1	Title: Effect of species identity and diversity on biomass production and its stability in
2	cover crop mixtures
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22 Abstract

23 Thanks to positive interactions between species, growing mixtures of cover crops allows 24 improving the ecosystem services provided by cover crop cultivation. In this study, the 25 influence of species diversity but also of species identity and mixture composition on cover 26 crop biomass production and its stability in diverse growing conditions was studied. Several 27 field experiments (varying soil type, preceding crop, soil tillage, sowing density, nitrogen 28 fertilisation and spatial replication) were set up in Switzerland during the period 2013-2016. 29 In these experiments the performance of cover crop species grown as sole crops was 30 compared to that of multispecific mixtures. Part of these experiments followed a simplex 31 design in which four cover crop species were combined together with different proportions, 32 producing a total of 25 mixtures of varied diversity. The other experiments compared sole 33 crop and mixture biomass production in standard randomised block or split plot experiments. 34 Globally, mixtures tended to produce slightly more biomass than the sole crops, with an 35 average between 2 t/ha and 3.2 t/ha for sole crops and of about 3.5 t/ha for mixtures. 36 Overyielding as well as transgressive overyielding were observed, in 81% and 37% of the 37 cases on average, respectively. However no effect of the level of species diversity within 38 mixtures could be found. Biomass production of cover crops was highly influenced by their growing conditions and by the identity of the species involved, especially for sole crops and 39 40 bispecific mixtures. The analyses of the simplex experiments allowed to show that species 41 interactions played an important role in biomass production in 7 out of 15 growing conditions, 42 even for a short growing period of about three months. Most of the cover crop mixtures with 43 the highest biomass production had a rather low diversity, i.e. about two species on average, 44 but the identity of the species involved in these mixtures depended on the growing conditions. 45 Our results do not show a strong diversity effect on the biomass production of cover crop mixtures cultivated for a short growing period, but a stronger effect of species identity and of 46

- 47 the growing conditions. Mixtures with low diversity generally outcompete more diverse
- 48 mixtures, but more diverse mixtures offer an insurance effect given the unpredictability of
- 49 growing conditions during cover crop cultivation.
- 50

51 Keywords

- 52 Complementarity effect; growing conditions; interspecific interactions; overyielding; risk of
- 53 failure; simplex design

55 **1. Introduction**

56 Cover crops are cultivated between main crops to provide ecosystem services such as soil 57 protection, weed control or nutrient recycling. Currently, there is a strengthened interest in 58 growing mixtures instead of sole crops as mixtures allow to improve the services provided by 59 cover crops. Several studies conducted in natural ecosystems (Hooper et al. 2005) and 60 intercropping (e.g. Andersen et al. 2004; Bedoussac and Justes, 2010; Hauggaard-Nielsen et al. 2006) showed that the performance of a mixture can exceed the average of the individual 61 62 performance due to positive interactions between species. This improved performance of mixtures, called diversity effect, can lead to higher biomass production (Cardinale et al. 63 64 2011). It is referred to as 'overyielding' when the mixture produces more than the average of 65 sole crops (Schmid et al. 2008), and 'transgressive overyielding' when the mixture produces more than the best sole crop (Gravel et al. 2012). Overyielding and transgressive overyielding 66 67 have been shown in grasslands (Kirwan et al. 2007; Nyfeler et al. 2009) and cover crops (Sainju et al. 2006; Tribouillois et al. 2016; Wang et al. 2012; Wendling et al. 2017). Positive 68 69 effect of diversity could also lead to higher stability in biomass production (Haughey et al. 70 2018; Tilman et al. 2006; Yachi and Loreau 1999). It has been shown for example that, for a 71 broad range of extreme climate events, high-diversity communities (16-32 species) had higher 72 productivity stability, i.e. the ability to perform similarly in normal and extreme climate 73 events, than low-diversity communities (1-2 species) (Isbell et al. 2015). Nevertheless, the 74 results of the studies investigating this diversity-stability relationship are contrasted. Several studies revealed no clear advantage of increasing the number of species in terms of yield 75 76 stability in mixtures of few species (up to 7 species) (Sanderson 2010; Miyazawa et al. 2014). 77 Three main mechanisms induce the positive effects of diversity: resource complementarity, 78 facilitation and sampling effect. Resource complementarity occurs when species differ in their 79 resource requirements, resulting in a more efficient resource use by mixtures than sole crops

80 (Fridley 2001). Complementarity has been largely reported for nitrogen (N) in mixtures 81 associating legumes, which biologically fix atmospheric N, and other species, which have 82 only access to soil N (e.g. Hauggaard-Nielsen et al. 2001). Complementarity also occurs for 83 other resources, such as light (Spehn et al. 2000). Facilitation corresponds to a positive 84 interaction between two species resulting directly and indirectly 'from the modifications of 85 biotic or abiotic conditions' (McIntire and Fajardo 2014). Five mechanisms of facilitation has 86 been identified: stress amelioration, novel habitat creation, creation of habitat complexity, 87 access to resources and service sharing. Besides complementarity and facilitation, the 88 sampling effect corresponds to the greater probability of a mixture associating a large number 89 of species to contain at least one species adapted to a particular environment and thus 90 performing well (Loreau and Hector 2001). This species will compensate for the low yield of 91 less adapted species, providing stability to the mixture. Another major driver of stability is the 92 asynchrony in species responses to environmental fluctuations (Yachi and Loreau 1999; 93 Sasaki et al. 2019). To better understand the effects of species diversity, it is essential to 94 disentangle the different mechanisms involved (Barry et al. 2018) 95 Contrary to grassland systems, studies on diversity effects in cover crop mixtures are much 96 more limited and often focused on bispecific mixtures (e.g. Hayden et al. 2014, Wendling et 97 al. 2017). Nevertheless, as most of the services provided by cover crops are driven by their 98 biomass production, it is essential to understand the effect of diversity on biomass production 99 of cover crop mixtures. Kirwan et al. (2009) developed a modelling framework based on a 100 simplex design (Cornell 2002), in which the effects of species identity and diversity on 101 ecosystem function can be assessed. This modelling framework has been largely used in 102 grasslands to understand the higher performance of mixtures compared to sole crops in terms 103 of biomass production and N uptake (Nyfeler et al. 2009; Sturludóttir et al. 2014; Husse et al. 104 2016). However, this methodology has never been applied on cover crop mixtures or on

105 communities with very short growing period.

106 Besides the target of high biomass production, stability is also a key element of cover crop 107 success. Cover crops are generally grown in summer during a short period and need to 108 achieve high biomass very quickly. However, growing conditions, especially soil moisture 109 and nutrient availability, can be highly variable during summer. It is thus crucial to identify 110 species or mixtures that are adapted to a wide range of pedoclimatic conditions to ensure a 111 good performance. The studies investigating stability of cover crops (Wortman et al. 2012; 112 Smith et al. 2014) have shown that mixtures had comparable or even lower stability than sole 113 crops, but these studies considered a limited number of growing conditions (mostly two). It is 114 thus important to assess the influence of species diversity on biomass production and stability 115 in a large range of contrasting growing conditions. The identity of the species involved in the 116 mixture composition also needs to be considered next to the diversity of mixtures since the 117 three mechanisms described above and involved in the diversity effect all rely on species-118 specific characteristics (Callaway 1998; Choler et al. 2001). Species identity is often 119 neglected in studies on diversity effects (Díaz and Cabido 2001). Finally, the measure used to 120 appraise stability in biomass production should be carefully chosen. In cover crop or natural 121 systems, stability is mostly assessed using the coefficient of variation (CV, ratio of standard 122 deviation of the yield to its mean) or its inverse 1/CV (e.g. Tilman et al. 2006; Wortman et al. 123 2012) even though its limitations have been recognized by several studies (e.g. Steudel et al. 124 2011; Carnus et al. 2015). Basing crop choice only on the CV values can lead to misleading 125 conclusions as it does not allow to separate the response of the mean from its variability. In 126 agricultural systems when comparing different species or mixtures in contrasted growing 127 conditions, the smallest CV, indicating the highest stability with the smallest variation around 128 the mean, may not necessarily be the desired option as it can be associated to lower-yielding 129 crops. To face the lack of information of the CV, studies consider both the mean and the

variation of the response (e.g. Haughey et al. 2018). Another option would be to assess
stability in its dynamic view (as opposed to the static view with the CV), using linear
regression method as described by Finlay and Wilkinson (1963). This method assess species
response to the growing conditions.

134 The main objectives of this study were i) to investigate the effect of diversity on cover crop 135 biomass production and its stability; ii) to determine the relative role of species identity and 136 diversity on biomass production and stability iii) to assess the effect of diversity and identity 137 in different growing conditions. Here we define 'diversity effect' as the difference between 138 the performance of mixtures compared to the average of monocultures (also named 139 'overyielding' when the difference is positive, Schmid et al. 2008), and 'identity effect' as the 140 difference in performance of mixtures with the same diversity in the same growing 141 conditions, arising from the identity of the species included in the mixtures (Kirwan et al. 142 2009). 'Mixture composition' refers to the specific set of species included in the mixture. To 143 address these objectives, a simplex design experiment was carried out in four consecutive 144 years (2013-2016) in Switzerland, in different growing conditions. Additional field 145 experiments conducted in the same site during the same years were used to compare biomass 146 production and its stability in sole crops vs mixtures.

147

148 **2. Materials and methods**

149 2.1 Field experiments

The study was carried out at the research station of Agroscope in Changins (46°23'59.3"N
6°14'20.2"E, 426 m asl), Switzerland, where the average total annual precipitation is 999 mm
and the mean temperature 10.2°C (30-year averages, 1981-2010).

153 <u>2.1.1 Multi years standard design: sole crops versus mixtures</u>

154 In order to compare mixtures to sole crops and to assess the effect of diversity across 155 contrasting growing conditions, several experiments have been conducted from 2013 to 2016, 156 in different fields of the research station. These experiments consisted in several cover crop 157 species sown as sole crop or in mixtures, and differed in terms of years, soil types, preceding 158 crop and cropping practices (soil tillage, sowing density, N fertilisation, spatial replication). 159 Each single combination of these factors was considered as one growing condition. Some of 160 the experiments included replicates. A schematic description of the concept of growing 161 conditions is given in Figure S1. A first series of experiments compared an 11-species mixture 162 (50% of legumes and 50% of other species, Table S1) and six sole crops (Indian mustard Brassica juncea, field pea Pisum sativum, black oat Avena strigosa, phacelia Phacelia 163 164 tanacetifolia, niger Guizotia abyssinica and daikon radish Raphanus sativus longipinnatus), 165 grown in 72 growing conditions ('Mix11' dataset). The second series of experiments 166 compared a 4-species mixture together with its four species components in 36 different 167 growing conditions ('Mix4' dataset). The 4-species mixture was composed of Indian mustard, 168 field pea, black oat and phacelia sown in equal proportion (25% of the respective standard 169 sowing density, Table S1). A detailed description of the different experiments in terms of 170 year, preceding crop, soil type, weather conditions and cropping practices is given in Table 171 S2.

172 Cover crops were sown between 2 cm and 4 cm depth in microplots ranging between 10 m²
173 and 26.25 m² between the end of July and the beginning of August using an experimental
174 seeder.

175 Cover crop cultivars and standard targeted sowing densities are given in Table S1. Depending
176 on the growing conditions, the preceding crop was alfalfa, winter wheat or winter barley. Soil
177 tillage before cover crop seeding ranged from plough followed by rotary harrow to minimal

tillage with rotary harrow only or direct seeding. Cover crop dry matter production was assessed between 53 and 98 days after sowing (DAS) by harvesting aboveground parts at the ground level from 0.5 m^2 per plot (two $0.5 \times 0.5 \text{ m}$ quadrats representative of the plot). The samples were dried for 72 hours at 55°C and weighed. A more detailed description of the growing conditions and cover crop management practices in each growing condition is given in Table S2.

184

185 2.1.2 Multi years simplex design: mixtures with different species proportion and diversity 186 In order to investigate more deeply the influence of species identity and diversity on biomass 187 production, a field experiment was conducted four consecutive years (2013-2016), in different 188 fields on the same site. The experiments were conducted with four species, field pea, Indian 189 mustard, black oat and phacelia. These species have been chosen for their complementarity. It 190 has been evidenced that they present very different functional traits relevant for several 191 ecosystemic services (Tribouillois et al. 2015). These species differ also in terms of their root 192 system and nutrient acquisition strategy (Wendling et al. 2016) and in terms of competitive 193 ability (Wendling et al. 2017). Following a simplex design (Kirwan et al. 2009), the four 194 species were combined with different sowing densities resulting in 25 different mixtures of 195 varying diversity (Table 2). These 25 combinations included the four sole crops (100% of the 196 standard density, see below), six bispecific mixtures (50% of two species), four 3-species 197 mixtures (33% of three species) and eleven 4-species mixtures. The 4-species mixtures 198 consisted of equal stands (25% of each species, effective diversity = 4, see 2.2.2 for the 199 computation of effective diversity), dominant stands (70% of one species and 10% of the 200 three others, effective diversity = 2.6) and co-dominant stands (40% of two species and 10%) of the two others, effective diversity = 3.3). In 2013, the experiment was carried out without 201

N fertilisation, with a standard sowing density (given below), and was replicated (three
replicates). In 2014, 2015 and 2016, the experiment was conducted without replicates (which
are not necessary for this type of design) with two sowing density levels (standard: 100% and
low: 50% of the sowing densities given below) and two N fertilisation levels (0 kg ha⁻¹ and
30 kg ha⁻¹). A total of 15 growing conditions differing by year, sowing density, N fertilisation
and replicate were thus produced ('Simplex' dataset).

Cover crops were sown at 2 cm depth in 10 m^2 plots between end of July and beginning of 208 209 August using an experimental seeder with 13.5 cm row spacing. The standard targeted sowing densities were 500 pl/m² for mustard and phacelia, 150 pl/m² for pea and 400 pl/m² for oat. 210 211 The preceding crop was alfalfa in 2013, winter wheat in 2014 and 2016, and winter barley in 212 2015. In 2013 and in 2015, the soil was ploughed and harrowed before cover crop seeding, 213 while it was only harrowed in 2014 and in 2016. Irrigation was applied in 2013 (15 mm at 7 and 9 DAS) and in 2016 (20 mm at 23 DAS) to insure cover crop emergence. Ammonium 214 215 nitrate was applied at the beginning of the growing period (between 1 and 12 DAS) on the 216 fertilised plots. Cover crop dry matter production was assessed about 70 DAS as described in 217 2.1.1. Growing conditions and cover crop management practices are described more deeply in 218 Table S3.

219

220 2.2 Data analysis

221 <u>2.2.1 Biomass production, stability and risk of failure</u>

222 The biomass production of sole crops vs mixtures was compared using analyses of variance.

223 In the Simplex dataset, the influence of effective diversity in cover crop mixtures on biomass

224 production, stability in biomass production and risk of failure was assessed by a linear

225 regression:

 $226 \quad y = a \times x + b \quad (1)$

227 where y represents the response variable, either biomass production, stability in biomass 228 production or risk of failure. x corresponds to the explanatory variable, the effective diversity. 229 In order to evaluate the contribution of species diversity, identity and growing conditions to 230 cover crop biomass production, a linear mixed-effect model was adjusted using the function 231 'lmer' of the R package 'lme4' (Bates et al. 2015) with species diversity, identity and growing 232 conditions as random factors. The influence of the growing conditions on cover crop biomass 233 production was composed of the effect of the year (weather conditions) and of the intra-year 234 effect combining soil type and cropping practices.

235 Stability of biomass production was assessed using two concepts of stability, static and 236 dynamic stability. First, according to the static concept, the coefficient of variation (CV) of 237 biomass across growing conditions for each cover crop species and mixture. A low CV 238 indicates a stable production, i.e. a production which does not vary much in different growing 239 conditions. Second, an evaluation of cover crop response to the growing conditions using the 240 linear regression method proposed by Finlay and Wilkinson (1963), a dynamic view of 241 stability, was performed. For this method, the average biomass production of all cover crops 242 grown in one growing condition was used to characterise the productivity of this growing 243 condition. Growing conditions were then ordered from the lowest to the highest productivity. 244 Then, for each growing condition, the difference between the biomass of a particular cover 245 crop (sole crop or mixture) and the productivity of the growing condition was computed. For 246 each cover crop, a linear regression of this biomass difference on the productivity of the 247 growing conditions was adjusted. Cover crop biomass production stability was then assessed 248 by the slope of the linear regression. Cover crops having a slope not significantly different 249 from zero are considered as 'dynamically' stable as they follow the general increase of 250 productivity. To distinguish this stability from the static concept given by the CV, this

stability coefficient will be discussed using the term of 'responsiveness'. A positive slope indicates that the cover crop is responsive to the growing conditions, but less stable in the static concept. A negative slope corresponds to a lower response to the growing conditions, meaning that species biomass increase is lower than the increase in the productivity of the growing conditions, or to a negative response.

256 In addition, for each cover crop, a 'risk of failure', defined as the probability of producing less 257 than 3 t/ha of biomass, was estimated. This threshold of 3 t/ha corresponds to the minimal 258 biomass that should be produced to provide the services expected from cover crops (e.g. weed 259 control, Gebhard et al. 2013, Gfeller et al. 2018). Cover crop biomass production was 260 computed for 10000 randomly generated productivity values, using the coefficients of their 261 linear regression. The productivity of the growing conditions was assumed to follow a 262 Gaussian distribution (mean = 3 t/ha, standard deviation = 1.5 t/ha). The mean and standard 263 deviation of the productivity of the growing conditions were assessed after an analysis of 73 264 cover crop experiments conducted in Switzerland. The risk of failure was then computed by 265 the ratio of biomass values lower than 3 t/ha on the total number of values simulated.

266

267 <u>2.2.2 Identity and diversity effect in simplex design</u>

The effect of species identity and interactions on mixture biomass production were assessed for each growing condition by linear models following the modelling framework developed by Kirwan et al. (2009). This method compares a series of six models, based on different ecological assumptions about species interactions, with different levels of complexity. All the models tested are presented in Table S4. The simplest model, the null model, assumes that all the species produce the same biomass and do not interact, while the most complex model includes the effect of species identity and pairwise interactions (Model 5 of Table S4). In

275 addition, one model based on a functional approach was tested and compared to the models 276 based on species identity. It compared the effect of the legume species (i.e. pea) with that of 277 the non-legume species (i.e. mustard, oat and phacelia), together with a potential interaction 278 between these two groups. The comparison of the different models, starting from the simplest 279 one, permits the selection of the best fitting model and the identification of the factors 280 (species identity and interaction effects) influencing biomass production. Each of these 281 models was adjusted on the data of each of the 15 growing conditions of the Simplex dataset. 282 In 2013, the model adjustment was made independently and jointly on the three replicates 283 together to increase robustness. The models were simplified to keep only significant terms. 284 The models were then compared, and the best fitting model was selected using an F test 285 (p<0.05).

For the best model in each growing condition, the combination of species proportion
producing the highest biomass was determined. However, as different combinations could
lead to really similar biomass production, all the combinations producing more than 95% of
the highest possible biomass were retained.

290 The species effective diversity (Jost, 2007) corresponding to each of these combinations was291 estimated as:

292 $D = \exp(-\sum_{i=1}^{S} p_i \times \ln p_i)$ (2)

were p_i is the relative proportion of species *i*, and *S* is the number of species in the mixture. Effective diversity corresponds to the number of species in equal proportion needed to produce the same diversity as that observed.

For each best combination, the part of biomass resulting from species identity effect and frominteractions, i.e. diversity effect, were determined.

All statistical analyses were performed with R 3.5.1 (R Core Team 2018).

300 **3 Results**

301 *3.1 Effect of diversity on cover crop biomass production, stability and risk of failure*

302 For each year, average daily temperature was around 20°C at the beginning of the cover crop 303 growth and decreased progressively to reach about 10°C at harvest date. Between cover crop 304 seeding (around August 1st) and biomass sampling (around the 15th of October), the mean 305 temperature was similar each year, around 17°C (17.2°C, 17.1°C, 16.8°C and 17.7°C in 2013, 306 2014, 2015 and 2016). The different years had also quite similar growing degree days (GDD, 307 with a base temperature of 10°C), 559, 542, 526 and 595 GDD respectively. In contrast, the amount of rainfall over this period changed drastically between years. While it was around 308 309 250 mm in the three first years (247 mm, 224 mm, 284 mm in 2013, 2014 and 2015), it 310 reached only 94 mm in 2016. 311 Over all cover crops and growing conditions, biomass production was highly variable ranging from less than 1 t/ha to about 7 t/ha with an average between 2 t/ha and 3.2 t/ha for sole crops 312 313 and of about 3.5 t/ha for mixtures (Fig. 1a to 1c). Globally, cover crop mixtures showed a 314 slightly higher biomass than sole crops in two out of three datasets (Fig. 1a, Mix11: p=0.004, 315 Fig. 1b, Mix4: p=0.195 and Fig. 1c, Simplex: p=0.036). However, when comparing mixtures 316 of different diversity level (between 2 and 4 species), no effect of species diversity was 317 observed (Fig. 1d, Simplex: p=0.43). In Mix11, the mixture (11 species) exhibited 318 overyielding (higher biomass than the sole crop average) in 90% of the cases (65 over 72) and 319 transgressive overyielding (higher biomass than the highest sole crop) in 50% of the cases (36 320 over 72). In Mix4, the mixture (4 species) exhibited overyielding in 83% of the cases (30 over 321 36) and transgressive overyielding in 31% of the cases (11 over 36). In Simplex, when

analysing together the 21 mixtures, overyielding was observed in 69% of the cases, and

transgressive overyielding in 30%. The proportion of mixtures exhibiting overyielding did notdiffer significantly between each level of diversity.

325 The low effect of diversity can be partly explained by the high variability in biomass 326 production linked to the identity of sole crops and mixture composition ('identity effect') and 327 to the growing conditions in which the cover crops were grown. Indeed, the assessment of the 328 relative contribution of cover crop diversity, identity and growing conditions (year on one 329 side and soil and cropping practices on the other side) to the variation in biomass production 330 showed that diversity explained about 3.7% of variability in biomass production (Mix11: 331 11%, Mix4: 0% and Simplex: 1%). The identity effect contributed to about 3.6% of 332 production variability (Mix11: 3%, Mix4: 5% and Simplex: 3%), whereas the growing 333 conditions accounted for about 64.8% (Mix11: 70%, Mix4: 55% and Simplex: 69%). The 334 year alone explained 60.3% of the variation in biomass production (Mix11: 70%, Mix4: 55%) 335 and Simplex: 56%). A large proportion of biomass variation (27.8%) remained unexplained 336 (Mix11: 16%, Mix4: 40% and Simplex: 27%). 337 Species diversity did not influence the stability of biomass production, assessed through the 338 coefficient of variation (CV, p=0.693) and its responsiveness, measured by the slope of the 339 linear regression (p=0.894). Moreover, no effect of diversity was observed on the risk of

failure, i.e. probability of producing less than 3 t/ha (p=0.216).

341

342 3.2 Effect of species identity on cover crop biomass production, stability and risk of failure
343 The influence of species identity and mixture composition on biomass production and
344 stability was investigated across the different levels of diversity and growing conditions in the
345 three datasets. Among sole crops, contrasted responses to growing conditions were observed
346 (Fig. 2 and 3). Compared to low-yielding growing conditions, field pea biomass production

347 increased little in more favourable growing conditions resulting in the highest stability

348 (responsiveness: slope between -0.52 and -0.81, static stability: CV of about 30%) but also the
349 highest risk of failure (exceeding 80%) (Table 1 and 2).

350 By contrast, oat and niger responded more to growing conditions (slope>0) than the average 351 of all cover crops (Fig. 2a and 2c and Fig. 3a, Table 1 and 2). These species presented thus a 352 highly variable biomass production (CV higher than 70%) and had a lower risk of failure than 353 pea (between 43% and 60%). Mustard showed a similarly intense response to growing 354 condition improvement than oat and niger in Mix11 and Mix4, with comparable CV and risk 355 of failure (Fig. 2a and c, Table 1). In Simplex, mustard followed the general increase of the 356 productivity of the growing conditions (slope not different from 0) and had thus a risk of 357 failure of 50% (Fig. 3a, Table 3). Phacelia also followed the general increase of the 358 productivity of the growing conditions but was slightly less productive than the average in 359 Mix11 (0.7 t/ha less, Table 1, Fig.2a). Phacelia exhibited a high CV, comparable to that of 360 oat, niger and mustard and a risk of failure ranging from 50% to 68%. The response to 361 growing condition improvement of daikon radish was similar to that of phacelia, with a 362 0.7 t/ha lower biomass production than the average of all cover crops (Table 1, Fig. 2a). In 363 Mix11 and Mix4, the mixtures exhibited the lowest risk of failure (20% and 36%, 364 respectively) and an intermediate CV, between that of pea and that of oat (Table 1 and 2). 365 The Simplex dataset, with a gradient of mixture diversity level, allowed to go deeper into the 366 influence of diversity and identity effects in mixtures. Here, the influence of species identity 367 depended highly on the diversity level (Fig. 3b to f). For bispecific mixtures, species 368 composition modified the performance of the mixture for 4 out of 6 mixtures. Two mixtures 369 followed the productivity increase of the growing conditions but were either more productive 370 (#5: mustard-pea, 0.7 t/ha more) or less productive (#8: pea-oat, 0.7 t/ha less) than the average 371 (Fig. 3b, Table 2). Mustard-pea showed thus a lower risk of failure than the average (32%),

372 while that of pea-oat was higher (69%). For the two other bispecific mixtures, and a three-373 species mixture, a different response to productivity improvement of the growing conditions 374 was observed (Fig.3b and c). Pea-phacelia (#9, Fig.3b) and pea-oat-phacelia (#14, Fig. 3d) 375 showed a negative slope, and mustard-oat (#6, Fig.3b) a positive slope. Among these 376 mixtures, pea-phacelia showed the lowest risk of failure (22%) and the lowest CV (21%). All 377 other mixtures (16 out of 21), and thus especially all mixtures involving four species in varied 378 proportion, showed an average response to growing conditions (slope and intercept not 379 significantly different from 0), and species composition had no influence on mixture biomass 380 production.

381

382 3.3 Contribution of diversity and identity effects to cover crop biomass production in growing 383 conditions with different productivity

384 For each growing condition of the Simplex dataset, the best fitting model was determined to 385 assess the importance of species identity and diversity in mixture biomass production and 386 elucidate the patterns of interactions. The best model varied according to the growing 387 conditions (Table 3 and Table S5). In the six poorest growing conditions except one, the best 388 model was the null model, which assumes that all species perform identically and do not 389 interact. Species identity has thus no influence on mixture performance, and all mixtures were 390 predicted to produce the same biomass, whatever their species composition. In all other 391 growing conditions (10 out of 15), mixture biomass production was affected by species 392 identity and interactions (the interaction was significant in 7 cases and non-significant in 3 393 cases). Here the interactions involved were mostly pairwise interactions, but the species 394 involved in the interactions differed between the growing conditions. Mixture performance

was influenced by functional groups (legume vs non-legume species) in 3 cases (2 cases
without interaction, 1 case with a significant interaction, Table 3).

397

398 *3.4 Diversity and composition of the most productive mixtures*

399 In the Simplex dataset, based on the best fitting models, the most productive combinations 400 were determined in each growing condition (Table 3). When the best model is the null model, 401 all combinations are equivalent and there is no most productive species combination. For the 402 other cases, the diversity of the most productive combinations was relatively low in all 403 growing conditions (about two species, Table 3). The best combinations were mostly 404 bispecific mixtures including mainly mustard, pea and phacelia (e.g. growing condition 10, 405 Fig. 4a). In growing condition 11, the best fitting model was that including the two functional 406 groups (legume vs. non-legume species) with interaction, meaning that the highest achievable 407 diversity is two. In these growing conditions, species diversity of the most productive 408 combinations ranged between one and two (Fig. 4b). The model adjusted on the three 409 replicates of 2013 (growing conditions 13 to 15) had the particularity that two types of species 410 composition emerged among the best combinations (Fig. 4c). The first type included 411 essentially the most productive sole crop, oat, and a lower variable proportion of mustard and 412 phacelia. The second type associated mustard and pea. While being less productive than oat, 413 these species interacted positively together, resulting almost in the same biomass production 414 as that of oat alone.

415 Contrary to species diversity, which was always relatively low, we observed that species 416 composition of these best performing combinations was highly dependent on the growing 417 conditions (Fig. 4, Table S5). In most of these best combinations, about 20% of biomass 418 production resulted from the interactions between species (i.e. diversity effect) (Table 3).

When looking at the raw Simplex data (biomass measured in the field, and not predicted with the models), the highest biomass was obtained with a bispecific mixture in 6 out of 15 growing conditions, and with a 2.6 diversity mixture in 3 growing conditions. Mixtures with 3 or 3.3 and 4 diversity were the most productive only in 5 growing conditions. Except for the mixture mustard-pea, which was the most productive in 5 growing conditions, all other best mixture compositions differed as a function of the growing conditions.

425

426 4 Discussion

427 4.1 Effect of species diversity and identity on biomass production and its stability

Overall, mixtures were slightly more productive than sole crops but no difference was observed on yield stability. While most studies investigating the influence of species diversity showed a positive correlation between diversity and biomass production or stability (Haughey et al. 2018; Hector et al. 2010, Isbell et al. 2009; Nyfeler et al. 2009), we did not observe that increasing species diversity in mixtures results in higher and more stable biomass yield. Two plausible explanations could be the varied influence of species identity according to diversity level, and the influence of the growing conditions.

Sole crops biomass production in different growing conditions and its stability was highly
dependent on species identity. Field pea was the most productive sole crop in low-yielding
growing conditions but it had a low response to growing condition improvement. Pea showed
thus the highest yield stability resulting from the low yield potential of pea. Moreover, it has
been shown that the capacity of legume species to rely on N fixation allows these species to
be more productive than non-legume species in low-fertility conditions, where N is the most
yield-limiting factor (Askegaard and Eriksen 2007).

442 Contrary to pea, several species such as oat, niger or Indian mustard responded highly to the

growing conditions. In favourable growing conditions, these species were able to produce
more than 8t/ha of biomass in only 3 months of growth. By contrast, they were very little
productive in poor growing conditions, highlighting that these species were selected for a fast
growth in high-fertility growing conditions (Tribouillois et al. 2015). In low-yielding growing
conditions, yield of these productive species could be increased with fertilisation, as it was
observed by Hauggaard-Nielsen et al. (2008) for intercropped barley.

449 Biomass production and stability of bispecific mixtures were highly influenced by their 450 species composition. For the same species at the same site, it has also been shown that 451 mixture biomass production depended on the species involved due to differences in species 452 competitive ability (Wendling et al. 2017). While facilitation effect were observed for pea and 453 phacelia, mustard and oat had negative effects on the associated crop. Behind species specific 454 competitiveness, many studies have reported the importance of functional differences between 455 species for positive outcome of mixture performance (e.g. Tilman et al. 1997; Díaz and 456 Cabido 2001). Differences in functional traits have been evidenced by two studies for the four 457 species tested here (Tribouillois et al. 2015; Wendling et al. 2016). These differences lead to 458 complementarity between species, that has been largely evidenced for mixtures of legume and 459 non-legume species (e.g. Jensen 1996; Xiao et al. 2018). Compensatory interactions in 460 mixtures, where the most competitive species overyields in mixtures and compensates for the 461 less competitive one, are also an important ecological process for higher stability. It has been 462 shown that compensatory interactions are even more important for mixture stability than 463 complementarity between species (Creissen et al. 2016). 464 Contrary to bispecific mixtures, the performance of mixtures with higher diversity was not

466 change in growing conditions and were as productive as the average of all cover crops. It has

influenced by species composition. These mixtures showed similar responsiveness to the

467 been reported that mixtures associating a large number of species with contrasting

465

characteristics have a greater probability to contain at least one species adapted to a particular
environment and thus performing well regardless of the growing conditions, this is called
sampling effect (Loreau and Hector 2001). However, while highly diverse mixtures will
benefit from a high sampling effect, they will also have a lower yield advantage from the best
adapted species compared to low-diversity mixtures because of the lower sowing density of
this species.

474

475 4.2 Influence of the growing conditions on the diversity and identity effects

476 Specific interactions were strongly influenced by the growing conditions. In the lowest 477 yielding growing conditions, the best fitting model was the null model, meaning that species 478 interactions were at best weak. Diversity effects had no significant influence on mixture 479 biomass production in these growing conditions. This result contrasts with several 480 experiments conducted in grassland systems, which evidenced that in poor fertility conditions, 481 communities with high species diversity are more productive than communities with low 482 diversity (Hooper et al. 2005). It is also in contradiction with several studies that showed that 483 the contribution of facilitation is increased in stressful environments (Callaway et al. 2002, 484 Pugnaire et al. 1996). However, whether or not the intensity of competition between species 485 increases or is similar along productivity gradients is a long-standing debate in natural 486 ecosystems (Goldberg and Novoplansky 1997). Productivity gradients in natural or in 487 agricultural systems are quite different and make the comparison difficult. 488 By contrast, mixture performance was influenced by species identity and diversity in 489 intermediate and high-yielding growing conditions, resulting mostly in an increase in mixture 490 biomass production with respect to sole crops. Contrary to Kirwan et al. (2007), we did not 491 observe that the maximal diversity effect occurs when species are all in equal proportion

492 ('evenness' model). In this study, in three cases, the best model included the functional groups 493 'legume' vs 'non-legumes', in which the specific identity of the non-legume species did not 494 influence the estimated biomass production, as the three non-legume are interchangeable in 495 this model. In most of the other growing conditions, the identity of the four species in the 496 mixtures mattered, highlighting that other functional traits contributed to mixture

497 performance.

498 In our study, the interactions were mostly pairwise interactions. The highest diversity effect 499 occurred thus in bispecific mixtures with equal relative abundance of the two species involved 500 in the interaction. This explains why we observed that the most productive cover crop was 501 mostly a mixture with low diversity (<2.6). Pairwise interactions are also an explanation to 502 the higher variability in biomass production of bispecific mixtures in comparison to mixtures 503 with high species diversity. Indeed, the diversity effect in bispecific mixtures with equal 504 proportion of both species will be either high or null, depending on the species associated. By 505 contrast, mixtures with a greater number of species have a higher probability of containing the species involved in the interaction, even if the diversity effect will be weaker due to lower 506 507 sowing densities.

508

509 4.3 Diversity and identity of the most productive mixtures in contrasting growing conditions 510 Generally, in each growing condition, a species diversity as low as two species was sufficient 511 to achieve the highest biomass. This has also been observed in grasslands where a few 512 dominant and highly productive species determine the production of the community (Crawley 513 et al. 1999; Rees et al. 2001). However, species composition of the best combination was 514 highly variable and dependent on the growing conditions. The most productive combinations 515 included mostly different species, present in different relative proportions. This result

highlights the necessity of more complex mixtures that have lower yield variability than
bispecific mixtures, especially in an agricultural context where achieving sufficient biomass
production is crucial. A large diversity is required to face the highly variable and
unpredictable summer growing conditions. Complex cover crop mixtures will likely be less
productive than bispecific mixtures but will ensure a good performance irrespective of the
growing conditions thanks to the sampling effect. This is confirmed by the low risk of failure
obtained by the 11-species mixture (20%).

523

524 4.4 Simplex design methodology

525 Simplex design analysis is based on linear models adjusted on a large number of mixtures 526 varying in species proportion and diversity, and results thus mathematically in a highly 527 powerful analysis, without need for replicates. Following the modelling framework developed by Kirwan et al. (2009), the choice of the best fitting model allowed identifying the 528 529 mechanisms of species interaction and determining the most productive combination. 530 However, the biological interpretation and the application of the results seems limited for 531 cover crops with a short life cycle. Indeed, in some cases, several different models provided a 532 good fit of the data and explained almost the same proportion of biomass variation. These 533 models could however be highly different and resulted thus in very different species 534 composition for the most productive combinations. The assessment of the best model 535 independently for each replicate in 2013 evidenced that, despite very similar growing 536 conditions, the selected model, and thus inferred species interactions, differed highly, ranging 537 from the effect of functional groups only (growing conditions 14 and 15) to a specific 538 interaction linked to mustard and oat (growing condition 13) (Table S5). This highlights that 539 interpretations of the best fitting model should be made with caution and that practical

recommendations on the choice of species cannot only be based on one best model. For more accuracy, data should be consolidated, notably by replicating the experiments to reduce data variability. Moreover, as the growing conditions strongly affect the patterns of interaction, it is crucial to investigate contrasting growing conditions to understand the mechanisms involved.

545

546 **5 Conclusions**

547 When growing cover crops, the main objective is to ensure high and stable biomass 548 production so that cover crops provide the expected services. The highly variable growing 549 conditions make this objective hardly achievable using sole crops. Indeed, we observed that 550 sole crop performance depended highly on the growing conditions. Mixtures should thus be 551 chosen rather than sole crops. In most cases, we observed that bispecific mixtures were the 552 most productive thanks to positive pairwise interactions. However, species composition of the 553 most productive mixture varied according to the growing conditions. Even if the benefit of the 554 diversity effect will be lower, it is thus recommended to associate a larger number of species 555 to ensure a good performance of the mixture thanks to the sampling effect. Using a mixture of 556 species with contrasting characteristics will increase the probability to grow species well 557 adapted to the growing conditions but also the probability to benefit from a diversity effect 558 resulting from pairwise interactions. Mixtures with high species diversity ensure a stable and 559 high biomass production with a low risk of failure.

560

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567

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761 Table and figure legends

Table 1: Mean biomass production and coefficient of variation (CV) over all growing
conditions, intercept and slope of the linear regressions of the difference between the biomass
of a particular cover crop and the average of all cover crops on growing condition
productivity and probability of producing less than 3t/ha (risk of failure) for each species and
mixtures within Mix11 and Mix4.

767

Table 2: Mean biomass production and coefficient of variation (CV) over all growing
conditions, intercept and slope of the linear regressions of the difference between the biomass
of a particular cover crop and the average of all combinations on the productivity of the
growing conditions and probability of producing less than 3t/ha (risk of failure) for the 25
combinations of Simplex dataset. The models were simplified to keep only the significant
terms.

774

775 Table 3: Best fitting model of mixture biomass production in function of species identity and 776 diversity effect for each growing condition of Simplex dataset, and most productive 777 combination predicted by the model. For each most productive combination, species 778 composition, diversity, maximal biomass and proportion of biomass due to identity and 779 diversity effect are presented. Numbers in brackets correspond to the range for the 780 combinations producing 95% of the maximal predicted biomass. Growing conditions are ordered in function of their productivity, growing condition 15 being the most productive one. 781 782 Coefficients of growing conditions '13-15' correspond to the best model adjusted on the three 783 replicates together.

784

Figure 1: Biomass production as a function of species diversity in Mix11 (a.), Mix4 (b.) and
Simplex (c. and d.) dataset. The linear regression in d. is done on mixtures only, its slope is
not significantly different from zero.

788

Figure 2: Linear regressions of the difference between the biomass of a particular cover crop and the average of all cover crops on growing condition productivity in Mix11 (a. and b.) and Mix4 (c. and d.). a. and c. linear regressions of sole crops and b. and d. linear regressions of mixtures. Significant slopes are indicated with black lines. Full grey lines represent the nonsignificant slopes. Dotted grey lines represent the linear regressions of the mixtures (a. and c.) and of the sole crops (b. and d.).

795

Figure 3: Linear regressions of the difference between the biomass of a particular cover cropand the average of all cover crops on growing condition productivity for the six diversity

revels of Simplex dataset. Effective diversity is a. 1 species, b. 2 species, c. 2.6 species, d. 3

species, e. 3.3 species and f. 4 species. Significant slopes are indicated with black lines.

800 Numbers in the right margin correspond to the species combination number (see Table 2).

801 Grey lines represent the non-significant slopes.

802

Figure 4: Most productive combinations of species (producing more than 95% of the highest
possible biomass) determined by the best fitting model in three growing conditions from the
Simplex dataset. a. growing condition 10, b. growing condition 11 and c. growing conditions
13 to 15. The points indicate the combination producing the highest biomass among these
combinations.

Table 1

	Mix11							Mix4						
	Mean CV biomass		Intercept	р	Slope	р	Risk of failure	Mean biomass	CV	Intercept	р	Slope	р	Risk of failure
	[t/ha]	[%]	[t/ha]				[%]	[t/ha]	[%]	[t/ha]				[%]
Fied pea	2.56	27	2.15	< 0.001	-0.81	< 0.001	83	2.56	33	1.64	< 0.001	-0.72	< 0.001	89
Black oat	2.11	121	-0.86	< 0.001	0.38	< 0.001	44	3.78	82	-1.03	0.006	0.45	< 0.001	43
Niger	2.20	108	-0.64	< 0.001	0.31	< 0.001	43	-	-	-		-		-
Indian mustard	1.94	117	-0.75	< 0.001	0.25	< 0.001	50	3.33	75	-0.61	0.022	0.19	0.006	50
Phacelia	1.47	127	-0.70	< 0.001	-		68	3.06	77	-		-		50
Daikon radish	1.48	117	-0.68	< 0.001	-		67	-	-	-		-		-
11-species mixture	3.37	57	1.21	< 0.000	-		20	-	-	-		-		-
4-species mixture	-	-	-		-		-	3.83	58	0.52	0.001	-		36

Table 2

Type of cover crop	#	Effective diversity	М	Pe	0	Ph	Mean biomass	CV	Intercept	Р	Slope	р	Risk of failure
			[%]	[%]	[%]	[%]	[t/ha]	[%]					[%]
Sole crops	1	1.0	100	-	-	-	3.50	41	-		-		50
1	2	1.0	-	100	-	-	2.30	37	0.61	0.189	-0.52	< 0.001	91
	3	1.0	-	-	100	-	3.18	70	-2.33	0.002	0.57	0.003	60
	4	1.0	-	-	-	100	3.48	39	-		-		50
2-species	5	2.0	50	50	-	-	4.15	32	0.65	0.003	-		32
-	6	2.0	50	-	50	-	3.37	53	-1.09	0.030	0.27	0.040	55
	7	2.0	50	-	-	50	3.51	51	-		-		50
	8	2.0	-	50	50	-	2.77	57	-0.73	0.001	-		69
	9	2.0	-	50	-	50	3.54	21	2.36	0.000	-0.66	< 0.001	22
	10	2.0	-	-	50	50	3.63	44	-		-		50
3-species	11	3.0	33	33	33	-	3.74	34	-		-		50
	12	3.0	33	33	-	33	3.64	40	-		-		50
	13	3.0	33	-	33	33	3.60	53	-		-		50
	14	3.0	-	33	33	33	2.95	43	1.14	0.185	-0.48	0.045	65
4-species	Domi	nant stands											
	15	2.6	70	10	10	10	3.70	45	-		-		50
	16	2.6	10	70	10	10	3.54	40	-		-		50
	17	2.6	10	10	70	10	3.42	52	-		-		50
	18	2.6	10	10	10	70	3.71	49	-		-		50
4-species	Co-do	minant stands	5										
	19	3.3	40	40	10	10	3.78	42	0.28	0.091	-		42
	20	3.3	40	10	40	10	3.77	38	-		-		50
	21	3.3	40	10	10	40	3.64	46	-		-		56
	22	3.3	10	40	40	10	3.58	43	-		-		50
	23	3.3	10	40	10	40	3.72	38	-		-		50
	24	3.3	10	10	40	40	3.55	49	-		-		56
4-species	Equal	stands											
	25	4.0	25	25	25	25	3.78	48	-		-		50

Table 3

Growing	Mean		Most productive combination predicted by the model								
conditions	biomass	Model	Pea	Mustard	Oat	Phacelia	Diversity	Biomass	Identity effect	Diversity effect	
	[t/ha]		[%]	[%]	[%]	[%]		[t/ha]	[%]	[%]	
1	1.74	Null	-	-	-	-	-	1.74	0	0	
2	2.11	Null	-	-	-	-	-	2.11	0	0	
3	2.25	Identity + species specific interaction (phacelia)	0 (0-8)	70 (50-90)	0 (0-10)	30 (10-50)	1.8 (1.4-2.6)	3.42	75 (69-89)	25 (11-31)	
4	2.57	Null	-	-	-	-	-	2.57	0	0	
5	2.72	Null	-	-	-	-	-	2.72	0	0	
6	2.82	Null	-	-	-	-	-	2.82	0	0	
7	2.87	Identity effects	0 (0-8)	100 (40-100)	0 (0-6)	0 (0-60)	1 (1-2.2)	4.16	100	0	
8	2.90	Identity + species specific interaction (pea)	40 (16-62)	0 (0-18)	0 (0-32)	60 (24-84)	2.0 (1.6-3.5)	3.52	80 (78-88)	20 (12-22)	
9	3.09	Identity + species specific interaction (phacelia)	0 (0-16)	100 (84-100)	0 (0-10)	0 (0-8)	1.0 (1.0-1.7)	4.21	100 (100-104)	0 (-4-0)	
10	3.36	Identity + pair interaction (mustard-pea)	54 (38-72)	46 (28-62)	0 (0-6)	0 (0-8)	2.0 (1.8-2.5)	4.74	62 (61-67)	38 (33-39)	
11	4.71	Functional groups + interaction	24 (0-48)	76 (0-100)	0 (0-100)	0 (0-100)	1.7 (1.0-2.0)	4.96	86 (79-100)	14 (0-21)	
12	4.76	Identity + pair interactions (mustard-pea + pea-phacelia)	36 (16-56)	64 (42-84)	0 (0-10)	0 (0-20)	1.9 (1.6-3.1)	5.78	74 (71-84)	26 (16-29)	
13	5.46	Identity + species specific interactions (mustard + oat)	0 (0-2)	0 (0 - 36)	100 (64-100)	0 (0-4)	1.0 (1.0-2.0)	7.33	100 (93-103)	0 (-3-7)	
14	5.54	Functional groups	0 (0 - 18)	100 (0-100)	0 (0-100)	0 (100-0)	1.0 (1.0-3.9)	5.54	0	0	
15	5.62	Functional groups	0 (0 - 14)	100 (0-100)	0 (0-100)	0 (100-0)	1.0 (1.0-3.9)	6.16	0	0	
13-15	5.54	Identity + pair interaction (mustard-pea)	0 (0-40)	0 (0-74)	100 (0-100)	0 (0-32)	1.0 (1.0-2.4)	6.62	100 (74-100)	0 (0-26)	



Effective diversity

Effective diversity

Aigure 2 Click here to download Figure: Figure2.pdf



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Figure 3 Click here to download Figure: Figure3.pdf



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Growing condition productivity [t/ha]

Growing condition productivity [t/ha]

Growing condition productivity [t/ha]

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₽igure 4

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