

**X-ray CT-derived soil characteristics explain varying air, water and solute  
transport properties across a loamy field**

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## 1    **ABSTRACT**

2    The characterisation of soil pore space geometry is important for explaining fluxes of air, water and  
3    solutes through soil and understanding soil hydrogeochemical functions. X-ray computed  
4    tomography (CT) can be applied for this characterization, and in this study CT-derived parameters  
5    were used to explain water, air and solute transport through soil.

6    Forty-five soil columns (20-cm × 20-cm) were collected from an agricultural field in Estrup,  
7    Denmark, and subsequently scanned using a medical CT scanner. Non-reactive tracer leaching  
8    experiments were performed in the laboratory along with measurements of air permeability ( $K_a$ ),  
9    and saturated hydraulic conductivity ( $K_{sat}$ ). The CT number of the matrix ( $CT_{matrix}$ ), which  
10    represents the moist bulk density of the soil matrix, was obtained from the CT scans as the average  
11    CT number of the voxels in the greyscale image excluding macropores and stones. The  $CT_{matrix}$   
12    showed the best relationships with the solute transport characteristics, especially the time by which  
13    5 % of the applied mass of tritium was leached, known as 5% arrival time ( $t_{0.05}$ ). CT-derived  
14    macroporosity (pores larger than 1.2 mm) was correlated with  $K_a$  and  $\log_{10}(K_{sat})$ . The correlation  
15    improved when limiting macroporosity (the minimum macroporosity for every 0.6 mm layer along  
16    the soil column) was used, suggesting that soil layers with the narrowest macropore section  
17    restricted the flow through the whole soil column. Water, air and solute transport were related with  
18    the CT-derived parameters by using a best subsets regression analysis. The regression coefficients  
19    improved using  $CT_{matrix}$ , limiting macroporosity and genus density while the best model for  $t_{0.05}$   
20    used  $CT_{matrix}$  only. The scanning resolution and the time for soil structure development after  
21    mechanical activities could be factors that increased the uncertainty of the relationships.  
22    Nevertheless, the results confirmed the potential of X-ray CT visualisation techniques for  
23    estimating fluxes through soil at field-scale.

24

## 1 INTRODUCTION

2 Linking pore space geometry with the fluxes of air, water and solutes through soil is fundamental  
3 for understanding the processes that control soil functions such as water storage, gas exchange and  
4 contaminant filter potential. Macropores in soils are often associated with high variabilities in the  
5 transmission of air, water and solutes through soils. Macropores are pores with diameters larger  
6 than 0.3-0.5 mm and formed from earthworm burrows, decaying plant roots, swelling/shrinkage  
7 cracks or inter-aggregate voids (Jarvis, 2007). Even though they only constitute a small fraction of  
8 the soil (Jarvis, 2007), air and water can move preferentially within the soil's macropores. Iversen et  
9 al. (2001a) found higher variability of air and water flow in structured loamy soils than in sandy  
10 soils, where macropores are not present. The size and connectivity of the pores affect advective air  
11 transport through soil (Ball, 1981), and therefore air permeability measurements were used to  
12 investigate macropore geometry (Roseberg and McCoy, 1990). It is well known that macropore  
13 flow together with the movement of colloids (as carriers) can facilitate the rapid transport of  
14 strongly sorbed contaminants (de Jonge et al., 2004a, McCarthy and Zachara, 1989, Schelde et al.,  
15 2006). Thus agrochemicals and e.g. particulate phosphorus, that are believed to have low mobility  
16 in soil, have been detected in tile drains (de Jonge et al., 2004b, Norgaard et al., 2014, Traub-  
17 Eberhard et al., 1995). Many studies have tried to assess the importance of macropore flow using  
18 pore size distribution or macropore shape, and developed pedotransfer functions to estimate the  
19 occurrence of preferential flow from measured soil properties. Iversen et al. (2012) found that  
20 macropore flow at saturated conditions was strongly correlated with macropore density in soils  
21 covering the different geological regions of Denmark. Soil texture and organic carbon content are  
22 considered to be important factors governing air and water flow, but other parameters such as bulk  
23 density, water saturation state, lateral scale or soil management should also be considered (Jarvis et  
24 al., 2009, Koestel and Jorda, 2014, Koestel et al., 2013).

1            Since the 1980s, and much more in the past decade, non-invasive techniques have  
2   been developed to be used in soil hydrology and other geophysical sciences. Imaging techniques  
3   such as nuclear magnetic resonance imaging (MRI), dual-energy gamma radiation or X-ray  
4   computed tomography (CT) are used to characterize pore network, colloid dynamics and solute  
5   transport through porous media (Werth et al., 2010). X-ray CT is becoming increasingly attractive  
6   due to improvements in resolution and access to industrial, medical and benchtop scanners (Vaz et  
7   al., 2011), providing tools for visualising and quantifying the 3D inner structure of the soil. Among  
8   other applications, X-ray CT has been used to characterise pore space geometry and estimate bulk  
9   density, pore tortuosity, water content, root-soil interactions, biomass distribution, soil mechanical  
10   properties and solute transport. Review of X-ray CT applications in soil science and geoscience can  
11   be found in numerous papers (Helliwell et al., 2013, Ketcham and Carlson, 2001, Taina et al., 2008,  
12   Wildenschild et al., 2002).

13            It is clear that X-ray CT can be useful for quantifying macropore characteristics and  
14   soil structure and linking them with soil fluxes. In the last few years, researchers have tried to  
15   establish links between X-ray CT-derived measurements and transport properties such as air  
16   permeability, hydraulic conductivity and solute dispersivity. In soils with two different land uses,  
17   Luo et al. (2010b) have found that saturated hydraulic conductivity ( $K_{\text{sat}}$ ) is correlated with  
18   macroporosity and path number (number of independent and continuous macropore paths between  
19   two boundaries). They also found that dispersivity is correlated with the path number, hydraulic  
20   radius and macropore angle (angle away from vertical to characterize the inclination of a  
21   macropore). Macroporosity and limiting macroporosity (minimum macroporosity along the soil  
22   column) were found to be good predictors of air permeability at -3 kPa matric potential in  
23   undisturbed soils from a clay gradient field (Naveed et al., 2013). The degree of preferential flow  
24   and the release of copper in a polluted soil have been estimated from the macroporosity derived

1 from X-ray CT images (Paradelo et al., 2013). Larsbo et al. (2014) have also found significant  
2 relationships between the degree of preferential flow and the CT derived macropore characteristics  
3 like macroporosity, macropore surface area, aggregate thickness and connectivity. Most recently,  
4 two studies reported a relationship between coarse-resolution CT scans and solute transport  
5 experiments on 20 cm  $\times$  20 cm undisturbed soil columns collected in two loamy fields in Denmark.  
6 In the first study, the air permeability at -2 kPa matric potential and 5% arrival time, the time by  
7 which the 5% of the applied mass of a tracer is leached, were estimated from coarse-resolution CT  
8 images by identifying macropores between 5 and 25 % of the air-filled porosity at -2 kPa matric  
9 potential (Katuwal et al., 2015b). Here, the best parameters were macroporosity and limiting  
10 macroporosity. In the second study, the CT number-derived matrix density is introduced as a new  
11 parameter for determining the degree of preferential flow (Katuwal et al., 2015a) and defined as the  
12 average CT number of the voxels in the greyscale image excluding macropores and stones.

13               Current literature proves the suitability of X-ray CT visualisation and image  
14 characterization for explaining air and water fluxes through the soil, but more work needs to be  
15 done to produce universal models. The strong correlation between most X-ray CT-derived  
16 characteristics can limit the amount of useful information required for modelling purposes (Larsbo,  
17 et al., 2014, Luo, et al., 2010b). The present study aims to explain the variations in water, air and  
18 solute transport in an agricultural loamy field in Denmark using CT-derived characteristics. The  
19 findings will help to evaluate the potential of X-ray CT technics to explain the field-scale variability  
20 of flow and transport processes. Forty-five intact soil samples were taken in a regular grid from the  
21 field and scanned using a medical scanner with a coarse resolution (1.2 mm). The relationships  
22 between transport characteristics and CT-derived parameters were developed using simple and  
23 multiple linear regressions.

## 1 MATERIAL AND METHODS

### 2 *Field site and sampling*

3 Soil sampling was carried out at a 1.26 ha agricultural field in Estrup (55°29'09.96"N,  
4 9°04'09.37"E), Denmark (Fig. 1A). The field is a part of the Danish Pesticide Leaching  
5 Assessment Programme (Lindhardt et al., 2001). Three pedological profiles within the Estrup field  
6 were classified as Aquic Argiudoll, Abruptic Argiudoll and Fragiaquic Glossudalf (Lindhardt, et al.,  
7 2001, Soil Survey Staff, 1999). The management of the field prior to sampling comprised of  
8 ploughing to a 20-cm depth plus ring roller packing (November 2011), rotor harrowing at a 4 cm  
9 depth and spring barley seeding with a row distance of 12 cm (March 2012), followed by harvesting  
10 (August 2012).

11 In September 2012, forty-five undisturbed soil columns were sampled from a 15 m ×  
12 15 m grid (Fig. 1B). Cylindrical aluminium rings (20-cm × 20-cm) were carefully pushed into the  
13 soil by a hydraulic press mounted on a tractor. The soil surrounding the ring was manually  
14 excavated, the core extracted and the bottom carefully cut. Plastic lids covered the top and bottom  
15 ends. The samples were transported to the laboratory and stored at 2 °C until further analyses (X-  
16 ray CT scanning, air permeability, solute transport and hydraulic conductivity). In addition, bulk  
17 soil was collected at each sample point, air dried and sieved through 2 mm in the laboratory for the  
18 measurement of texture and organic carbon (OC).

19

### 20 *Basic soil properties*

21 The texture was determined by a combined sieve/hydrometer method (Gee and Or, 2002). Organic  
22 carbon was determined by a LECO analyser coupled with an infrared CO<sub>2</sub> detector (Thermo Fisher  
23 Scientific Inc., MA, USA).

24

1 *Image acquisition, processing and segmentation*

2 A medical scanner (Siemens Biograph™ TruePoint™ 64) was used to scan the soil columns at *in*  
3 *situ* soil moisture conditions. An energy level of 120 kV, exposure of 740 mAs and X-ray tube  
4 current of 333 mA were applied to acquire the images. 16-bit images with a pixel size of 0.4297 x  
5 0.4297 mm and a slice thickness of 0.6 mm were obtained. The image greyscale was normalized to  
6 Hounsfield units (HU) and referred to as the CT number in the following. The CT number depends  
7 on the electron density which is very closely related to the bulk density for a given energy  
8 (Anderson et al., 1988).

9 The images were processed using the Avizo Fire 7® software package (FEI  
10 Visualization Sciences Group, Burlington, MA, USA) and ImageJ version 1.47h (Abramoff et al.,  
11 2004, Ferreira and Rasband, 2012). First the images were cropped to obtain a region of interest  
12 (ROI) of 18 cm in diameter and 17 cm high. The brightness and contrast of the images were then  
13 adjusted, and transformed to 8-bit grey scale to apply the locally adaptive segmentation method  
14 proposed by Sauvola and Pietikäinen (2000) in ImageJ. The segmentation method is based on the  
15 calculation of the threshold ( $T$ ) for each pixel in the image using the information of the  
16 neighbouring pixels as:

$$17 \quad T(x, y) = m(x, y) \cdot \left[ 1 + k \left( \frac{s(x, y)}{R} - 1 \right) \right] \quad [1]$$

18 where  $m(x, y)$  and  $s(x, y)$  are the local mean and standard deviation of the pixel intensities in the  
19 neighbourhood of the pixel whose threshold value is computed,  $R$  is the maximum standard  
20 deviation and  $k$  is a constant with a positive value. The default values of  $k=0.5$  and  $R=128$  and a  
21 neighbourhood with a radius of 15 pixels provided the best segmentation results which was  
22 assessed by visual inspection. The segmentation produced binary images where a set of connected

voxels represented a pore feature. All features smaller than two voxels in width (minimum Feret diameter  $<1.2$  mm) were removed from the segmented binary data to prevent the classification of noise as pores. Thus the pores detected and quantified using X-ray CT were  $\geq 1.2$  mm in width and are denoted as macropores in the following. Stones were segmented based on the global intensity histogram in which a second order polynomial was fitted between the peaks indicative of the soil matrix (about 1000 HU) and stones (about 2000 HU) adjusting the range. The minimum of the polynomial was taken as the threshold value for the stones.

#### *CT-derived macroporosity, limiting macroporosity, macropore connectivity and $CT_{matrix}$*

CT-derived macroporosity was calculated by dividing the total number of voxels classified as pores by the total number of voxels present within the ROI. The macroporosity distribution with depth was obtained by calculating the macroporosity for each 0.6 mm slice of the CT data along the soil depth. The minimum value of macroporosity along the soil depth, corresponding to the major flow direction was referred to as the limiting macroporosity. For quantifying the connectivity of the macropores, the density of loops or redundant connections, also known as genus density (Vogel et al., 2010), within the ROI was measured using the BoneJ Particle Analyzer plugin (version 1.3.11) in ImageJ (Doubé et al., 2010). The average CT number of the matrix ( $CT_{matrix}$ ), which is closely related to the wet density of the samples, was obtained as the average CT number of the voxels in the greyscale image within the ROI, excluding the voxels comprising the macropores and stones. Furthermore the average CT number of the matrix for each slice along the soil depth was also calculated.

#### *Air, water and solute transport*

The air permeability of the soil columns was measured at *in situ* soil moisture conditions ( $K_{a\_in\ situ}$ ) using an air permeameter developed by Iversen et al. (2001b). Briefly, a constant pressure of 5 cm



water column was applied to the top of the column, and the velocity at which the air passes through the soil was measured using a connected flow meter.

The soil columns were slowly saturated from the bottom for three days with artificial soil water (0.652 mM NaCl, 0.025 mM KCl, 1.842 mM CaCl<sub>2</sub> and 0.255 mM MgCl<sub>2</sub>; pH = 6.38; EC = 0.6 mS cm<sup>-1</sup>) and then drained for three days to -2 kPa matric potential at the centre of the column (-1 kPa at the bottom). Air permeability was again measured at this potential ( $K_a$  (-2kPa)).

The soil columns were then placed on a 1-mm stainless steel screen and irrigation was performed using a rotating head with 44 needles placed randomly to ensure a homogeneous distribution on the soil surface. Artificial rain water (0.012 mM CaCl<sub>2</sub>, 0.015 mM MgCl<sub>2</sub> and 0.121 mM NaCl; pH = 6.5; EC = 0.025 mS cm<sup>-1</sup>) was applied by a peristaltic pump with an intensity equal to 10 mm h<sup>-1</sup>. Seepage face boundary condition was set at the bottom of the columns. After steady flow had been reached at the bottom of the columns (approximately 50 min after the start of the experiment), a 10-min pulse of tritium was applied at the same intensity (10 mm h<sup>-1</sup>). The columns were irrigated for a total time of eight hours. The effluent was collected at different time intervals in plastic bottles by an automated fraction collector. To determine the tritium concentration in the effluent samples, 1 mL of each sample was mixed with 2 mL of water and 17 mL of scintillation cocktail (Packard Ultima Gold). Tritium was then quantified using a liquid scintillation analyser (Packard 2250 CA, Downers Grove, IL). The tritium breakthrough curves (BTC) were constructed for each soil column representing the relative concentration of tritium in the effluent *versus* time. The forty-five leaching experiments were performed between February and June 2013.

After the breakthrough experiments, the saturated hydraulic conductivity ( $K_{sat}$ ) was measured using the constant head method (Klute and Dirksen, 1986). Finally the soil columns were oven-dried at 105 °C and weighed in order to obtain their bulk densities.

1 *Breakthrough shape characteristics*

2 The solute transport was described by two measures of the solute breakthrough shape, 5% arrival  
3 time and apparent dispersivity. The 5% arrival time ( $t_{0.05}$ ) is the time when 5% of the tracer applied  
4 to the top of the column is collected in the effluent, and indicates a tendency for early arrival of the  
5 solutes. Knudby and Carrera (2005) and later Koestel et al. (2011) proposed the relative 5% arrival  
6 time as a robust measure of preferential transport; using relative times allows to compare BTCs  
7 from different sizes and experimental conditions. Since all the experiments were running with  
8 same soil sample size and flow rate absolute 5% arrival time will be used in this work. The apparent  
9 dispersivity is a measure for the variance of transport velocities in the soil (Koestel, et al., 2011).

10 Regarding that some experimental tritium BTCs can be bimodal, they were fitted to a  
11 mix of two lognormal probability density functions (PDF):

12 
$$f(t) = w_1 g_1(t) + w_2 g_2(t) \quad [2]$$

13 where  $w_1$  and  $w_2$  are the weighing factors that add up to one, and  $g_1$  and  $g_2$  are the lognormal  
14 distribution functions of the form:

15 
$$g(t) = \frac{1}{\sqrt{2\pi} \sigma t} \exp \left[ -\frac{(\ln t - \mu)^2}{2\sigma^2} \right] \quad [3]$$

16 where  $t$  is the time (h),  $\mu$  is the mean of  $\ln(t)$  (dimensionless) and  $\sigma$  (dimensionless) is the standard  
17 deviation (Jury and Roth, 1990). Temporal moments were calculated from the fitted PDF by:

18 
$$m_j = \int_0^\infty t^j f dt \quad [4]$$

19 where  $t$  is time (h),  $m_j$  is the  $j$ th temporal moment and  $f$  is the PDF. The normalised first temporal  
20 moment,  $\mu_1'$  (h), is defined as  $m_1/m_0$ . From  $\mu_1'$  the normalised temporal moments were calculated  
21 as:

22 
$$m_j = \frac{1}{m_0 \mu_1'^j} \int_0^\infty (t - \mu_1')^j f dt \quad [5]$$

1 Apparent dispersivity,  $\lambda_{app}$ , was defined by the travel distance,  $L$ , and the second central temporal  
2 moment,  $\mu_2$ :

$$3 \quad \lambda_{app} = \frac{\mu_2 L}{2} \quad [6]$$

4 The  $t_{0.05}$  was calculated as:

$$5 \quad t_{0.05} = p_{0.05} \mu_1 \quad [7]$$

6 where  $p_{0.05}$  denotes the 5% quantile of the transfer function (Koestel, et al., 2011).

7

### 8 *Statistics*

9 Spearman rank coefficients ( $r$ ) were calculated to assess the relationships between soil  
10 characteristics, CT-derived characteristics and air, water and solute transport parameters. Contour  
11 plot of OC content was constructed using empirical Bayesian kriging in ArcMap 10.1.

12 Best subsets regression procedure was performed to examine the relationship of CT-derived  
13 parameters with the air, water and solute transport characteristics. Best subset regression selects  
14 variables in a multiple linear regression by systematically searching through all different  
15 combinations of the independent variables and selecting the subsets of variables that best predict the  
16 dependent variable. The best subset was selected by comparing  $R^2$ , adjusted  $R^2$  and Mallows's  $C_p$ ;  
17 as criterion to prevent overfitting when additional variables are added (Hocking, 1976), using the  
18 statistical software Sigmaplot 11.0 (Systat Software, Inc., San Jose – CA, USA). When the best  
19 subset was selected, residuals of the model were tested for normality (Shapiro-Wilk test) and  
20 homoscedasticity.

21

22

## 1 RESULTS AND DISCUSSION

### 2 *Soil basic characteristics*

3 The texture in Estrup field is loam, with clay contents ranging from 0.055 to 0.140 kg kg<sup>-1</sup> (Table  
4 1). The field has a strong gradient in organic carbon content (OC) from 0.018 to 0.084 kg kg<sup>-1</sup> (Fig.  
5 1C). The highest OC is found in the south-western area of the field. The spatial distribution of clay  
6 can be found in Paradelo et al. (2015); the field presents higher clay contents in the north-eastern  
7 area and lower in the southern area. Bulk density varies from 1.02 to 1.59 g cm<sup>-3</sup>, and showed a  
8 strong negative correlation with OC content (Table 2). The field provides an interesting wide range  
9 of soil characteristics to study air, water and solute flow characteristics.

10

### 11 *CT-derived macroporosity, $CT_{matrix}$ and genus density*

12 Macroporosity was derived from the binary images after post processing. Figure 2 shows eight of  
13 the 45 studied columns, representing the entire range of 5% arrival time (further explained in the  
14 next section). The red colour represents macropores larger than 1.2 mm. In general, the macropores  
15 were well connected in both horizontal and vertical directions. The macroporosity varied greatly,  
16 ranging from 0.016 to 0.102 cm<sup>3</sup> cm<sup>-3</sup>, similar to the range reported by Larsbo, et al. (2014) in  
17 samples from agricultural soils in Sweden. The vertical distribution of the macroporosity is shown  
18 in Figure 3A for depth intervals of 0.6 mm. The macroporosity varied greatly with depth, and the  
19 coefficients of variation for the individual columns ranged from 0.13 to 0.77. Different  
20 macroporosity profiles were found. For some columns, macroporosity decreased from top to bottom  
21 (e.g. #45), some presented higher macroporosity around -60 mm depth (e.g. #5, #17), while others  
22 presented higher macroporosity at top and bottom of the columns decreasing in the middle (e.g.  
23 #32, #35). Some studies have observed decreasing macroporosity with depth (Katuwal, et al.,  
24 2015b, Luo et al., 2010a, Naveed, et al., 2013), but it is not clear in the present study. Management

1 practices before sampling can greatly influence macroporosity differences between fields. In a  
2 previous work (Katuwal, et al., 2015b) soil columns were sampled and scanned in a different field  
3 (Silstrup, Denmark) 26 months after the last ploughing (Norgaard et al., 2013), while in our study  
4 only 10 months elapsed between ploughing and sampling. This might result in higher  
5 macroporosity but with a less organized pore network. The limiting macroporosity was obtained  
6 from the 0.6 mm resolution macroporosity profiles and ranged from 0.02 to 0.068 cm<sup>3</sup> cm<sup>-3</sup> (Table  
7 1). The limiting macroporosity presented a higher coefficient of variation (47%) than the  
8 macroporosity (21%).

9           Macroporosity was positively correlated with clay content ( $r = 0.318$ ,  $p < 0.05$ , Table  
10 2, Fig. 4A), supporting the important role of clay in forming and maintaining macropore structures  
11 (Horn et al., 1994, Keller and Dexter, 2012). No other soil basic characteristics were significantly  
12 correlated with macroporosity (Table 2). When clay content is sufficient for the formation of soil  
13 aggregates, organic matter provides stability and helps maintain the soil structure (Schjonning et al.,  
14 2012). However, the stability of large aggregates ( $>2000 \mu\text{m}$ ), responsible for macroporosity, is  
15 related to the growth of roots and hyphae (Tisdall and Oades, 1982), the presence of mesofauna,  
16 swelling-shrinkage processes (Jarvis, 2007) or the clay mineralogy (Denef and Six, 2005), and  
17 controlled by soil management. The macroporosity was poorly correlated with OC in Estrup ( $r = -$   
18 0.179, Table 2, Fig. 4B) and hence with bulk density (Fig. 4C) in this particular case. Genus density  
19 was calculated to account the connectivity of the pore network. It varied from 0.120 to 1.176 and it  
20 was strongly correlated with macroporosity ( $r = 0.937$ ,  $p < 0.001$ ).

21           The  $\text{CT}_{\text{matrix}}$  was obtained from the greyscale 3D images discarding stones and  
22 macropores ( $>1.2 \text{ mm}$ ) (Table 1). High-density areas within the soil column can dramatically affect  
23 the flow of air and water through the soil, and CT images enable the identification of such areas  
24 (Jenssen and Heyerdahl, 1988). The depth distribution of the  $\text{CT}_{\text{matrix}}$  is shown in Fig. 3B. The

CT<sub>matrix</sub> increased with depth until about 60–100 mm, after which it remained constant or slightly increased. For some of the columns, a decrease in CT<sub>matrix</sub> was found at the bottom (160–170 mm). This decrease was probably caused by disturbances during sampling and handling of the soil columns. The small variability along the column depth, with CV < 0.15, suggested that for this study it would not be relevant to determine the maximum CT<sub>matrix</sub> and its depth.

As expected, the CT<sub>matrix</sub> showed a strong, positive correlation with bulk density ( $r = 0.811$ ,  $p < 0.001$ ) (Fig. 4E). In this field, OC controls the variation in bulk density, and therefore OC was also strongly correlated with CT<sub>matrix</sub> ( $r = -0.691$ ,  $p < 0.001$ ) (Fig. 4F).

#### *Relationship between CT-derived parameters and solute transport*

Forty four tracer BTCs were collected from the solute transport experiments; for column #2 the BTC could not be obtained because water ponded on the surface of the column during the experiment. Figure 2 shows eight BTC examples, together with their corresponding 3D macropore renderings, covering the wide range of BTC shapes observed for the 44 samples. Some BTCs were highly skewed to the right, with the concentration peak achieved in less than one hour (*i.e.* #32, #35). This behaviour reflected preferential flow through the column. It is expected that preferential flow is associated with the presence of macropores well-connected in the vertical direction but poorly connected in the horizontal plane (Jarvis, 2007), but the visual inspection of the 3D macropore renderings for columns #32 and #35 did not show any special features that were different from the remaining samples.

Table 1 shows the BTC shape measures obtained by fitting the double-lognormal PDF. The mean value of  $t_{0.05}$  was 2.24 h (s.d. = 0.92 h). The maximum value of  $t_{0.05}$  was obtained for #15 (5.21 h) and the minimum for #35 (0.42 h). The apparent dispersivity ranged from 0.92 cm (#15) to 5.77 cm (#35), with a mean value of 2.32 cm (s.d. = 1.35 cm). The CT<sub>matrix</sub> showed the best

correlations with the BTCs' shape measures,  $t_{0.05}$  ( $r = -0.717$ ,  $p < 0.001$ ) and  $\lambda_{app}$  ( $r = 0.655$ ,  $p < 0.001$ ) (Table 2). The 5% arrival time showed the best linear relationship with  $CT_{matrix}$  (Fig. 5A). The relationship between  $t_{0.05}$  and  $\lambda_{app}$  followed a power function with  $R^2 > 0.8$  (plot not shown). The power function improved the relationship between  $\lambda_{app}$  and  $CT_{matrix}$  (Fig. 5C). However, it was not better than the linear relationship between  $t_{0.05}$  and  $CT_{matrix}$ .

Neither macroporosity nor limiting macroporosity were able to explain the variability in  $t_{0.05}$  and  $\lambda_{app}$  better than  $CT_{matrix}$  (Table 2). The right-hand panels in Fig. 5 show the relationships between the BTC shape measurements ( $t_{0.05}$  and  $\lambda_{app}$ ) and limiting macroporosity. Katuwal, et al. (2015b) found that lower limiting macroporosity produced a higher degree of preferential flow, but here we could not find any strong correlation.

Preferential flow normally occurs when the soil is close to saturation and the macropores are conductive. The degree of saturation ( $S$ ) during steady state flow ranged between 0.68 and 0.95 (Table 1) for the boundary conditions defined in this study (constant irrigation of 10 mm h<sup>-1</sup> and seepage face at the bottom of the column). We observed higher degree of preferential flow in soil columns closer to saturation, stated by the significant correlations of  $S$  with  $t_{0.05}$  and  $\lambda_{app}$  (Table 2). These results are in line with previous studies (Ghafoor et al., 2013, Katuwal, et al., 2015a, Koestel, et al., 2013, Larsbo, et al., 2014). The degree of saturation was significantly negatively correlated with macroporosity and positively correlated with  $CT_{matrix}$  (Table 2). Larger macroporosities and less dense soil matrix prevented soils from saturation at the experimental conditions. Larsbo, et al. (2014) found that larger macroporosities reduced the degree of preferential flow because they present large near-saturated conductivities that inactivate macropores at steady near-saturated steady flow.

In order to know when the macropores larger than 1.2 mm act as preferential flow paths we plotted  $t_{0.05}$  as function of the water in the macropores (Fig. 6A) and the degree of

1 saturation of the macropores (Fig. 6B). The water in the macropores was calculated as the  
 2 difference between macroporosity and the air filled porosity during leaching. If this value is  
 3 negative the macropores remain empty during the leaching experiment while a positive value means  
 4 that water flowed through the macropores; for the samples where water was present in the  
 5 macropores the degree of saturation of the macropores was calculated by dividing the latter by the  
 6 macroporosity. We can observe that  $t_{0.05}$  decreased with increasing the amount of water in the  
 7 macropores. Dividing the data in two groups regarding macroporosity (higher and lower than 0.07  
 8  $\text{mm}^3 \text{mm}^{-3}$ ) we observed that  $t_{0.05}$  was slightly affected by the amount of water in the macropores  
 9 for large macroporosities, but strongly affected in soil columns with low macroporosities ( $R^2 =$   
 10 0.62). Furthermore, low macroporosities lead to both the shortest (samples #32, #35) and the largest  
 11 (samples #15, #9) arrival times. Thus, the differences in solute transport are controlled by the  
 12 macropore networks lower than 1.2 mm lumped in the quantification of  $\text{CT}_{\text{matrix}}$  (Katuwal, et al.,  
 13 2015a). Samples with denser matrix would have higher water potential during leaching experiment  
 14 activating the macropore flow paths. If the macropore network is large and well-connected in both  
 15 the horizontal and the vertical directions the degree of saturation of the macropores will be low (Fig  
 16 6B) decreasing the degree of preferential flow. For lower macroporosities the degree of saturation  
 17 of the macropores is higher, acting as preferential flow paths. The water potential in the looser soil  
 18 matrices were below the water saturation for an intensity of  $10 \text{ mm h}^{-1}$  and therefore the transport of  
 19 the tracer is controlled by matrix flow rather than macropore flow. Thus, the calculation of the  
 20  $\text{CT}_{\text{matrix}}$  helped to explain the occurrence of different flow regimes at near saturated steady state  
 21 flow and it would be an interesting proxy variable for modelling solute transport. However,  
 22 improving resolution of the CT images will help to define better the macropore networks involved  
 23 in this process. The boundary conditions will also play an important role in controlling the degree of  
 24 saturation of the matrix and the macropores. Vogel et al. (2006) already suggested that a



representation of the structure using the three-dimensional topology and the hydraulic properties of the material are necessary to predict solute transport and flow. In analogy with our study the macroporosity would represent the structure and the  $CT_{\text{matrix}}$  would give us information of the hydraulic properties of the soil matrix.

#### *Relationships between CT-derived parameters and air permeability and saturated hydraulic conductivity*

The air permeability of the soil columns was measured at *in situ* soil moisture conditions (around field capacity) and before the leaching experiments at -2 kPa matric potential at the centre of the column. The mean value for  $K_a$  (*in situ*) was  $89.6 \mu\text{m}^2$  (s.d.= 44.9) and  $68.6 \mu\text{m}^2$  (s.d.= 49.8) for  $K_a$  (-2 kPa) (Table 1). The air permeabilities at  $K_a$  (*in situ*) and  $K_a$  (-2 kPa) were correlated ( $r = 0.487$ ,  $p < 0.001$ ) (Table 2) and, as expected,  $K_a$  (*in situ*) was higher than  $K_a$  (-2 kPa) for most of the samples. However, some  $K_a$  (-2 kPa) values were higher than  $K_a$  (*in situ*), probably due to the opening of clogged pores during handling in the laboratory. Macroporosity was positively correlated with  $K_a$  (*in situ*) ( $R^2 = 0.376$ ,  $p < 0.05$ ) (Fig. 7A). Worse relationship was obtained between macroporosity and  $K_a$  (-2 kPa) ( $R^2 = 0.114$ ,  $p < 0.05$ ) (Fig. 7A). The matric potentials at which  $K_a$  was measured, equal to or lower than -2 kPa, pores of 0.15 mm diameter, and even smaller, were air filled (see water contents in Table1). The difference between the pores accounted by CT-images (larger than 1.2 mm) and the actual air-filled pores can be responsible for the lack of correlation. The limiting macroporosity improved slightly the linear relationships with the air permeabilities (Fig. 7B, 7D). Limiting macroporosity helped to detect layers in the soil where the advective flow was restricted and cannot be accounted by the average macroporosity. Naveed, et al. (2013) and Katuwal, et al. (2015b) also report better correlations of  $K_a$  (-2kPa) using limiting macroporosity;  $R^2$  increased from 0.88 to 0.93 and from 0.78 to 0.82 respectively.

Saturated hydraulic conductivity ( $K_{sat}$ ), measured after the leaching experiments, followed a lognormal distribution (not shown), with values ranging from 0.05 to 25.91 cm h<sup>-1</sup>. The skewed distribution of soil characteristics, and in particular  $K_{sat}$ , is frequently reported in the literature (Iqbal et al., 2005, Warrick and Nielsen, 1980). The variability in  $K_{sat}$  is in line with the different degrees of saturation  $S$  during the leaching experiment (Table 2). Lower  $K_{sat}$  led to higher  $S$  and, as we stated before, macropores become preferential flow paths.

The  $K_{sat}$  showed significant but low linear correlations with macroporosity and limiting macroporosity (Figure 7E, F) indicating that not only the pores higher than 1.2 mm control water flow at saturated conditions. Indeed, the correlation between  $CT_{matrix}$  and  $K_{sat}$  ( $r = -0.529$ ,  $p < 0.001$ , Table 2) suggests that matrix flow had a big influence in  $K_{sat}$ . Luo, et al. (2010b) reported differences in the slope of the linear relationship between  $\log_{10}(K_{sat})$  and macroporosity between cropland and pasture soils (54.03 and 37.68 respectively). They found that macropores in croplands were less tortuous and vertically oriented; thus a small increase in macroporosity produced a significant increase in  $K_{sat}$ . In the present study, the slope between  $\log_{10}(K_{sat})$  and macroporosity was 12. Thus the macropores in Estrup were horizontally well connected (Fig. 2). The relatively short time for the development of the soil structure after the last ploughing (10 months) together with its low clay content could reduce the presence of vertically connected macropores.

#### *Combining CT-derived characteristics to explain air, water and solute transport*

Best subsets linear regressions were performed to find the models that explained  $t_{0.05}$ ,  $K_a$  (in situ) and  $\log_{10}(K_{sat})$  best using CT-derived characteristics. The best models for  $t_{0.05}$ ,  $K_a$  (in situ) and  $\log_{10}(K_{sat})$  are shown in Table 3. None of the best models included macroporosity, limiting macroporosity and genus density due to the high correlation between them. The best subset models improved the

1 predictions for  $K_a$  (in situ) and  $\log_{10}(K_{sat})$  compared with the single linear models. For  $t_{0.05}$  the best  
2 model used only  $CT_{matrix}$ . No improvement was found by introducing macroporosity or limiting  
3 macroporosity.

4 Genus density and  $CT_{matrix}$  were selected in the best model for  $K_a$  (in situ) ( $R^2 = 0.613$ ,  
5 Table 3). It should be pointed out that the model for  $K_a$  (in situ) that includes limiting macroporosity  
6 and  $CT_{matrix}$  was as good as the best model ( $R^2 = 0.610$ ). Limiting macroporosity and  $CT_{matrix}$  were  
7 selected for  $\log_{10}(K_{sat})$  ( $R^2 = 0.448$ ). This confirmed that air and water flows follow the same paths  
8 through the soil (Iversen, et al., 2001a, Loll et al., 1999). The use of limiting macroporosity and  
9 genus density in the prediction models can give an idea of the importance of macropore  
10 connectivity in water and air transport. Macroporosity and the path number (paths going from the  
11 top to the bottom of the soil sample, representing the vertical connectivity of the sample) have been  
12 found by Luo, et al. (2010b) to be good predictors of  $K_{sat}$ .

13 The residuals were generally well distributed along the 1:1 line (Fig. 8). For the  $K_a$  (in  
14 situ) model, the residuals were well distributed along the 1:1 line, but exhibited larger scatter than  
15  $t_{0.05}$ . Only sample #15 deviated from the  $t_{0.05}$  model. Since limiting macroporosity had a greater  
16 influence on  $K_a$  (in situ), the identification of pores smaller than 1.2 mm would help to reduce this  
17 scatter. A slight overestimation at low values (*i.e.* #35) and underestimation at high values could be  
18 observed in the  $\log_{10}(K_{sat})$  model. Luo, et al. (2010b) obtained better predictions of  $K_{sat}$  using the  
19 path number and macroporosity in their multiple linear regression analysis. For Estrup, the path  
20 number and other parameters related with macropore connectivity were not correlated with  $K_{sat}$ .  
21 The lower clay content compared with other studies and the shorter time for structure development  
22 could be the reason for lower predictability of  $K_{sat}$ .

## 1 CONCLUSIONS

2 The CT-derived parameters macroporosity,  $CT_{matrix}$  and Genus density were used to explain solute,  
3 water and air transport at field scale. The studied field in Estrup, Denmark, presented a pronounced  
4 gradient in OC content which controlled the variability in bulk density in the field. Consequently,  
5 OC presented a high correlation with  $CT_{matrix}$ . Clay content was the soil property that correlated best  
6 with macroporosity, confirming the importance of clay in building and maintaining soil macropores.  
7  $CT_{matrix}$  presented the best correlations with the  $t_{0.05}$ . The matrix density controls the degree of  
8 saturation of the matrix and the macropores and hence the degree of preferential flow. The  
9 relationships found are highly dependent of the boundary and initial conditions since they control  
10 the degree of saturation and the activation of macropores as preferential flow paths. Limiting  
11 macroporosity presented the highest correlations with  $K_a$ , both at *in situ* soil moisture conditions  
12 and at -2kPa, and  $\log_{10}(K_{sat})$ . These results suggest that the layers with the fewest macropores  
13 restrict the flow for the whole soil column. Combining macroporosity, limiting macroporosity,  
14 genus density and  $CT_{matrix}$  improved the regression coefficients with water and air flow, but some  
15 uncertainties have not been solved yet. Intensive multivariate analyses can be done using techniques  
16 that cope with the multicollinearity of the CT-derived parameters like partial least square  
17 regression. However, bigger and standardized datasets are needed to obtain reliable models.

18

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# 1 TABLES

2 Table 1. Soil and transport characteristics at Estrup field

	Mean (s.d.)	min	max
<b>Clay (kg kg<sup>-1</sup>)</b>	0.108 (0.021)	0.055	0.140
<b>Silt (kg kg<sup>-1</sup>)</b>	0.251 (0.034)	0.142	0.298
<b>Sand (kg kg<sup>-1</sup>)</b>	0.587 (0.058)	0.464	0.768
<b>OC (kg kg<sup>-1</sup>)</b>	0.03 (0.02)	0.018	0.084
<b>Bulk density (Mg m<sup>-3</sup>)</b>	1.39 (0.13)	1.02	1.59
<b><math>\theta_{(\text{in situ})}</math> (cm<sup>3</sup> cm<sup>-3</sup>)</b>	0.31(0.04)	0.22	0.41
<b><math>\theta_{(-2 \text{ kPa})}</math> (cm<sup>3</sup> cm<sup>-3</sup>)</b>	0.37 (0.04)	0.27	0.46
<b><math>\theta_1</math> (cm<sup>3</sup> cm<sup>-3</sup>)</b>	0.40 (0.03)	0.31	0.48
<b>S (-)</b>	0.85 (0.05)	0.68	0.94
<b><math>K_a</math> (in situ) (<math>\mu\text{m}^2</math>)</b>	89.6 (44.9)	6.1	226.2
<b><math>K_{a(-2 \text{ kPa})}</math> (<math>\mu\text{m}^2</math>)</b>	68.6 (49.8)	1.3	241.3
<b><math>K_s</math> (cm h<sup>-1</sup>)</b>	3.69 (5.33)	0.05	25.91
<b><math>t_{0.05}</math> (h)</b>	2.23 (0.92)	0.42	5.21
<b><math>\lambda_{\text{app}}</math> (cm)</b>	2.33 (0.96)	0.92	5.77
<b>Macroporosity (cm<sup>3</sup> cm<sup>-3</sup>)</b>	0.066 (0.022)	0.016	0.102
<b>Limiting macroporosity (cm<sup>3</sup> cm<sup>-3</sup>)</b>	0.032 (0.015)	0.002	0.068
<b>CT<sub>matrix</sub> (HU)</b>	867 (111)	589	1056
<b>Genus density</b>	0.566 (0.264)	0.120	1.176

1 Table 2. Spearman correlation matrix for soil basic characteristics, transport and CT-derived parameters

	Silt	Sand	OC	BD	$\theta_{in situ}$	$\theta_{(-2kPa)}$	$\theta_i$	S	$K_a$	$K_a (-2kPa)$	$K_s$	$t_{0.05}$	$\lambda_{app}$	MPY	LimMPY	CT <sub>matrix</sub>	Genus
Clay	0.514***	-0.512***	-0.382*	0.271	-0.153	-0.423**	-0.258	0.061	-0.007	0.066	-0.075	-0.438**	0.463**	0.318*	0.266	0.507***	0.277
Silt		-0.866***	0.023	0.044	0.118	-0.117	-0.049	-0.026	-0.185	-0.005	-0.049	-0.385**	0.402**	0.083	0.096	0.291	0.002
Sand			-0.319*	0.244	-0.414**	-0.204	-0.287	0.143	0.029	-0.047	-0.077	0.145	-0.256	-0.025	-0.146	-0.004	0.069
OC				-0.803***	0.817***	0.794***	0.803***	-0.26	0.182	0.181	0.254	0.349*	-0.212	-0.179	0.06	-0.691***	-0.211
BD					-0.637***	-0.691***	-0.749***	0.557***	-0.552***	-0.475**	-0.532***	-0.507***	0.398**	-0.245	-0.419**	0.811***	-0.187
$\theta_i$						0.808***	0.882***	0.078	0.067	0.219	0.146	0.068	0.135	-0.314*	-0.011	-0.384*	-0.363*
$\theta_{20}$							0.907***	0.026	0.154	0.106	0.172	0.364*	-0.154	-0.393**	-0.083	-0.613***	-0.398**
$\theta_l$								0.029	0.258	0.25	0.25	0.243	-0.018	-0.226	0.045	-0.588***	-0.238
S									-0.561***	-0.312*	-0.518***	-0.551***	0.619***	-0.622***	-0.571***	0.573***	-0.564***
$K_a$ (in situ)										0.487***	0.669***	0.465**	-0.427**	0.631***	0.682***	-0.526***	0.643***
$K_a (-2kPa)$											0.416**	0.152	-0.137	0.464**	0.500***	-0.266	0.344*
$K_s$												0.367*	-0.355*	0.455**	0.565***	-0.529***	0.435**
$t_{0.05}$													-0.921***	0.102	0.276	-0.717***	0.12
$\lambda_{app}$														-0.181	-0.242	0.655***	-0.212
MPY															0.818***	-0.082	0.937***
LimMPY																-0.263	0.762***
CT <sub>matrix</sub>																	-0.097

- 1 OC: organic carbon content, BD: Bulk density,  $\theta$ : water content at (in situ) in situ conditions, (-2kPa) at minus 2 kPa matric potential and (l) during leaching, S degree
- 2 of saturation during leaching,  $K_a$  air permeability in situ conditions, (-2kPa) at minus 2 kPa matric potential,  $K_s$ : saturated hydraulic conductivity,  $t_{0.05}$ : 5% arrival time,
- 3  $\lambda_{app}$ : apparent dispersivity, MPY: macroporosity, LimMPY: limiting macroporosity,  $CT_{matrix}$ : CT number derived matrix density

4



1

2 Table 3. Best subset regression models for 5 % arrival time ( $t_{0.05}$ ), air permeability *in situ* ( $K_a$  (in situ)) and the logarithm of saturated  
 3 hydraulic conductivity ( $\log_{10}(K_{sat})$ ) using CT-derived characteristics

Dependent variables	Best model equation	$R^2$	$C_p^{(1)}$	MS error	Standardized coefficients		
					CTmatrix	Limiting macroporosity	Genus density
$t_{0.05}$	- 0.006 $CT_{matrix}$ + 7.49	0.539	0.381	0.398	-0.734***		
$K_a$ (in situ)	-0.196 $CT_{matrix}$ +98.75 Genus density + 203.4	0.613	3.164	820	-0.485***		0.580***
$\log_{10}(K_{sat})$	- 0.002 $CT_{matrix}$ +16.91 limiting macroporosity + 1.374	0.448	2.508	0.177	-0.386**	0.466***	

4 (1) Total squared error or Mallows's  $C_p$

5

Figure 1

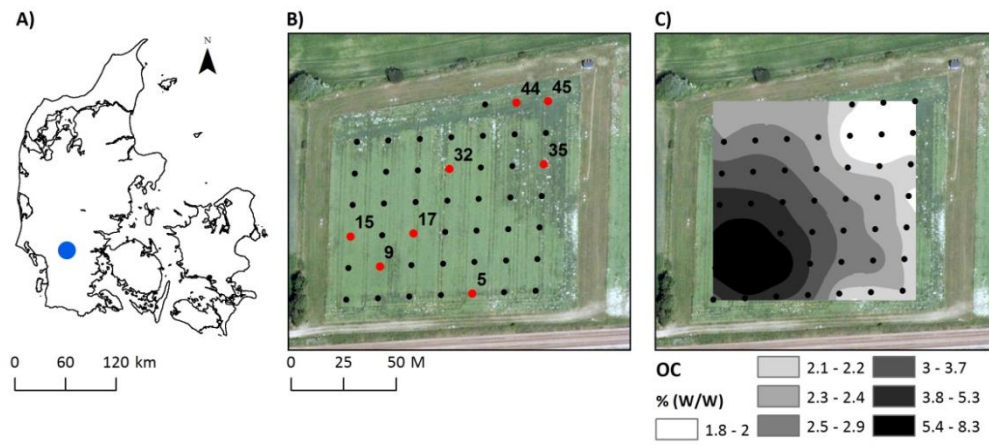


Figure 2

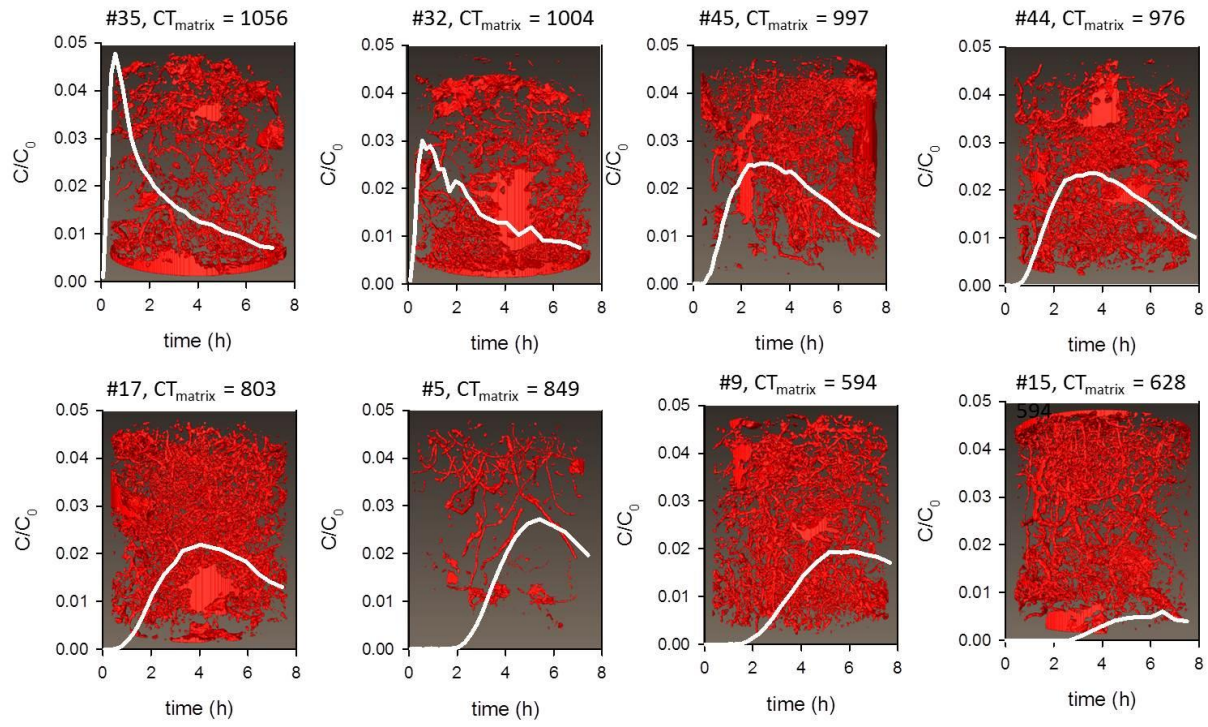


Figure 3

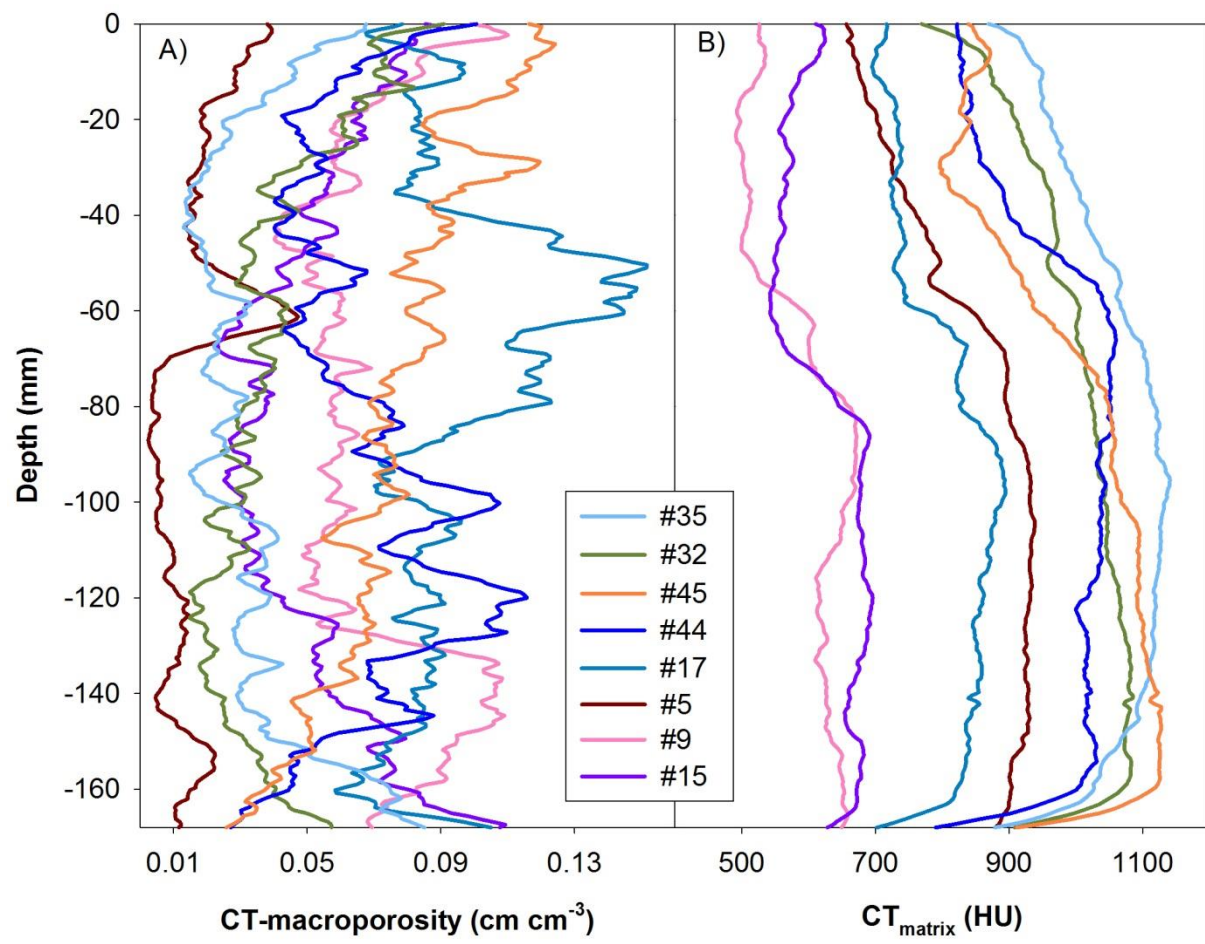


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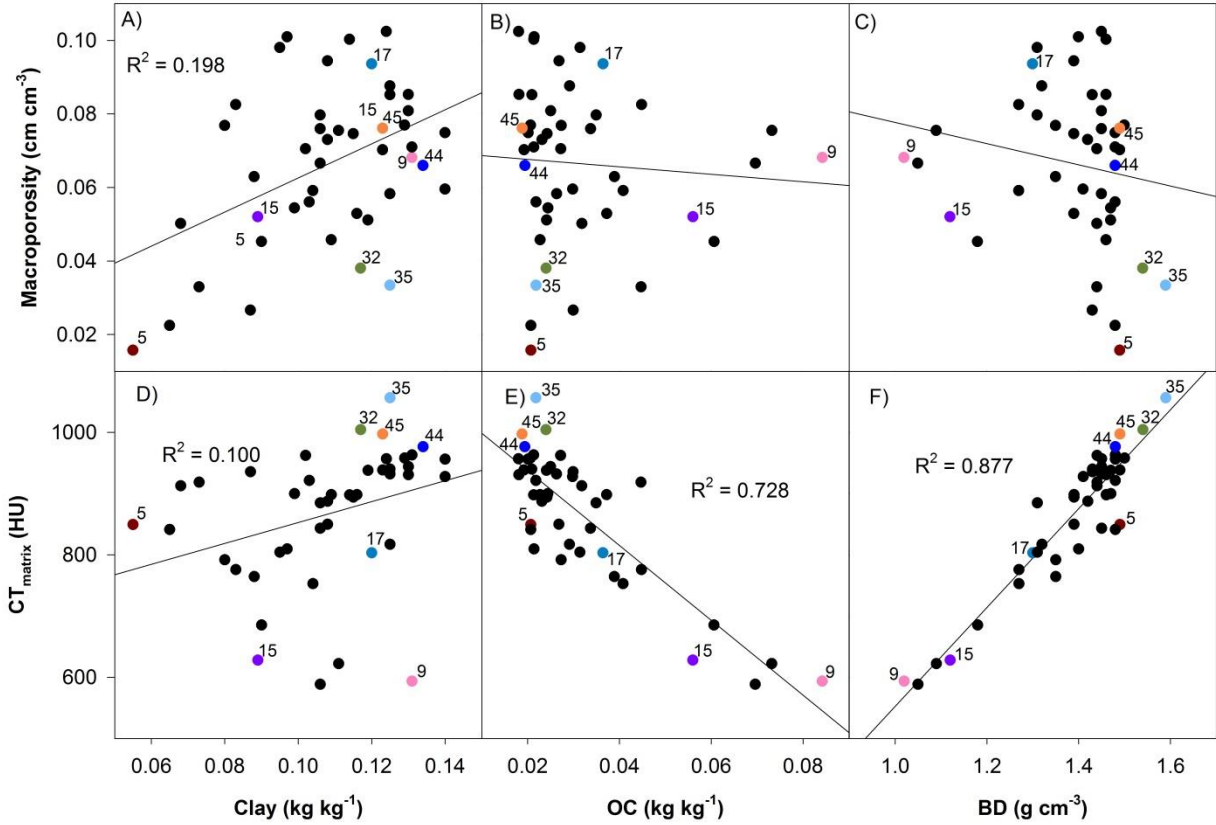


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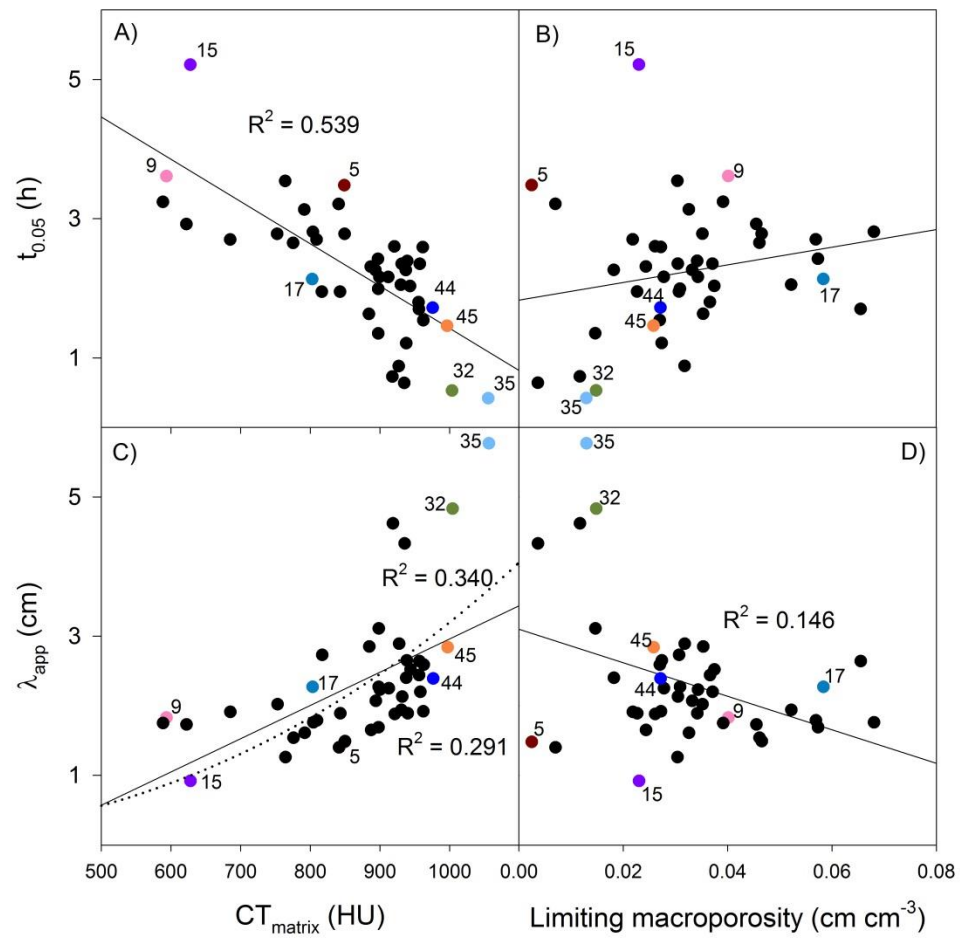


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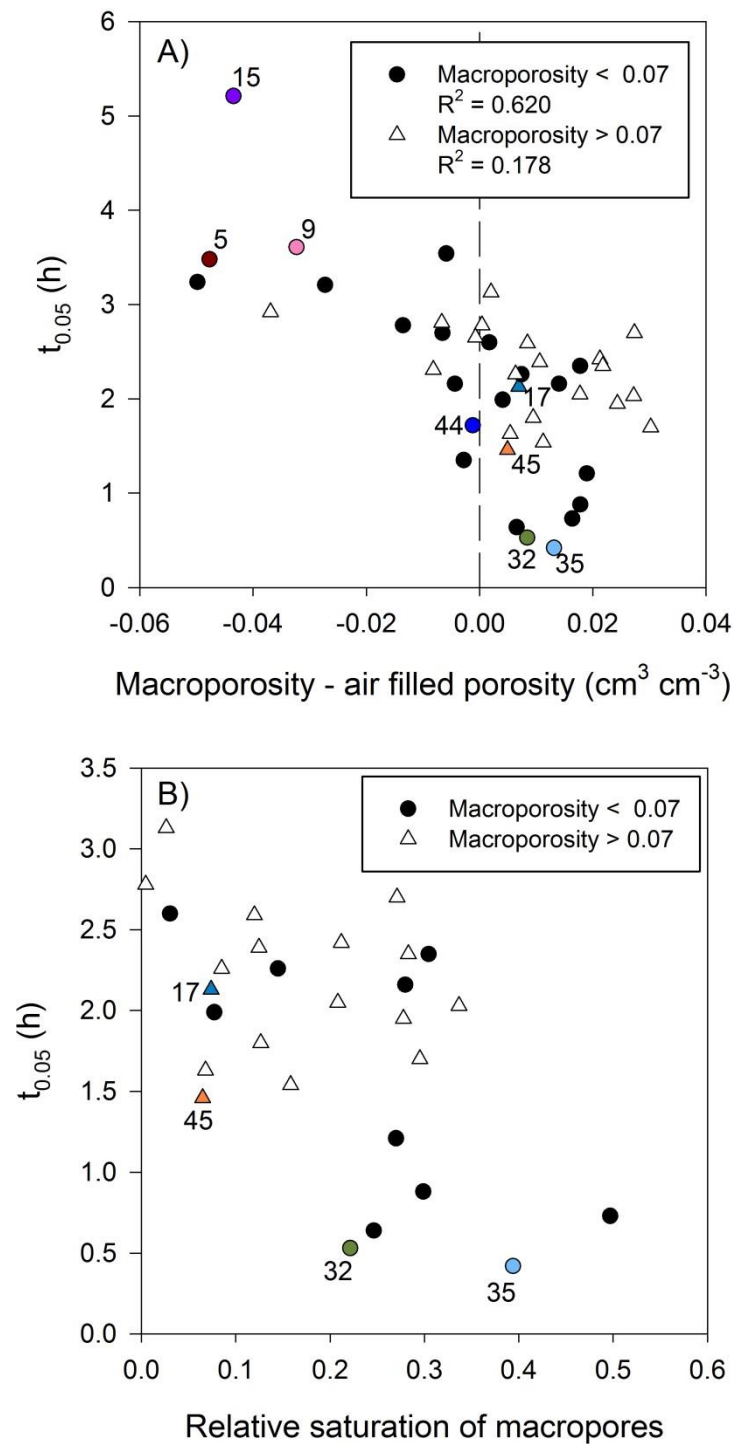


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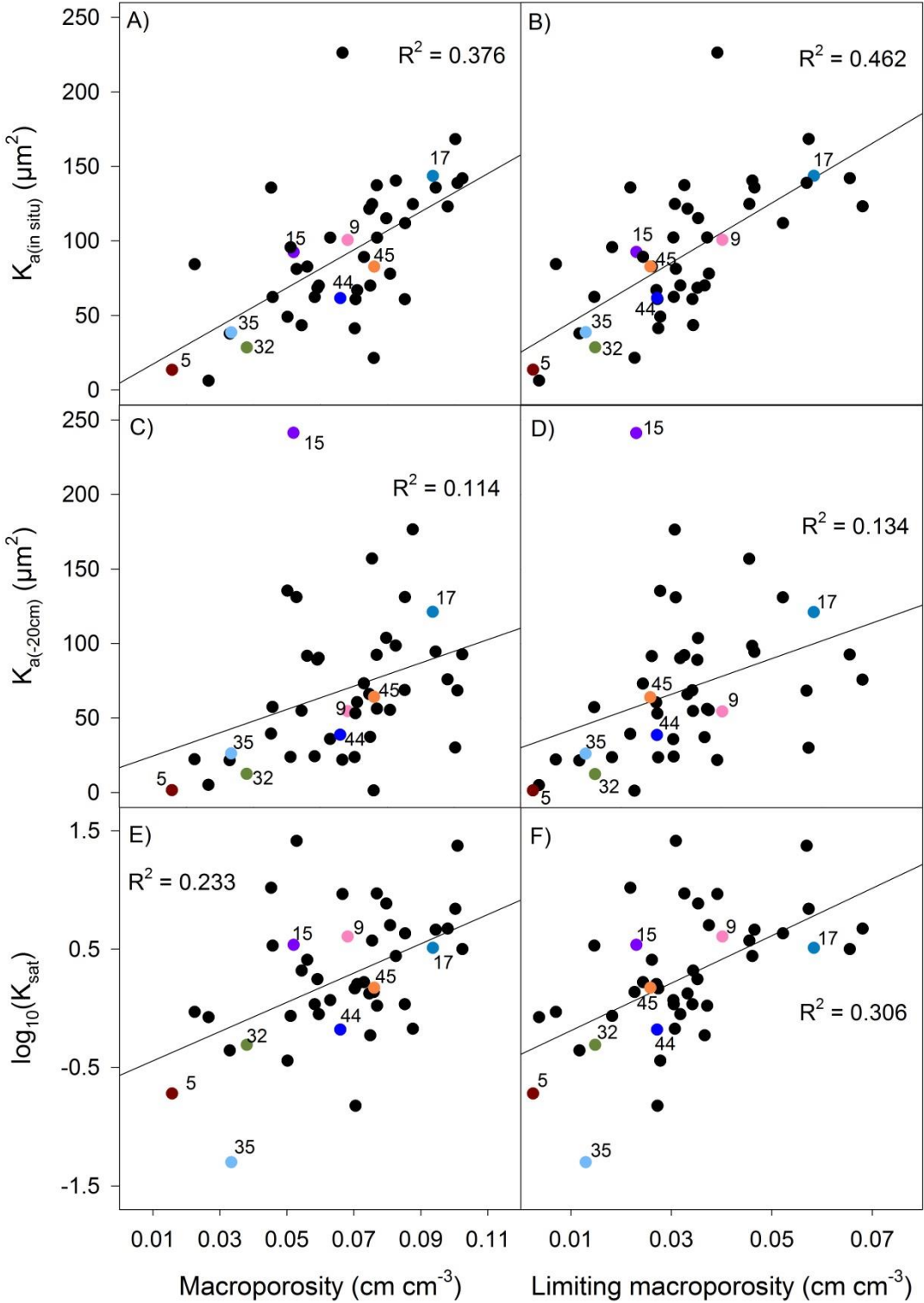




Figure 8

