

Agricultural development in Ecuador: a compromise between water and food security?

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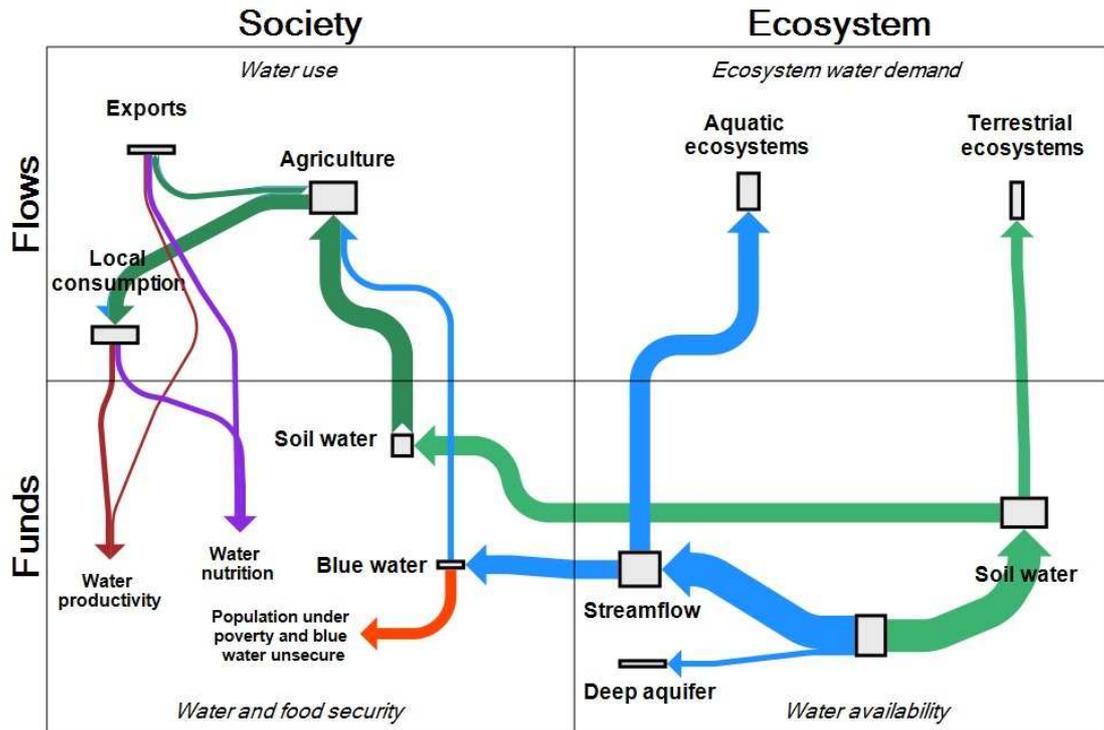
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Abstract

Ecuador is facing several threats to its food and water security, with over a tenth of its population currently undernourished and living in poverty. As a response, its government is incorporating new patterns of land use and developing regional water infrastructure to cope with the related challenges. In this study, we assess to what point these efforts contribute to integrated water and food security in the country. We investigated the period 2004-2013 in the most productive agricultural region - the Guayas river basin district (GRBD) - and analysed the impacts of different scenarios of agricultural change on local water security. Our approach integrates MuSIASEM (Multi-Scale Integrated Analysis of Societal and Ecosystem Metabolism) with the hydrological SWAT model. Freshwater allocation is evaluated within all the water cycle from its source (natural systems) to the final users (societal systems). Water security is assessed spatiotemporally in terms of water stress for the population living in poverty. Water productivity is obtained in relation to agricultural production and nutrition. The multi-scale analysis shows that whereas at national level the median annual streamflow has a similar magnitude than rainfall stored in soil, these two parameters differ spatiotemporally at subbasin level. The study finds the greatest challenges in achieving water security is the south-east and central part of the GRBD, due to water scarcity and a larger population living in poverty. However, these areas are also simultaneously, where the greatest crop water productivity is found. We conclude that food production for both domestic consumption and market-oriented exports can be increased while meeting ecosystem water demands in all the GRBD regions except for the east. Our integration of methods provide a better approach to inform integrated land and water management and is relevant for academics, practitioners and policymakers alike.

Keywords: irrigation; national development policy; SWAT; water metabolism; Socio-Ecosystems.



1 **7911 words, including tables, figures, acknowledgement and references**

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3 **security?**

4 **1. Introduction**

5 Ecuador faces an important food security challenge, where approximately two million
6 people - 11% of its population - are undernourished (FAO, 2017). In response, the
7 government has implemented a legal reform that enshrines food sovereignty in its
8 Constitution (República del Ecuador, 2008) following the principles of the National
9 [Development] Plan for Good Living¹ –NPGL– (SENPLADES, 2013). The NPGL
10 principles were incorporated into the Food Sovereignty Regime Organic Law of 2009,
11 which sought to avoid further monocultures and provides support for local farmers in the
12 country. It has resulted in a raise of public investment in agriculture from \$93 million to
13 \$268 million in the period 2003-2009 (Nehring, 2012). Despite legal efforts, public
14 investment has not achieved local food security. On the contrary, it resulted in an increase
15 in food production for export – a similar trend to other Latin American countries (Falconí et
16 al., 2017).

17 The country has a large water endowment (average runoff of 1,275 mm), but it also
18 presents an unequal distribution of water throughout regions and dry-wet seasons
19 (CISPDR, 2014). A number of studies assess the physical and social drivers of Ecuador's
20 water allocation within the water cycle. These include the effects of land use change
21 (Espinosa and Rivera, 2016) and climate change (Molina et al., 2015) over freshwater
22 provision or the contribution of paramo and aquifers retention capacity to baseflow
23 (Guzmán et al., 2015). Research has also addressed the role of water user organisations in
24 water management (Hoogesteger, 2013) and how institutional reforms strengthen water
25 rights (Cremers et al., 2005) of local irrigation communities. Those studies focus mainly on
26 small (i.e., <500 km²) highland catchments from the Andean region, but to date and to the

¹ The National Plan for Good Living (Plan del Buen Vivir) comes out at four-year intervals (2009–2013 followed by 2013-2017). It is based on the indigenous Quechua concept of Sumak Kawsay (Buen Vivir) - a social paradigm - with objectives to 'better the quality of life of the population, develop their capacities and potential'.

27 best of our knowledge there are no detailed spatiotemporal assessments of Ecuador that
28 cover the distribution of available water resources, land use distribution (including irrigated
29 areas) and their assigned water demand. More importantly, there are no studies on the areas
30 with larger population densities of people living in poverty and where the most valuable
31 crops are produced.

32 Distribution of available water resources will also likely be affected by future political
33 decisions, related to changes in agricultural land patterns and water infrastructure, to ensure
34 local and global food demand. The National Hydraulic Plan (period 2014-2035) and the
35 National Plan for Irrigation and Drainage foresee an increase of the irrigated area by 53%
36 (from 941,000 to 1,443,000 ha) (MAGAP, 2013) and the existing reservoir volume by 90%
37 (from 7,690 hm³ to 14,672 hm³) (CISPDR, 2014), showing a strong connection between
38 food and water security. Thus, for successful implementation over time, both agricultural
39 development and water resource management must be coherent.

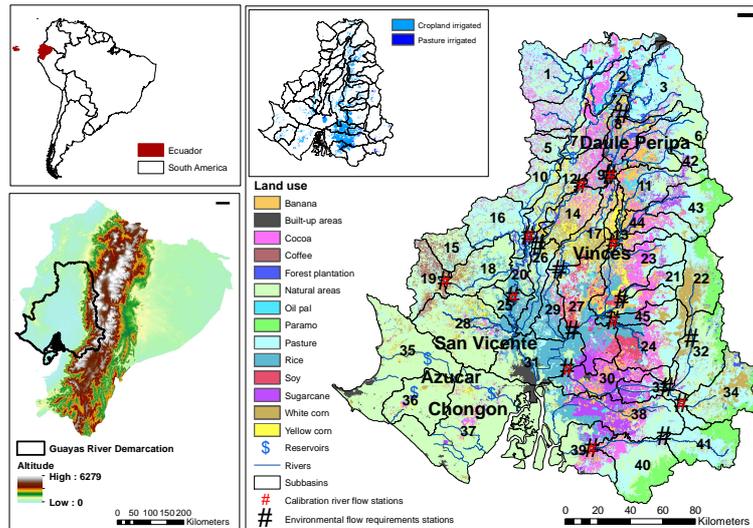
40 Integrated Land and Water Resources Management (ILWRM) is a scientific approach that
41 highlights the connections between land and water management with the purpose of
42 strengthen their interaction (Falkenmark et al., 2014). ILWRM builds on Integrated Water
43 Management principles, but it provides a further step to environmental evaluations due to
44 the considerations of water-land interactions at the local level (*ibid*). Indeed, local issues
45 are more tractable because the systems co-exist in space (de Loë and Patterson, 2017).
46 Despite the scientific consensus on the usefulness of the approach, ILWRM has had limited
47 practical applications by water practitioners and lacks explicit consideration on
48 environmental policies and development plans. For example, in Europe, the Water
49 Framework Directive does not mention the relevance and effects of land use changes and
50 practices on available water resources, which has resulted in a disconnection between
51 agricultural and water policy objectives (Cabello and Madrid, 2014). Moreover, certain
52 agricultural policy measures also determine land use management practices with effects on
53 water allocation (Salmoral et al., 2017). This has also been the case in Ecuador, where
54 national development plans, aim to increase food provision by including additional irrigated
55 areas and changing crop patterns distributions, but there is no evaluation on the
56 implications of such decisions on local water resources.

57 We focus on the Guayas river basin district (GRBD), as it is central to Ecuadorian water
58 and food security. The study aims to answer the following questions: 1) What are the water
59 needs for food security in the GRBD? 2) How does food security and the related
60 agricultural development affect water security? The paper is organized as follows. Section
61 2 describes the selected case study and the ILWRM method, which combines MuSIASEM
62 (Multi-Scale Integrated Analysis of Societal and Ecosystem Metabolism) (Giampietro et
63 al., 2009) and the hydrological model SWAT (Soil and Water Assessment Tool) (Arnold et
64 al., 1998). The applied indicators for food and water security links and water productivities
65 are described and scenarios towards water and food security aims presented. Section 3
66 shows the main results, also including an evaluation of water and food security changes
67 under the proposed scenarios. Section 4 discusses the relevance of our method and obtained
68 multi-level results, and highlights the pressures on local water resources as well as the
69 related implications of national development strategies for water and food security.

70 **2. Materials and methods**

71 **2.1. The Guayas river basin district**

72 The Guayas river basin district (GRBD) has a land area of 44,532 km² (i.e., 16% of the
73 country surface) and provides water resources for about 6 million inhabitants (i.e., 40% of
74 the national population (INEC, 2015)). In 2010, the GRBD contained 380,840 ha irrigated
75 land, which holds 57% of the national agricultural irrigated area (CISPDR, 2014; CISPDR,
76 2015). The GRBD is a humid tropical system comprising a rainy season from December to
77 May and a wet one for the remaining months. There are precipitation variations from the
78 north (2,900-3,100 mm) to the south (300-700 mm) (CISPDR, 2015). The GRBD contains
79 the largest share of the national agricultural area for the region's most significant crops i.e.,
80 rice (96%), banana (68%), sugar cane (97%), corn (55%) , coffee (33%) and palm oil
81 (19%) (MAGAP, 2015) (Fig. 1; Table A1).



82

83 Fig. 1. Location of the Guayas river basin district. Main land use classifications and irrigated areas
84 are shown.

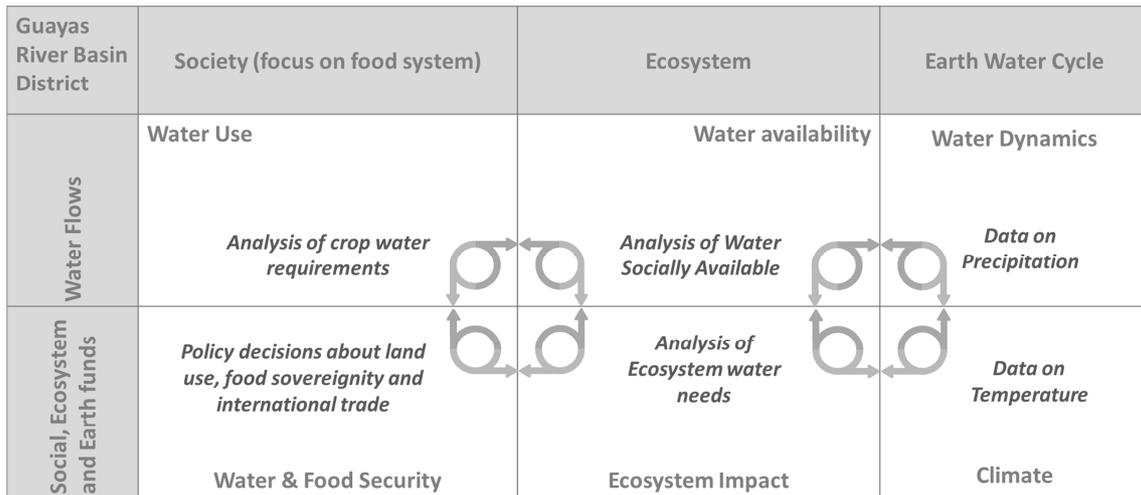
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86 2.2. Studying the water metabolism within ILWRM

87 ILWRM analyses face an important ‘issue of scale’ (Cumming et al., 2006) because joint
88 water-land analyses require the simultaneous adoption of different spatial-temporal scales.
89 These differences are marked by water and land dynamics, with differing cycles and
90 geographical boundaries. To address these factors this study assesses the water metabolism
91 of socio-ecological systems from an ILWRM perspective using MuSIASEM (Giampietro et
92 al., 2009).

93 MuSIASEM is a very powerful framework for the analysis of water use and its resulting
94 impacts (Madrid-López et al., 2014; Madrid et al., 2013; Serrano-Tovar et al., 2014).
95 However, it needs to be adapted to soil and land use dynamics for its application to
96 ILWRM. Fig. 2 shows a chart that summarizes the adaptation and how the water
97 metabolism of socio-ecosystems is conceptualized. The upper part connects the flows of
98 water that reach the land surface and are available at the ecosystem level with those water
99 flows used for food production. The lower level shows the structural organization of each
100 system and the factors that transform them: climate change, ecosystem water requirements
101 and food provision. Policy making is an important part of the metabolism, as it guides the
102 biophysical flows that and has a direct influence over water and food security. Current

103 policy goals have been used in the analysis to build scenarios. They are further developed
 104 in Section 2.5.



105

106 Fig. 2. The water metabolism of the food socio-ecosystem of the Guayas river basin district.
 107 Adapted from (Madrid-López and Giampietro, 2015).

108

109 2.3. MuSIASEM-SWAT integration

110 In this study, MuSIASEM serves as a framework in which (1971) Georgescu-Roegen's
 111 'flow' and 'fund' concepts are used to structure the analysis. Funds refer to the components
 112 of the socio-ecosystems, which must be maintained (trees, people, river patterns, etc.) In
 113 general terms, a specific analytical tool is chosen according to the type of flow to be
 114 studied. The results of this analysis are contextualized with a study of the fund elements. As
 115 this study focuses on a river basin district, we use the hydrological model SWAT (Arnold
 116 et al., 1998). SWAT is able to simulate ecosystem water funds and societal water flows and
 117 integrate different spatiotemporal levels of water-land links. The model presents the
 118 capacity to combine hydrological components with a plant growth module, which is
 119 essential for the assessment of agricultural production in our study. However, it fails to tell
 120 the story behind those flows, thus the fund description of MuSIASEM is used for their
 121 contextualization.

122 We use SWAT parameters as proxies for the estimation of five different water metabolism
123 semantic categories², as presented in Madrid-Lopez and Giampietro (2014) (Table 1):

- 124 • *Ecosystem water funds* refer to the natural runoff (R_{nat}) and soil water storage
125 ($SWS_{ecosystems}$) patterns. R_{nat} and $SWS_{ecosystems}$ are calculated following Salmoral et al.
126 (2017).
- 127 • *Ecosystem water flows* refers to the water demand from terrestrial and aquatic
128 ecosystems for their proper functioning.
- 129 • *Societal available water (SAW)* is the amount of water that can be used by society
130 taking into account constraints of ecosystem water demands and available
131 infrastructure.
- 132 • *Gross water appropriation (GWA)* includes the consumptive (i.e., evaporation,
133 transpiration, integration into a product, or release into a different drainage basin or
134 the sea) and non-consumptive (i.e., water used for cooling or polluted water) water
135 used for human activities.
- 136 • *Net water use (NWU)* considers only consumptive water that has actually been used
137 (excluding losses).

138 We perform a monthly step analysis during the period 2004-2013 for each subbasin
139 generated by SWAT. The outputs are later summarized by the total river basin district and
140 at yearly steps. The detailed information required to run SWAT, design the model and
141 evaluate its performance is included in the Supplemental Material.

142
143 Table 1. Water metabolism semantic categories adapted from Madrid-Lopez and Giampietro (2014)
144 and the proxies estimated with SWAT.

| Water function | Water metabolism category | Water types | SWAT parameter |
|----------------|---------------------------|---|---|
| Fund | Ecosystem water | Natural runoff (R_{nat}) | Streamflow without human abstractions |
| | | Soil water storage ($SWS_{ecosystems}$) | At annual step: evapotranspiration without irrigation practices At monthly step: sum of the soil moisture and effective precipitation at the beginning of each month |
| Flow | Ecosystem water | Environmental flow requirements (EFR) | River flow left to the aquatic ecosystems |
| | | Evapotranspiration | Evapotranspiration from terrestrial ecosystems |

² More details in Supplemental Material

| | | from the environment | | | |
|------|---------------------------------|-------------------------------|---|---|---|
| Fund | Societal available water (SAW) | Blue water (SAW_{blue}) | Available streamflow ($R_{nat} - EFR$) | Water yield without human abstractions minus environmental flow requirements. It only considers surface water connected to active shallow aquifer. | |
| | | | Reservoirs (ST_{res}) | Water stored in reservoirs | |
| | | Green water (SAW_{green}) | Soil water storage for human purposes (SWS_{human}) | At annual step: evapotranspiration without irrigation practices for croplands and pasturelands At monthly step: sum of the soil moisture and effective precipitation at the beginning of each month for croplands and pasturelands | |
| Flow | Gross water appropriation (GWA) | | Households water abstractions | Gross water withdrawn | |
| | | | Industry water abstractions | Gross water withdrawn | |
| | | | Surface water evaporation | Evaporation losses from surface water bodies | |
| | | | Agricultural irrigation water abstractions ⁽¹⁾ | Gross irrigation from surface water and reservoir sources | |
| | | | Agricultural soil water flows | Evapotranspiration in agricultural areas, excluding irrigation | |
| | Net water use (NWU) | Blue water (NWU_{blue}) | | Households water consumption | Net water withdrawn |
| | | | | Industry water consumption | Net water withdrawn |
| | | | | Surface water evaporation | Evaporation losses from surface water bodies |
| | | | | Agricultural irrigation water consumption ⁽¹⁾⁽²⁾ | Net irrigation from surface water and reservoir sources |
| | | | Green water (NWU_{green}) | Agricultural soil water flows ⁽²⁾ | Evapotranspiration in agricultural areas, excluding irrigation source |

145 ⁽¹⁾ It does not consider losses during water distribution

146 ⁽²⁾ Part of the water consumed for local agricultural production will be exported in the form of
147 virtual water

148 2.4. Evaluating food and water security links and water productivities

149 The evaluation of food and water security has been assessed with three different
150 approaches³:

- 151 • Water security can be framed focusing on quantity and availability of water
152 (Rodrigues et al., 2014), which in the end is also related to food security to meet
153 agricultural needs (Cook and Bakker, 2012). *Blue flow/fund* shows the water stress

³ See Supplementary Material for more details regarding methods and data used.

154 by subbasin s and month m and is quantified as a ratio of water consumed to water
155 available:

156

$$157 \quad \text{blue flow/fund}_{s,m} = \frac{NWU_{blue}}{SAW_{blue}} \quad [1]$$

158 Where, NWU_{blue} : net water use in streamflow (volume time⁻¹); SAW_{blue} :
159 societal available water in streamflow (volume time⁻¹)

160 Similarly, *green flow/fund*:

$$161 \quad \text{green flow/fund}_{s,m} = \frac{NWU_{green}}{SWS} \quad [2]$$

162 Where, NWU_{green} : agricultural soil water consumption from rainfall source
163 (volume time⁻¹); SWS : soil water storage (volume time⁻¹)

164 NWU_{blue} and SAW_{blue} are calculated as the sum of the flows and funds for all subbasins
165 upstream of the subbasin under study. In contrast, NWU_{green} and SWS only refer per
166 subbasin.

- 167 • Blue water security is also evaluated with a weighted population under poverty by
168 the volume of unavailable water per unit of drainage area (*Poverty water unsecure*
169 s,m , in inhabitants m³/m²), when net blue water use exceeds societal available water:

170

$$\text{Poverty water unsecure}_{s,m} = \frac{\text{Population}_{poverty} \times (NWU_{blue} - SAW_{blue})}{\text{drainage area}}$$

171

if $NWU_{blue} > SAW_{blue}$

172

[3]

173 Where, $\text{population}_{poverty}$: population under poverty for each drainage area;
174 drainage area : sum of the total upstream subbasin area for each
175 under study (m²)

176 In the GRBD a total 3,681,472 inhabitants are considered to be living in poverty
177 (INEC, 2011; CISPDR, 2015).

- 178 • Crop water productivity (CWP in kg/m³) and crop water nutrition (CWN , kcal/L)
179 assess the level of food production in relation to water consumption, including both

180 blue and green water. These are calculated at subbasin level and annual resolution in
181 dry matter content for croplands and pasture. Crops production is first converted
182 into the main food product applying extraction coefficients and later to kcal.

183

184

185 **2.5. Scenarios towards water and food security aims**

186 Four scenarios evaluate the implications on local water resources and food provision by
187 2035 due to changes on water infrastructure and agricultural land uses (Table A6)⁴.

188 *“Irrigation”*: Development of new water infrastructure under current crop patterns

189 This scenario exclusively shows the planned expansion of irrigated areas (from 413,420 to
190 814,900 ha) and reservoir storage capacity (from 8,625 to 12,332 hm³) according to the
191 GRBD Hydraulic Plan. The crop distribution area is the same as in the baseline scenario
192 (Fig. 1).

193 In the following scenarios, the irrigated areas, storage capacity and water transfers are kept
194 the same as in *Irrigation*. The only condition that changes is crop distributions.

195 *“Exports”*: Crop pattern changes supporting international exports

196 Crop pattern changes are justified by the enthusiasm for international exports. Between
197 2013 and 2035 coffee, cocoa and palm oil areas are annually increased by 1% and the
198 reforested areas by 2%, according to the existing agricultural and reforestation programs.
199 To meet this expansion, pasture land and natural herbaceous vegetation are reduced by
200 170,283 and 2,090 ha, respectively.

201 *“Sovereignty”*: Crop pattern changes towards food sovereignty aims

202 This scenario promotes agricultural production and consumption within the country. Sugar
203 cane, soya and yellow corn are selected towards food sovereignty aims, since these are the
204 main products that Ecuador currently imports. They are increased with an annual growth
205 rate of 1.6%, 2.2% and 2.2%, respectively. To allow this crop pattern change, a total area of

⁴ More details about data and methods applied are available in the Supplemental Material.

206 171,470 ha is reduced, including mainly pasture land, followed by natural herbaceous
207 vegetation, white corn and bush areas.

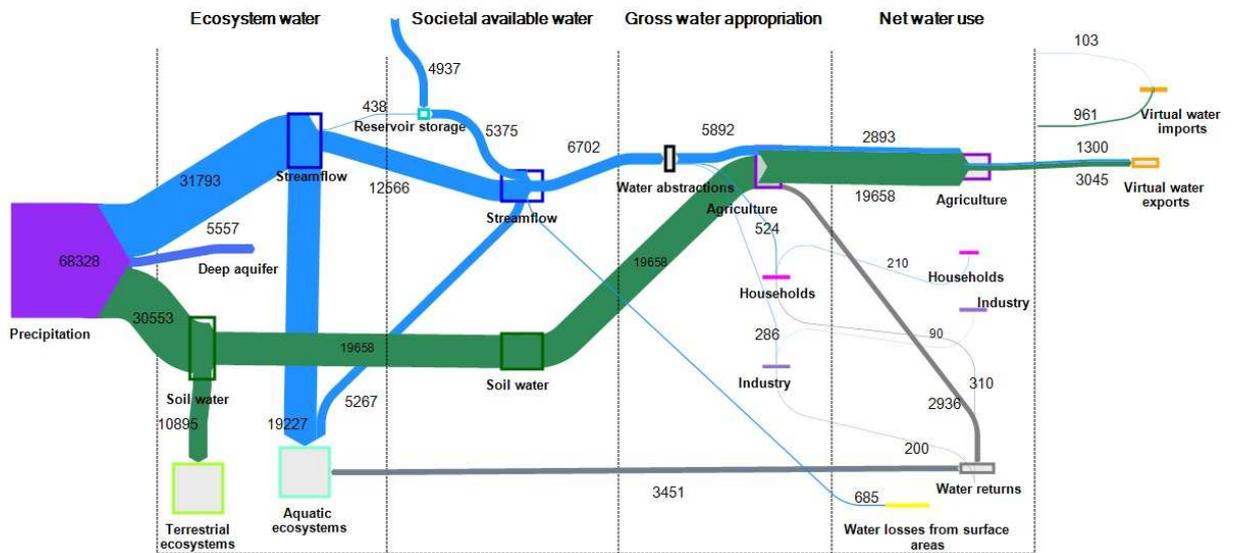
208 *“Mix”*: Promotion of both international exports and food sovereignty aims

209 The last scenario assesses the overall changes from Scenarios 2 and 3, supported by the
210 idea that a mix from previous scenarios might be the most plausible future option.

211 3. Results

212 3.1. The water metabolism of the GRBD

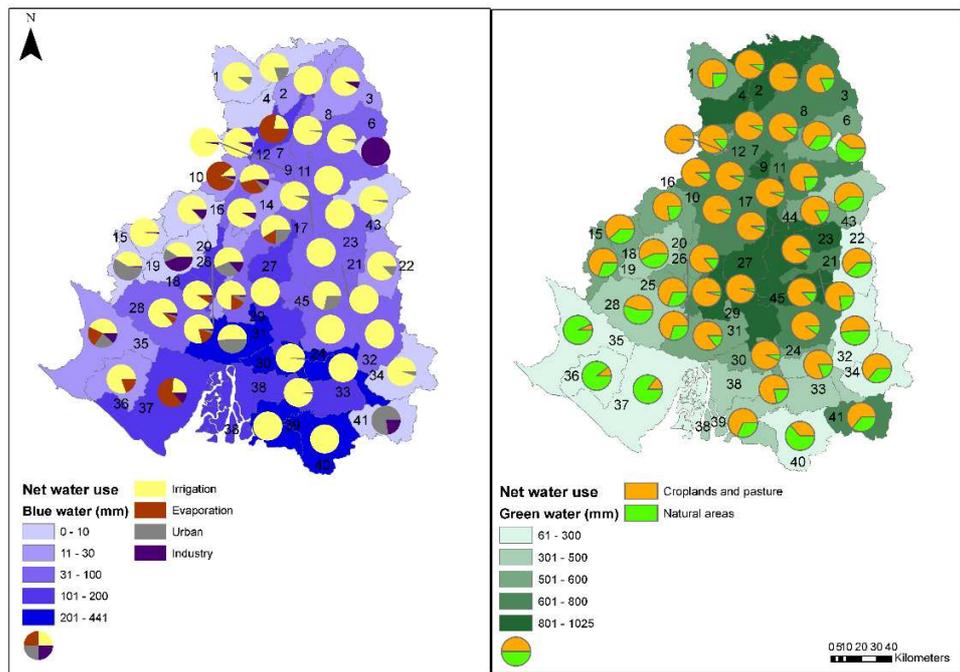
213 The annual median ecosystem blue water fund during the study period ($31,793 \text{ hm}^3$ - i.e.,
214 714 mm) is slightly larger than the green water fund ($30,553 \text{ hm}^3$ - i.e., 686 mm). At
215 subbasin level both streamflow (between 90 and 1,960 mm) and soil water (between 60 to
216 1030 mm) funds differ spatially (Fig. A2). At the societal level, streamflow availability is
217 reduced to meet environmental flow requirements, but the presence of reservoirs provide an
218 additional median annual volume of $5,375 \text{ hm}^3$. The agricultural character of the river basin
219 district is shown by the dominance of freshwater water flows for agricultural production.
220 Agricultural production allocates 75% and 64% of the blue and green net water use,
221 respectively. The GRBD is water self-sufficient in food trade because it exports in the
222 international market more virtual water ($4,345 \text{ hm}^3$) than it imports ($1,064 \text{ hm}^3$). However,
223 the exports depend on a greater extent to blue water, which comprises 30% of virtual water
224 exports, whereas blue virtual water imports only make up 10% (Fig. 3).



225

226 Fig. 3. Links between the water metabolism of ecosystems and society in the GRBD. Annual
 227 median values in hm^3 for the period 2004-2013.

228 The south-east of the basin is where the largest annual net blue water use (i.e., NWU_{blue})
 229 occurs ($> 200 \text{ mm}$). In those subbasins irrigation comprises more than 98% of the NWU_{blue} ,
 230 except for subbasin 31 where 50% of the water consumption refers to urban demand to
 231 supply Guayas city. Subbasins with the greatest NWU_{green} (i.e., $> 800 \text{ mm}$) are located in the
 232 centre and north (Fig. 4). The values of these green water flows are shaped by existing land
 233 use distribution, and also by climatic conditions and capacity of soils to store water.



234

235 Fig. 4. Blue (left) and green (right) net water use (mm) by subbasin as annual median values for the
 236 period 2004-2013. Pie charts show the proportion of main water users.

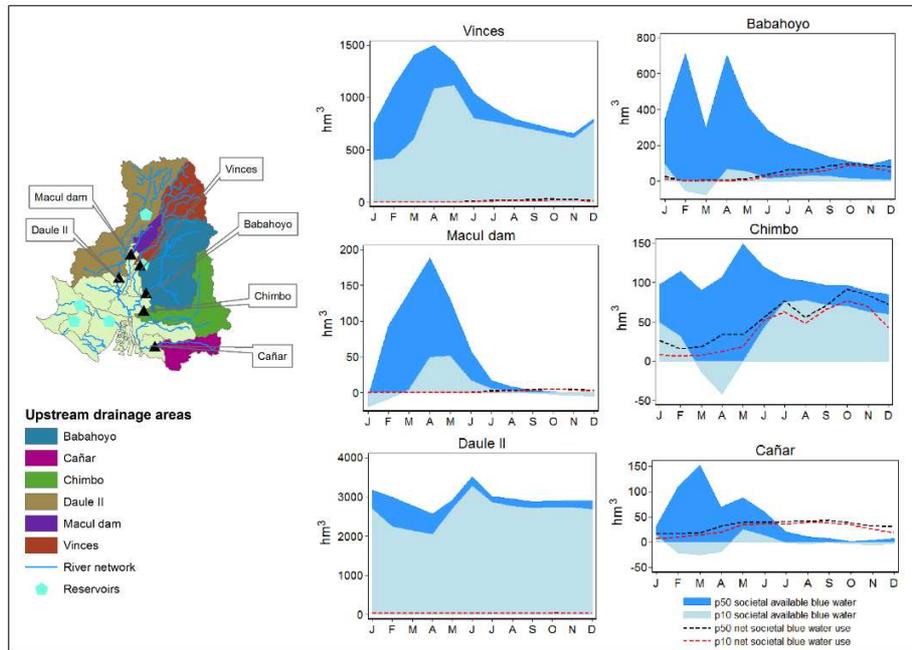
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238 3.2. Water stress and population under poverty

239 At subbasin and monthly steps, some regions appear as blue water unsecure due to water
 240 scarce conditions. NWU_{blue} exceeds the available blue water for human purposes (i.e.,
 241 SAW_{blue}) in the south east, central and upper parts (Fig. 5). $Blue\ flow/fund$ takes values > 1
 242 upstream Angas, Cañar, Macul dam and Quevedo monitoring stations. There are also cases
 243 (i.e., Babahoyo, Chimbo and Macul dam stations) when even the monthly SAW_{blue} shows
 244 negative values (Fig. 5), which means that available streamflow and water stored in
 245 reservoirs cannot cover the environmental flow requirements (Table A7). In contrast, Daule
 246 Peripa and Vince reservoirs, located at the north-west and central part, allow water savings
 247 during the wet period to maintain water demand downstream (i.e., Daule II and Vince
 248 stations).

249 $Green\ flow/fund$ has the smallest values (i.e., < 0.6) for all subbasins between February and
 250 April, which coincides with the rainy season. October and November, is when $green$
 251 $flow/fund > 0.6$ occurs mostly for subbasins located in the centre of the GRBD, whereas in

252 July, it occurs for subbasins located in the northwest (Fig. A4). This is probably explained
 253 by different precipitation distribution in the study area.

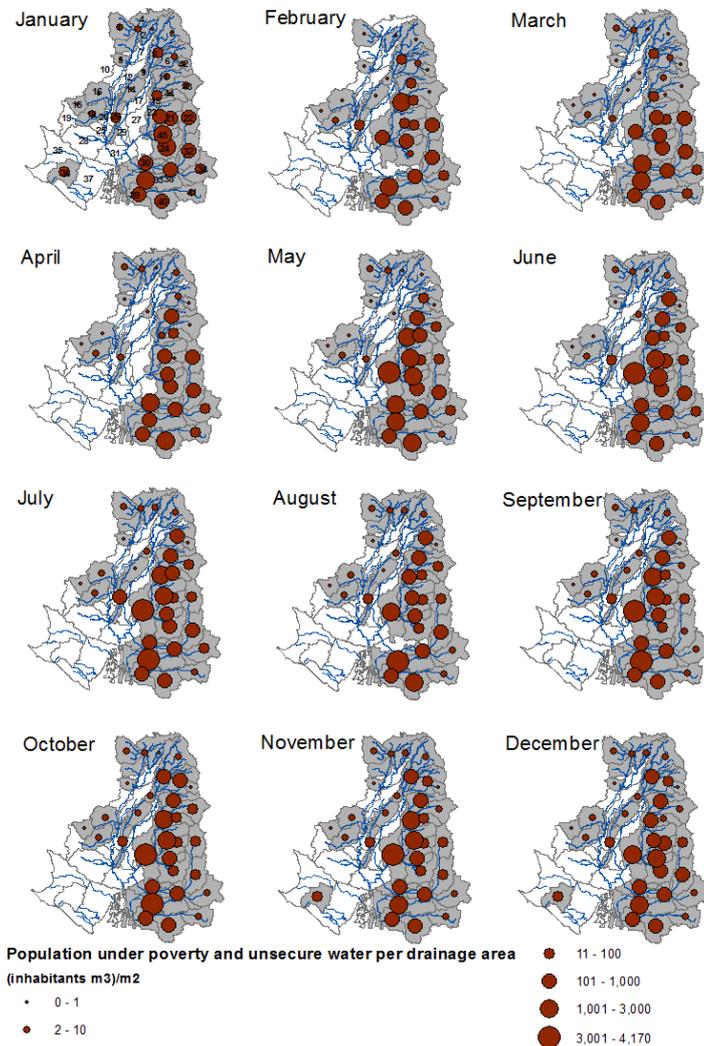


254

255 Fig. 5. Median (p50) and 10th percentile (p10) of monthly societal available water (SAW_{blue}) and net
 256 blue water use (NWU_{blue}) during the study period 2004-2013 for upstream drainage areas.

257 *Poverty water unsecure* (inhabitants m^3/m^2) weights the total population living in poverty
 258 by the volume of unsecure blue water per drainage area. East GRBD is where most times
 259 NWU_{blue} exceeds the existing fund for human purposes (grey subbasins in Fig. 6). *Poverty*
 260 *water unsecure* shows monthly median values larger than 1,000 inhabitants m^3/m^2 upstream
 261 Babahoyo station, Chimbo station and subbasin 38 (Fig. 6). The population under poverty
 262 and blue water stress differs greatly from year and month depending on the climatic years
 263 (i.e., from zero to a maximum of 1,260,317 inhabitants) (Table A8). Median monthly
 264 values of *poverty water unsecure* ranges from 2,057 (February) to 7,522 (September)
 265 inhabitants m^3/m^2 , considering all the affected areas. A total population of 1,200,996
 266 inhabitants and 51% GRBD's area is under monthly blue water stress (unsecure volume of
 267 blue water from 39 to 142 hm^3) (Table A9).

268



269

270 Fig. 6. Population under poverty and unsecure blue water per drainage area (*Poverty water*
 271 *unsecure*, in inhabitants m³/m²). The dots refer to the sum of all the upstream population,
 272 unavailable water and area.

273 3.3. Food vs water security

274 In the GRBD, 28.9×10^{13} kcal (including pastures) are produced as median annual values
 275 for the study period, 31% allocated for crops for exports and the remaining for food
 276 sovereignty crops. Regarding blue water, crops for exports account for 53% of the gross
 277 water appropriation, whereas sovereignty crops allocate 47%. For green water, the
 278 magnitude of sovereignty crops goes up to 72% of the total green water consumption
 279 (Table 2).

280

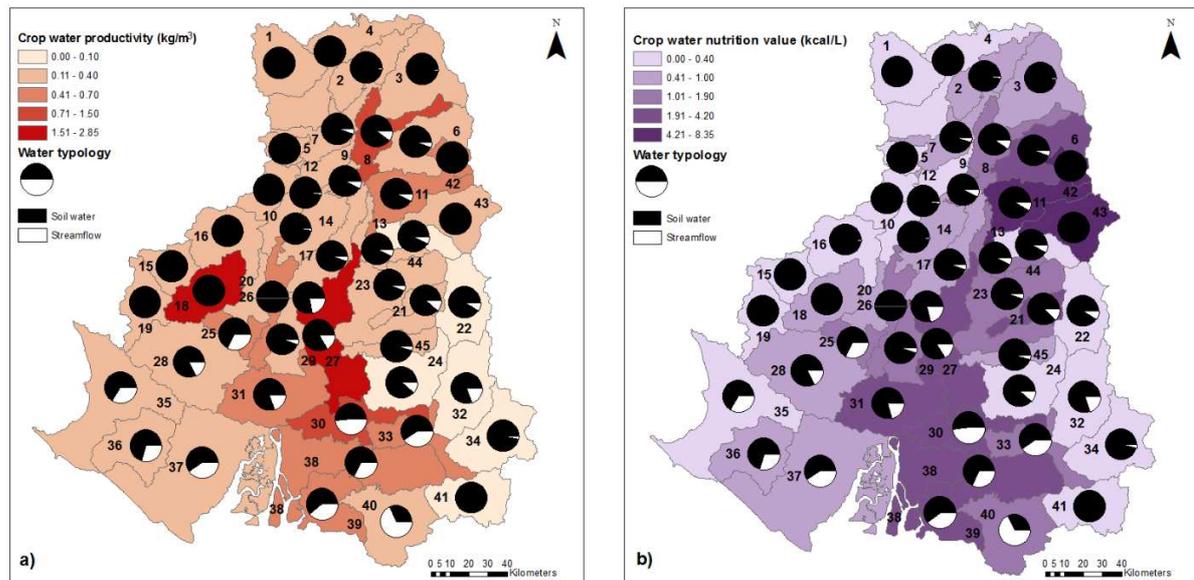
281 Table 2. Summary of median annual values of water metabolism variables by crops for exports or
 282 food sovereignty purposes.

| | | Area (ha) | Yield in dry weight (t/ha) | Kcal supplied | Gross blue water flow (hm ³) | Net blue water flow (hm ³) | Green water flow (hm ³) | Crop water productivity (kg/m ³) | Crop water nutrition (kcal/L) |
|-------------|---------------------------------|-----------|----------------------------|---------------|--|--|-------------------------------------|--|-------------------------------|
| Exports | Total | 666,470 | 2.7 | 8.90E+12 | 3,140 | 1,550 | 5,400 | 0.26 | 1.28 |
| | Banana | 167,870 | 8.9 | 7.80E+12 | 1,960 | 990 | 1,370 | 0.63 | 3.31 |
| | Cocoa | 408,250 | 0.3 | 6.30E+11 | 1,180 | 560 | 3,280 | 0.03 | 0.16 |
| | Coffee | 38,640 | 0.2 | 0 | 0 | 0 | 310 | 0.02 | 0 |
| | Oil palm | 51,710 | 4 | 4.80E+11 | 0 | 0 | 440 | 0.48 | 1.1 |
| Sovereignty | Total | 2,307,960 | 3.5 | 2.00E+13 | 2,730 | 1,340 | 14,100 | 0.52 | 1.3 |
| | Total (without pastures) | 1,227,870 | 3.4 | 1.90E+13 | 2,430 | 1,190 | 6,480 | 0.55 | 2.48 |
| | Pasture | 1,080,090 | 3.6 | 7.60E+11 | 300 | 140 | 7,620 | 0.5 | 0.1 |
| | Rice | 370,010 | 3.3 | 5.30E+12 | 1,070 | 540 | 2,390 | 0.41 | 1.81 |
| | Soy | 52,860 | 1.2 | 1.20E+11 | 20 | 10 | 100 | 0.56 | 1.04 |
| | Sugar cane | 147,270 | 13.8 | 1.30E+13 | 1,120 | 530 | 980 | 1.34 | 8.58 |
| | White corn | 262,010 | 0.4 | 5.00E+11 | 90 | 40 | 1,620 | 0.07 | 0.3 |
| | Yellow corn | 395,730 | 2 | 5.10E+11 | 120 | 70 | 1,390 | 0.54 | 0.35 |

283

284 The most efficient areas for food production in terms of water consumption (i.e., greater
 285 *CWP*, in kg/m³) are mostly found in the centre and south east, with median values from
 286 0.41 to 2.85 kg m⁻³ (Fig. 7a). High pasture yields generate high *CWP* in some subbasins
 287 (e.g., subbasin 18). Larger *CWP* also takes place in subbasins with a dominant presence of
 288 sugar cane and banana (e.g., subbasins 23 and 27). In contrast, subbasins within the Andean
 289 region have the lowest *CWP* with values below 0.1 kg m⁻³ (Fig. 7a).

290 In terms of nutritional value, as expected, those subbasins with irrigation can produce larger
 291 quantities of kcal too (i.e. greater *CWN*, in kcal/L). *CWN* shows a similar geographic
 292 distribution as *CWP*. However, some differences are found due to the existing crops
 293 patterns per subbasin and caloric content of the food product they provide (Fig. 7b). For
 294 instance, subbasins 42 and 43 has a median of 0.45 and 0.34 kg m⁻³ *CWP*, respectively, but
 295 the median *CWN* is 8.3 and 6.3 kcal/L. This is due to the existence of sugarcane in 10% of
 296 each subbasin area, which shows both a high crop yield and caloric content (387 kcal/100
 297 grams).



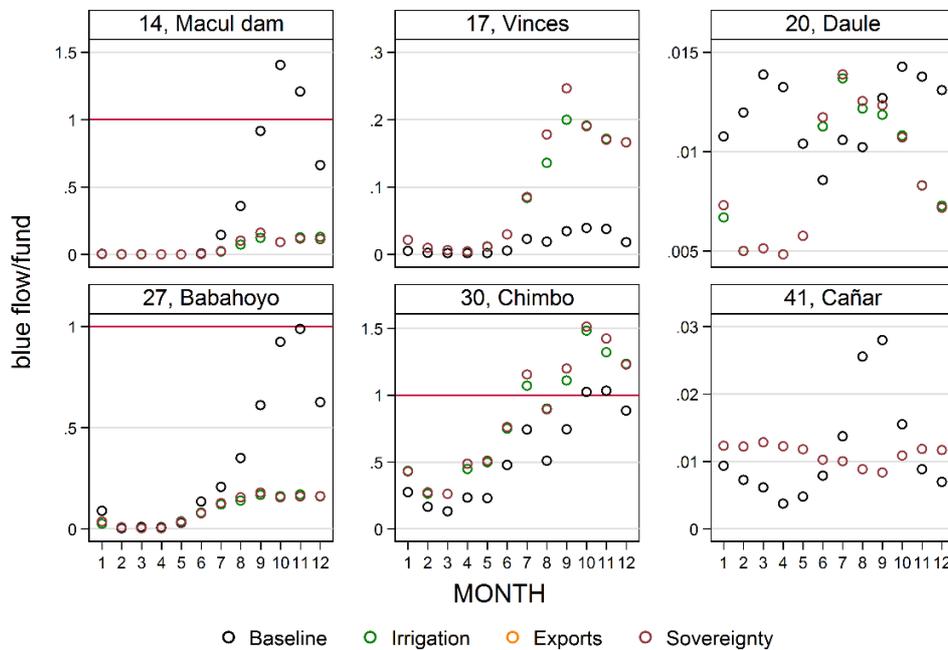
298 Fig. 7. a) Crop water productivity (kg m^{-3}) and b) crop water nutrition (kcal L^{-1}) as median values
 299 per subbasin for the period 2004-2013. The water typologies (soil water and streamflow) are also
 300 shown.

301 3.4. Water and food security changes under 2035 scenarios

302 In the proposed scenarios, annual median values of societal available blue water (SAW_{blue})
 303 (i.e., natural runoff - environmental flow requirements + reservoir storage) decreased in
 304 comparison to *Baseline* (from 17,940 in *Baseline* to 16,130 hm^3 in *Mix*). Available
 305 streamflow decreased from 12,565 in *Baseline* to 8,460 hm^3 in *Mix*, but this decrease is
 306 offset by providing water from reservoirs. Gross (net) irrigation raises from 5,890 (2,895)
 307 in *Baseline* to 10,165 hm^3 (6,135) in *Mix*. In contrast, agricultural green water consumption
 308 declines from 19,660 (*Baseline*) to 18,505 hm^3 (*Exports*) (Table A10).

309 The scenarios show a decrease in NWU_{blue} in relation to existing SAW_{blue} , as additional
 310 reservoirs can mitigate water stress that was occurring in some regions in *Baseline*. *Blue*
 311 *flow/fund* is reduced from *Baseline* to proposed scenarios into values < 1 in Macul dam,
 312 Chimbo, Babahoyo and Cañar (Fig. 8 for median values and Fig. A5 for 90th percentile).
 313 Moreover, with the proposed scenarios, no negative SAW_{blue} takes place as in baseline
 314 scenario (Table A7), meaning that available streamflow and water stored in reservoirs can
 315 meet the required environmental flow requirements, as long as adequate management of
 316 water release from reservoirs is carried out. Nevertheless, upstream Chimbo station still
 317 faces water security issues due to water stress in the proposed scenarios. About 95 hm^3

318 reservoir capacity have been added in Chimbo's upstream subbasins, but blue water stress
 319 has worsened due to increased irrigation.



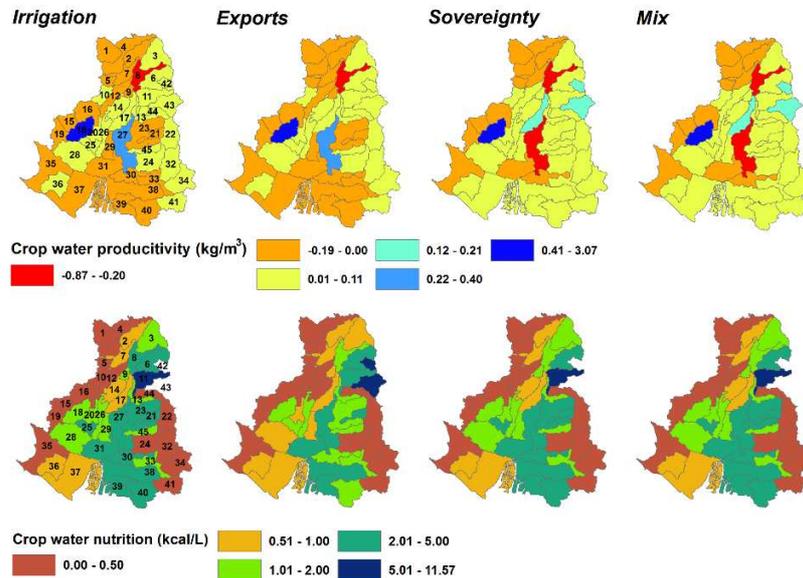
320

321 Fig. 8. Changes between *Baseline*, *Irrigation*, *Exports* and *Sovereignty* scenarios for median
 322 monthly *blue flow/fund* for selected drainage areas. Median values do not include negative *blue*
 323 *flow/fund* occurred during baseline scenario. See Fig. 5 for location of drainage areas. The number
 324 in the headings refer to the subbasin outlet number of each drainage area.

325

326 Considering the entire GRBD, the largest increase on agricultural production occurs in the
 327 *Irrigation* scenario, which is related to the weight that pasture production provides to the
 328 total agricultural production, also reflected in the greatest *CWP* in the *Irrigation* scenario
 329 (0.55 kg/m^3). However, the greatest amount of kcal is produced in the *Sovereignty* and *Mix*
 330 scenarios, mainly due to sugar cane, soya and corn. Both scenarios also show the greatest
 331 *CWN* (1.47 kcal/L in *Sovereignty* and 1.48 kcal/L in *Mix*) (Table A10). At the subbasin
 332 level, *CWP* increases in 31 out of 45 subbasins in *Irrigation*, whereas in the *Exports*,
 333 scenario only 23 subbasins increment their *CWP*. *Sovereignty* shows the largest number of
 334 subbasins increasing their *CWP* with a total of 36 subbasins out of 45, related to the change
 335 of crop patterns. In contrast, all subbasins increase their *CWN*. The largest changes take

336 place in *Sovereignty* and *Mix*, where 11 out of 45 subbasins increase the annual median
 337 CWN by more than 2 kcal/L (Fig. 9).



338

339 Fig. 9. Changes on median values for crop water productivity (kg/m^3) and crop water nutrition
 340 (kcal/L) between the proposed scenarios and baseline scenario.

341 4. Discussion

342 4.1. The novelty of water metabolism for assessing water and food security

343 Within hydrological research, there are many evaluations on the different components of
 344 the water cycle (e.g., runoff generation, groundwater recharge, evapotranspiration) and a
 345 vast experience in hydrological planning on the allocation of flowing blue water resources.
 346 However, approaches that are able to consider in more detail the current links between
 347 natural and societal water systems are needed, like the one addressed here. A multi-level
 348 visualization to work towards ILWRM approaches is relevant to understand the overall
 349 view (from the natural system to final users) of water allocation, considering both
 350 streamflow and rainfall stored in the soil. In the GRBD, within the ecosystem water budget,
 351 annual streamflow water availability exceeds 1.04 times soil water, which coincides with
 352 the 1.05 ratio that has been found in similar subtropical humid conditions (Chen et al.,
 353 2016). In contrast, under drier climate conditions, such as in the Mediterranean climate, this

354 value can drop to 0.3 (Salmoral et al., 2017), with this ratio depending on the capacity of
355 soils to store rainfall.

356 In the GRBD and period under study, green water (as median annual value) comprises the
357 largest water consumption with 29% of the annual precipitation, in comparison to the net
358 blue water with 6% annual precipitation. The high rainfall and runoff generation in some
359 regions of the GRBD accounts for green water consumption values below the 55-60%
360 global estimations, whereas the presence of irrigation makes blue water consumption above
361 the 1% global average of precipitation (Vanham, 2015). Agriculture is the largest water
362 consuming sector, demanding 64% and 75% of the green and net blue water flows,
363 respectively, showing blue water consumption values slightly below the global average of
364 80-87% (Hoekstra and Mekonnen, 2012; *ibid*). The detailed evaluation of blue and green
365 water flows indicates the dependence on climatic, soil and anthropogenic conditions as well
366 as the relevance of assessing both flows in water and food security evaluations (Veetil and
367 Mishra, 2016).

368 The GRBD can be considered rich in blue water resources, however, these are
369 spatiotemporally unevenly distributed (i.e., annual median values from 90 to 1,960 mm)
370 making it difficult to meet societal water demand under sustainable boundaries throughout
371 the study area. Our water metabolism approach - with the application of flow/fund ratios -
372 applies a bottom-up process of defining water boundaries at the watershed level
373 (Rockström et al., 2014). It adds value to existing river basin scale studies (Steffen et al.,
374 2015) due to the detailed spatiotemporal assessment of water impacts which occur at more
375 local levels. Our method also shows the value of identifying those populations not
376 achieving water security as well as accounting upstream blue water available to
377 downstream users, as previous global studies have also done (Green et al., 2015). In the
378 GRBD, the greater number of people living under water insecure conditions are located in
379 the east of the river basin district. This is the area where the central and regional
380 governments will require to put more efforts - in the future - to meet both water and food
381 security for local livelihoods.

382 Some authors claim that the key for resolving the water-food dilemma is a focus on having
383 more nutritional value per drop of water consumed (Rockström et al., 2014), which we

384 addressed with the application of crop water productivity indicators (i.e., *CWP* and *CWN*).
385 In the GRBD, the increasing order for the *CWN* is: coffee < pasture < cocoa < white corn <
386 yellow corn < soy < palm oil < rice < banana < sugar cane, ranging from 0 to 8.6 kcal/L
387 (Table 2). However, what needs to be highlighted is that the largest crop water
388 productivities are found in subbasins that are water scarce and with a large proportion of
389 the population living in poverty. In contrast, the lowest productivities are found in the
390 lower populated Andean region due to the lower yields produced under harsh climatic
391 conditions. Besides the uneven biophysical conditions in the GRBD, differences in crop
392 water productivities would also be related to the types of irrigators and their purpose. The
393 aims of indigenous farmers located in the Andean region are mainly for local markets and
394 self-sufficiency. Whereas, farmers located close to the coast largely produce for national
395 consumption and exports e.g., rice, and banana. The latter are associated with agricultural
396 producers associations that give them greater access to national and international markets
397 (Gaybor et al., 2008).

398 **4.2. Understanding agricultural water management findings**

399 A general concern in irrigation water management is to reduce pressures on local water
400 resources. Consumptive water savings can be achieved when increasing irrigation systems
401 efficiency, as featured in the National Irrigation Plan in Ecuador, which calls for irrigation
402 technology and system efficiency. At field level, blue water withdrawal (i.e., gross water
403 volume) can be reduced with modernized irrigation systems, which helps to redistribute
404 water between sectors (e.g., agriculture, households, ecosystems), but at catchment level
405 consumptive water (i.e., net irrigation) savings do not take place. Even an increase in blue
406 water consumption can occur with higher irrigation systems efficiency, if additional areas
407 are converted into irrigation (Olen et al., 2016) and/or crop patterns change to more water
408 consuming crops (Rodríguez-Díaz et al., 2011) - and with a related added energy demand
409 from 'technified' irrigation systems (*ibid*; Perez Neira, 2016).

410 The study finds that agricultural soil water consumption declines from the baseline to
411 proposed scenarios, because an appropriate irrigation dose has not taken place in relation to
412 crop water requirements. Under auto-irrigation management, SWAT can be set up to a
413 specific irrigation amount when the crop reaches a certain limit of water stress, but this

414 amount must remain consistent, depending on the level of water stress. This leads to: 1)
415 blue water percolation losses, when more irrigation water is applied to what the plant can
416 transpire, and 2) rainfall losses after the irrigation event, because the soil cannot store more
417 water or be used later by the plant. On the field, similar situations can occur. For instance,
418 when land is converted from rainfed to irrigated conditions, crop yield will increase due to
419 a steady application of water throughout the crop development. However, not following an
420 adequate soil water balance and applying an appropriate irrigation dose at a given moment
421 can lead to scarce or excess of irrigation. In the end, appropriate crop irrigation schedules
422 can help farmers to understand crop water requirements and irrigate accordingly. To date in
423 Ecuador, there is still an inadequate water management for irrigation (Espinosa and Rivera,
424 2016).

425 **4.3. Implications of Ecuadorian national development on food and water security**

426 This study demonstrates how water security is driven not only by agro-climatic and
427 geophysical conditions, but also by policy decisions about land use and food security. Food
428 sovereignty in Ecuador is a national issue that has gained centrality in the political debate
429 (Giunta, 2014), but not every nation can be food sovereign because of restrictions imposed
430 by limited arable land, irrigation water and other resources (e.g., energy, fertilizers),
431 particularly in geographically small countries. Thus trade is necessary to overcome
432 ecological, climatic and other location specificities that make up crop division of global
433 production (Agarwal, 2014). In the country, international trade plays a key role on food
434 security, because of scarcity in specific commodities for the Nation's requirements (e.g.
435 wheat mainly supplied from international trade for broilers feed in Ecuador). In the GRBD,
436 we see that that the region can be water self-sufficient for agricultural production and
437 related food trade. The GRBD exports more virtual water than imports, although exports
438 embed a larger proportion of blue water resources than imports (i.e., 30% blue water in
439 exports against 10% blue water in imports). Nevertheless, the role of primary products
440 exports in the national economy is shown with their significant share of the Ecuadorian
441 GDP; 24% in 2013 (Latorre et al., 2015), particularly relevant in the production of cocoa,
442 bananas and coffee (Burnett and Murphy, 2014).

443 In Ecuador future irrigation plans will support both local food supply and international
444 exports. Scenarios designed in this study can guide and complement future agricultural and
445 water management decisions, towards achieving water and food security. Currently in the
446 GRBD, about 28.7 million kcal are produced, as annual median values for the study period.
447 In our scenarios, total kcal production is increased with the inclusion of new irrigated areas.
448 Nevertheless, the scenarios with the largest total kcal production are *Sovereignty* and *Mix*,
449 where more kcal for local consumption also take place. In both scenarios, the area of crops
450 for exports (e.g., banana) and pasture reduces, whereas the area of crops that contribute to
451 local consumption (e.g., yellow corn, sugar cane and soya) increases. Another scenario that
452 could increase total food provision in Ecuador is one offered by Knoke et al. (2013). They
453 propose shrinking existing pasture areas in Ecuador to facilitate an increase in cropland
454 area, while total pasture area could still increase through the re-cultivation of abandoned
455 grazing lands.

456 The planned state driven development of new irrigated areas and crop patterns will
457 influence distribution of available water resources, as illustrated in the scenarios. SAW_{blue} in
458 the proposed scenarios decreases in comparison to the baseline, which is partially offset by
459 a greater reservoir management capacity during dry seasons. The planned reservoirs
460 development will help to alleviate water scarce conditions, as long as all reservoirs can be
461 build (and properly) managed, and their construction not be constrained by an unstable
462 national economy. However, additional reservoir development and irrigated areas do not
463 mean that all farmers will have access to land and water. Development of additional
464 reservoirs is managed by the state, but canalization from new infrastructures to final users
465 has not been considered and there is no a plan to date of how these new infrastructures will
466 reach the final end users. There is a risk that development of new irrigated areas might put
467 the country in a similar situation to that during 80-90's, when public irrigation systems
468 were created, but only a small part of the population, with better economic and social
469 position, could get access to it (personal communication, 2015).

470 **5. Conclusions**

471 Achieving food security is part of the Ecuadorian political debate and influences decisions
472 around land use and water management. This study provides a detailed spatiotemporal

473 assessment of freshwater resources, and related water security indicators for agricultural
474 production. The importance of catchment approaches is highlighted for balancing human
475 and nature water requirements, and how feasibility of agricultural development needs to
476 address impacts on local water security. Agricultural production allocates the largest
477 freshwater share with 75% and 64% of net blue and green water flows, respectively, and
478 the GRBD can be considered as water self-sufficient in food trade due to the positive
479 virtual water exports ($4,345 \text{ hm}^3$) and imports ($1,064 \text{ hm}^3$) balance. Nevertheless, a total
480 population of 1,200,996 inhabitants and 51% GRBD's area is under blue water stress, and
481 the study finds water security as a challenge due to the combination of scarce water
482 conditions and population under poverty in the south east and central part of the GRBD. It
483 is in this part of the river basin district, where at the same time, the greatest annual crop
484 water productivity ($0.41 - 2.85 \text{ kg m}^{-3}$) and crop water nutrition ($1.9 - 8.35 \text{ kcal/L}$) are
485 found. The study also provides a first step towards creating agricultural scenarios in
486 Ecuador for water and food provisioning and can aid in meeting the country's water and
487 food security goals, providing alternatives that lessen the impacts for land and water use.
488 The scenarios reveal that food provision, towards local food consumption or exports
489 market-oriented, can be increased and ecosystem water demand still be met, with the
490 exception of the east of the GRBD.

491 Future research could build on our study and further consider other drivers that will affect
492 both water and food security, including demographic changes, droughts (Vicente-Serrano et
493 al., 2016), flooding events (Hallegatte et al., 2013), and existing climatic trends (Morán-
494 Tejada et al., 2015). Moreover, this research could be extended to perform water-for-
495 energy assessments with the consideration of hydropower development in Ecuador. A
496 comparison of the seasonal effects of water-for-food on hydropower production versus the
497 effects of reservoir management on food production could allow this study to provide
498 additional insights to policy makers regarding their proposed national development
499 strategies, including the recent projects on multi-purpose reservoirs. Finally, the flexibility
500 of the methodology adopted in this study may be applicable to a worldwide scale with an
501 integration of the water metabolism approach to global hydrological and crop growth
502 models. Insights from the study can extend beyond academia to practitioners and
503 policymakers in water and land management beyond our case study. Ultimately, the

504 provided alternatives to promote integrated land and water management in Ecuador can aid
505 towards meeting the country's future water and food security needs.

506

507

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520

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Highlights

- Ecuador is facing important changes on agricultural land and reservoirs development
- In the Guayas river basin district, agriculture is the main consumer of blue and green water
- Eastern Guayas will increase food production at the expense of ecosystem water supply
- Water security is challenged in areas with scarce water and population in poverty