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# Smallholder grain postharvest management in a variable climate: Practices and perceptions of smallholder farmers and their service-providers in semi-arid areas

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3

# 4 Abstract

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6 Field data on current crop postharvest management practices and perceptions from smallholder 7 farming communities in an increasingly variable climate are scarce. Our study used a multidimensional approach to explore the practices and perceptions of these communities and their 8 service-providers regarding grain postharvest management in semi-arid Mbire and Hwedza districts 9 in Zimbabwe. A total of 601 individual household interviews, six focus group discussions with 10 women and men, and interviews with 40 district stakeholders and 53 community key informants 11 12 were conducted. Farmers and service-providers explained how climate change was threatening food security; causing reduced and more variable maize and sorghum yields of below 0.5 t/ha, alongside 13 higher grain storage insect pest pressure. Increased food insecurity and concerns regarding grain 14 theft have driven a shift from bulk storage in traditional outdoor free-standing granaries to 15 polypropylene bags stacked inside the living quarters. Poor and improper use of grain protectants 16 in these circumstances exacerbate the health-related risks. Agricultural extension officers were the 17 most common source of agronomic and postharvest information followed by farmer-to-farmer 18 information exchange. Targeted postharvest training; participatory field trials involving agricultural 19 extension staff, farmers and other service-providers; and policy dialogue around grain postharvest 20 21 management and food security are proposed to help in strengthening the capacity to reduce grain postharvest losses under increasingly unpredictable conditions. 22

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Key words: storage pest management, smallholder grain storage, climate change impacts,
postharvest management policy dialogue

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## 27 **1. Introduction**

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Southern Africa has been experiencing fluctuating annual rainfall patterns and increasing 29 temperatures (Brown et al. 2012; IPCC 2014). There is a clear shift in the prevailing climate with 30 31 an increased frequency of drought and occurrence of extreme weather events which pose a significant risk to the existing food, biological and livelihood systems (Thornton et al. 2014), 32 associated national policies and human health (Mubaya et al. 2012). The changes in temperature 33 and rainfall patterns in some sub-Saharan Africa (SSA) countries such as Zimbabwe suggest that a 34 35 new classification of agro-ecological regions may be required to correspond to the current climatic patterns (Mugandani et al. 2012; Nyabako and Manzungu 2012). In Zimbabwe, agro-ecological 36

37 regions I and II (see details in Mugandani et al. (2012)) are primarily high-potential, specialised or intensive mixed farming system areas, while agro-ecological region III has semi-intensive farming 38 systems. Regions IV and V are primarily dry, characterized by low, inconsistent rainfall (<600 mm) 39 and high temperatures with extensive farming systems. There is a growing realization that 40 temperature, increased ultra-violet radiation and lower relative humidity levels will affect pest 41 distribution and other characteristics leading to greater field and postharvest pest problems (Sharma 42 and Prabhakar 2014). Direct impacts of climate change on postharvest factors are barely reported 43 44 in the literature (Karuppaiah and Sujayanad 2012; Moses et al. 2015; T. Stathers et al. 2013), highlighting the need to investigate the perceptions, knowledge and practices of smallholder farmers 45 and their service-providers living in the most-affected semi-arid areas of developing countries. 46

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Insect pests are poikilothermic ectotherms and thus respond to climate-induced changes in 48 environmental temperature. Temperature increases towards the optimum development ranges (T<sub>opt</sub>) 49 intensifies insect pest activity, e.g. flight, mating, feeding and growth rate and consequently shorten 50 generation time and increased reproductive rates (Neven 2000; Willmer et al. 2005). Thus, the 51 current warming trends in southern Africa (IPCC 2014) are likely to increase postharvest pest 52 activity, abundance and distribution with negative effects on the efficacy of current pest control 53 54 measures (Sharma 2014; T. Stathers et al. 2013). There has been limited field work on how abiotic climatic factors affect stored grain systems (Moses et al. 2015) and how smallholder farmers 55 56 perceive and respond to effects of climate change on their stored-food reserves (T. Stathers et al. 2013). The insufficient understanding of climate change impacts on smallholder agri-food systems 57 58 led the Zimbabwean Government to draft the Zimbabwe National Climate Response Strategy (Ministry of Environment and Natural Resources Management 2013) to leverage greater efforts 59 60 towards reducing climate change-related risks. The relationships between climate change and 61 postharvest crop losses are not yet well-understood at any level (T. Stathers et al. 2013).

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63 Existing postharvest losses of maize across SSA are already significant. The African Postharvest Losses Information System (APHLIS) estimates suggest an annual loss of 19% of the maize 64 produced in Zimbabwe during the period 2009 to 2018, and 12-13% for sorghum (Rembold et al. 65 2011; APHLIS 2019). These losses occur at different stages along the postharvest chain, starting 66 from harvesting to consumption (see details in Tefara (2012)). Losses during the storage phase are 67 known to be more important, with insect pests being a key causal factor. Whilst a range of different 68 insect pests attack stored maize, the larger grain borer (LGB), Prostephanus truncatus Horn. is of 69 special interest as it has only recently occurred and rapidly spread in Zimbabwe and neighboring 70 countries (Nyagwaya, Mvumi & Saunyama, 2010; Rembold et al., 2011; Muatinte et al., 2019). 71

*Prostephanus truncatus* destroys a high fraction of the grains it attacks compared to other pests such as *Sitophilus* spp. which attack many grains but destroy relatively few. While the threat to food security has increased due to the greater climate and related postharvest challenges previously mentioned, the lack of clear and specific policies that speak to the specific associated threats is a cause for concern.

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The current study was conducted to: (i) determine the existing postharvest management (PHM) practices and perceptions of smallholder farmers and their service-providers in the context of changing climates; (ii) explore the effects of climate change and variability on smallholder farmer postharvest management practices in two climatically contrasting (rainfall, temperature, and farming system) agro-ecological zones of semi-arid Zimbabwe; and (iii) identify possible policy interventions that may increase food and nutrition security from a postharvest management perspective.

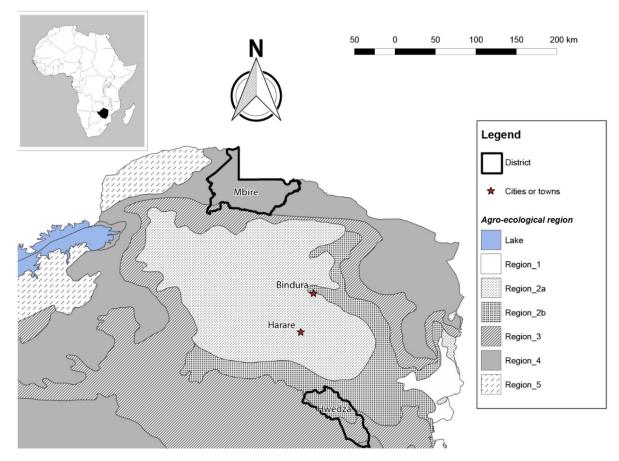
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# 86 2. Methods

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# 88 2.1 Study area

Hwedza and Mbire districts in Zimbabwe were purposively selected to provide not only contrasting 89 90 agro-ecological zones, but also to explore the influence of their diverse biophysical and socio-91 economic circumstances, with both being perceived as vulnerable to climate-related risks. Hwedza 92 district lies in the Save Valley basin and is pre-dominantly in agro-ecological region II and III, characterised by annual rainfall of 750 – 1000 mm per annum and a mean annual temperature range 93 94 of 18-30 °C (Mugandani et al. 2012; Vincent et al. 1960). The smallholder farmers in this area practice rain-fed farming (Mugandani et al. 2012). Signs of climate change have already been 95 96 experienced in Hwedza district through higher temperatures and increased frequency of mid-season droughts (Mapfumo et al. 2010; Rurinda et al. 2015). Mbire district is mainly in agro-ecological 97 98 region IV and is characterised by low annual rainfall below 450 mm and generally high temperatures of between 28-42 °C (Mugandani et al. 2012; Vincent et al. 1960). 99



102 Fig. 1 Part of map of Zimbabwe showing the study areas

101

104 Mbire district is a sedimentary region located within the sandy plateau of the Zambezi Valley basin, rendering it prone to flooding and erosion (Dube et al. 2014), compared to the granite-derived sandy 105 and clay soils with a belt of para-ferralitic soils in Hwedza (Nyamapfene 1985; Wuta and 106 Nyamugafata 2012). Much of Mbire's agriculture is flood-based along river and stream banks 107 (Dube et al. 2014), and the vegetation largely consists of drought-resistant trees and bushes. 108 However, Hwedza has savanna woodland with Brachystegia spp as the dominant tree and 109 110 Hyperennia spp. as the dominant grass species (Gadzirayi et al. 2007; Macdonald 2003). These conditions may predispose stored maize grain to damage from P. truncatus which is known to thrive 111 112 in naturally wooded areas (Dunstan and Magazini 1980; Harnish and Krall 1984; B. Muatinte et al. 2019; Nang'ayo et al. 2002). 113

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# 115 2.2 Target population, sample size, sampling techniques and data collection methods

The study was conducted between December 2013 and March 2014 during the postharvest season in Hwedza and Mbire districts. Three wards (a ward is an administrative geographical area lying at a level between a village and a district) were selected (Table 1) using a purposive sampling technique in each district in consultation with district stakeholders to include locations where farmers were actively producing crops and livestock.



## 122 **Table 1** Number of community key informants and key secondary stakeholders interviewed in

123

# Hwedza and Mbire districts

District	t Ward Coordinates (Lat, Long)		*Key Secondary Stakeholders	Community Key Informants	
Hwedza	Makwarimba	31.6452 -18.6486		7	
	Ushe	31.8667 -18.7995	18	7	
	Goneso	31.8795 -18.8885		8	
Subtotal			18	22	
Mbire	Chirunya	30.5877 -16.3146		10	
	Chitsungo	30.4714 -16.2986	22	11	
	Mahuwe	30.7434 -16.3788		10	
Subtotal			22	31	
Grand Total			40	53	

\*Key secondary stakeholders were not selected at ward level but only at district level from prominent agribusiness
 enterprises and technical stakeholders or service-providers within the district

126

STATA 12 was used to randomly pick 10 villages from each of the selected wards. Sampling frames 127 consisting of lists of all households in the selected wards were obtained from local staff of the 128 Department of Agricultural Technical and Extension Services (AGRITEX), in the Ministry of 129 Agriculture, Mechanisation and Irrigation Development. Ten households were randomly picked 130 131 from each of the selected villages. In total, 100 households were sampled per ward to give coverage of approximately 10% of the existing number of households within each ward. In Hwedza district, 132 a total of 300 households were interviewed while 301 were interviewed in Mbire giving a total of 133 601 respondent households for the two districts. To cater for cases where the originally selected 134 135 household was child-headed, the household head (HHH) was absent or deceased, a 40% reserve of the randomly selected ten households per village constituted a pool for replacement. 136

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To triangulate and complement the household level data, qualitative data were collected from the 138 district stakeholders and the community. At least ten qualitative stakeholder interviews were 139 conducted at each district level, while at least seven key informant interviews were conducted in 140 each of the three wards in each district giving a total of 22 and 31 community key informants in 141 Hwedza and Mbire Districts respectively (Table 1). In both districts, focus group discussions 142 (FGDs) were conducted with groups comprising of 15-20 women and 15-20 men of varying age 143 groups and resource-endowments. Community leaders including village heads, headmen, 144 councillors and traditional healers also participated in the FGDs. A total of 40 stakeholders were 145 interviewed using a qualitative checklist tool. The stakeholders were selected on the basis of (i) area 146 of specialization, (ii) working experience in the district, and (iii) link to farming activities, the 147

148 environment and climate-related issues. They included Government of Zimbabwe departments,

149 Non-Governmental Organisations, parastatals, farmers' unions and agro-dealers.

150

Tools used in the community profiling of climatic hazards and risks for the FGDs (FGDs), 151 individual stakeholders and local or community key informants and individual household interviews 152 were jointly developed by a trans-disciplinary team from the Food and Agricultural Organization 153 of the United Nations, University of Pretoria, Natural Resources Institute of the University of 154 Greenwich, Soil Fertility Consortium of Southern Africa (SOFECSA) and the University of 155 Zimbabwe. Following pre-testing and adjustments, household data were collected using a structured 156 and coded questionnaire with a few open-ended questions that were post-coded. Tools for 157 qualitative data collection were similarly pre-tested and adjusted accordingly prior to 158 administration. All the tools were administered manually through face-to-face interviews. 159

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# 161 **2.4 Data management and analysis**

The household questionnaire data were entered into CSPRO 6.1 software before being exported to 162 IBM SPSS 16 software for statistical analysis. Analysis included cross-tabulations, calculation of 163 frequencies, correlations and Chi-square tests of associations (Arkkelin 2014; Kpolovie 2017). The 164 165 qualitative data collected were largely nominal in nature, with the scales of measurement used for farmers' knowledge, and perspectives being ordinal, while quantitative data were recorded for 166 167 farmers' resource endowments. Qualitative data from FGDs, key informant interviews and district stakeholders were processed by a multi-disciplinary team of researchers who summarised similar 168 169 responses from different respondents and categorised the responses into thematic areas. In addition to providing a broader picture of issues and trends in the study communities and districts targeted, 170 171 the qualitative data were used to explain some of the observations from the quantitative analysis of 172 household level data.

#### 174 **3. Results**

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# 176 **3.1 Household characteristics**

There were over 10% more female-headed households amongst the respondents in Hwedza than 177 Mbire district (Table 2). The typical age range of the HHH across the two districts was 40-60 years, 178 while over 18% of the HHHs were older than 70 years. More than 60% of households were in 179 monogamous marriages, with twice as many (29.7% vs 12.6%) widowed respondents in Hwedza 180 181 than Mbire respectively, and more polygamous marriages in Mbire than Hwedza (Table 2). Literacy was high in both districts with > 88% of HHHs being able to read and write. Only 12 and 6.7 % of 182 HHHs interviewed in Mbire and Hwedza respectively, had not acquired any formal education. 183 Almost 18% of the HHHs interviewed in Hwedza were functionally disabled with limited 184 participation in physical activities around the household. 185

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The main cereal crop grown in Hwedza was maize (98%) while in Mbire both maize (77%) and sorghum (18%) were grown as main crops (Table 2). In Mbire, farmers also grew a lot of their crops in fields along the river banks. Respondents in Mbire indicated a strong preference for maize as opposed to to sorghum, regardless of its frequent failure under dryland production due to the low rainfall experienced in the area.

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Income was mainly derived from cropping practices and livestock to varying degrees in both 193 Hwedza and Mbire (Table 2). Where respondents' main livelihood/ income source had changed in 194 195 the last 10 to 20 years, there had been a perceived decrease in income for 47% and 68% of 196 responding households in Hwedza and Mbire respectively. In contrast, few respondents perceived 197 an increase in household incomes (23 % and 7 % in Hwedza and Mbire respectively), or no change for 23% (Hwedza) and 21 % (Mbire). The main reason given for the observed change in livelihood 198 199 source was changes in markets and sales (16% and 49% in Hwedza and Mbire respectively). 200 followed by climate issues (14% in both Hwedza and Mbire) (Fig 2).

201

#### Table 2 Sex, education and crop choice statistics of household heads (HHH) interviewed in Mbire 203 and Hwedza districts, Zimbabwe

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Socio-economic characteristic of HHH*	Response	Proportion of respondents (%)			
		Hwedza (N= 300)	Mbire (N= 301)		
Sex	male	69.3	82.1		
Age (years)	<40	23	38.3		
	>70	17.7	9.7		
Marital status	Married/ single spouse	64.3	73.8		
	Married/ polygamous	1.7	10.3		
	Widowed	29.7	12.6		
	Separated/ divorced	3.7	2.7		
	Cohabiting	0.0	0.0		
	Single/ never married	0.7	0.7		
Ability to read or write	yes	89.3	87.7		
Functionally disabled?	yes	17.7	9.3		
Education level	None	6.7	12.0		
	Primary	43.3	38.9		
	Secondary	47.3	47.5		
	Tertiary	2.0	1.7		
	Vocational	0.3	0.0		
	Other	0.3	0.0		
Main crops grown	Maize	98	77		
	Sorghum	0	18		
	Millets, Wheat, Cassava	0	0		
	Other	0	1		
Main income sources	Sale of cereals and pulses	19.8	4.7		
	Sales of own vegetables/fruits	19.1	7.0		
	Sale of cash crops	5.2	36.9		
	Sale of livestock	4.1	17.9		
	Irregular daily labour, casual	12.4	12.0		

14.8

worker

Remittances

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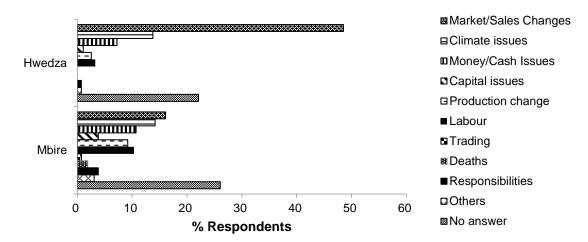


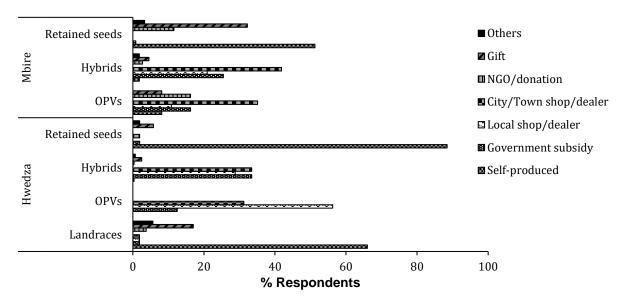
Fig. 2 Reasons for change in main livelihood / income source during the last 10-20 years as
 perceived by smallholder farmers in Mbire and Hwedza districts, Zimbabwe

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- 211 **3.2 Current postharvest practices**
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# 213 **3.2.1 Source of seed**

At the time of this study, there was a high usage of self-produced maize planting seed amongst farmers in both Hwedza and Mbire districts with more than 50% of maize seed being self-retained. Most farmers in Hwedza (95%) planted the higher yielding commercial maize hybrids while in Mbire, use of hybrids, was relatively low (37%), with most farmers planting either landraces (47%) or retained seeds including hybrids (40%). The hybrid maize seed included both certified commercial seed readily available at local or town shops as well as subsidised seed provided under Government of Zimbabwe support programmes (Fig 3).

221



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Fig. 3 Sources of planted maize seed in 2011/12 season in Mbire and Hwedza districts, Zimbabwe

224 (OPVs = open pollinated varieties)

For farmers who planted maize in the 2011/2012 season, 83% and 60% used self-retained seed in 226 Hwedza and Mbire, respectively. Stakeholders interviewed reported the wide fluctuations in rainfall 227 patterns and extended dry spells as contributing to agricultural problems currently being faced by 228 their communities. High incidences of poor seed germination were reported by stakeholders and 229 farmers, and were linked to inadequate rainfall, unpredictable rainfall patterns and extended dry 230 spells to which farmers responded by retaining extra seed for re-planting. The few farmers in Mbire 231 232 who manage to grow maize achieve similar production levels to Hwedza farmers because the Mbire farmers cultivate the crop along the river banks which have rich sedimentary soils. 233

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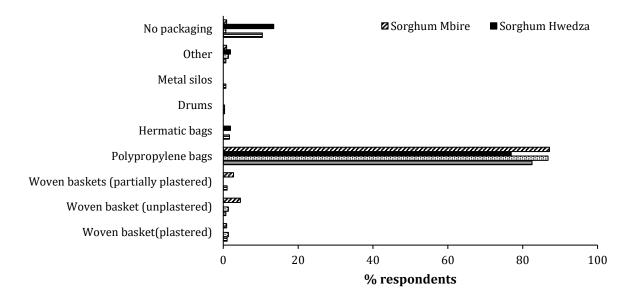
# 235 **3.2.2 Pre-storage moisture content determination**

The grain moisture content prior to storage was mainly assessed by visually inspecting it for colour, texture and physical damage, with 54% of maize respondents doing this while only 18% of the sorghum grain respondents did it. Other methods included the length of time the grain was left drying in the sun (18%) and the hardness of grain when it was bitten (11%), although this was less commonly used for traditional grains (<4%). Over half of the farmers who reported that they faced postharvest challenges after harvest (Mbire 84%, Hwedza 59%), reported using visual methods to check grain moisture content.

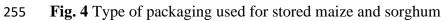
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# 244 **3.2.3 Grain storage packaging**

245 Most farmers (82% in Hwedza and 87% in Mbire), used polypropylene bags as the main packaging containers for storage of maize grain (Fig 4). Sorghum grain was also stored packaged in 246 247 polypropylene bags (77% in Hwedza and 87% in Mbire). Over 10% of farmers reported not using any packaging at all in both districts, i.e. they stored bulk grain. There was however, a significant 248 correlation between the protectant treatment applied and the packaging used (P = 0.009). Key 249 informant interviews and FGDs in both districts, reported the need for greater emphasis on grain 250 storage and improved food preservation techniques given that the yields of major cereals were 251 continuously decreasing due to climate change. 252



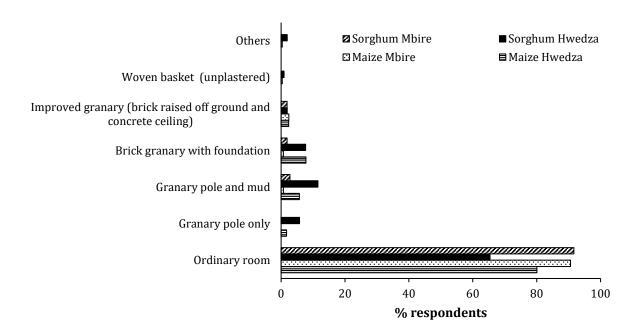




# 257 **3.2.4 Grain storage facilities**

The majority of households (80% and 91% in Hwedza and Mbire respectively), used ordinary rooms inside their living quarters for storing their maize grain as opposed to solid stand-alone outdoor granaries or other options (Fig 5). FGD discussions and anecdotal data suggested that security of the grain was the main concern in shifting place of storage.

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Fig. 5 Storage facilities used for storing maize and sorghum grain by smallholder farmers in Mbire
 and Hwedza districts, Zimbabwe

Only 8% of Hwedza farmers were using brick granaries with a foundation, and only 6% used pole and mud granaries. For sorghum storage, ordinary rooms were the most commonly used storage structure in both Hwedza (65%) and Mbire (92%), with externally-located purpose-built structures being less prevalent especially in Hwedza, i.e. pole and mud granaries (17%), improved granaries (17%), and brick granaries with a foundation (17%).

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# 273 **3.2.5 Stored grain protection**

The use of commercially-available chemical grain storage protectants was high amongst respondents, 88% of farmers in Hwedza, and 81% of farmers in Mbire. Traditional treatments (e.g. gum tree leaves, ash, plant extracts) were still used by some households mainly in Mbire (12%). In both districts, only 7-8% of households did not use any storage protection treatments at all. Compared to 10 to 20 years ago, the use of plant extracts as a maize grain protectant has declined by two-thirds from 11% to 4% in both Hwedza and Mbire, while the use of chemical grain protectants has increased from 77% to 82% in Hwedza, and from 52% to 71% in Mbire (Table 3).

Table 3 Changes in grain protectant use on maize and sorghum in Mbire and Hwedza districts
 compared to 10-20 years ago as perceived by smallholder farmers

District	Grain type	Period			Grain protectant used				
			None	Ash	Commercial grain storage pesticide	Plant extracts	Others		
Hwedza	Maize	10-20 years ago (%)	9.1 a	3.0 <sub>a</sub>	76.8 <sub>a</sub>	10.7 <sub>a</sub>	0.3 <sub>a</sub>		
(N=300)		Current (2013) (%)	12.0 <sub>a</sub>	2.0 <sub>a</sub>	81.7 <sub>a</sub>	4.0 <sub>a</sub>	0.3 <sub>a</sub>		
	Sorghum	10-20 years ago (%)	94.9 <sub>a</sub>	0	4.4 <sub>a</sub>	0.7 <sub>a</sub>	0		
		Current (2013) (%)	94.9 <sub>a</sub>	0	4.7 <sub>a</sub>	0.3 <sub>a</sub>	0		
Mbire	Maize	10-20 years ago (%)	16.3 <sub>b</sub>	19.9 <sub>b</sub>	51.8 <sub>b</sub>	11.3 <sub>a</sub>	0.7 <sub>a</sub>		
(N=301)		Current (2013) (%)	15.0 <sub>b</sub>	9.6 <sub>b</sub>	71.4 <sub>b</sub>	3.7 <sub>a</sub>	0.3 <sub>a</sub>		
	Sorghum	10-20 years ago (%)	66.8 <sub>b</sub>	5.3 <sub>a</sub>	21.9 <sub>a</sub>	5.0 <sub>b</sub>	1.0 <sub>a</sub>		
		Current (2013) (%)	64.8 <sub>b</sub>	3.0 <sub>a</sub>	29.9 <sub>b</sub>	2.0 <sub>a</sub>	0.3 <sub>a</sub>		

284Note: Values for the same crop row (i.e. including rows denoting current and 10-20 years ago) not sharing the same285subscript are significantly different at p < 0.05 in the two-sided test of equality for column proportions. Cells with no

subscript were not included in the test. Tests assume equal variances.

287

Over 94% of farmers in Hwedza and 65% of farmers in Mbire were not using any storage protectant on their sorghum grain, and there has been no significant change since 10 to 20 years ago. There has, however, been significant increase in the use of commercial pesticides and significant decrease

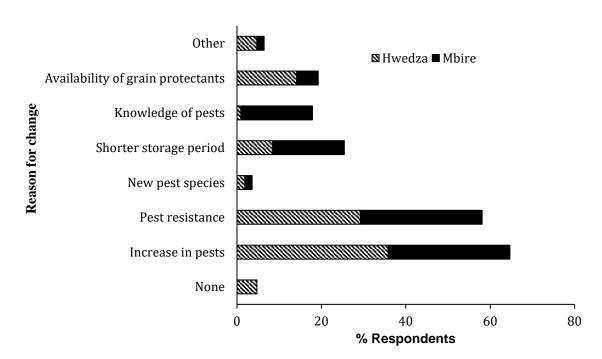
in plant extract use for traditional grains (Table 3). In Hwedza, 61% of farmers indicated there had

been no change in the quantity of chemical protectants used, while 31% reported having increased their dosage, and 7% claimed to have decreased their dosage. In Mbire district, 78% indicated no change in dosage used, and 15% indicated an increase in the amount of protectant used, and 7% claimed to have decreased their dosage.

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Among those reporting an increase in the pesticide dosage used when storing maize now compared with 10-20 years ago (>30%) or for sorghum (>50%), an increase in pests and insecticide tolerance were the dominant explanations given (Fig 6). Over 93% of farmers did not provide a response to the question on the reason for change in chemical protectant use in sorghum. The level of knowledge about storage pests was reported to have increased mainly in Mbire (17%) resulting in general increases in chemical protectant use especially on maize grain (52 to 71% of respondents).



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Fig. 6 Reasons for change in chemical grain protectant use for maize as perceived by smallholder
 farmers in Mbire and Hwedza districts, Zimbabwe

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Stakeholder interviews revealed that although farmers in both districts have increasingly adopted the use of commercial synthetic grain protectants, in Mbire these grain protectants were now also being applied on traditional grains (mainly sorghum) and pulses (mainly cowpeas). This increased adoption of synthetic commercial grain protectants was attributed to an increase in populations of storage pests observed in stored grain, especially weevils, *Sitophilus* spp. for cereals and bruchids, *Callosobruchus* spp. for cowpeas. Key informants and farmers reported that the shortage of maize grain supplies in Mbire was exacerbated by the lack of a national Grain Marketing Depot in the area. Farmers in FGDs reported that when they sell their livestock, there were no ready grain

suppliers offering grain for sale at reasonable commercial prices commensurate with the livestock

317 sale prices. Private traders were reported to bring mainly maize grain but at exorbitant prices,

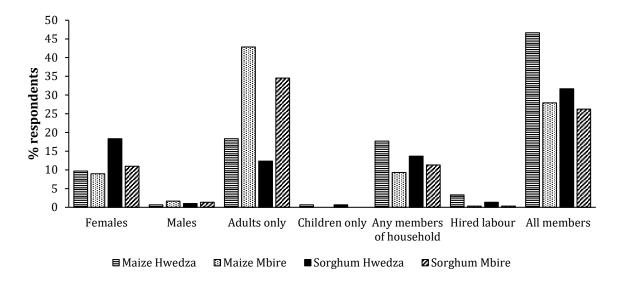
leaving farmers economically disadvantaged especially when bartering their livestock for maizegrain.

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# 321 **3.2.6** Gender roles in crop postharvest management

Grain postharvest management activities in the two districts were mainly done by members of the family with very little use of hired labour (Fig 7). In most households, all the family members helped with the postharvest activities.

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Fig. 7 Household members' involvement in the postharvest management of the maize and sorghumin Mbire and Hwedza districts, Zimbabwe

329

In 12-43% of responding households, only the adults did the PH activities, and in 10-20% of households only female members did the PH activities. There were very few households (<3%) where only males did the PH activities, and even fewer (<2%) where only children did the PH activities (Fig 7).

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# 335 **3.3 Grain postharvest challenges and their causes**

336 Most respondents could not explain what caused their postharvest challenges (81% in Mbire, 27%337 in Hwedza). Attack by pests beginning in the field was cited as the leading cause of postharvest

challenges in Hwedza (41%), but less so in Mbire (10%), while 21% of respondents in Hwedza,

reported that storage pests accounted for the losses in maize.

Many Mbire farmers (38%) indicated that they suspected new postharvest insect species had arrived in their area, while in Hwedza only 3% indicated new insect species as a potential problem. It was not clear if the farmers knew which insect species caused postharvest losses. In Hwedza, 74% of farmers did not respond to the question on changes in the seasonality of insects (Table 4). Changes in seasonality of the occurrence of pests were noted by 23% of respondents in Hwedza and 36% in Mbire.

348	Table 4 Effects of temperature and rainfall changes on pest abundance and seasonality as perceived by smallholder farmers in Mbire and Hwedza
349	districts, Zimbabwe

District	Question	Responses	Perceived mean temperature change (%)				Perceived mean rainfall change (%)					
			No response	Increased	Decreased	Range altered	Other	No response	Increase	Decreased	Range altered	Other
Hwedza	Perceived	• No response	12.4	78.1	2.7	4.1	2.7	5.5	8.2	69.9	12.3	4.1
(n=300)	effect on pest	• Increase pest abundance	6.4	81.8	3.4	7.9	0.5	1.5	11.8	81.3	4.9	0.5
	abundance change	• Decreased pest abundance	4.1	83.3	4.2	4.2	4.2	0	12.5	79.2	8.3	0
	Perceived	• No response	8.6	81.4	0.5	7.7	1.8	2.7	10.9	76	8.6	1.8
	effect on pest seasonality	• Changed seasonality of pests	4.4	83.8	10.3	1.5	0	1.5	11.8	83.8	2.9	0
	change	• New pest species	11.2	44.4	22.2	22.2	0	0	0	100	0	0
		• Other	0	100	0	0	0	0	0	100	0	0
Mbire	Perceived	• No response	34.6	46.2	15.4	3.8	0	11.5	0	57.7	30.8	0
(n=301)	effect on pest	• Increase pest abundance	5.9	64.4	18.4	10.9	0.4	0.8	13	66.5	19.7	0
	abundance change	• Decreased pest abundance	10.8	50	32.1	7.1	0	10.7	32.1	42.9	14.3	0
		• Other	0	75	0	0	25	0	62.5	12.5	0	25
	Perceived	• No response	19.1	67.6	11.8	1.5	0	5.9	13.2	60.3	20.6	0
	effect on pest seasonality	• Changed seasonality of pests	3.7	68.5	26.9	0.9	0	0.9	16.7	72.2	10.2	0
	change	• New pest species	7.8	51.3	16.5	23.5	0.9	2.5	11.3	55.7	29.6	0.9

In Hwedza and Mbire, 58% and 28% of farmers respectively indicated that they noticed changes in grain damage levels through the increase in visible holes on kernels, while a few farmers reported changes to the smell, taste and appearance of grain. There were no respondents who reported noticing damage holes on traditional grains such as sorghum.

355

**Table 5** Use of damaged grain by smallholder farmers in Mbire and Hwedza districts, Zimbabwe

	Mai	ze	Sorghum			
	Hwedza (%)	Mbire (%)	Hwedza (%)	Mbire (%)		
	(n=126)	(n=176)	(n=90)	(n=65)		
Food destroyed	4.0	2.4	-	-		
Given to animals	29.4	7.2	3.3	4.6		
Still consumed	62.7	82.4	2.2	46.2		
N/A	4.0	8.0	95.5	49.2		

357 N/A = a respondent who responds that he/she has no answer to the question

359

When grain was observed to be insect damaged during storage, the main action taken by respondents was to still consume the grain. In both districts, over 60% of farmers reported keeping damaged maize grain for family consumption, while 29% of respondents in Hwedza and 7% in Mbire fed the damaged maize grain to livestock, and only 4 and 2% of farmers in the respective districts reported destroying damaged grain (Table 5).

365

# **366 3.4 Perceptions of climate change and postharvest-related factors**

Temperature and humidity were perceived to have an effect on postharvest pest abundance and seasonality. The stakeholders interviewed held similar views to the farmers regarding temperature increase and rainfall decrease coinciding with a relative increase in postharvest and field pests (Table 6). The frequent dry spells/droughts and problems of precipitation amounts being too low to sustain crop production coupled with increased demand for food aid, were also mentioned by district key informants as challenges (Table 6).

**<sup>358</sup>** (-) = no respondent offered this option as an answer

Climate trend	Hwedza	Mbire
Temperature	<ul> <li>Increase in extreme hot (e.g. up to 37°C), and cold temperatures (e.g. ground temperature -6°C, frost) have been recorded</li> </ul>	<ul> <li>Increase in extreme hot (e.g. up to 40°C), and extreme cold temperatures (in winter)</li> <li>Temperature pattern shifting, May and July used to be the coolest months; now only July is cooler (in last 10-20 years)</li> </ul>
Precipitation	<ul> <li>Unpredictable rainfall (making cropping planning difficult, rain patterns changed since about 2003)</li> <li>Not sure when season will start; season commencement unpredictable</li> </ul>	<ul> <li>Rainfall amounts decreasing and varied or erratic</li> <li>Cropping seasons becoming shorter</li> <li>Direction of rainfall has changed; used to come from the East but now comes from the South and with thunder and cyclones (last 10-20 years)</li> </ul>
Dry spells	<ul> <li>Prolonged dry spells and confusing temperature patterns (in last 10 – 20 years)</li> </ul>	<ul> <li>Droughts e.g. 1985/86, 1991/2, 2007/8 due to low rainfall which could not sustain crop production</li> </ul>
Pests	<ul> <li>Pest increase (in last 10 – 20 years)</li> </ul>	• Pest attack increased e.g. in 2013
Food aid	<ul> <li>Reduced grain deliveries, increased demand for food aid</li> </ul>	

# Table 6 Evidence of climate trends and impacts from key informants based on experiences in the last 10 - 20 years in Mbire and Hwedza districts, Zimbabwe



In Hwedza, 81% of farmers reported that average temperatures in 2012/2013 had increased
compared to 20 years before-hand, with 62% of farmers in Mbire making the same observation (Fig
8). A higher proportion of farmers in Hwedza district (81%), than in Mbire district (62%) claimed
that average temperatures had increased.

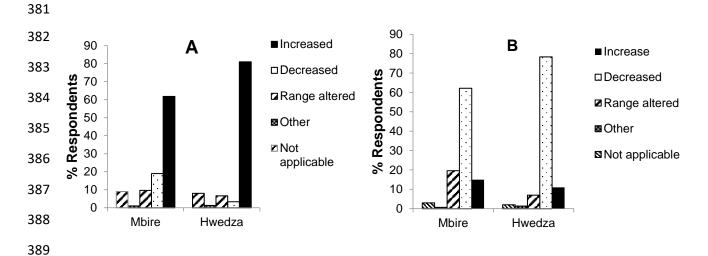


Fig. 1 Farmer perceptions of changes in (A) mean temperature and (B) rainfall in their district
between 2012/2013 and 20 years beforehand

A further 10% and 7% of respondents in Mbire and Hwedza respectively observed that temperature

- range had altered, although they did not specify whether it had decreased or increased. Mean annual
  rainfall was noted to have decreased by 78% of farmers in Hwedza and 62% in Mbire (Fig 8).
- 396

# **397 3.5 Postharvest adaptations in response to climate-related challenges**

The mean area under maize production reported by individual households was below 1 ha in both 398 the 2011/2012 and 2012/2013 season, and for sorghum was below 0.52 ha in both districts. Both 399 districts reported over a 12% decline in the production area for both maize and sorghum between 400 the 2011/2012 to 2012/2013 agricultural seasons (Table 7). In Hwedza, 98% of respondents stated 401 maize was their main staple crop with just 0.3% selecting sorghum. While in Mbire, 77.1% of 402 403 respondents stated maize was their main staple crop and 18.3% selected sorghum. Mean purchases of maize for home consumption were considerably higher in Mbire (522.0 kg) than Hwedza (347.5 404 405 kg), while for sorghum, purchases were much higher in Hwedza (900.0 kg), than Mbire (373.6 kg). 406

Table 7 Mean household grain production area, harvested quantity, quantity consumed and sold,
 current stock and storage duration

		MAIZE				SORGHUM			
Parameter	Period	Hwedza		Mbire		Hwedza		Mbire	
		Mean	N	Mean	N	Mean	N	Mean	N
Area	Production in 2011/2012 (ha)	0.967 <sub>a</sub>	294	0.681 <sub>b</sub>	275	0.175 <sub>a</sub>	28	0.491 <sub>b</sub>	105
	Production in 2012/2013 (ha)	0.940 <sub>a</sub>	295	0.717 <sub>b</sub>	275	0.165 <sub>a</sub>	26	0.514 <sub>b</sub>	104
Harvest	2011/2012 (kg)	682.90 <sub>a</sub>	283	615.32 <sub>a</sub>	188	$275.00_{a}$	11	430.33 <sub>a</sub>	75
	2012/2013 (kg)	$599.37_{a}$	285	443.90 <sub>b</sub>	196	$234.44_{a}$	18	375.61 <sub>a</sub>	66
Sold	2011/2012 (kg)	367.32 <sub>a</sub>	56	$555.00_{a}$	10	5	1	200	2
	2012/2013 (kg)	357.21 <sub>a</sub>	43	455.56 <sub>a</sub>	9	30	2	100	1
Sales	2011/2012 (US\$)	172 <sub>a</sub>	53	158 a	8	75	1	64	4
income	2012/2013 (US\$)	113 <sub>a</sub>	42	168 a	8	16	2	21	1
Purchased	For home consumption (kg)	347.49 <sub>a</sub>	197	522.04 <sub>b</sub>	203	900.01	1	373.6	25
Stocks	Current (2013/2014) (kg)	340.21 <sub>a</sub>	210	384.77 <sub>a</sub>	66	$130.00_{a}$	7	220.00 <sub>a</sub>	15
Retention	2012/2013 (months)*	4 <sub>a</sub>	153	0 <sub>b</sub>	279	8 <sub>a</sub>	299	5 <sub>b</sub>	301
period	10-20 years ago (months)*	3 <sub>a</sub>	3	3 <sub>a</sub>	7	13 <sub>a</sub>	295	11 <sub>b</sub>	301

409 Note: Mean values in the same row and sub-table not sharing the same subscript for the same crop are significantly different at p < .05 in the two-

410 sided test of equality for column means. Cells with no subscript were not included in the test. Tests assume equal variances.<sup>3</sup>

411 *1. This category is not used in comparisons because the sum of case weights is less than two.* 

412 2. This category is not used in comparisons because there are no other valid categories to compare

413 3. Tests are adjusted for all pairwise comparisons within a row of each innermost sub-table using the Bonferroni correction.

414 \* Values associated with low responses are for comparison only and may not be a true reflection of possible results

There has been a significant decrease in the stored maize retention period in Mbire with respondents 415

indicating few farmers retain their harvested maize grain beyond three months' storage (Table 7). 416

417

#### 3.6 Grain marketing and alternative livelihood strategies 418

Average annual household maize grain sales of 367 kg and 555 kg were reported in Hwedza and 419 Mbire respectively in the 2011/2012 season, while in the subsequent season (2012/2013) sales 420 declined to an average of 357 kg and 456 kg for Hwedza and Mbire respectively (Table 7). Sorghum 421 sales averaged less than 5 kg and 200 kg per annum in Hwedza and Mbire respectively in the 422 2011/2012 season with an increase in the subsequent season to 30 kg for Hwedza and a decrease to 423 100 kg in Mbire (Table 7). In both districts, annual household sales income from maize was more 424 than twice that earned from sorghum. Many of the farmers in both Hwedza (57%) and Mbire (41%) 425 preferred to sell their maize grain to well-established marketing agencies; namely the Grain 426 Marketing Board (GMB); with a significant number (30% in Hwedza and 25% in Mbire) selling 427 maize grain to neighbours. Stakeholders in Mbire also confirmed that farmers were increasingly 428 429 abandoning the selling of their maize grain to GMB, opting instead to sell to private traders who paid cash on-the-spot although the prices offered were much lower than those offered by GMB. 430 Food aid dependence was higher in Mbire with all respondents indicating that they often needed 431 food aid, while in Hwedza less than 20% required food aid. 432

433

434 Farmers were aware of the changes in their seasons and environment, but the lack of postharvest training was highlighted by community key informants and FGDs in both districts. Farmers sought 435 explanations, knowledge, skills and training from their extension staff who they perceive as their 436 major sources of agricultural information. 437

- 438
- 439

Table 8 Different postharvest adaptation strategies used by smallholder farmers in response to shifts in rainfall as reported in FGDs and by key informants in Mbire and Hwedza 440 districts, Zimbabwe 441

Hwedza	Mbire
<ul> <li>Choosing varieties that tolerate storage pests</li> <li>Changing from traditional meal sharing practices (i.e. many people eating from the same plates) to individual plates to provide equal meal size distribution</li> </ul>	<ul> <li>Increased use of commercial grain protectants</li> <li>Preservation (mainly by drying) of exotic and wild fruits for future sale or consumption during periods of lean food supplies</li> </ul>

The barriers hampering the adoption of coping strategies mentioned by farmers (Table 8), included their knowledge gaps regarding appropriate pesticides and their proper use for managing storage insect pest pressures. In Mbire, challenges to livestock-grain trade identified by stakeholders included: lack of livestock breeds adapted to the higher temperatures, especially bulls to improve livestock production; increased threat to livestock by wild animals; lack of participation by the national custodians of grain trade, the Grain Marketing Board (GMB) to enhance fair livestockgrain trading; and as in Hwedza, lack of knowledge regards effective postharvest technologies.

450

## 451 **3.7 Access to information**

In Hwedza, 87% of the responding households owned a cellphone, while in Mbire 80% did, and ownership was even across the sexes in both districts. However, cellphone ownership did not appear to have a significant relationship ( $\chi^2 = 2.543$ , p = 0.065) with how farmers accessed postharvest information, 47% and 39% of farmers used cellphones to access postharvest information in Hwedza and Mbire, respectively.

457

Over 90% of households surveyed in both districts reported having access to agronomic and 458 postharvest information, with agricultural extension accounting for 43%, farmer-to-farmer 459 460 exchanges (22%) and radio/TV (21%) (Table 9). General climatic / weather information was accessed by 77% and 68% of households, and daily or three-day weather forecasts by just 49% and 461 57% of responding households in Hwedza and Mbire districts respectively. The agronomic 462 information from extension officers was considered reliable by more than 60% of farmers in both 463 464 districts. Most responding households, 68% in Hwedza and 81% in Mbire, felt specific postharvest handling information was accessible in time for the postharvest activities. 465

- 466
- 467 **Table 9** Percentage of respondents reporting having access to different types of agro-climatic
- 468

information in Hwedza and Mbire districts, Zimbabwe

	Hwedza (%)	Mbire (%)
	(N=300)	(N=301)
Agronomic and postharvest	88.7 <sub>a</sub>	93.0 <sub>a</sub>
Animal production and health	64.3 a	87.0 ь
Agricultural commodity markets and prices	56.0 a	62.5 ь
Climatic/weather in general	76.7 a	67.8 a
Seasonal forecasts	65.3 a	67.4 a
Daily/ 3 day weather forecasts	49.3 a	57.1 a
Post-harvest handling	67.7 <sub>a</sub>	81.4 <sub>b</sub>

469 Note: Values in the same row not sharing the same subscript are significantly different at p < .05 in the two-sided test

470 of equality for column proportions. Cells with no subscript are not included in the test. Tests assume equal variances.

471

- 472 **Table 10** Reliability of indigenous knowledge sources for agronomic and postharvest handling
- 473 information as perceived by smallholder farmers in Mbire and Hwedza districts,
- 474

Zimbabwe

		Agronomic information (%)			Postharvest handling (%)				
	-	Good	Fair	Poor	N/A	Good	Fair	Poor	N/A
Hwedza	Traditional leaders	29	57	-	14	50	50	-	-
(N=300)	Community leaders	14	72	14	-	-	80	20	-
	Elders	43	43	11	3	37	52	11	-
	Own observation	43	57	-	-	41	59	-	-
Mbire	Traditional leaders	25	71	4	-	15	77	8	-
(N=301)	Community leaders	12	83	3	2	25	69	6	-
	Elders	29	59	9	3	15	74	10	-
	Own observation	11	78	11	-	12	83	4	2

475

The reliability of the information was mainly considered good (Hwedza 55.6%; Mbire 46.9%) or 476 477 fair (Hwedza 32.7%; Mbire 45.7%). Stakeholders interviews confirmed that weather information was received from a variety of sources in both Hwedza and Mbire districts, including media sources 478 such as radio, television and newspapers, Agricultural, Technical and Extension Services 479 480 (AGRITEX), Non-Governmental Organisations (NGOs), Soil Fertility Consortium of Southern Africa (SOFECSA) in the case of Hwedza, and the Meteorological Department. Community-based 481 sources included indigenous knowledge systems, churches, community discussions and school 482 children. Indigenous weather indicators included observing wind behaviour; growth, flowering and 483 fruiting patterns of trees; and insect behavioural patterns. 484

485

Indigenous knowledge sources were mainly perceived to be a fair or good source of agronomic and
postharvest handling information in both districts (Table 10). Elders and own observations were
highly regarded postharvest handling knowledge sources in Hwedza (37% and 41% good,
respectively), and traditional leaders (50% good) and community leaders in Mbire (25% good).

- 490
- 491

#### 492 **4. Discussion**

493

#### 494 **4.1 Potential hazards of poor grain storage management practices**

495 Storage of grain has largely shifted from traditional outdoor stand-alone granaries to indoor storage in polypropylene bags kept inside the family's living-quarters. This change indicates an increase in 496 the cautiousness and concerns of smallholders regarding their grain storage systems and their short-497 498 and long-term food budgeting strategies. This change also results in increased secrecy regarding postharvest management which likely hinders sharing of postharvest management information 499 within communities as compared to the past when granaries were external and seen as social status 500 symbols that households were proud to display. Focus groups and key informants noted that 501 construction of traditional granaries, a task previously undertaken by men for income generation, 502 no longer commonly occurred as most people were, for security reasons, now storing their grain in 503 polypropylene bags placed the family's living quarters. Respondents associated this change with 504 increased cases of grain theft due to high rates of unemployment and increased economic hardship 505 (Saungweme 2013) exacerbated by persistent food shortages due to climate-induced mid-season 506 507 droughts which often resulted in crop failure. Traditional store management practices including the 508 seasonal plastering of cow-dung slurry on well-swept earth walls and floors of granaries do not apply to living rooms. However, storage of fumigated or synthetic pesticide-treated grain in 509 510 polypropylene bags stacked in the family rooms is of concern, given the likelihood of the inhabitants then inhaling toxic fumigants and synthetic pesticides (passive exposure) which can be detrimental 511 512 to human health; particularly for children (Liu and Schelar 2012). Increased pesticide use without adherence to regulated dosages (Damalas and Eleftherohorinos 2011; Mvumi et al. 1995; Rozman 513 et al. 2007) may also contribute to increased pest resistance and ultimately reduce the effectiveness 514 of the protectant. Other factors that could exacerbate postharvest losses is the use of high-yielding 515 but more susceptible hybrid varieties (Golob, 1984; Giga & Mazarura, 1991; Kossou et al., 1993; 516 517 Boxall, 2001) and poor storage management practices.

518

# 519 **4.2 Effects of climate on storage pest-related challenges**

Farmers may not fully understand the extent, nature and interconnectivity of their postharvest challenges but storage pest attack was reported as a major underlying factor. Many respondents perceived the increase in temperatures as having a direct impact on the increase in pest-related problems as has been reported in similar studies (Moyo et al. 2012; Nyanga et al. 2011). This was corroborated by farmer perceptions of increased seasonality of pests which can be linked to climatic changes that affect insect bionomics (Neven 2000; Willmer et al. 2005). While the current study did not ask respondents about the impact on specific postharvest pests, there is need to investigate

how increasing temperatures affect the life cycles of economically important postharvest insect 527 pests (Sharma and Prabhakar 2014) including *P. truncatus*, *Rhyzopertha dominica* (F.), *Sitophilus* 528 spp., *Sitotroga cerealella* (Olivier), and bruchids. The farmers reported that postharvest pest attack 529 begins in the field during field drying of grain crops and then continues and increases once the grain 530 is in storage. Prostephanus truncatus is a highly destructive stored maize grain insect pest, which 531 is now endemic in most parts of SSA (Borgemeister et al., 1998; Nyagwaya et al., 2010; Muatinte 532 et al., 2014; Muatinte et al., 2019) and may continue to spread to other geographical areas with 533 similar host crops, host vegetation and/or environmental conditions aided by global warming. 534

535

#### 536 **4.3 Postharvest management and the use of grain**

The effectiveness of the currently available storage pesticides was also questioned, with over 25% 537 of farmers in both Hwedza and Mbire districts citing an increase in insect pest population and 538 pesticide resistance as the most important reasons why they perceived the pesticides were no longer 539 effective. A high incidence of recycling the seed of previously harvested hybrid maize varieties 540 occurs in Hwedza, likely due to the high cost of purchasing hybrid seed. Retained maize seed may 541 be a key source of carry-over pest infestation owing to its longer retention period. In Hwedza, 542 farmers use the holes in maize kernels as a sign of insect damage. However, this is harder to do with 543 544 the much smaller sorghum grains, which are the main stored grain in Mbire. More precise methods for sampling and detecting insect damage could help farmers determine pest problems earlier and 545 546 inform their corrective measures. It is recommended that an effective protectant method be used at the start of storage on all grain that is intended to be stored for longer than three months. 547 Prostephanus truncatus and other primary storage insect pests are known to favour hybrid varieties 548 of maize for field infestation due to the inadequate husk cover which exposes grains on the cob 549 550 (Boxall 2002; Kasambala et al. 2012). Hence, the combined effects of retained seed-use, sale and 551 exchange of infested home-retained grain, and use of hybrids creates a high pest infestation risk 552 situation. Only commercial traders such as GMB and milling companies fumigate infested grain 553 upon delivery to prevent cross-infestation and further damage.

554

555 Other parts of this study initiated research work comparing the efficacy of storage technologies for 556 maize (S. Mlambo et al. 2018; Shaw Mlambo et al. 2017) and sorghum (Mubayiwa et al., 2018; 557 Mubayiwa, 2019) under different agro-climatic conditions in Hwedza and Mbire districts of 558 Zimbabwe (S. Mlambo et al. 2018; Shaw Mlambo et al. 2017) and Masvingo province (Chigoverah 559 and Mvumi 2016). In these storage trials, hermetic devices and new synthetic chemical grain 560 protectant products successfully protected stored grain across agro-ecological zones. The current 561 study found botanical pesticide use had decreased, although it may provide a viable alternative to commercially-available grain protectants (Stevenson et al. 2014) especially if use and propagationcan be optimised.

564

Stakeholder interviews suggested there had been a shift to short-term food budgeting strategies due 565 to lower production levels, given average yields are as low as 0.5t ha<sup>-1</sup>. Respondents in Mbire have 566 a high dependence on food aid, with stakeholders confirming that the climatic challenges make it 567 hard for households to produce enough food. Although sorghum has a higher cropping success rate 568 in Mbire, it is less preferred as a staple food and mainly considered a secondary food source. Food 569 aid can be a potential source of pest spread, as noted from the origin and spread of *P. truncatus* into 570 Africa (Dunstan and Magazini 1980; Harnish and Krall 1984). Dependency on and potential 571 anticipation of food aid can affect the quality of survey data - where participants hope their 572 responses may result in relief food – despite clear explanations that the data being collected were 573 574 for was research purposes only.

575

576 Numerous drivers are provoking change in crop storage systems including increased theft, high and variable grain prices, devastating effects of pest attack, limited postharvest extension knowledge or 577 sharing, climate change and increasing climate variability. Adjusting cropping systems could 578 579 improve coping and adaptation strategies, with the drier conditions in Mbire being more suitable for more livestock-oriented production; allowing for possible grain-livestock exchange with 580 relatively wetter areas such as Hwedza where crop production is more suitable. Some of the 581 responses in the study suggest that farmers and extension agents are not used to being asked about 582 583 the postharvest and climate change elements of their farming systems, with most studies and training still focusing on agronomy, field pest management and methods for increasing crop yield. 584

585

#### 586 **4.4 Information management**

Although cellphone ownership was high in both districts (> 80%), over 39% of cellphone owners 587 still depended on physical meetings with extension officers for their agronomic and postharvest 588 information, with the gender of the HHH having little influence.. Key informants reported that 589 climate information was obtained from a variety of sources but was too limited in scope and lacked 590 significant inputs from research. Extension agents have a critical role to play in sourcing and 591 delivering the right information to farmers but they are generally not well-trained in postharvest 592 issues (Mvumi and Stathers 2014). Farmers rely on their own experience for their decision-making. 593 Sustained and systematic training of both extension staff and farmers could help reinforce and 594 improve habitual postharvest practices and reduce postharvest losses. 595

Women were more involved than men in the postharvest activities suggesting attention needs to 597 focus on helping them to adapt to climate change using new farming methods (Nhemachena and 598 Hassan 2007), while a focus on children as farmers of the future could also be beneficial (Katanha 599 and Chigunwe 2014). Almost 50% of the farmers ranked indigenous postharvest information as 600 601 being fair to good in terms of reliability. Lack of documentation related to indigenous knowledge and its dependency on oral and inter-generational knowledge transfer, make it unreliable as a 602 method for forecasting weather (Jiri et al., 2015), because it does not integrate newer scientific 603 methodologies (Nyong et al. 2007; Patt and Gwata 2002) such as tools to aid adoption of new grain 604 605 storage technologies.

606

# 607 4.5 Marketing, livelihood strategies and other practices

In Mbire, where dirt roads dominate, the nearest GMB depot was 50+ km away through 608 mountainous terrain, leading to farmers largely storing their grain for less than three months and 609 610 either selling their grain to traders/dealers or bartering grain and livestock for goods and/or services. 611 Contrastingly, Hwedza has a good road network with a tarmac highway and is closer to big cities such as Marondera and Harare which allow for more off-farm activities such as, carpentry, flea 612 markets and vending. . The major coping strategies for the majority of farmers in Mbire were 613 614 centered on livestock barter-trade with grain or other household needs. Lower production levels of both maize and sorghum due to reduced and more unpredictable rainfall and increased dry spells 615 616 and droughts (Nangombe 2014) also lead to lower quantities being sold.

617

River bank cultivation is rife in Mbire, with maize and other crops grown out-of-season using the residual moisture in riverside or riverbed fields as alsoreported by Bola et al. (2013). Sorghum is an important traditional grain crop grown in Mbire, although only 18% of farmers were growing the crop which is largely viewed as secondary to maize due to the general shift to higher-yielding maize hybrids, plus the high labour requirements and low market value of traditional grains. Maize is also the preferred food aid crop distributed by NGOs especially in Mbire where food aid is regularly required.

625

626 Cash crops (e.g. cotton) and livestock are major sources of income in Mbire whereas Hwedza is 627 more climatically favourable for maize production, explaining why more maize stock was reported 628 in in the latter. Experiential visual assessment was the most commonly used grain moisture content 629 testing method though it is not standardized and can be unreliable for optimizing delivery to GMB 630 where 12.5% level is recommended (GMB, 2010). What farmers do with grain damaged by insects or moisture has nutritional implications since some insect pests target specific nutrients in the
kernels (Behmer 2005; T. E. Stathers et al. 2020). High grain moisture content which may result
from insufficient drying, insect infestation or re-wetting may facilitate fungal growth and potential
mycotoxin and aflatoxin production which are harmful to humans and livestock (Moses et al. 2015).

635

# 636 **4.6. Implications for postharvest policy**

Developing policies and strategies to address postharvest management and food security is key in 637 tackling threats posed by a changing climate and associated changes in postharvest management 638 practices coupled with greater postharvest pest threats. For instance, in Zimbabwe policy requiring 639 all deliveries to be made to GMB (Kapuya et al. 2010) combined with challenges in GMB meeting 640 payment deadlines has resulted in farmers side-marketing the grain. Anecdotal evidence shows 641 there is substantial grain movement in small batches between different households and to different 642 locations around Zimbabwe (including urban areas). This could contribute to the spread of grain 643 storage pests such as *P. truncatus* which affects the quantity and nutritional value of stored food 644 (Ekpa et al. 2018; T. E. Stathers et al. 2020), requiring further investigation with respect to different 645 grain types, management practices and climate variables. In addressing policy issues, the African 646 Union has put in place the Comprehensive Africa Agriculture Development Programme policy 647 648 framework of 2012 but there is inadequate articulation of the specific postharvest aspects although it targets the reduction of postharvest losses by 50% by 2025 (AUC 2014). Organisations such as 649 650 the Food, Agriculture and Natural Resources Policy Analysis Network are building policy dialogue around postharvest issues with the aim of getting more policies in sub-Saharan Africa directed 651 towards postharvest management. Postharvest research data can help build the evidence-base 652 needed for dialoguing with policy-makers and directing and driving supportive policy change. 653

654

# 655 6. Conclusion

656

The study explored existing postharvest management practices and perceptions of smallholder 657 farmers and their service-providers in the context of climate change. Many of the current pest 658 management methods, such as synthetic chemical grain protectants and botanical products require 659 further investigation as indications suggest they are failing to control new pests, or are rendered less 660 effective by the development of pest tolerance or changes in climatic conditions. Farmers' coping 661 662 strategies are currently devoid of research inputs to help inform their decision-making. The overreliance on, and increasing use of synthetic pesticides is concerning given the negative health 663 implications of pesticide mishandling, including incorrect dosages, wrong application methods, use 664 of pesticides not registered for application on food and the storage of grain inside houses. While 665

anecdotal evidence suggests a growing *P. truncatus* problem, more work is required to determinethe extent of spread and damage by this pest in the focal areas and beyond.

668

Given the different but concurrent stressors, including climate and its long-term effect on pest 669 populations, the lack of postharvest knowledge amongst farmers and their service-providers should 670 be flagged as major household food security issues. There is scope for much greater knowledge 671 672 sharing through participatory community-based interaction in postharvest research, and technology 673 development and promotion. Differences in socio-economic circumstances, access to physical infrastructure, climate and agroecology and their potential impacts on postharvest management 674 practices emphasize the importance of taking the heterogeneity in farmer circumstances into 675 consideration when developing solutions. The study creates a basis from which to develop future 676 research and capacity strengthening activities on grain postharvest management in smallholder 677 agriculture. A concerted multi-dimensional effort including progressive policies and enabling 678 environment, is required to bring indigenous knowledge systems, extension and research personnel 679 together to achieve sustainable improved grain postharvest management which will help farmers 680 strengthen their resilience and capacity to adapt to the impacts of climate change and variability. 681

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