# The effects of physical training without equipment on pain perception and balance in the elderly: A randomized controlled trial

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14 Abstract.

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- 15 **BACKGROUND:** Research supports a link between exercise and falls prevention in the older population.
- OBJECTIVES: Our aims were to evaluate pain perception and balance skills in a group of elderly subjects and to examine the consequences of a standardized equipment-free exercise program intervention on these variables. The study utilized a randomized controlled trial method.
- 19 METHODS: 92 subjects were recruited from a rural Sicilian village (Resuttano, Sicily, Italy). Subjects were randomly split
- into two groups, an experimental group (EG; n = 49) and a control group (CG; n = 43). Qualified fitness instructors delivered
- the standardized physical exercise program for the EG whilst the CG did not receive this exercise intervention. The Berg
- Balance Scale and the Oswestry Disability Index were administered in both groups before (T0) and after the intervention (T1).
- **RESULTS:** At T1, the EG group significantly improvement in balance (p < 0.0001) and pain perception (p < 0.0001). No significant differences were found within the CG both in BBS and ODI, respectively.
- <sup>24</sup> significant differences were found within the CO boil in DDS and ODF, respectively.
   <sup>25</sup> CONCLUSIONS: Our findings suggest that a 13-weeks standardized exercise equipment-free program is effective in improv-
- ing balance and perception of pain in the elderly. This type of intervention can consequently provide a low cost strategy to
- counteract the rate of disability in elderly.
- 28 Keywords: Elderly, pain, balance, exercise

# **1. Introduction**

According to the World Health Organization (WHO) and the American College of Sport and Medicine (ACSM), the population's aging process is a win for humanity but it is also a challenge for societies and organizations [1, 2]. Falls have been identified to be the first cause of injury-related declines in health which consequently lead to higher levels of morbidity and mortality in the elderly [3]. In the case of hip fractures, the resultant hospitalization of patients leads to major social costs [4]. Moreover, 20% of patients with hip fractures die within a year as a result of the injury [5]. The aging process and related chronic diseases that affect older adults lead to balance disorders in this population [6–11]. A correlation between low cognitive status

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and reduced balance abilities furthermore exists [12]. 44 Research importantly suggests that the effects of 45 physical activity (PA) are particularly useful in older 46 individuals with chronic diseases [13-16]. Decline 47 in physical functioning pre-disposes older adults to 48 poor quality of life and falls [17]. Modifiable factors 40 in this scenario are muscle strength, balance propri-50 oception and functional abilities which all can be 51 improved through exercise [18-20]. A multicompo-52 nent PA, such as ballroom dancing was demonstrated 53 by Bianco et al. [21] to improve balance and conse-54 quently through this, can prevent falls in the elderly. 55 This in turn can also reduce perceived musculoskele-56 tal pain which has been associated with low levels of 57 balance skills [22]. In 2012, Irmak, A et al., showed 58 that a exercise software programs may help to reduce 59 perceived pain among office workers [23]. There 60 were strengthening, stretching and posture exercises 61 for all body parts which were suitable for office envi-62 ronment [23]. However, not all types of exercises 63 are suitable to improve balance with an outcome of 64 fall prevention [24, 25]. The aim of the study was 65 therefore to evaluate balance levels and pain lev-66 els perceived in a large cohort of elderly subjects 67 and consequently to investigate dose-response effects 68 on these factors using a standardized equipment-free 69 exercise program. 70

### 71 **2.** Materials and methods

# 72 2.1. Participants and procedures

Prior to the start of the standardized exercise pro-73 gram, the Sport and Exercise Sciences Research Unit 74 of University in collaboration with the Posturalab 75 Research Institute of the University of Palermo car-76 ried out a screening procedure and a PA study in a 77 rural village of Sicily (Resuttano); Italy. This prior 78 study was carried out in compliance with the Decla-79 ration of Helsinki and the principles of the Italian 80 data protection act (196/2003). The study design 81 was approved by the departmental research com-82 mittee (Consiglio di Dipartimento SPPF Prot. n. 83 285/2015; punto all'ordine del giorno numero 12) 84 with the ethical committee approval number: 285-85 2015/MEDF-02/11. The selected population sample 86 was invited to a first screening in which eligibility 87 was evaluated based on age (minimum of 50 yrs, 88 maximum of 85 yrs), disease-free state and no his-89 tory of regular exercise. Exclusion criteria comprised 90 conditions which prohibited moderate PA: 1) High 91

pressure, 2) participants not having a positive diag-92 nosis for any serious disease, 3) not ex-professional 93 athletes, 4) no prosthesis). 140 subjects were initially 94 screened, with 31 subjects not meeting all exclusion 95 criteria and two subjects declining participation. Par-96 ticipants eligible for the study were however also 97 excluded if they were either unable to commit to a 98 75% exercise program attendance or if adherence 99 to the program dropped below this threshold dur-100 ing the intervention. Consequently, 92 subjects were 101 included in the study and randomly assigned into two 102 groups, the experimental group (EG; n = 49, 23 males, 103 26 females) and the control group (CG; n=43, 19 104 males, 24 females). Subjects were randomized by a 105 1:1 ratio were the allocation sequence was PC gen-106 erated (Diagram 1). The Consolidated Standards of 107 Reporting Trials (CONSORT) Statement was set as 108 a standard [26]. The project began in March 2015 109 and ended in July 2015. In this period two screen-110 ing procedures were administered by an examiner 111 blinded to group assignment at the following times: 112 prior to the study (T0, baseline) and 13 weeks after 113 the completion of the exercise intervention (T1). 114 The EG group carried out a standardized equipment-115 free exercise program, whilst the CG group did not 116 receive this intervention or any other study related 117 treatment. Anthropometric characteristics of all par-118 ticipants were collected through a stadiometer (Seca 119  $22 \pm 1$  mm approximation, Hamburg, Germany). 120

# 2.2. Standardized equipment-free exercise intervention

The EG group was trained for the duration of 13 weeks by qualified fitness instructors. Due to the large number of subject in the EG group, the exercise intervention was performed twice in two parallel groups. Consequently, 25 standardized training sessions were carried out twice weekly each lasting 70 min (Table 2). The intervention was based on joint mobility, cardiovascular exercise, strengthening of core stability, proprioceptive training and eye-hand/ eye-foot coordinative exercises. Both exercise training central phases were administered considering the principle of workload progression, from the first week up to the last week of each phase, respectively [19, 27–33].

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#### 2.3. Outcome measurements

The Berg Balance Scale (BBS), to evaluate the balance, and the Oswestry Disability Index (ODI), 139



	Table 2 Exercise protocol training		
Warm-up (10/15 minutes) From standing posture, participants ex. • Two sets of eight repetitions of circli • Two sets of six repetitions of CC and • Two sets of six repetitions of left and • Two alternate sets of eight repetition • Four sets of eight repetitions of FEM • 1 minute of free-walking • Four sets of eight repetitions of FEM	ecuted a standard sequence of exercises includi ng movements of shoulders (forward and back l AC circling movements of pelvis with hands t l right trunk rotations s of CC and AC circling movements of feet	ing: ward) with be o hips	nded arms
	Central phase for 1th – 7th Weeks		
Exercises	Objectives and hints	Time (m)	Repetitions
Diaphragmatic breathing exercises		5	
Pre training, mobilization pelvis and principal joints	Retroversion, anterior tilt and rotation of pelvis; mobilization of the spine and larger joints	10	0
The Hundred with bent leg	Exercise to increase torso stability and abdominal strength.	5	4 repetitions of 30 s with 2 min of recovery between repetitions
Single Leg Circles with bent leg	Stabilization basin (minimum excursion of the circle), mobilization of the hip (maximum range of the circle). A breathing cycle for each circle	5	5 repetitions for pelvis stabilization - 5 repetitions for mobilization of the hip
Spine Stretch with crossed legs	Lengthening of the muscles of the back legs, torso and neck; mobilization of the spine	5	5 repetitions+5 repetitions with 2 min of recovery between repetitions; breathing out, bring your upper body forward contracting your abdominals and avoiding the bending of the spine
Single Leg Stretch with bent leg	Stabilization of the pelvis, strengthening the abdominals and hip flexors of the neck	5	20 repetitions
Diaphragmatic breathing exercises		5	
	Central phase for 8th – 13th Weeks		
Exercises	Objectives and hints	Time (m)	Repetitions
Diaphragmatic breathing exercises Pre training, mobilization pelvis and principal joints	Retroversion, anterior tilt and rotation of pelvis; mobilization of the spine and larger joints	5 5	
The Hundred	Exercise to increase torso stability and abdominal strength.	10	6 repetitions of 30 s with 2 min of recovery between repetitions
Roll Up	Mobilization of the spine. Strengthening the abdominals, the kinetic chain back and hamstring muscles.	5	5 repetitions. Exhale and contract the abdominals
Single Leg Circles with one leg straight	Stabilization basin (minimum excursion of the circle), mobilization of the hip (maximum range of the circle). A breathing cycle for each circle	5	5 repetitions for pelvis stabilization - 5 repetitions for mobilization of the hip
Spine Stretch with one leg straight	Lengthening of the muscles of the back legs, torso and neck; mobilization of the spine	5	5 repetitions+5 repetitions with 2 min of recovery between repetitions; breathing out, bring your upper body forward contracting your abdominals and avoiding the bending of the spine
Rolling Like a Ball	Self-massage of the spine, activation of the abdominal muscles	5	5/6 repetitions
Single Leg Stretch	Stabilization of the pelvis, strengthening the abdominals and hip flexors of the neck	5	20 repetitions
Diaphragmatic breathing exercises		5	

# Diaphragmatic breathing exercises

(Continued)

Table 2	
(Continued)	

Cool down (10/15 minutes)

It included a standard sequence of stretching exercises:

- From a kneeling position, slowly go back on heels and hold for 20–30 seconds; practice the exercise twice and then return to the starting position
- From a SP, bend the knee, put the hands around the knee, and pull it toward the body, hold for 20–30 seconds with both hands; practice the exercise twice and then return to the starting position
- From NSP, bend the whole body forward slowly for 20-30 seconds; practice the exercise twice
- From NSP, with both arms over the head and clasped fingers, stretch the entire body for 20-30 seconds; practice the exercise twice
- From NSP, with both arms over the head and with clasped fingers, stretch all body for 20-30 seconds by TUB right and left
- alternatively; practice the exercise twice

Abbreviations: CC, clockwise; AC, anticlockwise; FEM, flexion-extension movements of lower and upper limbs; SP, supine posture; NSP, neutral standing with legs slightly apart; TUB, twist the upper body to the left and right raising both arms over the head alternatively.

Table 3	
Descriptive Scores obtained in the Berg Balance Scale and in the Oswestry Disability Ind	
EC(40)	CC (12)

	EG (49)			CG (43)		
	T <sup>0</sup>	$T^1$	р	T <sup>0</sup>	$\mathbf{T}^1$	р
BBS	$51.83 \pm 4.17$	$54.36 \pm 2.15$	< 0.0001	$51.09 \pm 3.89$	$51.67 \pm 4.49$	ns
ODI	$9.87 \pm 6.39$	$4.75\pm3.41$	< 0.0001	$6.74\pm3.5$	$7.02\pm3.72$	ns

to evaluate the perception of general musculoskeletal pain, were administered in both groups prior at
TO and T1 (i.e. 14 weeks after T0). An examiner
blinded to the patients' group assignment performed
all evaluations at the following times:

#### 145 2.4. The Berg Balance Scale (BBS)

This test consists of 14 items that quantitatively 146 evaluate balance and risk of falling. The total score 147 is obtained by summing the scores of each item [34]. 148 The test is rated through the examiner's observation 149 of individual test performance. Each item is scored 150 from 0 to 4, with 0 corresponding to the lowest 151 performance level and 4 corresponding to a normal 152 performance. 153

# 154 2.5. The Oswestry Disability Index

The Oswestry Disability Index (ODI) is a tool to 155 measure a subject's permanent functional disability. 156 The test is considered the 'Gold Standard' of low 157 back functional outcome tools [35]. The test com-158 prises 10 items with an overall achievable score of 159 50. For each section the total score possible is 5: 160 if the first statement is marked, the section score 161 is "0", corresponding to a minimal disability; if 162 the last statement is marked the section score is 163 "5", correspond to a maxim disability. The over-164 all score can also be expressed as a percentage 165

which consequently translates into a percentage of disability [35].

#### 2.6. Statistical analysis

All data were coded using Microsoft Excel. The statistical analysis was performed through StatSoft's STATISTICA software (Windows, Vers. 8.0; Tulsa, OK, USA) and GraphPad Prism software (Windows, Vers. 5.0; La Jolla, CA, USA). Before and after the exercise intervention, a Wilcoxon matched pairs test (P < 0.05) was used to detect significant differences in the BBS Index and ODI results.

#### 3. Results

As previously said, the cohort was constituted 178 by 92 subjects and assigned into two groups, EG 179 (n = 49, 23 males, 26 females) and the control group 180 CG (n=43, 19 males, 24 females). Baseline demo-181 graphics did not significantly differ between groups 182 (Table 1). BBS scores at T0 were  $51.83 \pm 4.17$  and 183 at T1 they were  $54.36 \pm 2.15$  for the EG group. 184 The CG at T0 achieved BBS scores of  $51.09 \pm 3.89$ 185 which did not change thereafter (T1;  $51.67 \pm 4.49$ ) 186 (Table 3 and Fig. 1). ODI results prior and post 187 intervention were  $9.87 \pm 6.39$  and  $4.75 \pm 3.41$  and 188  $6.74 \pm 3.5$  and  $7.02 \pm 3.72$  for the EG and the CG 189 respectively (Table 3 and Fig. 2). Only the EG group 190 demonstrated significant improvements in balance 191

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Fig. 1. Scores obtained in the Berg Balance Scale (score range from 0 up to 56).



Fig. 2. Scores obtained in the Oswestry Disability Index (score range from 0 up to 50).

skills (p < 0.0001) as well as a significant reduction in levels of perceived pain (p < 0.0001).

# 194 **4. Discussion**

Regular PA in the elderly population is fundamen-195 tal as it positively influences most common diseases 196 [36]. The present study demonstrates the effec-197 tiveness of a standardized equipment-free exercise 198 program on dynamic balance skills and pain percep-199 tion. Its importance is based on the use of body weight 200 only exercises which can be performed in sports 201 and non-sports environments and which effective-202 ness can improve the quality of life in the elderly by 203 reducing the risk of falls. Moreover, this standardized 204 exercise regime does not rely on specialized expen-205 sive resistance machines whilst arguably reducing the 206

socio-economic impact of falls by reducing the rate 207 of required hip-replacements and consequent costs. 208 Recent research suggests that exercise interventions 209 can also be beneficial to improve cognitive health by 210 directly enhancing brain metabolism and plasticity 211 [37]. Supporting this, Rahe et al. [38] demonstrated 212 positive effects when combining cognitive training 213 with additional PA. Our results also ascertain the sen-214 sibility of the BBS to detect improvements in people 215 with a good level of balance. Whilst other balance 216 protocols might be more accurate [9, 20, 39, 40] the 217 BBS is valid and reliable but also a cost effective tool 218 to measure different levels of balance when investi-219 gating large subject groups. The presently employed 220 standardized equipment-free protocol comprised a 221 number of exercises that are spinal and pelvic-lumbar 222 stabilizing [41]. Hodges and Richardson [42] demon-223 strated m.transversus abdominus to be invariably the 224 first muscle that is activated in many movements. A 225 delayed contraction of this muscle indicates a deficit 226 in motor control and an inefficient muscular spinal 227 stabilization [42] which in turn can lead to the percep-228 tion of musculoskeletal pain [42]. Unsgaard-Tondel 229 et al. [43] demonstrated that an improved level of 230 strength of m. transversus abdominis is associated 231 with clinically important pain reductions [43]. Stubbs 232 et al. [21] furthermore stated an increase in stability 233 to translate into less perceived musculoskeletal pain. 234 This is consistent with our findings as levels of per-235 ceived musculoskeletal pain were reduced in the EG 236 group only. As suggested by Famula et al. [44], a high 237 level of PA during adolescence positively influences 238 the balance in old age. Body balance disorders more 239 often affect elderly subjects who were less active at 240 a young age [44]. Famula et al. [41] in this context 241 asserted the importance of different types of PA which 242 develop coordinative abilities. In the elderly, the 243 maintenance of body balance skills at a relatively high 244 level using specific exercise programs is vital [19, 245 45]. A good evaluation is required to select the best 246 approach and to determine its effects. The ODI is an 247 objective measurement tool that is used for evaluating 248 the effects of the treatment, as it reveals the overall 249 severity of impediments to daily living and physical 250 activities [46]. The ODI predict disability caused by 251 pain in the general population but it does not measure 252 disability in the context of high-demanding activities 253 such as sports [47]. The International Classification 254 of Functioning, Disability, and Health (ICF) defines 255 disability as following: "Disability is an umbrella 256 term, covering impairments, activity limitations, and 257 participation restrictions [48] and research suggests 258

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that patients with low back pain (LBP) have impaired 250 levels of daily activities [49]; and more activities of 260 daily living of the subjects with LBP are reduced 261 due to the chronic pain and disability may occur. 262 In addition, subjects reported less physical activity 263 practice [50]. The present study demonstrated an 264 improvement in ODI levels which in turn caused an 265 improvement in quality of life as a result of a reduc-266 tion of perceived pain. The main limitation of this 267 investigation is the lack of standardized recommen-268 dation for balance scores that further distinguished 269 between trained and untrained individuals as well 270 as individuals with a history of adolescent training 271 and individuals who only in later stages of their life 272 engaged in a structured exercise regime. The present 273 study demonstrates that a standardized 13-week exer-274 cise program based on joint mobility, cardiovascular 275 exercise, strengthening core stability and propriocep-276 tive training, can improve balance skills and reduce 277 pain perception. Consequently, the exercise program 278 can be recommended to practitioners starting to 279 work with elderly inactive populations without the 280 requirement of equipment and it can be applied to 281 large subject groups. Further experimental research is 282 required to confirm this mechanism of effectiveness, 283 but the exercise could provide a low cost strategy to 284 reduce and/or to slow down disability levels. 285

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#### 298 Conflict of interest

None to report.

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