2019. Accepted October 28, 2020.

<sup>©</sup> The Author(s) (2020). Published by Oxford University Press on behalf of the Guarantors of Brain.

2 | BRAIN 2020: Page 2 of 12 T. Ros et al.

8 Department of Electrical and Computer Engineering, Faculty of Science and Technology, University of Macau, Macau, China

- 9 Campus Biotech, University of Geneva, Switzerland
- 10 Institut PiPsy, Draveil, France
- 11 Institute of Bioengineering, Center for Neuroprosthetics, École Polytechnique Fédérale de Lausanne (EPFL); Campus Biotech, Geneva, Switzerland
- 12 Faculty of Health, Ben-Gurion University of the Negev, Beer-Sheva, Israel
- 13 Beer-Sheva Mental Health Center, Israel Ministry of Health, Beer-Sheva, Israel
- 14 Living Health Center for Research and Education, Istanbul, Turkey
- 15 Department of Mechanical Engineering, University of Texas at Austin, Austin, TX, USA
- 16 Institute for Medical Psychology and Behavioral Neurobiology, University of Tuebingen, Tuebingen, Germany
  - 17 Neurobiology and Biobehavioral Psychiatry, David Geffen School of Medicine, University of California Los Angeles, Los Angeles, CA, USA
  - 18 Boston University School of Medicine, Department of Pediatrics, Boston, MA, USA
  - 19 Department of Cognitive Neuroscience, Maastricht University, Maastricht, The Netherlands
- 15 20 Clinical Neurotechnology Laboratory, Neuroscience Research Center (NWFZ), Department of Psychiatry and Psychotherapy (CCM), Charité University Medicine Berlin, Berlin, Germany
  - 21 Institute of Biological and Medical Engineering, Pontificia Universidad Católica de Chile, Macul, Santiago, Chile
  - 22 Ottawa University, Surprise, Arizona, USA
  - 23 Department Clinical Psychology, University of Tübingen, Tübingen, Germany
- 20 24 Department of Basic Psychological Research and Research Methods, Faculty of Psychology, University of Vienna, Vienna, Austria
  - 25 Department of Psychiatry, Psychotherapy and Psychosomatics, Psychiatric Hospital, University of Zürich, Zürich, Switzerland
  - 26 University of Salzburg, Centre for Cognitive Neuroscience and Department of Psychology, Salzburg, Austria
  - 27 Department of Child and Adolescent Psychiatry, Institute of Psychiatry, Psychology and Neuroscience, King's College London, London, UK
  - 28 Laseeb-ISR-IST Universidade de Lisboa, Portugal
  - 29 Technion, Israel Institute of Technology, Haifa, Israel
  - 30 Cognitive Science Department, University of California, San Diego, CA, USA
- 31 Department of Psychosomatic Medicine and Psychotherapy, Central Institute of Mental Health Mannheim, Medical Faculty
  30 Mannheim/Heidelberg University, Germany
  - 32 National Research University Higher School of Economics, Moscow, Russia
  - 33 Department of Psychology, Shanghai Normal University, Shanghai, China
  - 34 Bitbrain®, Zaragoza, Spain

- 35 SANPSY, USR 3413, Université Bordeaux, CHU de Bordeaux, Place Amelie Raba Leon, Bordeaux, France
- 35 36 Department of Psychiatry, University of Münster, Münster, Germany
  - 37 Department of Psychology, University of Tennessee, Knoxville, USA
  - 38 Inria Bordeaux Sud-Ouest/LaBRI University of Bordeaux CNRS-Bordeaux INP, Bordeaux, France
  - 39 Department of Psychiatry and Neuropsychology, School for Mental Health and Neuroscience, Faculty of Health, Medicine and Life Sciences, Maastricht University, Maastricht, The Netherlands
- 40 Department of Psychology, University of Texas at Austin, Austin, TX, USA
  - 41 Center for Bioelectric Interfaces of the Institute for Cognitive Neuroscience, National Research University Higher School of Economics, Moscow, Russia
  - 42 Department of Information and Internet Technologies of Digital Health Institute; I.M. Sechenov First Moscow State Medical University, Moscow, Russia
- 43 Duke Center for Neuroengineering, Duke University, Durham, NC, USA
  - 44 Department of Psychiatry, Western University, London, Ontario, Canada
  - 45 Department of Psychology I, Psychological Intervention, Behavior Analysis and Regulation of Behavior, University of Würzburg, Würzburg, Germany
  - 46 Department of Psychology, University of Oldenburg, Oldenberg, Germany
- 50 47 Magnetic Resonance Research Center (MRRC), Department of Radiology and Biomedical Imaging, Yale University, New Haven, CT, USA
  - 48 Medical University of Vienna, Department of Child and Adolescent Psychiatry, Vienna, Austria
  - 49 JARA-Institute Molecular neuroscience and neuroimaging (INM-11), Jülich Research Centre, Jülich, Germany
  - 50 Department of Psychology, The University of Sheffield International Faculty, City College, Thessaloniki, Greece
- 55 51 CLLE Lab, CNRS, Université Toulouse Jean Jaurès, Toulouse, France
  - 52 Faculty of Psychology and Neuroscience, Maastricht University, Maastricht, The Netherlands
  - 53 Alpert Medical School, Brown University, Providence, RI, USA
  - 54 Electrical Engineering Department, Eindhoven University of Technology, The Netherlands
  - 55 Sagol Brain Institute, Wohl Institute for Advanced Imaging, Sourasky Medical Center, Tel Aviv, Israel
- 56 Department of Radiology and Biomedical Imaging, Yale University School of Medicine, New Haven, CT, USA
  - 57 Division of Neurorehabilitation, Department of Clinical Neurosciences, University Hospital Geneva, Geneva, Switzerland
  - 58 Department of Psychology, Goldsmiths, University of London, London, UK

CRED-nf Checklist BRAIN 2020: Page 3 of 12 | 3

- 59 Division of Functional and Restorative Neurosurgery, University of Tübingen, Tübingen, Germany
- 60 SCALAB UMR CNRS 9193 (CNRS, ULILLE), Cognitive and Affective Sciences Laboratory, Université of Lille, Lille, France
- 61 UNAM Institute of Neurobiology, National Autonomous University of Mexico, Juriquilla, Mexico
- 62 Psychophysiology and Optical Imaging, Department of Psychiatry and Psychotherapy, University of Tuebingen, Tuebingen, Germany
- 63 Department of Child and Adolescent, Psychiatry and Psychotherapy, Psychiatric Hospital, University of Zürich, Zürich, Switzerland
- 64 Omneuron, Inc., Menlo Park, CA, USA
- 65 Neuropsychology Lab, Department of Psychology, University of Oldenburg, Oldenberg, Germany
- 66 Department of Surgery, Section of Neurosurgery, University of Otago, Dunedin, New Zealand
  - 67 Department of Psychological Sciences Birkbeck, University of London, Bloomsbury, London, UK
  - 68 GIPSA-lab, CNRS, University Grenoble Alpes, Grenoble-INP, Grenoble, France
  - 69 School of Computing and Mathematical Sciences, University of Greenwich, London, UK
- 70 Radboud University Nijmegen, Department of Clinical Psychology, Nijmegen, The Netherlands
- 15 71 Department of Child and Adolescent Psychiatry and Psychotherapy, Central Institute of Mental Health, Medical Faculty Mannheim/Heidelberg University, Mannheim, Germany
  - 72 Laureate Institute for Brain Research, Tulsa, OK, USA
  - 73 Institute for Medical Psychology and Behavioural Neurobiology, University of Tubingen, Tubingen, Germany
  - 74 State Research Institute of Physiology and Basic Medicine, Novosibirsk, Russia
  - 75 School of Medicine, Aristotle University of Thessaloniki, Thessaloniki, Greece
    - 76 School of Psychology, Faculty of Health and Medical Sciences, University of Surrey, Guildford, UK
    - 77 Brainclinics Foundation, Research Institute Brainclinics, Nijmegen, The Netherlands
    - 78 School of Psychological Science, University of Bristol, Bristol, UK
    - 79 MRC Integrative Epidemiology Unit at the University of Bristol, Bristol, UK

Correspondence to: Robert T. Thibault

School of Psychological Science,

University of Bristol, 12a Priory Road, Bristol, BS8 1TU, UK

E-mail: robert.thibault@bristol.ac.uk

Correspondence may also be addressed to: Stefanie Enriquez-Geppert

Department of Clinical and Developmental Neuropsychology, Faculty of Behavioural and Social

Sciences, University of Groningen, Grote Kruisstraat 2/1, 9712 TS, Groningen, The Netherlands

E-mail: s.enriquez.geppert@rug.nl

Tomas Ros

5

25

5 Geneva Neuroscience Center, Department of Neuroscience, University of Geneva, CH-1202

Geneva, Switzerland

E-mail: tomasino.ros@gmail.com

Keywords: neurofeedback; regulation; consensus; checklist; guidelines

**Abbreviations:** CRED-nf = Consensus on the reporting and experimental design of clinical and cognitive-behavioural neurofeed-back studies; MCID = minimal clinically important difference

#### Introduction

After a protracted history, neurofeedback has begun to attract the attention and scrutiny of the scientific and medical mainstream (Kamiya, 2011; Linden, 2014; Sitaram *et al.*, 2017). A debate now centres on the extent to which neurofeedback alters brain function and behaviour, and the mechanisms through which neurofeedback operates (e.g. neurofeedback-specific versus non-specific). A series of correspondences in *Lancet Psychiatry* (Micoulaud-Franchi and Fovet, 2016; Thibault and Raz, 2016a, b; Pigott *et al.*, 2017; Schönenberg *et al.*, 2017a, b) and *Brain* (Fovet *et al.*, 2017; Schabus, 2017, 2018; Schabus *et al.*, 2017; Thibault *et al.*, 2017, 2018; Witte *et al.*, 2018) discuss the theoretical arguments and empirical data backing the involvement of these two mechanisms.

The apparent controversy that the correspondence letters present stems from a well-known phenomenon in neuropsychology: that multiple components can drive the benefits of a treatment (Enriquez-Geppert *et al.*, 2013; Campbell and Stanley, 2015). We depict this hypothesized multi-component model for the context of neurofeedback in Fig. 1. We divide the mechanisms driving experimental outcomes into five bins: neurofeedback-specific (related to training a target neurophysiological variable), neurofeedback non-specific (dependent on the neurofeedback context, but independent from the act of controlling a particular brain signal), general non-specific (including the common benefits of cognitive training as well as psychosocial influences, such as placebo responding), repetition related (e.g. test-retest improvement),

5

60

| BRAIN 2020: Page 4 of 12 T. Ros et al.

and natural (e.g. spontaneous remission, cognitive development) (Micoulaud-Franchi and Fovet, 2018).

Although a framework based on these terms and concepts is only beginning to concretize in the neurofeedback literature, most scientists involved in neurofeedback agree on their general usage and interpretation. The greater points of contention centre on (i) whether previous experiments provide sufficient evidence to identify specific factors as a key driver of neurofeedback outcomes; and (ii) how to best design an experiment to clearly dissociate the various mechanisms driving neurofeedback outcomes. If neurofeedback outcomes occur independently of the information provided by the neural feedback signal (i.e. come from non-specific mechanisms), then neurofeedback does not rely on the main criteria that set it apart from other interventions, such as cognitive training and meditation. An ideal demonstration of neurofeedback-specific effects would include evidence of online (i.e. intra-session) and offline (i.e. inter-session or post-treatment) changes in targeted brain activity, as well as a control group or condition to rule out non-specific effects (e.g. sensory stimulation, placebo). Individual neurofeedback studies, however, contain varying proportions of each of these criteria and have led to a diversity of opinions regarding the specificity of mechanisms involved in neurofeedback. The present checklist provides the structure to develop a more comprehensive and rigorous evidence base.

Evidence for putatively causal, neurofeedback-specific mechanisms relies on our knowledge of the physiological basis of neural activity and its relevance to cognition (for a review of neurofeedback mechanisms, see Ros et al., 2014; Sitaram et al., 2017). For example, the association between neural activity and cognition in animals (Cao et al., 2016; Babapoor-Farrokhran et al., 2017) suggests that self-regulation of brain circuits can alter behaviour and cognition. A number of neurofeedback experiments in animals (Sterman et al., 1970; Schafer and Moore, 2011), and humans (Watanabe et al., 2017; Young et al., 2017b) further support this view. Evidence suggesting that mechanisms other than neurofeedback-specific factors account for the effects of neurofeedback come from a number of recent studies and reviews that find comparable benefits between participants who receive veritable neurofeedback from their own brain and those who observe a sham-neurofeedback signal unrelated to their neural activity of interest (Schabus et al., 2017; Schönenberg et al., 2017a; Thibault and Raz, 2017).

To advance the field of neurofeedback, scientists can benefit from designing future studies with the methodological rigour capable of disentangling the various mechanisms driving the effects of neurofeedback. As authors of the correspondence, alongside other researchers active in the field, we propose a standardized checklist outlining best practices in the experimental design and reporting of neurofeedback studies. We believe that widespread adoption of this checklist will help advance our scientific understanding of how neurofeedback affects brain function and behaviour.

### **Objectives of the checklist**

This checklist is intended to encourage robust experimental design and clear reporting for clinical and cognitive-behavioural neurofeedback experiments (for a methodological review see Ros et al., 2014; Enriquez-Geppert et al., 2017). Because all neurofeedback aims to train brain activity, these guidelines generalize across EEG, magnetoencephalography (MEG), functional MRI, functional near infrared spectroscopy (fNIR), and other neurofeedback modalities. The checklist is is mainly on aspects unique to the neurofeedback context (as general standards for each imaging modality already exist; Gross et al., 2013; Nichols et al., 2017; Pernet et al., 2018). It serves as a complement, rather than alternative, to the Consolidated Standards of Reporting Trials (CONSORT) guidelines (Schulz et al., 2010) (http://www.consort-statement.org/ checklists). When submitting neurofeedback results for publication, we encourage researchers to include the checklist (Fig. 2), ideally using the application available at www.rtfin.org/CREDnf. Alternatively, the checklist can be downloaded from the Supplementary material and the final column can be filled with the relevant text from your manuscript, or the page number identifying where in the manuscript each item is addressed. This checklist does not aim to inhibit the exploration of novel directions in neurofeedback research. On the contrary, it advocates robust designs and clear reporting to promote informed research decisions that can effectively build upon previous work. These guidelines are a first iteration. As neurofeedback research progresses, we invite the community to provide comments for improving this checklist. We hope these guidelines will help disentangle the relative contribution of the mechanisms outlined in Fig. 1.

# **Description of checklist** items

Below, we include a short description of each checklist item followed by examples from published neurofeedback articles.

#### **Pre-experiment**

# Item Ia. Preregister experimental protocol and planned analyses

This item is essential for clinical and replication studies, and is encouraged for others.

Preregister, for example, on a platform such as www.o sf.io, as a randomized controlled trial (RCT) on ClinicalTrials.gov or the European Union Clinical Trials Register (EUCTR), or by submitting a registered report (see www.cos.io/rr for information concerning registered reports). Clearly label primary and secondary outcome variables. Indicate the number, frequency, and duration

90

75

80

95

100

CRED-nf Checklist BRAIN 2020: Page 5 of 12 | 5

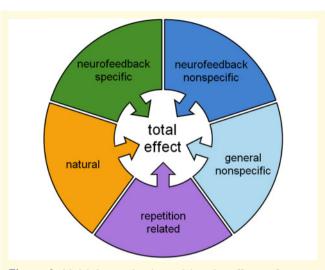


Figure | Multiple mechanisms drive the effects of neurofeedback training. Neurofeedback participants may benefit from: (i) the specific neurophysiological process of training a particular brain signal (green). Non-specific factors, including (ii) those unique to the neurofeedback environment (e.g. trainer-participant interaction in a neurotechnology context) (dark blue); and (iii) those that are common across interventions (e.g. all other benefits from engaging in a form of cognitive training as well as the psychosocial and placebo mechanisms related to participating in an experiment) (light blue). (iv) Repetition-related effects (purple). (v) Natural effects, which can be positive (e.g. cognitive development in childhood) or negative (e.g. cognitive decline in older age) (orange). These mechanisms may interact synergistically to create a greater overall effect, interact antagonistically to lessen the total benefit, or combine additively (for a discussion of this topic, see Rothman, 1974; Finnerup et al., 2010). By including control groups, carefully designing experiments, and measuring both brain activity and behaviour, researchers can better estimate the contribution from each of these mechanisms.

of neurofeedback sessions. In the publication, report which analyses were preregistered, which were exploratory, and disclose any potential deviations from the preregistered protocol.

#### Examples:

5

10

15

- (i) See The Collaborative Neurofeedback Group (2013) for a pre-published protocol of a double-blind multisite RCT, and https://clinicaltrials.gov/ct2/show/NCT02251743 for the preregistration document.
- (ii) See Holtmann *et al.* (2014) for a pre-published protocol of the study by Strehl *et al.* (2017) with trial registry number ISRCTN 76187185.

#### Item 1b. Justify sample size

This item is essential.

Describe the sampling plan and how it was determined. Ideally, justify the sample size with a power analysis based on the smallest effect size of interest [e.g. minimal clinically important differences (MCIDs), see Item 6a] or another method (e.g. Bayesian sequential sampling). Otherwise,

label the experiment as a pilot, proof-of-concept, or feasibility study. If the preregistered sample size is not met, state so. Whereas smallest effect sizes of interest may be derived from previous literature, we do not recommend selecting a sample size based solely on an 'expected' effect size derived from previous published results. Because of publication bias, which remains common across research fields, this practice can leave experiments underpowered (Albers and Lakens, 2018; Algermissen and Mehler, 2018).

#### Examples:

- (i) 'Estimates of a clinically relevant effect size were derived from the Göttingen pilot-study using the same primary outcome measures [18]. It is expected that in the neurofeedback group the mean FBB-ADHS score at Post-Test 2 is 1.20 and in the control group 1.50 with a common standard deviation of 0.55. The expected outcome requires a sample size of 72 subjects per group ( $\alpha = 0.05$ , two sample t-test, two-sided) to achieve a power of 90%.' (Holtmann *et al.*, 2014).
- (ii) 'Owing to feasibility and proof of principle, we intend following a Bayesian sampling strategy with a minimum of N=5 patients and continue recruiting either until the Bayes factor for both hypotheses (A and B) is conclusive i.e. either for the alternative with BF10 > 10 (indicating strong evidence for a positive effect) or for the null with BF01 > 10 (indicating strong evidence for a null effect) or until the end of the data collection period (September 30, 2017) is reached.' (Mehler et al., 2017).

#### **Control groups**

# Item 2a. Employ control group(s) or control condition(s)

This item is essential.

Use a control group (between subjects) or control condition (within subjects). This could include a placebo-control (e.g. sham-neurofeedback, neurofeedback from a largely unrelated brain signal, or inversing the neurofeedback reward contingency) or another active non-neurofeedback control (e.g. a similar type of computerized cognitive training, biofeedback, or medication). See Sorger *et al.* (2019) for an in-depth review of control groups in neurofeedback research. Consider the potential for, and report any adverse effects in both the experimental and control groups.

#### Examples:

(i) 'Four separate healthy subject control groups were trained and tested using similar or identical procedures but in the absence of valid rACC rtfMRI information ... Group III (n = 8) received identical training to the experimental group, but using rtfMRI information derived from a different brain region in posterior cingulate cortex that is not believed to be involved in pain processing to examine spatial and physiological specificity. Group IV (n = 4) received identical training to the experimental group, but, unknown to the subjects, the rtfMRI displays that they viewed corresponded to activation from a previously tested experimental subject's rACC,

25

30

35

40

45

50

55

60

65

6 | BRAIN 2020: Page 6 of 12 T. Ros et al.

rather than their own rACC brain activation.' (deCharms et al., 2005).

(ii) 'As a semi-active control condition EMG feedback of coordination in the supraspinatus muscles was chosen. Participants were instructed either to contract or to relax the left relative to the right supraspinatus muscle. This protocol was chosen to induce differential EMG control corresponding to the "polarities" comparable to the NF condition, without requiring simple relaxation or tension. This allowed us to use the same device and the same representation of the feedback signal on the screen. We did not choose a standard EMG feedback protocol because the control condition should be as unspecific as possible but include the possibility to learn self-regulation, i.e. the unspecific variable of any biofeedback treatment.' (Strehl et al., 2017).

# Item 2b. When leveraging experimental designs where a double-blind is possible, use a double-blind

This item is essential.

For example, in experiments with a placebo-neurofeed-back control group or within participant control conditions.

Example:

5

10

15

25

35

40

'To blind staff to treatment condition, The SmartBox interface devices were independently preprogrammed by an off-site consultant who had no interaction with participants or data (analogous to prepackaged randomized medication).' (Arnold *et al.*, 2013).

Comment: Currently, few neurofeedback software packages are designed for blinding the treatment staff.

# Item 2c. Blind those who rate the outcomes, and when possible, the statisticians involved

For this item, see Dutilh et al. (2019); this item is encouraged.

Indicate which individuals were blinded and how blinding was achieved.

Example:

'The Behavioral Observation of Students in Schools [BOSS] ... is a systematic interval recording observation system for coding classroom behavior and reports on engagement ... and off-task behaviors ... Data output from observations are objective quantitative assessments, which can help reduce observer bias ... The BOSS was completed ... for all study participants by trained RA [research assistants] who were unaware of the participants' randomization conditions. The participants were unaware that they were being observed.' (Steiner *et al.*, 2014).

# Item 2d. Examine to what extent participants and experimenters remain blinded

This item is encouraged.

For an overview on reporting whether blinding was successful, see Kolahi et al. (2009).

50 Example:

'The CSQ [consumer satisfaction questionnaire], administered at Treatments 24 and 40, also included questions to examine

blindness to treatment assignment ... Of 34 participants at Treatment 40, 35% of children and 29% of parents said that they did not know which treatment they had been assigned to and declined to guess. Only 32% of children and 24% of parents guessed correctly, with 32% and 47%, respectively, guessing incorrectly.' (Arnold *et al.*, 2013).

# Item 2e. In clinical efficacy studies, employ a standard-of-care intervention group as a benchmark for improvement

This item is encouraged.

This design helps establish whether neurofeedback is superior to, or at least non-inferior to, standard treatments. Example:

Potential participants are screened for eligibility, and those who are eligible are randomly assigned to the treatment group (receiving rtfMRI NFT in addition to treatment as usual) or the control group (receiving only treatment as usual).' (Cox *et al.*, 2016).

#### **Control** measures

#### Item 3a. Collect data on psychosocial factors

This item is encouraged.

For example, participant motivation, treatment expectation, effort exerted, and subjective sense of success. Examples:

- (i) 'To compare the NFT and the pseudo NFT group concerning the plausibility of the intervention, a subject self-report was utilized. Subjects reported on motivation to participate in the study, commitment to the study (before each session), and difficulty of the session (right after each session) using a seven-point Likert-scale (1 = not at all to 7 = very strong).' (Enriquez-Geppert *et al.*, 2014).
- (ii) 'In the present study, the effects of sex of participant, sex of experimenter, as well as the role of locus of control in dealing with technology will be investigated... Although the purpose of the present study is not to investigate further the effects of mindfulness and SMR baseline power on neurofeedback training outcomes, their impact will be measured and controlled statistically in the experimental design.' (Wood and Kober, 2018).

# Item 3b. Report whether participants were provided with a strategy

This item is essential.

If strategies were provided, report the details of the strategies.

Examples:

- (i) 'Importantly, the experimenter did not provide any explicit instruction to the participant regarding strategies; rather participants were told to increase the number of counts and bell rings by any mental means they could.' (Davelaar et al., 2018).
- (ii) 'Subjects were instructed to execute or imagine the kinesthetic experience of a sequential finger tapping task (index-middle-ring-little) from the first

60

65

70

75

80

90

95

100

105

CRED-nf Checklist BRAIN 2020: Page 7 of 12 7

person perspective with either the right or left hand (20 trials per hand in randomized order).' (Zich et al., 2015).

Comment: Currently there is no standard regarding the provision of strategies, nor is there systematic research on which strategies are the most effective (see section 'provision of strategies' from Enriquez-Geppert *et al.*, 2017). Motor-imagery-assisted brain-computer interface (BCI) is the exception.

#### Item 3c. Report the strategies participants used

This item is encouraged.

Examples:

10

15

20

30

35

40

45

50

- (i) 'The reported mental strategies and the subsequent categorization process are described in Table A1 of the Appendix in more detail.' (Kober et al., 2013)
- (ii) 'Among them, the most efficient strategies were friends (1.625), love (1.4) and family (1.1) while the worst were anger (-2.0) and calculation (-0.15). The effects of some positive strategy subtypes like love (lover (1.67)), nature (hometown (1.5)) and family (brothers (2.0)) stood out.' (Nan et al., 2012).

# Item 3d. Report methods used for online data processing and artefact correction

This item is essential.

For example, detection and rejection/correction of ocular and muscular artefacts (EEG, MEG), and of cardio-respiratory and movement artefacts (functional MRI).

Examples:

- (i) 'Before the start-baseline measurement, an EOG calibration method (3 min) was implemented that calculates the subject-specific, artifact-associated frequency band. This was used for all following measurements for eye blink detection and rejection during further measurements (for details see Huster et al., 2014) ... Thus, the subject-specific artifact-associated frequency band that was calculated in the EOG calibration measure was monitored. Whenever the mean amplitudes of a 2 s segment was higher than the subject-specific artifact-associated frequency band (minus one standard deviation), the segment was rejected and not used for feedback.' (Enriquez-Geppert et al., 2014).
- (ii) 'Pre-processing of single-subject fMRI data included correction of cardiorespiratory artifacts using AFNI implementation of the RETROICOR method. The cardiac and respiratory waveforms recorded simultaneously during each fMRI run were used to generate the cardiac and respiratory phase time series for the RETROICOR.' (Young et al., 2014).

# Item 3e. Report condition and group effects for artefacts

This item is encouraged.

Report condition and group effects for the artefacts detailed for Item 3d (to test whether artefacts are more prevalent in certain participants and conditions).

Examples:

- (i) 'We observed an intra-subject effect of regulation condition on HR [heart rate] (F(2,52) = 6.092; p = 0.004), which was driven by an increased HR during the active ("UP" and "DOWN") regulation conditions (Figure 6A). The relative difference between "UP" and "DOWN" conditions was not correlated with regulation capacity (2-tailed Pearson R = 0.038, p = 0.853, Figure 6C). For RVT [respiration volume per time], there was a trend for an intra-subject effect of regulation condition (F(2,52) = 3.148; p = 0.051, Figure 6B). Additionally, we found a correlation between the relative RVT-difference between the "UP" and "DOWN" conditions and regulation capacity (2-tailed Pearson R = -0.450, p = 0.018, Figure 6D).' (Marxen et al., 2016).
- (ii) 'In Fig. 6, mean heart and breathing rates obtained during the different feedback conditions are plotted jointly for P02– P05 and P09 (with all values being in the normal range). While observed differences in heart rate across target-level conditions were extremely weak, slightly augmented breathing frequencies were detected for higher target-level conditions on a descriptive level.' (Sorger et al., 2018).

#### **Feedback specifications**

# Item 4a. Report how the online feature extraction was defined

This item is essential.

For example, a frequency band, frequency band ratio, single region of interest, or functional connectivity measure. Was it individualized or fixed across all participants? How was it extracted (e.g. number and location of electrodes)? Examples:

- (i) 'In each session, the IAF [individual alpha frequency] was calculated as the peak frequency of the alpha band during the first base rate and UA [upper alpha] was defined as the frequency band from IAF to IAF+2 Hz.' (Zoefel *et al.*, 2011).
- (ii) 'For the localizer scan, real-time statistical analyses were carried out via an incremental general linear model (GLM) using Turbo-BrainVoyager (TBV)...Target ROIs in the respective groups were identified during a localizer scan based on the t-statistic of the contrasts of interest, which were defined as positive vs. neutral pictures in the NFE group and scene vs. face pictures in the NFS group. Target ROIs in the NFE group were limited to limbic and frontal portions of the anterior cerebrum based on models of emotion processing in the human brain [19].' (Mehler et al., 2018).

# Item 4b. Report and justify the reinforcement schedule

This item is essential.

For example, justify the reinforcement schedule, or the feedback threshold criteria, in relation to existing neuro-feedback literature and practice. Report how the feedback was given (e.g. continuous or periodic, proportional or binary). Report the amount of reward (e.g. percentage) per subject and across subjects.

Example:

75

80

55

e 85

90

95

100

BRAIN 2020: Page 8 of 12 T. Ros et al.

'Thus the patient actually controlled the quality of the picture on the screen by his/her brainwaves: when the biofeedback parameter was higher than threshold, the picture on the screen was clear, otherwise the TV picture was blurred by the noise. The threshold for the biofeedback parameter was defined by the prefeedback baseline mean measure taken during a 2.5-min feedback-free period with eyes opened at the beginning of the first session in a way to grant that the biofeedback parameter exceeds the threshold about 50% of the time.' (Kropotov et al.,

#### Item 4c. Report the feedback modality and content

This item is essential.

Identify the feedback modality (e.g. visual, auditory, tactile, proprioceptive), and the feedback format (e.g. video clip, simple graphic, melody, tone).

Example:

10

15

20

'Children from one group received the NFB treatment using as reinforcement an auditory stimulus (Auditory Group, AG), and children of the other group received a NFB treatment using as reinforcement a visual stimulus (Visual Group, VG) ... The auditory stimulus was a tone of 500 Hz at 60 dB, and the visual stimulus was a white square of 20 cm2 over a black background of a computer monitor.' (Fernández et al., 2016)

#### Item 4d. Collect and report all brain activity variable(s) and/or contrasts used for feedback, as displayed to experimental participants

This item is essential for points (ii) and (iii); and we encourage researchers to include points (i) and (iv-vi).

Time points may include: (i) a pre-training baseline; (ii) rest blocks; (iii) training blocks; (iv) a post-training baseline; (v) transfer run(s) without neurofeedback; and (vi) long-term follow-up. Report the relevant units.

Example:

'Thus the aim of this study was to focus on alpha neurofeedback and examine changes in three different measures: amplitude, percent time, and integrated alpha, across four methods: within sessions, across sessions, within sessions compared to baseline, and across sessions compared to baseline.' (Dempster and Vernon, 2009).

#### Item 4e. Report the hardware and software used

This item is essential.

Include the versions.

#### Outcome measures (brain)

#### Item 5a. Report neurofeedback regulation success based on the feedback signal

This item is essential.

Identify the baseline or contrast used (e.g. subject-specific data from a previous session, reference data based on averaged data from a normative group). Identify the comparator run (e.g. training run or transfer run). Report both statistically significant and non-statistically significant findings.

Comment: We raise this point because some experiments report only the changes in a subset of brain activity that was not used for the neurofeedback signal.

#### Item 5b. Plot within-session and between-session regulation blocks of feedback variable(s), as well as pre-to-post resting baselines or contrasts

This item is essential.

Plotting the session course by comparing the session beginning, middle, and end (for instance, by arbitrarily dividing sessions into segments or using session blocks) allows the assessment of within-session dynamics. Between-session comparisons allow the assessment of the whole training course on a temporally more abstract level.

Example:

'Thus, relative to the VC group, the VTA feedback group showed enhanced activation over the duration of the ACTIVATE trial ... Relative to baseline, the VTA Feedback group increased activation in the first half of the trial (t(18))4.74, p < 0.0005) ... In addition to group differences, VTA Feedback group activation at Post-test was significantly greater than Pre-test (t(18) = 2.36, p < 0.05) and greater than baseline (early: t(18) = 2.88, p < 0.05; late: t(18) = 3.29, p < 0.005; overall: t(18) = 3.52, p < 0.005).' Also, see Fig. 3 in MacInnes et al. (2016).

#### Item 5c. Statistically compare the experimental condition/group to the control condition(s)/group(s) (not only each group to baseline measures)

This item is essential.

Comparing experimental and control groups/conditions to their respective baselines, but not to each other fails to test whether the experimental intervention outperforms the control intervention(s) (Nieuwenhuis et al., 2011).

Example:

'Figure 2 ... Amygdalar hemodynamic response was assessed using fMRI during exposure to (A) masked sad face presentations (SN-NN condition) and (B) masked happy face presentations (HN-NN condition). Error bars indicate ±1 SEM. \* indicates a significant difference from the corresponding baseline at p<sub>corrected</sub> < .05. # indicates a significant difference from the experimental group at  $p_{corrected} < .05$ .' (Young et al., 2017a).

#### **Outcome measures (behaviour)**

#### Item 6a. Include measures of clinical or behavioural significance, defined a priori, and describe whether they were reached

This item is essential.

For example, by using MCIDs to establish the magnitude of an effect to interpret as clinically meaningful (see Engel et al., 2018; Lakens et al., 2018 for an overview on establishing MCID values and smallest effect sizes of interest). Many of these values remain open to discussionexplain the reasoning behind the value used. Moreover, collect data on acceptability, safety, and adverse effects.

80

75

55

60

65

85

95

CRED-nf Checklist BRAIN 2020: Page 9 of 12 | 9

Domain	CRED-nf best practices checklist 2020  Oomain Item # Checklist item		
Domain	item#	Checklistitem	on page #
Pre-experin	nent		
•	1a	Pre-register experimental protocol and planned analyses	
	1b	Justify sample size	
Control gro	ups		
control gro	2a	Employ control group(s) or control condition(s)	
	2b	When leveraging experimental designs where a double-blind is possible, use a double-blind	
	2c	Blind those who rate the outcomes, and when possible, the statisticians involved	
	2d	Examine to what extent participants and experimenters remain blinded	
	2e	In clinical efficacy studies, employ a standard-of-care intervention group as a benchmark for improvement	
Control mea	asures		
	3a	Collect data on psychosocial factors	
	3b	Report whether participants were provided with a strategy	
	3c	Report the strategies participants used	
	3d	Report methods used for online-data processing and artefact correction	
	3e	Report condition and group effects for artefacts	
Feedback s	necificatio	ns	
	4a	Report how the online-feature extraction was defined	
	4b	Report and justify the reinforcement schedule	
	4c	Report the feedback modality and content	
	4d	Collect and report all brain activity variable(s) and/or contrasts used for feedback,	
		as displayed to experimental participants	
	4e	Report the hardware and software used	
Outcome m	easures		
Brain	5a	Report neurofeedback regulation success based on the feedback signal	
	5b	Plot within-session and between-session regulation blocks of feedback	
		variable(s), as well as pre-to-post resting baselines or contrasts	
	5c	Statistically compare the experimental condition/group to the control	
	•	condition(s)/group(s) (not only each group to baseline measures)	
Behaviour	6a	Include measures of clinical or behavioural significance, defined <i>a priori</i> , and	
	6b	describe whether they were reached  Run correlational analyses between regulation success and behavioural	
	OD.	outcomes	
Data storag			
	7a	Upload all materials, analysis scripts, code, and raw data used for analyses, as	

Figure 2 Consensus on the reporting and experimental design of clinical and cognitive-behavioural neuro feedback studies (CRED-nf) best practices checklist 2019. An online tool to complete this checklist is available at rtfin.org/CREDnf. Darker shaded boxes represent 'essential' checklist items; lightly shaded boxes represent 'encouraged' checklist items. We recommend using this checklist in conjunction with the standardized CRED-nf online tool (rtfin.org/CREDnf) and the CRED-nf article, which explains the motivation behind this checklist and provides details regarding many of the checklist items.

In this paper, we are using the term 'behaviour' in the broad sense to encompass all non-physiological measures, including self-reports.

Examples:

5

(i) 'Minimal clinically important differences (MCIDs) were defined as 'the smallest differences in scores in the domain

of interest, which patients perceive as beneficial, and which would mandate, in the absence of troublesome side effects and excessive costs, a change in the patient's management'... The MCID value for the 10-m walk test was 0.19 m/s; <sup>45</sup> 3.5 s for TUG; 46 and 5 points each for the UPDRS-Brad and UPDRS-III.<sup>47</sup> The MCID values of 5 points and 2 points were adopted for BBS and PDQ-39 (mobility), respectively.<sup>45,485</sup> (Costa-Ribeiro *et al.*, 2017).

10 | BRAIN 2020: Page 10 of 12 T. Ros et al.

(ii) 'The primary outcome measure was the arm section of the Fugl-Meyer Assessment (FMA). A minimal clinically important difference (MCID) for this scale was set to 7 point.' (Pichiorri et al., 2015).

# Item 6b Run correlational analyses between regulation success and behavioural outcomes

This item is essential.

Examples:

10

15

20

25

- (i) 'For the mean alpha amplitude at P4 (the NFB controlled parameter), we found no significant correlations with any neglect severity measures (i.e. omissions on the left, center, or right parts of the cancellation test, deviation on line bisection). However, as shown in Table 2, for the alpha *variability* and its left-right parietal asymmetry, we observed significant correlations with performance on the cancellation test.' (Ros *et al.*, 2017).
- (ii) 'The exploratory robust regression analysis suggested that changes in self-efficacy predicted residualized depression scores at the primary endpoint (R2 = 0.18, adjusted R2 = 0.15,  $\beta$  = -0.187  $\pm$  0.073, Fig. 2c), such that increase in self-efficacy was associated with less depression severity (t30 = -2.551, p = 0.016).' (Mehler *et al.*, 2018).

#### **Data storage**

Item 7a Upload all materials, analysis scripts, code, and raw data used for analyses, as well as final values, to an open access data repository, when feasible

This item is encouraged.

#### **Description of consensus process**

The authors T.R., S.E-G., and R.T.T. developed the idea for a checklist of this type. They worked together, in the form of an adversarial collaboration, to produce an initial outline of the present checklist. They then requested input from researchers involved in recent correspondences on neurofeedback, particularly those published in Brain and Lancet Psychiatry. These researchers included K.D.Y., J.S.S., S.R.S., R.S., Mi.S., F.S., Ma.S, J-A.M-F., D.M.A.M., J.L., D.E.J.L., R.J.H., J.G., T.F., and M.A. T.R., S.E-G., and R.T.T. then worked together to implement the comments from the researcher listed above and produce a first complete draft. This first complete draft was then sent to neurofeedback researchers involved in relevant discussions at recent conferences [e.g. Society for Applied Neuroscience (SAN) 2016; real-time Functional Imaging and Neurofeedback (rtFIN) 2017; Journée Nationale sur le Neurofeedback 2018], as well as the first-round contributors, to ask: (i) whether they agreed with the contents of the checklist; (ii) whether they would like to add, modify, or remove any material; and (iii) to invite researchers they believe may be interested in joining or commenting on the consensus. Together, T.R., S.E-G., and R.T.T. discussed each of the second-round comments and implemented those they

believed appropriate for this checklist. Not all comments were addressed; in particular, specific comments relevant to only a subset of neurofeedback research, as well as a few points where contributors disagreed, were excluded from the present checklist. This second draft was then shared with all contributors before submitting for publication.

#### **Funding**

No funding was received towards this work. R.T.T. is supported by a postdoctoral fellowship from the Fonds de la recherche en santé du Québec. A.O. and M.A.L. are supported by the Center for Bioelectric Interfaces National Research University Higher School of Economics, Russian Federation Government grant, ag. No.14.641.31.0003.

## **Competing interests**

U.S. has been paid for public speaking by Novartis, Medice, NeuroCare, the German Society for Biofeedback, the German Society for Psychotherapy and Psychiatry and the Akademie König und Müller. K.R. has received a grant from Takeda for another project. M.H. has a patent application for fNIRS neurofeedback, titled 'Methods and systems for treating a subject using NIRS neurofeedback' (PCT/US2017/036532, filed June 8, 2017) as well as a contract with Elsevier to edit a book titled 'FMRI Neurofeedback'. D.B. serves as an unpaid scientific advisor for an EU-funded neurofeedback trial unrelated to the present work. B.B. was paid for public speaking by the neuroCare Group. M.A. is unpaid chairman of the Brainclinics Foundation, a minority shareholder in neuroCare Group (Munich, Germany), and a co-inventor on four patent applications related to EEG, neuromodulation and psychophysiology, but receives no royalties related to these patents; Research Institute Brainclinics received research funding from Brain Resource (Sydney, Australia), Urgotech (France) and neuroCare Group (Munich, Germany), and equipment support from Deymed, neuroConn, Brainsway and Magventure. R.T.T. has received payments to consult with neurofeedback start-up companies. C.R.D holds patents related to rtfMRI and rtfMRI-based feedback, and is CEO and a shareholder in Omneuron, a company that has developed technology related rtfMRI-based feedback. All other authors report no competing interests.

## Supplementary material

Supplementary material is available at *Brain* online.

#### References

Albers C, Lakens D. When power analyses based on pilot data are biased: inaccurate effect size estimators and follow-up bias. J Exp Soc Psychol 2018; 74: 187–95.

60

65

90

CRED-nf Checklist BRAIN 2020: Page 11 of 12 | 11

Algermissen J, Mehler DM. May the power be with you: are there highly powered studies in neuroscience, and how can we get more of them? J Neurophysiol 2018; 119: 2114–7.

- Arnold LE, Lofthouse N, Hersch S, Pan X, Hurt E, Bates B, et al. EEG neurofeedback for ADHD: double-blind sham-controlled randomized pilot feasibility trial. J Atten Disord 2013; 17: 410–9.
- Babapoor-Farrokhran S, Vinck M, Womelsdorf T, Everling S. Theta and beta synchrony coordinate frontal eye fields and anterior cingulate cortex during sensorimotor mapping. Nat Commun 2017; 8: 1–4
- Campbell DT, Stanley JC. Experimental and quasi-experimental designs for research. Ravenio Books; 2015.

10

15

20

35

- Cao B, Wang J, Zhang X, Yang X, Poon DC, Jelfs B, et al. Impairment of decision making and disruption of synchrony between basolateral amygdala and anterior cingulate cortex in the maternally separated rat. Neurobiol Learn Mem 2016; 136: 74–85.
- Costa-Ribeiro A, Maux A, Bosford T, Aoki Y, Castro R, Baltar A, et al. Transcranial direct current stimulation associated with gait training in Parkinson's disease: a pilot randomized clinical trial. Dev Neurorehabil 2017; 20: 121–8.
- Cox WM, Subramanian L, Linden DE, Lührs M, McNamara R, Playle R, et al. Neurofeedback training for alcohol dependence versus treatment as usual: study protocol for a randomized controlled trial. Trials 2016; 17: 480.
- Davelaar EJ, Barnby JM, Almasi S, Eatough V. Differential subjective experiences in learners and non-learners in frontal alpha neurofeedback: piloting a mixed-method approach. Front Hum Neurosci 2018; 12: 402.
  - deCharms RC, Maeda F, Glover GH, Ludlow D, Pauly JM, Soneji D, et al. Control over brain activation and pain learned by using real-time functional MRI. Proc Natl Acad Sci U S A 2005; 102: 18626–31.
  - Dempster T, Vernon D. Identifying indices of learning for alpha neurofeedback training. Appl Psychophysiol Biofeedback 2009; 34: 309.
  - Dutilh G, Sarafoglou A, Wagenmakers EJ. Flexible yet fair: Blinding analyses in experimental psychology. Synthese 2019; 1–28. doi: 10.1007/s11229-019-02456-7.
  - Engel L, Beaton DE, Touma Z. Minimal clinically important difference: a review of outcome measure score interpretation. Rheum Dis Clin N Am 2018; 44: 177–88.
- Enriquez-Geppert S, Huster RJ, Herrmann CS. Boosting brain functions: Improving executive functions with behavioral training, neurostimulation, and neurofeedback. Int J Psychophysiol 2013; 88: 1–6.
  - Enriquez-Geppert S, Huster RJ, Herrmann CS. EEG-neurofeedback as a tool to modulate cognition and behavior: a review tutorial. Front Hum Neurosci 2017; 11: 51.
  - Enriquez-Geppert S, Huster RJ, Scharfenort R, Mokom ZN, Zimmermann J, Herrmann CS, Modulation of frontal-midline theta by neurofeedback. Biol Psychol 2014; 95: 59–69.
  - Fernández T, Bosch-Bayard J, Harmony T, Caballero MI, Díaz-Comas L, Galán L, et al. Neurofeedback in learning disabled children: visual versus auditory reinforcement. Appl Psychophysiol Biofeedback 2016; 41: 27–37.
  - Finnerup NB, Sindrup SH, Jensen TS. The evidence for pharmacological treatment of neuropathic pain. Pain 2010; 150: 573-81.
- Fovet T, Micoulaud-Franchi JA, Vialatte FB, Lotte F, Daudet C, Batail JM, et al. On assessing neurofeedback effects: should double-blind replace neurophysiological mechanisms? Brain 2017; 140: e63.
  - Gross J, Baillet S, Barnes GR, Henson RN, Hillebrand A, Jensen O, et al. Good practice for conducting and reporting MEG research. NeuroImage 2013; 65: 349–63.
  - Holtmann M, Pniewski B, Wachtlin D, Wörz S, Strehl U. Neurofeedback in children with attention-deficit/hyperactivity disorder (ADHD)–a controlled multicenter study of a non-pharmacological treatment approach. BMC Pediatr 2014; 14: 202.
- Huster RJ, Mokom ZN, Enriquez-Geppert S, Herrmann CS. Braincomputer interfaces for EEG neurofeedback: peculiarities and solutions. Int J Psychophysiol 2014; 91: 36–45.

Kamiya J. The first communications about operant conditioning of the EEG. J Neurother 2011; 15: 65–73.

- Kober SE, Witte M, Ninaus M, Neuper C, Wood G. Learning to modulate one's own brain activity: the effect of spontaneous mental strategies. Front Hum Neurosci 2013; 7: 695.
- Kolahi J, Bang H, Park J. Towards a proposal for assessment of blinding success in clinical trials: up-to-date review. Community Dent Oral Epidemiol 2009; 37: 477–84.
- Kropotov JD, Grin-Yatsenko VA, Ponomarev VA, Chutko LS, Yakovenko EA, Nikishena IS. ERPs correlates of EEG relative beta training in ADHD children. Int J Psychophysiol 2005; 55: 23–34
- Lakens D, Scheel AM, Isager PM. Equivalence testing for psychological research: a tutorial. Adv Methods Pract Psychol Sci 2018; 1: 259–69.
- Linden D. Brain control: developments in therapy and implications for society. . Basingstoke, Hampshire: Palgrave Macmillan; 2014.
- MacInnes JJ, Dickerson KC, Kuei, Chen N, Adcock RA. Cognitive neurostimulation: learning to volitionally sustain ventral tegmental area activation. Neuron 2016; 89: 1331–42.
- Marxen M, Jacob MJ, Müller DK, Posse S, Ackley E, Hellrung L, et al. Amygdala regulation following fMRI-neurofeedback without instructed strategies. Front Hum Neurosci 2016; 10: 1–14.
- Mehler DM, Sokunbi MO, Habes I, Barawi K, Subramanian L, Range M, et al. Targeting the affective brain?a randomized controlled trial of real-time fMRI neurofeedback in patients with depression. Neuropsychopharmacology 2018; 43: 2578–85.
- Mehler DMA, Williams AN, Whittaker JR, Krause F, Lührs M, Wise RG, et al. Study pre-registration: Gradual real-time fMRI neurofeed-back training of motor imagery in middle cerebral artery stroke patients [Internet]. 2017. Available from: osf.io/qnsv7.
- Micoulaud-Franchi J-A, Fovet T. Neurofeedback: time needed for a promising non-pharmacological therapeutic method. Lancet Psychiatry 2016; 3: e16.
- Micoulaud-Franchi J-A, Fovet T. A framework for disentangling the hyperbolic truth of neurofeedback: comment on Thibault and Raz (2017). Am Psychol 2018: 73: 933–5.
- Nan W, Rodrigues JP, Ma J, Qu X, Wan F, Mak PI, et al. Individual alpha neurofeedback training effect on short term memory. Int J Psychophysiol 2012; 86: 83–7.
- Nichols TE, Das S, Eickhoff SB, Evans AC, Glatard T, Hanke M, et al. Best practices in data analysis and sharing in neuroimaging using MRI. Nat Neurosci 2017; 20: 299–303.
- Nieuwenhuis S, Forstmann BU, Wagenmakers E. Erroneous analyses of interactions in neuroscience: a problem of significance. Nat Neurosci 2011; 14: 1105–9.
- Pernet C, Garrido M, Gramfort A, Maurits N, Michel C, Pang E, et al. Best practices in data analysis and sharing in neuroimaging using MEEG. PsyArXiv 2018
- Pichiorri F, Morone G, Petti M, Toppi J, Pisotta I, Molinari M, et al. Brain-computer interface boosts motor imagery practice during stroke recovery. Ann Neurol 2015; 77: 851–65.
- Pigott HE, Trullinger M, Harbin H, Cammack J, Harbin F, Cannon R. Confusion regarding operant conditioning of the EEG. Lancet Psychiatry 2017; 4: 897.
- Ros T, Baars BJ, Lanius RA, Vuilleumier P. Tuning pathological brain oscillations with neurofeedback: a systems neuroscience framework. Front Hum Neurosci 2014; 8: 1008.
- Ros T, Michela A, Bellman A, Vuadens P, Saj A, Vuilleumier P. Increased alpha-rhythm dynamic range promotes recovery from visuospatial neglect: a neurofeedback study. Neural Plast 2017; 2017: 7407241.
- Rothman KJ. Synergy and antagonism in cause-effect relationships. Am J Epidemiol 1974; 99: 385–88.
- Schabus M. Reply: on assessing neurofeedback effects: should double-blind replace neurophysiological mechanisms? Brain 2017; 140: e64.
- Schabus M. Reply: Noisy but not placebo: defining metrics for effects of neurofeedback. Brain 2018; 141: e41.

75

85

90

95

100

105

110

115

120

125

130

BRAIN 2020: Page 12 of 12

T. Ros et al.

10

30

- Schabus M, Griessenberger H, Gnjezda MT, Heib DP, Wislowska M, Hoedlmoser K. Better than sham? A double-blind placebo-controlled neurofeedback study in primary insomnia. Brain 2017; 140: 1041–52.
- 5 Schafer RJ, Moore T. Selective attention from voluntary control of neurons in prefrontal cortex. Science 2011; 332: 1568–71.
  - Schönenberg M, Wiedemann E, Schneidt A, Scheeff J, Logemann A, Keune PM, et al. Neurofeedback, sham neurofeedback, and cognitive-behavioural group therapy in adults with attention-deficit hyperactivity disorder: a triple-blind, randomised, controlled trial. Lancet Psychiatry 2017a; 4: 673–84.
  - Schönenberg M, Wiedemann E, Schneidt A, Scheeff J, Logemann A, Keune PM, et al. Confusion regarding operant conditioning of the EEG-authors' reply. Lancet Psychiatry 2017b; 4: 897–8.
- Schulz KF, Altman DG, Moher D. CONSORT 2010 statement: updated guidelines for reporting parallel group randomized trials of TO. Ann Intern Med 2010; 152: 726–32.
  - Sitaram R, Ros T, Stoeckel LE, Haller S, Scharnowski F, Lewis-Peacock J, et al. Closed-loop brain training: the science of neuro-feedback. Nat Rev Neurosci 2017; 18: 86–100.
  - Sorger B, Kamp T, Weiskopf N, Peters JC, Goebel R. When the brain takes 'BOLD' steps: real-time fMRI neurofeedback can further enhance the ability to gradually self-regulate regional brain activation. Neuroscience 2018; 378: 71–88.
- Sorger B, Scharnowski F, Linden DE, Hampson M, Young KD. Control freaks: Towards optimal selection of control conditions for fMRI neurofeedback studies. Neuroimage 2019; 186: 256–65.
  - Steiner NJ, Frenette EC, Rene KM, Brennan RT, Perrin EC. Neurofeedback and cognitive attention training for children with attention-deficit hyperactivity disorder in schools. J Dev Behav Pediatr 2014; 35: 18–27.
  - Sterman MB, Howe RC, Macdonald LR. Facilitation of spindle-burst sleep by conditioning of electroencephalographic activity while awake. Science 1970; 167: 1146–8.
- Strehl U, Aggensteiner P, Wachtlin D, Brandeis D, Albrecht B, Arana M, et al. Neurofeedback of slow cortical potentials in children with attention-deficit/hyperactivity disorder: a multicenter randomized trial controlling for unspecific effects. Front Hum Neurosci 2017; 11: 1–15.

- The Collaborative Neurofeedback Group. A proposed multisite double-blind randomized clinical trial of neurofeedback for ADHD: need, rationale, and strategy. J Atten Disord 2013; 17: 420–36.
- Thibault RT, Lifshitz M, Raz A. Neurofeedback or neuroplacebo? Brain 2017; 140: 862-4.
- Thibault RT, Lifshitz M, Raz A. The climate of neurofeedback: scientific rigour and the perils of ideology. Brain 2018; 141: e11.
- Thibault RT, Raz A. Neurofeedback: the power of psychosocial therapeutics. Lancet Psychiatry 2016; 3: e18.
- Thibault RT, Raz A. When can neurofeedback join the clinical armamentarium? Lancet Psychiatry 2016; 3: 497–8.
- Thibault RT, Raz A. The psychology of neurofeedback: clinical intervention even if applied placebo. Am Psychol 2017; 72: 679–88.
- Watanabe T, Sasaki Y, Shibata K, Kawato M. Advances in fMRI Real-Time Neurofeedback. Trends Cogn Sci 2017; 21: 997–1010.
- Witte M, Kober SE, Wood G. Noisy but not placebo: defining metrics for effects of neurofeedback. Brain 2018: 1–3.
- Wood G, Kober SE. EEG neurofeedback is under strong control of psychosocial factors. Appl Psychophysiol Biofeedback 2018; 43: 293–300.
- Young KD, Misaki M, Harmer CJ, Victor T, Zotev V, Phillips R, et al. Real-time functional magnetic resonance imaging amygdala neuro-feedback changes positive information processing in major depressive disorder. Biol Psychiatry 2017a; 82: 578–86.
- Young KD, Siegle GJ, Zotev V, Phillips R, Misaki M, Yuan H, et al. Randomized clinical trial of real-time fMRI amygdala neurofeed-back for major depressive disorder: effects on symptoms and auto-biographical memory recall. Am J Psychiatry 2017b; 174: 748–55.
- Young KD, Zotev V, Phillips R, Misaki M, Yuan H, Drevets WC, et al. Real-time FMRI neurofeedback training of amygdala activity in patients with major depressive disorder. PLoS ONE 2014; 9: e88785.
- Zich C, Debener S, De Vos M, Frerichs S, Maurer S, Kranczioch C. Lateralization patterns of covert but not overt movements change with age: an EEG neurofeedback study. NeuroImage 2015; 116: 80–91.
- Zoefel B, Huster RJ, Herrmann CS. Neurofeedback training of the upper alpha frequency band in EEG improves cognitive performance. NeuroImage 2011; 54: 1427–31.

45

45

50

55

65

/5