

1 **Physical losses could partially explain modest carotenoid**  
2 **retention in dried food products from biofortified cassava**

3

4 **Short title: Physical carotenoid losses during biofortified cassava**  
5 **processing**

6

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23

## 24 **Abstract**

25

26 Gari, a fermented and dried semolina made from cassava, is one of the most common foods  
27 in West Africa. Recently introduced biofortified yellow cassava containing provitamin A  
28 carotenoids could help tackle vitamin A deficiency prevalent in those areas. However there  
29 are concerns because of the low retention of carotenoids during gari processing compared to  
30 other processes (*e.g.* boiling). The aim of the study was to assess the levels of true retention  
31 in *trans*- $\beta$ -carotene during gari processing and investigate the causes of low retention.

32 Influence of processing step, processor (3 commercial processors) and variety (TMS 01/1371;  
33 01/1368 and 01/1412) were assessed.

34 It was shown that low true retention (46% on average) during gari processing may be  
35 explained by not only chemical losses (*i.e.* due to roasting temperature) but also by physical  
36 losses (*i.e.* due to leaching of carotenoids in discarded liquids): true retention in the liquid  
37 lost from grating negatively correlated with true retention retained in the mash ( $R = -0.914$ ).  
38 Moreover, true retention followed the same pattern as lost water at the different processing  
39 steps (*i.e.* for the commercial processors). Variety had a significant influence on true  
40 retention, carotenoid content, and *trans-cis* isomerisation but the processor type had little  
41 effect. It is the first time that the importance of physical carotenoid losses was demonstrated  
42 during processing of biofortified crops.

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44 Key-words: biofortified cassava; carotenoids; gari; true retention; moisture removal; leaching  
45 of soluble solid

46

## 47 **Introduction**

48

49 An insufficiency of vitamin A in the diet results in vitamin A deficiency (VAD). VAD is  
50 responsible for night blindness, increased susceptibility to infections, impaired growth and  
51 development and remains a major public health issue in many developing countries, with  
52 children and pregnant/lactating women being the most vulnerable [1]. Cassava is a major root  
53 crop in Low and Middle Income Countries [2]. In Nigeria, the most densely populated  
54 country in Africa and the world largest cassava (*Manihot esculenta Crantz*) producer, the  
55 prevalence of low serum retinol among children 0-59 months of age is 30% [1]. The  
56 consumption of cassava is high, being approximately 600 grams per person per day (fresh  
57 weight) on average [3]. Hence the introduction of biofortified cassava varieties with yellow  
58 coloured roots that contain significant amounts of provitamin A carotenoids (pVACs) gives  
59 strong hope that these biofortified cassava varieties could tackle VAD in West Africa and  
60 other developing countries [4, 5].

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62 Gari, a fermented granulated food - that may have prebiotic [6] or probiotic [7-9] beneficial  
63 activity - is the most popular food product made from cassava in Nigeria and West Africa and  
64 its production represents two thirds of the cassava grown [3, 10]. When made from  
65 biofortified cassava, gari has a distinct yellow colour and is visually similar to a type of local  
66 gari made with added palm oil that is well accepted in some parts of Nigeria [11, 12].

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68 Measuring the retention of provitamin A during processing is critical in order to ensure that  
69 the biofortified food retains sufficient pVACs and hence has health benefits for the people  
70 who will consume it. The determination of True retention (TR) is important because it takes  
71 into account the changes in the weight of food during cooking (for example, water loss;

72 losses of soluble solids) and gives a fairer estimate of the actual carotenoid retention during  
73 the process. However, TR is more complex to determine than simple carotenoid content  
74 because it requires the weight of the product (*e.g.* cassava made into gari) to be followed  
75 throughout processing.

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77 Processing cassava into foods such as gari usually involves several processing steps due to  
78 the need to remove the cyanide content inherent to the root [10], reduce the water content,  
79 and ferment in order to develop the desired product characteristics. A challenge faced with  
80 such lengthy processes and with biofortified crops such as yellow cassava is that pVACs are  
81 chemically unstable molecules that can be degraded during processing and storage. Chemical  
82 loss occurs through two different mechanisms: 1) *trans-cis* isomerisation and 2) oxidation.  
83 Chemical degradation is typically caused by temperature, oxygen and light exposure [13].  
84 The mechanisms of temperature and oxygen degradation were described in the case of  
85 storage of dried orange fleshed sweet potato [14, 15]. As well as being chemically degraded,  
86 pVACs can be physically lost during processing (*i.e.* in moisture removed from the product)  
87 but less is known about the extent of these losses and their impact.

88

89 Higher reduction of pVACs from biofortified yellow cassava during gari production  
90 compared to most other processes such as boiling, oven drying, and frying has been  
91 demonstrated by several authors [16-23]. However studies on gari retention were conducted  
92 under experimental conditions either in a laboratory or in a relatively small scale processing,  
93 or with insufficient levels of details at processing steps. In a research work on gari in Nigeria  
94 [20], changes in total carotenoid content were reported at different stages of gari processing  
95 on an on-station processing plant with small quantities of roots (10kg) and no processing  
96 replicates; the levels of true retention (TR) were not reported. In another study [21], working

97 on a similar scale and setting than the previous study [20], TR of total carotenoids in the final  
98 product (gari) was 45% on average for three cassava varieties processed in triplicate, but the  
99 TR levels at the different processing steps were not indicated. Thakkar et al. [22] determined  
100 the different carotenoids present and their concentration in a laboratory-scale experiment.  
101 Although the authors indicated that TR was 51% on average for three yellow-fleshed  
102 varieties, TR levels were not broken down for the different processing steps. Chavez et al.  
103 [16] also studied carotenoid retention during gari production in the laboratory and reported  
104 that TR of *trans*- $\beta$ -carotene was 34% for three cultivars with three replications. However gari  
105 was fermented for 7 days which is longer than fermentation times in West Africa (typically 2-  
106 3 days). *Trans*- $\beta$ -carotene contents and retention were determined by Failla et al. [18] in a  
107 study on the retention of  $\beta$ -carotene in transgenic roots of yellow cassava. Conversely La  
108 Frano et al. [19] worked with a conventionally bred cassava variety from Nigeria (07/0593).  
109 Retention was approximately 40% in these studies under laboratory conditions but it is not  
110 known if the calculation of retention was based on the fresh weight of the sample and was  
111 indeed true retention (TR). In addition, in those studies [18-22], carotenoid losses were  
112 generally attributed to chemical factors such as isomerisation and oxidation and physical  
113 losses were not clearly mentioned.

114

115 It appears that there are gaps in knowledge on the levels of TR during processing of cassava  
116 into gari: previous research on the level of true retention (TR) of pVACs during gari  
117 processing has been mainly under set conditions and/or only on global TR therefore limiting  
118 the understanding of the factors responsible for carotenoid loss. In addition there has been  
119 little investigation on the importance of physical losses of carotenoids. What is now required  
120 is a study to understand better the factors responsible for carotenoid loss that include an

121 investigation of physical losses. This knowledge could ultimately lead to a reduction of  
122 provitamin A carotenoid losses during processing of gari.

123

124 In order to best understand conditions occurring in a field situation, our approach was to  
125 record the actual processing conditions rather than fixing these conditions; and measure the  
126 impact of field conditions on carotenoid retention. This is the first time that such an approach  
127 has been reported on carotenoid retention during gari processing.

128

129 Using different processors and varieties is important because processing conditions vary from  
130 one processor to another and varieties also might give different responses. Additionally we  
131 measured the carotenoid content and *trans-cis* isomerisation during processing in order to  
132 give a more complete picture of the changes in carotenoid during gari processing.

133

## 134 **Materials and Methods**

### 135 **Cassava root supply for experiments A and B**

136 Roots of biofortified yellow varieties of the first wave (TMS 01/1371; 01/1368; and 01/1412)  
137 developed by IITA in collaboration with HarvestPlus were used in this study. No specific  
138 permissions were required because HarvestPlus/IITA had the authorisation to use those lands  
139 for research purposes. The study did not involve endangered or protected species.

140

141 There were two types of experiments: an experiment with commercial gari processors  
142 (Experiment A) and a varietal trial conducted with three different varieties over two seasons  
143 and locations (Experiment B) (Table 1).

144 **Table 1. Parameters recorded during gari processing for Experiments A<sup>a</sup> and B<sup>b</sup>**

Experiment		A			B (SL1)			B (SL2)		
Variety	01/1371	01/1371	01/1371	01/1368	01/1371	01/1412	01/1368	01/1371	01/1412	
Place	Atiba	Barracks	Iseyin		IITA			IITA		
<b>pH after fermentation</b>	4.2±0.0bc	4.9±0.0d	4.1±0.0ab	4.0±0.0ab	4.4±0.1c	3.9±0.1ab	4.0±0.0ab	3.9±0.0ab	3.8±0.1a	
<b>Temperature after fermentation (°C)</b>	25.2±0.9bc	25.0±0.8bc	26.1±1.1d	25.7±0.6cd	25.7±1.2cd	27.7±1.2a	22.8±0.0ab	23.0±0.3ab	22.4±0.9a	
<b>Time (h)</b>	<b>Peeling</b>	0.28±0.05ab	0.79±0.19c	0.31±0.03ab	0.30±0.02ab	0.20±0.01a	0.27±0.03a	0.65±0.03bc	0.54±0.16abc	0.44±0.21abc
	<b>Washing</b>	No	0.09±0.02a	0.09±0.02a	0.06±0.01a	0.06±0.01a	0.06±0.02a	0.06±0.02a	0.07±0.03a	0.04±0.01a
	<b>Grating</b>	0.04±0.02a	0.05±0.00ab	0.03±0.01a	0.12±0.01c	0.11±0.01c	0.09±0.02bc	0.03±0.00a	0.03±0.01a	0.03±0.01a
	<b>Fermenting</b>	46.62±0.15e	3.11±0.60a	66.58±0.12f	43.12±0.20cd	42.59±0.13bc	43.86±0.34cd	42.3±0.02bc	41.94±0.12b	42.79±0.12c
	<b>Pressing</b>	1.19±0.10a	1.50±0.00ab	1.38±0.00ab	1.68±0.35bc	1.92±0.00c	1.20±0.00a	3.50±0.00d	3.50±0.00d	3.50±0.00d
	<b>Sifting</b>	0.02±0.00a	0.24±0.03c	0.02±0.00a	0.04±0.01ab	0.05±0.00ab	0.06±0.02b	0.02±0.00a	0.02±0.00a	0.02±0.00a
	<b>Roasting</b>	0.43±0.05b	1.42±0.08d	0.68±0.04bc	0.23±0.01a	0.23±0.01a	0.22±0.01a	0.59±0.10bc	0.78±0.18c	0.57±0.09bc
	<b>Sieving</b>	0.07±0.02a	0.04±0.01a	0.08±0.08a	0.03±0.00a	0.03±0.00a	0.03±0.00a	0.12±0.06a	0.09±0.01a	0.08±0.04a
<b>Equipment</b>	<b>Grater</b>	Diesel-powered rotating grating machine - locally fabricated	Electricity or diesel-powered rotating grating machine	Diesel-powered rotating grating machine - locally fabricated	Diesel-powered rotating grating machine, Dandrea Agriport Industrias Maquinas d'Andrea (Brazil)					
	<b>Press</b>	Hydraulic jack type	Hydraulic jack type	Screw jack manual type locally made	32t -hydraulic jack type with wooden platforms					
	<b>Roaster</b>	Rectangular pan made from iron	Two round pans made from iron	Rectangular pan made from iron	Rectangular pan made from stainless steel iron with chimney					

145 Data are average ± standard deviation. Each process was conducted in triplicate: <sup>a</sup> Triplicate 50kg of roots of one variety of yellow cassava TMS 01/1371 were processed into  
 146 gari at three commercial gari processors (Atiba, Barracks and Iseyin) (Experiment A) and <sup>b</sup> Triplicate 25kg of roots of three different varieties of yellow cassava (01/1368;  
 147 01/1371; 01/1412) grown in two different seasons/locations (S1 and S2) were processed into gari at the IITA research station (Experiment B). Fermented mash was not  
 148 collected at the Barracks. Different letters in rows are significantly different data at p<0.05 (Tukey test; One-Way ANOVA).

149 In Experiment A, only one variety of biofortified cassava (TMS 01/1371) was used. The  
150 initial raw material was the same for all of the commercial processors. The root supply  
151 (500kg of roots) was from a field belonging to HarvestPlus at Ikenne (6°86N, 3°71E) [24].  
152 TMS 01/1371 roots were harvested approximately 12 months after planting.

153

154 In the varietal trial (Experiment B), three varieties of biofortified cassava (TMS 01/1214;  
155 TMS 01/1368 and TMS 01/1371) were grown at two different seasons on separate locations.  
156 Having different locations and different seasons was useful to appreciate concomitant  
157 variation in the field and across seasons. The three varieties for the first season (SL1) (warm  
158 season) were grown on a field owned by IITA/HarvestPlus at the IITA research station in  
159 Ibadan (7°38N, 3°89E) [24] . These three varieties (about 100kg per variety) were harvested  
160 approximately 12 months after planting in September 2012. In the second season (SL2) (cold  
161 season), the three varieties were planted and harvested (about 100kg per variety) from Liji  
162 Farms, Ilero (8°40N, 3°21E) in July 2013. For logistical reasons Experiment B was  
163 conducted on a processing plant located in a research station. However the processing  
164 conditions and equipment were not very different to those used in Experiment A. In  
165 Experiments A and B, processing conditions were recorded the same way, by observation of  
166 local processors' practices. .

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## 168 **Processing of roots**

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170 Roots were processed on the day after the harvest. Each manufacture was carried out in  
171 triplicate.

172 In Experiment A, harvested roots from one variety (01/1371) were divided into the three  
173 different commercial processors (50kg processed in triplicate per processor) located in Oyo



174 State, Nigeria. These were a) Atiba in Oyo (about 1h drive north from the International  
175 Institute for Tropical Agriculture (IITA)); b) Army Barracks in Ibadan, Ogo Oluwa Centre  
176 (less than 0.5h drive from IITA), and c) Crown Centre, Iseyin (about 1.5h drive north from  
177 IITA). These processors were selected by the Agricultural Development Program in Nigeria  
178 on the basis of having distinctive practices that were representative of the variability of  
179 processes existing in Oyo state.

180 Processing of roots for the three processors was initiated on the same day and under the same  
181 conditions of ambient temperature/humidity (27°C/70% on average).

182 In Experiment B, roots from three varieties (01/1371; 01/1368; 01/1412) were processed at  
183 the IITA processing unit (25kg in triplicate per variety). Roots for the three varieties were  
184 processed at the same time and therefore under the same weather (temperature/humidity)  
185 conditions.

186

187 The processing stages were the same for Experiments A and B: roots were peeled manually  
188 and washed with clean water to remove soil and particles. The peeled roots were then  
189 mechanically grated using a petrol engine-driven grater, packed into a polypropylene bag and  
190 left to ferment at ambient temperature. At the end of fermentation, mash in a woven bag that  
191 allowed water to drain was pressed using a hydraulic or manual press. The pressed mash was  
192 disintegrated (using the petrol engine-driven grater) in order to separate agglomerated  
193 particles. The sifted mash was then toasted in a steel pan heated by fire wood. Roasted  
194 granules that had been cooled down at ambient temperature for a few minutes were then  
195 manually sieved (4-5mm aperture sieve). Processing conditions were monitored in the field  
196 situation: a step-by-step observation and recording of the quantities, ambient  
197 temperature/humidity, length of time, pH values and temperature of the mash before and after  
198 fermentation and roasting temperature were carried out.

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## **Observation of the traditional processing practices**

There were variations in the equipment and in practices; in particular between the commercial processors (Atiba, Barracks, Iseyin) (Experiment A) (Table 1). Atiba processors did not wash roots prior to peeling contrary to the other two processors. Fermentation time was significantly different for the three commercial processors and this significantly influenced pH value: the time of fermentation was the shortest at the Barracks (3h; pH= 4.9); 2 days at Atiba (47h; pH= 4.2) and 3 days (66h; pH= 4.1) at Iseyin. A manual press was used by Atiba and Barrack processors whilst those in Iseyin used a screw jack type- manual press. Sifting was done using a mechanised grater in Atiba and Iseyin whilst at the Barracks sifting was done by hand using a 4-5mm aperture-sieve. Atiba and Iseyin processors used non-stainless plates for roasting whilst at the Barracks, sifted mash was roasted in round shaped pans. Roasting time varied between 0.22and 1.42h.

In Experiment B, variations were minimal between the three varieties (these were processed by the same team), and this means that the varietal effect can be measured independently. There were however a few differences between processing in SL1 and SL2: in SL2 peeling, pressing and roasting times were significantly longer. Differences may be explained by difference in operators (*e.g.* peeling ability), root moisture content, and season: in particular, the average temperature of the mash after fermentation was lower in the cold season (SL2; 23°C) compared to the warm season (SL1; 26°C), and this may explain why pressing and roasting would have taken more time in the cold season.

## 223 **Analytical measurements**

224

225 Samples were weighed during processing using a digital scale (EHF-203 Series Digital  
226 Hanging Scales, Scales of the World, Milton Keynes, UK) with a maximal load of 50.0 kg. In  
227 addition, the whole quantity of liquid lost from grating ('liquid from grated mash' or also  
228 locally known as 'grated juice') was collected in a basin separately to the mash and the  
229 quantity of liquid was weighed immediately after the grating process (to limit risks of  
230 evaporation and hence change in liquid quantity). The pH value was measured after  
231 fermentation using Hannah waterproof pH meter with dual LCD (Hannah Instruments,  
232 Leighton Buzzard, UK). Samples (10.0g) were weighed into a clean and dry container using  
233 an electronic balance (CS5000, Ohaus, I Parsippany, NJ, USA – maximal weight 5kg.  
234 readability 1g). Double the amount (=20.0g) of distilled water was added and the sample  
235 stirred. The electrode of the pH meter was cleaned before pH value was recorded in the  
236 sample. An infra-red thermometer (RayTemp® 3, ETI, Worthing, UK) was used to measure  
237 product temperature. Time was recorded using the digital time on the mobile phone. Ambient  
238 temperature and humidity were recorded throughout processing using Tinytalk Ultra 2 device  
239 (RS Components Ltd, Northants, UK).

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## 241 **Sample collection**

242

243 Representative samples (100-150g) (peeled roots; grated mash; liquid fromgrated mash;  
244 fermented mash; fermented and pressed mash and sieved gari) were collected for moisture  
245 and carotenoid content determination. The peeled roots were collected as follows: three  
246 average-size roots were collected, peeled, quartered, chopped and mixed according to the  
247 method by Rodriguez-Amaya & Kimura [13].

248

## 249 **Sample storage and transport**

250

251 Precautions were taken to keep samples as cool as possible and protect them from direct light  
252 exposure during collection and transport. Immediately after collection in the field, samples  
253 from each stage in the process were stored in good quality zip bags (heavy duty zipper LPDE  
254 152 x 330) in a thermo insulated cool box packed with frozen gel. Samples of the liquid from  
255 grated mash were collected in 50ml polypropylene sample tubes hermetically closed with a  
256 screw top. Three liquid samples in SL2 were missing for collection. On return from the field  
257 each day, samples were placed in the freezer (-20°C).(aside freeze-drying, freezing is the best  
258 way of preserving carotenoids for analysis. The texture of the product can be changed by  
259 freezing but the total water content will be preserved). Samples were maintained frozen  
260 during air freight to the UK and stored in the freezer (-20°C) immediately upon arrival. Prior  
261 to carotenoid analysis, samples were allowed to thaw overnight in the refrigerator (8°C).

262

## 263 **Carotenoid analysis**

264

265 The extraction stage was adapted from a previous method [25]. Analyses were carried out at  
266 NRI, UK. Dried samples (100-150g) (i.e. pressed mash and gari) were rehydrated for 10 min.  
267 in 10 ml deionised water. Fresh samples (i.e. peeled and chopped roots) were homogenised  
268 into a puree using a mechanical food blender (Kenwood type) and extracted without  
269 rehydration. In brief, a portion of the homogeneous representative sample (0.6-3.0g  
270 depending on the concentration of carotenoid and moisture in the sample) was homogenised  
271 with 50mL methanol:tetrahydrofuran (THF) (1:1) for 1 minute and filtered. The homogenised  
272 extract was rinsed with methanol:THF (1:1) until there was no yellow colour left in the

273 filtrate. Partition between the aqueous phase and organic phase containing the carotenoids  
274 was achieved by addition of petroleum ether (PE 40-60° C) and NaCl solution (10%). The PE  
275 phase was further washed with deionised water, dried by addition of anhydrous sodium  
276 sulphate, then filtered and made up to volume (25 ml). Extracts were then dried by flushing  
277 with nitrogen in a dry block system at 35° C. Dried extracts were dissolved in 500 µl THF:  
278 Methanol (1:1). After vortexing, dissolved extracts were collected into a vial with septum for  
279 HPLC analysis. A reverse-phase high performance liquid chromatography using an Agilent  
280 1200 system (UK) was used with a polymeric C30 reverse phase column (250 x 4.6 mm i.d.  
281 5µm YMC (EUROP GmbH, Dinslaken, Germany) having a flow rate of 1 ml.min<sup>-1</sup> a  
282 temperature of 25°C, a running time of 40 minutes and an injection volume of 10µl. The  
283 isocratic mix consisted of Methanol: MTBE (80:20). Detection of compounds was performed  
284 at 450nm. Concentrations on a fresh weight basis were determined by comparison to a  
285 standard curve using pure *trans*-β-carotene (Sigma, Dorset, UK). Percentages of *cis*-isomers  
286 and other minor compounds were also determined [26]. Molecular mass of *trans*-β-carotene  
287 (C<sub>40</sub>H<sub>56</sub> = 536.87 g.mol<sup>-1</sup>) is identical to that of 9-*cis* and 13-*cis* of the same chemical  
288 formula (C<sub>40</sub>H<sub>56</sub>). Using a standard made with *trans*-β-carotene may therefore not make a  
289 difference in terms of the concentration of *cis*-isomers.

290

## 291 **True retention (TR)**

292

293 True retention of *trans*-β-carotene (TR) was calculated according to Rodriguez-Amaya &  
294 Kimura [13]:

295

296

$$TR(\%) = 100 \times \frac{\text{trans} - \beta - \text{carotene content per kg of processed sample} \times \text{weight of processed sample (kg)}}{\text{trans} - \beta - \text{carotene content per kg of peeled roots} \times \text{weight of peeled roots (kg)}}$$

297 *Trans*-β-carotene loss is:  $1 - TR(\%)$  .

298

299 True retention (TR) was calculated at the different steps of processing. The value in  
300 processed sample is expressed relative to the value of *trans*-β-carotene before processing  
301 (peeled roots). TR is based on the initial carotenoid quantity of the peeled roots (100%).

302

### 303 **Dry matter determination**

304

305 Samples were collected and analysed for dry matter determination, at the same time as for  
306 carotenoid analysis. Determinations were made by drying triplicate 5 g samples at 105 °C to  
307 constant weight (minimum 24h) [27]. Moisture content (%) is defined as: 1- dry matter  
308 content.

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### 310 **Product yield (PY)**

311

312 Product yield (PY) remaining at each step of processing was calculated by weighing the  
313 samples at the different steps of processing and dividing by the initial weight of unpeeled  
314 roots (50kg or 25kg).

$$315 \quad PY(\%) = 100 \times \frac{\text{weight of sample during processing (kg)}}{\text{initial sample weight (kg)}}$$

316 Product yield (PY) is the percentage mass of the product that remains after each step and  
317 based on the initial mass of unpeeled roots (100%).

318

## 319 **Statistical analysis**

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321 Data were processed on SPSS 23.0 software for Windows using analysis of variance

322 (ANOVA) and correlation test. Significant differences between data were assessed by a

323 Tukey HSD test ( $p < 0.05$ ). Significance of correlations was tested using a two-tailed Pearson

324 test ( $p < 0.05$ ).

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326

## 327 **Results and Discussion**

328

329

### 330 **True retention during gari processing**

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#### 332 **Experiment A**

333

334 Product Yield (PY) and True Retention (TR) during gari processing of the TMS 01/1371

335 variety at three commercial gari processors (Experiment A) are presented in Fig. 1.

#### 336 **Fig. 1. Schematic representation<sup>a</sup> of true retention of trans- $\beta$ -carotene (TR) during gari**

#### 337 **processing - Experiment A**

338 <sup>a</sup>Average and standard error (error bar) for 1 yellow cassava variety TMS 01/1371 at 3 commercial processors.

339 Data for the three locations being Atiba, Barracks, Iseyin (Oyo State, Nigeria) are in triplicate for each location  
340 ( $n = 9$ ). TR are represented in relation to the product yield (PY), dry mass and moisture.

341 Different letters (a, b, c) indicate significant differences in TR between the steps of processing (ANOVA, Tukey  
342 test;  $p < 0.05$ ). Product moisture content (%) is indicated in the blue area. The red area represents the dry mass  
343 of the product during processing.

344

345

346 The cassava product is schematically represented as being partially composed of dry mass

347 (dry part of the product) (DM) and of water or moisture.

348 There was no significant difference between TR in the three commercial processors (One-  
349 way ANOVA;  $p < 0.05$ ). Hence each data point presented in Fig. 1 is of the three processors  
350 combined and in triplicate ( $n=9$ ). The lack of overall difference in TR between the processors  
351 in spite of the different processing durations is an interesting finding because it shows that  
352 variation in processing parameters might not be preponderant for the degradation in  
353 carotenoids. In particular variation in fermentation length at the three commercial processors  
354 (3h, 47h, and 66h) did not significantly impact carotenoid degradation and this was in  
355 accordance with Thakkar et al. [22] and also with Onadipe Olapeju [28] who worked with the  
356 same cassava varieties in Nigeria.

357

358 On the other hand, there was a significant influence of the processing steps on TR (ANOVA,  
359 Tukey test;  $p < 0.05$ ). Degradation of *trans*- $\beta$ -carotene during gari processing followed a  
360 gradual loss with main losses (1- TR) occurring at fermentation and roasting. TR was not  
361 significantly different between peeled roots and grated mash (100%, and 91.2%,  
362 respectively), fermented mash and pressed mash (75.0% and 66.9% respectively), and gari  
363 had significantly lower TR (45.4%) than the other products.

364

365 TR at the final step, in gari (45.4% on average) was in accordance with previous retention  
366 studies on gari [16, 18, 19, 21, 22]; this would confirm that retention at commercial  
367 processors is similar to that found at smaller scales or laboratory conditions. Fig.1 clearly  
368 shows that gari processing is essentially a water removal process: during processing of  
369 cassava into gari, dry mass only slightly decreased (from 22.6% to 16.9%), whilst the  
370 moisture content was greatly reduced (from 67.2% to 9.9%) as well as PY (from 68.9% to  
371 18.8%).

372



## 373 Experiment B

374

375 The influence of variety and season/location (SL) were explored (Experiment B). Variety and  
376 season/location (SL) both had significant influence on TR (ANOVA, Tukey test;  $p < 0.05$ )  
377 therefore the data were presented in separate graphs for the three varieties (01/1371; 01/1368,  
378 and 01/1412) and the two seasons/locations in years 1 and 2 (SL1 and SL2) (Fig. 2).

### 379 Fig. 2. Schematic representation<sup>a</sup> of true retention of trans- $\beta$ -carotene (TR) during gari 380 processing - Experiment B

381 <sup>a</sup>Average and standard error (error bar) are for 3 yellow cassava varieties TMS 01/1368; 01/1371; 01/1412  
382 processed in triplicate ( $n = 3$ ) at 2 different seasons/locations (SL1 and SL2). TR are represented in relation to  
383 the product yield (PY), dry mass and moisture.

384 Different letters (a, b, c) indicate significant differences in TR between the steps of processing (ANOVA, Tukey  
385 test;  $p < 0.05$ ). Product moisture content (%) is indicated in the blue area. The red area represents the dry mass  
386 of the product during processing.

387

388

389 It should be noted that in this experiment we were not able to separate out the effects of  
390 season and location because both varied from year 1 to year 2 but the additional variability is  
391 more representative of the field situation for gari processing as processors will experience  
392 concomitant seasonal and location variations.

393

394 On average, TR in gari was lower in SL1 than in SL2 (38.8%, and 54.6% on average,  
395 respectively). Hence there was an important influence of the season/location. The difference  
396 in TR between SL1 and SL2 might be explained by the difference in root moisture content  
397 that was higher in SL1 than in SL2 (78.8% and 69.6% on average, respectively). As a  
398 consequence, yield was much lower in SL1 than in SL2 (PY = 9.0% and 16.1% on average,  
399 respectively) (Fig. 2). Amoah et al. [29] reported gari yields varying between 16 and 28% for  
400 gari from white cassava but yields for yellow cassava are known to be lower, as this was  
401 observed, in particular in SL1. Some authors have observed a linear relationship coexisting  
402 between loss in  $\beta$ -carotene during processing and initial dry matter content in roots: when

403 investigating dried orange-fleshed sweet potato, Bechoff et al. [30] reported that moister roots  
404 (with a higher initial moisture content) had lower TR after drying. Ceballos et al. [31]  
405 similarly showed that TR in boiled cassava was negatively correlated to moisture content in  
406 the roots and this is in accordance with our results. We explain it because gari processing is  
407 essentially a process where moisture is removed and therefore this affects the weight of the  
408 product and hence there is a correlation between TR, PY and moisture content.

409

410 Variety also had a significant effect on TR (ANOVA, Tukey test;  $p < 0.05$ ): final TR (in gari)  
411 for TMS 01/1371 variety (33.6% (SL1) ;49.1% (SL2) being 41.4% on average) was not  
412 significantly different from that of 01/1368 variety (36.7% (SL1); 49.6% (SL2) being 43.2%  
413 on average) but significantly lower from that of 01/1214 variety (46.1% (SL1) ;65.1% (SL2)  
414 being 55.6% on average). Maziya-Dixon et al. [21] working on three varieties of yellow  
415 cassava made into gari similarly reported varietal differences with TR for total carotenoids of  
416 38.1; 49.8; and 46.8% for TMS 01/1371; 01/1235 and 94/0006 varieties, respectively.  
417 However those losses were not directly related to differences in dry matter content as in our  
418 present study. Further work is needed to understand the respective influence of variety and  
419 initial root dry matter content on TR in gari.

420

421 In addition to varietal and season/location (SL) influence, there was a strong influence of the  
422 processing step on TR (ANOVA;  $p < 0.05$ ; Tukey test) (Fig. 2). Most losses occur at the  
423 grating and fermentation steps (~40% loss) and the losses are less at the subsequent steps:  
424 pressing and roasting (~15% additional loss). The global trend was that of a stepwise  
425 degradation as in Experiment A. Similarly to Experiment A, there were overall no significant  
426 differences in TR between fermented and pressed mash and this indicates that physical losses  
427 of carotenoids may not be significant during pressing.

428

## 429 **Exploring factors causing carotenoid degradation**

430

431 The datasets from experiments A and B were combined in order to investigate the factors  
432 influencing TR.

433

### 434 **Grating**

435 There was a significant linear correlation ( $R = -0.914$ ) between TR in liquid from grated  
436 mash (and grated mash (Fig. 3).

### 437 **Fig. 3. Relationships<sup>a</sup> between true retention of trans- $\beta$ -carotene (TR) in liquid from** 438 **grated mash and in grated mash**

439 <sup>a</sup>Average of triplicate processed samples. Correlations were significant at  $p < 0.05$  (Pearson test, two-tailed).  
440 Values for three samples in SL2 are missing.

441

442

443 TR in liquid from grated mash was variable (between 2 and 13%) and the values indicate a  
444 significant loss in carotenoids in the liquid. The greater the loss of *trans*- $\beta$ -carotene in mash  
445 the greater the retention in the liquid from grated mash. Because the grating step is of a short  
446 duration (2-5 minutes) (Table 1), environmental factors such as temperature and light were  
447 unlikely to cause a major loss in such a short time. Therefore it can be assumed that losses at  
448 the grating stage must result from physical losses. Visual observation of the yellow coloured  
449 liquid from the grating step also indicated a visible presence of carotenoids in the water (Fig  
450 4). (The grey bowl on the left side of the picture contains the 'liquid from grated mash' of  
451 orange colour whilst a remains of the 'grated mash' of pale yellow colour can be observed on  
452 and around the grating equipment).

453 **Fig. 4. "Liquid from grated mash" freshly collected at the grating step.** Source: Bechoff, A.  
454 2012.

455

## 456 **Fermenting, pressing & roasting**

457 Influence of different factors on TR at different steps of gari processing are presented in Fig.

458 5.

459 **Fig. 5. Relationships<sup>a</sup> between true retention of trans- $\beta$ -carotene (TR) in pressed mash**  
460 **(A) and dry matter in roots; TR in gari and dry matter in roots (B); and TR in gari and**  
461 **roasting temperature (C)**

462 <sup>a</sup>Average of triplicate processed samples. \*Correlations were significant at  $p < 0.05$  (Pearson test, two-tailed).

463

464 The higher the root dry matter, the higher the TR in pressed mash ( $R=0.717$ ) [and also in  
465 fermented mash ( $R=0.677$  – data not shown)] (Fig. 5A). On the other hand but there was no  
466 significant correlation between dry matter and TR in gari ( $R= 0.348$ ) (Fig. 5B).

467 The importance of chemical factors such as roasting temperature on TR ( $R = - 0.672$ ) in  
468 illustrated in Fig. 5C: the higher the roasting temperature, the lower the TR in gari: on  
469 average for a  $1^{\circ}\text{C}$  increase in temperature, there was a 1% additional *trans*- $\beta$ -carotene loss.

470

471 Significant correlation between dry matter content and TR in pressed mash must result of the  
472 gari product yield (PY) that was higher in varieties with high dry matter content. However the  
473 weaker correlation between dry matter content and TR in gari shows that chemical factors  
474 during roasting could have influenced this relationship. It is suggested that roasting  
475 temperature has a significant impact on the degradation of carotenoids and this external factor  
476 could explain in part why the correlation between TR in gari and dry matter in roots was not  
477 significant. This study illustrates the complexity of separating the influence of physical and  
478 chemical factors that would conjointly influence TR at some steps of gari processing (*i.e.*  
479 roasting).

480

## 481 **Carotenoid content during gari processing**

482

483 In addition to the determination of true retention (TR), the determination of provitamin A  
484 carotenoid (pVAC) content in the product is critical since pVACs relate to the nutritional  
485 value of the product that will be eventually consumed by people who are in risk of suffering  
486 of VAD.

487

488 *Trans*- $\beta$ -carotene content was determined on a fresh weight basis at the different stages of  
489 processing.

490

### 491 **Experiment A**

492 Overall there was no influence of the commercial processor (Experiment A) on the *trans*- $\beta$ -  
493 carotene content of the product (One-way ANOVA;  $p = 0.059$ ) (Table 2).

494

495 **Table 2. Main provitamin A carotenoid (pVAC) content ( $\mu\text{g}\cdot\text{g}^{-1}$  on a fresh weight basis) at different steps of processing into gari for**  
 496 **Experiments A<sup>a</sup> & B<sup>b</sup>**

Experiment	A				pVAC – Three varieties	B (SL1)				pVAC – Three varieties	B (SL2)			
	Trans $\beta$ - carotene	13-cis- $\beta$ - carotene	9-cis- $\beta$ - carotene	Cis/ trans		Trans $\beta$ - carotene	13-cis- $\beta$ - carotene	9-cis- $\beta$ - carotene	Cis/ trans		Trans $\beta$ - carotene	13-cis- $\beta$ - carotene	9-cis- $\beta$ - carotene	Cis/ trans
<b>Atiba</b>					<b>TMS 01/1368</b>									
Peeled roots	6.21±	0.09±	1.33±	22.9±	Peeled roots	3.83±	0.97±	1.83±	73.1±	Peeled roots	4.97±	1.51±	1.95±	69.6±
	0.39	0.01	0.04	0.8%		0.12	0.05	0.05	0.5%		0.45	0.13	0.25	5.4%
Grated mash	5.24±	0.09±	1.38±	28.1±	Grated mash	2.89±	0.75±	1.34±	72.5±	Grated mash	4.34±	0.63±	1.60±	51.2±
	0.06	0.01	0.06	1.3%		0.31	0.08	0.16	2.5%		0.16	0.64	0.09	12.5%
Fermented mash	5.32±	0.09±	1.38±	27.2±	Fermented mash	2.69±	0.64±	1.02±	61.7±	Fermented mash	4.70±	0.90±	1.66±	54.6±
	0.66	0.01	0.15	1.9%		0.52	0.12	0.25	1.6%		0.10	0.52	0.00	10.7%
Pressed & fermented mash	6.28±	0.11±	1.57±	26.6±	Pressed & fermented mash	5.50±	1.54±	1.00±	49.6±	Pressed & fermented mash	5.92±	1.54±	1.96±	59.1±
	0.59	0.01	0.18	0.4%		1.21	0.28	1.35	21.8%		0.38	0.07	0.12	1.0%
Gari	8.05±	0.34±	2.85±	40.2±	Gari	9.10±	2.87±	4.14±	77.1±	Gari	9.97±	3.37±	4.00±	74.3±
	1.88	0.07	0.52	3.5%		0.92	0.22	0.40	1.2%		1.03	0.67	0.39	6.9%
<b>Barracks</b>					<b>TMS 01/1371</b>									
Peeled roots	6.21±	0.09±	1.33±	22.9±	Peeled roots	4.21±	0.99±	1.69±	63.6±	Peeled roots	6.81±	1.18±	1.58±	41.0±
	0.39	0.01	0.04	0.8%		0.09	0.04	0.06	1.6%		0.71	0.16	0.49	11.2%
Grated mash	5.97±	0.10±	1.50±	26.9±	Grated mash	3.89±	0.90±	1.79±	69.0±	Grated mash	4.35±	0.95±	1.35±	50.0±
	0.05	0.02	0.08	1.5%		0.09	0.04	0.09	1.8%		1.79	0.72	0.54	10.9%
Fermented mash	#N/A	#N/A	#N/A	#N/A	Fermented mash	3.02±	0.61±	1.10±	55.9±	Fermented mash	6.47±	0.83±	1.68±	38.8±
						0.69	0.14	0.40	4.8%		0.51	0.70	0.07	10.6%
Pressed & fermented mash	8.69±	0.10±	1.80±	21.9±	Pressed & fermented mash	6.14±	1.39±	2.38±	61.2±	Pressed & fermented mash	9.08±	3.96±	2.25±	68.8±
	0.32	0.01	0.12	1.2%		1.35	0.32	0.59	2.1%		0.99	0.14	0.21	6.3%
Gari	10.89±	0.35±	3.10±	31.7±	Gari	12.85±	4.68±	6.14±	85.0±	Gari	14.52±			65.2±
	0.39	0.05	0.16	1.2%		2.96	1.00	0.86	4.7%		1.93			1.6%
<b>Iseyin</b>					<b>TMS 01/1412</b>									
Peeled roots	6.21±	0.09±	1.33±	22.9±	Peeled roots	3.57±	0.89±	1.65±	71.3±	Peeled roots	3.58±	0.83±	2.13±	82.4±
	0.39	0.01	0.04	0.8%		0.09	0.02	0.06	1.3%		0.32	0.48	0.32	8.9%
Grated mash	5.53±	0.11±	1.59±	30.9±	Grated mash	3.19±	0.78±	1.73±	78.6±	Grated mash	3.53±	0.81±	1.72±	71.6±
	0.35	0.01	0.05	2.1%		0.19	0.04	0.12	0.1%		0.33	0.41	0.12	13.9%
Fermented mash	6.03±	0.11±	1.70±	30.1±	Fermented mash	3.66±	0.89±	1.87±	74.9±	Fermented mash	3.70±	1.00±	1.46±	67.6±
	0.20	0.01	0.09	1.2%		0.41	0.13	0.41	7.7%		0.68	0.16	0.32	14.5%
Pressed & fermented mash	7.05±	0.12±	1.85±	28.0±	Pressed & fermented mash	7.64±	2.16±	4.00±	80.6±	Pressed & fermented mash	5.30±	1.42±	2.05±	65.7±
	0.58	0.00	0.15	0.4%		0.31	0.06	0.35	4.5%		0.39	0.08	0.11	7.2%
Gari	10.67±	0.39±	3.69±	38.3±	Gari	12.88±	4.65±	6.48±	87.0±	Gari	11.64±	3.76±	5.03±	75.8±
	0.49	0.02	0.07	1.2%		2.81	1.05	0.90	5.3%		1.07	0.86	0.47	10.5%

497 Data are average  $\pm$  standard deviation. Each process was conducted in triplicate: <sup>a</sup> Triplicate 50kg of roots of one variety of yellow cassava TMS 01/1371 were processed into  
498 gari at three commercial gari processors (Atiba, Barracks and Iseyin) (Experiment A) and <sup>b</sup> Triplicate 25kg of roots of three different varieties of yellow cassava (01/1368;  
499 01/1371; 01/1412) grown in two different seasons/locations (S1 and S2) were processed into gari at the IITA research station (Experiment B). Fermented mash was not  
500 collected at the Barracks.

## 501 **Experiment B**

502 Initial concentrations significantly varied in the roots from the three different varieties  
503 (Experiment B) (Table 2) ( $p < 0.05$ ). While *trans*- $\beta$ -carotene is the predominant pVAC in  
504 cassava in its raw state, detectable levels of 13-*cis* and 9-*cis* isomers of  $\beta$ -carotene were also  
505 found in accordance with previous studies [22, 32]. Initial pVAC concentrations (on average  
506 over SL1 and SL2) were from the highest to the lowest: TMS 01/1371 (*trans*:  $5.51 \mu\text{g}\cdot\text{g}^{-1}$ )  
507 with the lowest dry matter content (22% on average) > TMS 01/1368 (*trans*:  $4.40 \mu\text{g}\cdot\text{g}^{-1}$  with  
508 a dry matter of 31.4% on average > TMS 01/1412 (*trans*:  $3.57 \mu\text{g}\cdot\text{g}^{-1}$  with 24.0% of dry  
509 matter on average). In accordance with our results, Akinwale et al. [33] reported that there  
510 appear to be a genetic link between dry matter and carotenoid content in cassava roots: the  
511 varieties with the lower dry matter (or higher moisture) content had the highest initial  
512 carotenoid content. However recent data on hundreds of cassava genotypes [34] showed that  
513 there was no correlation between dry matter content and carotenoid content and therefore it is  
514 possible to identify genotypes with high carotenoid content as well as high dry matter [34].  
515 Maroya et al. [24] working with a number of cassava clones developed in Nigeria (including  
516 the ones presented in our study) demonstrated that both natural environment (*e.g.* soil,  
517 climate, rainfall) and genes had an influence on total carotenoid level and also on dry matter.  
518 Moreover the interaction of environment x genes also had a significant influence on total  
519 carotenoid content in the roots and dry matter in the plant and genes may influence the  
520 stability of carotenoid-protein complexes in chromoplasts [35] and hence the TR.  
521  
522 During gari processing, the *trans*- $\beta$ -carotene content increased (roughly two-fold) (around  
523  $10\mu\text{g}\cdot\text{g}^{-1}$ ) and this was mostly because moisture was removed from the product as a result of  
524 pressing and roasting (Table 2). Increase in carotenoid content due to concentration of  
525 carotenoids in gari is in accordance with other authors' description [20, 21, 36].



526

527 These results show that even though significant levels of pVACs were lost during gari  
528 processing, pVACs were concentrated in the final product as a result of moisture loss and this  
529 resulted in improved nutritional value of the product (gari) in terms of provitamin A content  
530 compared to the roots. In practice this means that a child who consumes 100g of biofortified  
531 gari daily would have his vitamin A daily nutritional requirements met (the calculation was  
532 based on trans- $\beta$ -carotene content only. The bioconversion factor of trans-B-carotene into  
533 retinol is 5:1 [11] and the Estimated Average Requirement (EAR) for a child under five years  
534 of age is 200  $\mu$ g retinol equivalent [37]). Gari can be consumed as it is (snack) or made into  
535 dough by adding boiling water (eba). In the later process, further carotenoid losses in the  
536 dough may occur but those may be minimal if boiling water is simply added to gari and the  
537 product stirred into a dough.

538

### 539 ***Cis*-isomers and *cis*-isomerisation during gari processing**

540

541 Under stressful conditions such as heating and UV-light exposure, *trans*-carotenoids tend to  
542 isomerise into *cis*-carotenoids. *Cis*-isomerisation may be considered as a negative effect of  
543 processing since *cis*-isomers have a lower provitamin A activity (about half) than that of  
544 *trans*- $\beta$ -carotene [13].

545

### 546 **Experiment A**

547 Processor type (Experiment A) also had a significant influence on the *cis/trans* ratio (Table 2)  
548 with Barrack centre having significantly fewer *cis*-isomers formed than Atiba and Iseyin  
549 centres (25.8%; 29.0% and 30.0% respectively): slightly less *cis*-isomerisation may be

550 explained by shorter processing time and therefore less exposure to temperature and light at  
551 Barrack.

552

553 There was a significant effect of the step of processing on the *cis*-isomerisation (ANOVA;  
554  $p < 0.05$ ). Percent of *cis*-isomers (both 13-*cis* and 9-*cis*) over *trans*-isomers significantly  
555 increased due to roasting for the commercial processors: (before roasting: 25.5%; after  
556 roasting: 36.7%, on average). This was in accordance with previous work on boiling and  
557 frying of cassava [38, 39] that also showed an increase in *cis*-isomers (9-*cis* and 13-*cis*).  
558 Thakkar et al. [22] observed that gari processing was associated with a decline in all-*trans*- $\beta$ -  
559 carotene and concomitant increase in 13-*cis*- $\beta$ -carotene as observed in our study. Marx et al.  
560 [40] working on effect of thermal processing on *cis*-isomerisation in carrot containing  
561 preparations further demonstrated that that the higher the roasting temperature the greater the  
562 percent of *cis*-isomers; this was not clearly shown in our study and this might be because  
563 other factors such as roasting time would have to be accounted for.

564

## 565 **Experiment B**

566 Additionally there was a significant varietal effect (ANOVA;  $p < 0.05$ ) on *cis*-isomerisation  
567 (Experiment B): variety TMS 01/1412 proportionally had significantly more *cis*-isomers than  
568 01/1368 that had significantly more *cis*-isomers than 01/1371 (ANOVA; Tukey test;  
569  $p < 0.001$ ) (*cis/trans* ratio was 75.5%; 64.8% and 59.9%, respectively) (Table 2). Varietal  
570 influence is interesting because it shows that not only the process is responsible for *cis*-  
571 isomerisation but naturally present *cis*-isomers in cassava can be found in different  
572 proportions as this was reported by Carvalho et al. [35].

573

574 Furthermore there was an interaction between variety and processing steps on *cis*-  
575 isomerisation ( $p < 0.05$ ). Interaction of variety and processing will make it difficult to predict  
576 how *trans* and *cis*-isomers carotenoids in cassava varieties will vary during gari processing  
577 [38].

578

579

## 580 **Conclusions**

581

582 We found that True Retention in *trans*- $\beta$ -carotene (TR) under unset conditions is similar to  
583 other studies under set conditions found in literature (TR ~ 50%) and that therefore losses are  
584 confirmed to be high during gari processing from biofortified cassava under field conditions.  
585 Those significant losses of pVACs were explained to be the result of a combination of  
586 physical losses of pVACs and chemical losses (oxidation). Physical losses are demonstrated  
587 to be mainly resulting of carotenoid leaching in the water *i.e.* at the grating step: because of  
588 the grating conditions (short time, ambient temperature), it is unlikely that chemical factors  
589 could be responsible for such significant losses at this stage. The carotenoid loss pattern  
590 suggests that initially TR decreases quickly for a small amount of water removed from the  
591 product (during grating and also fermenting), then in further steps TR decreases more slowly  
592 for more water removed (during pressing) and finally at the roasting step TR decreases  
593 because of chemical oxidation due to high temperatures during roasting.

594

595 These findings imply that physical carotenoid loss from the extracting liquids should be  
596 reduced in order to optimise TR. Gari is by nature a dry product and retaining more moisture  
597 in the final product therefore cannot be proposed as a solution. One option may be to  
598 collecting and drying soluble solids containing carotenoid from the water lost. Another

599 alternative may be to increase the dry matter content of the roots since this decreases the  
600 amount of moisture contained in the roots and therefore the moisture squeezed during the  
601 process. As a result the product yield (PY) of gari could be improved and higher PY of gari  
602 means higher TR since it is calculated based on the weight of the product, and also a higher  
603 gari PY will be beneficial for businesses who buy roots and process them into commercial  
604 gari. This work shows that physical losses in carotenoids should be accounted for in studies  
605 on retention.

606

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608

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619

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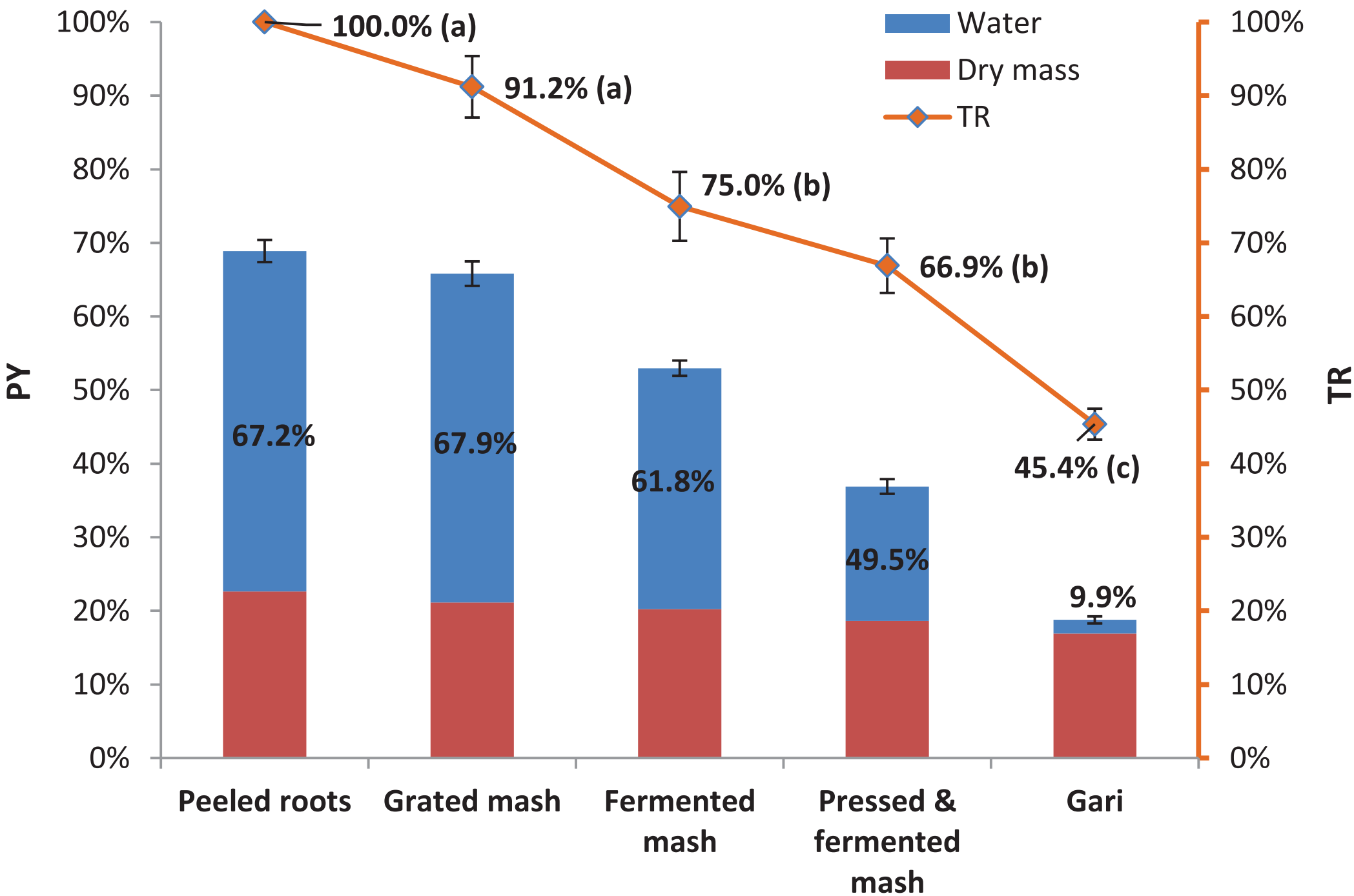
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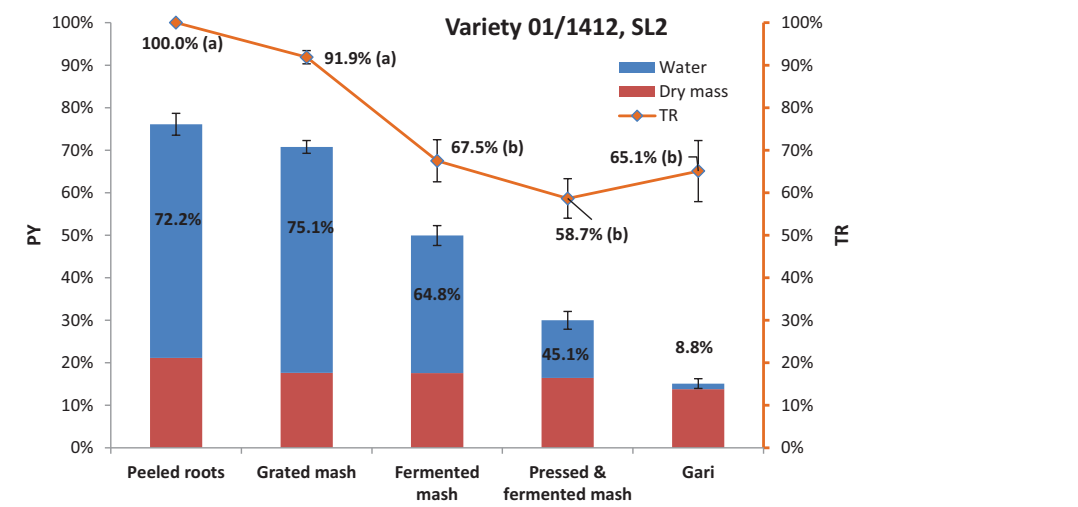
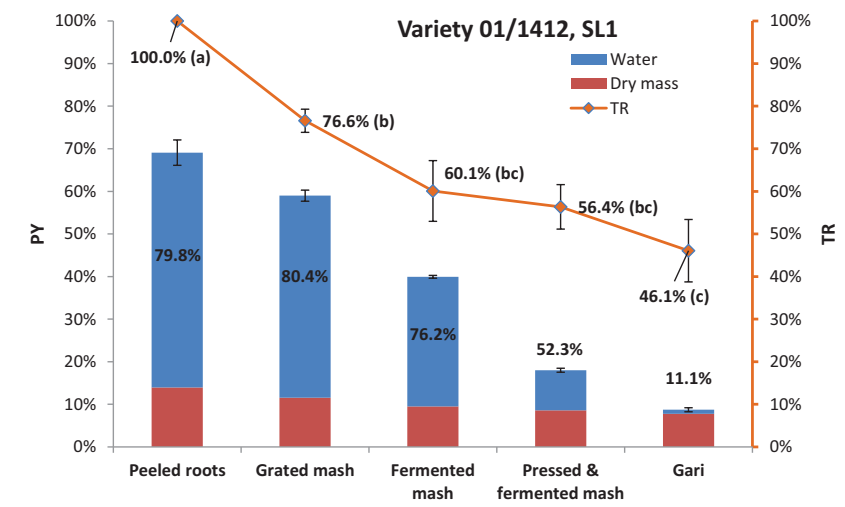
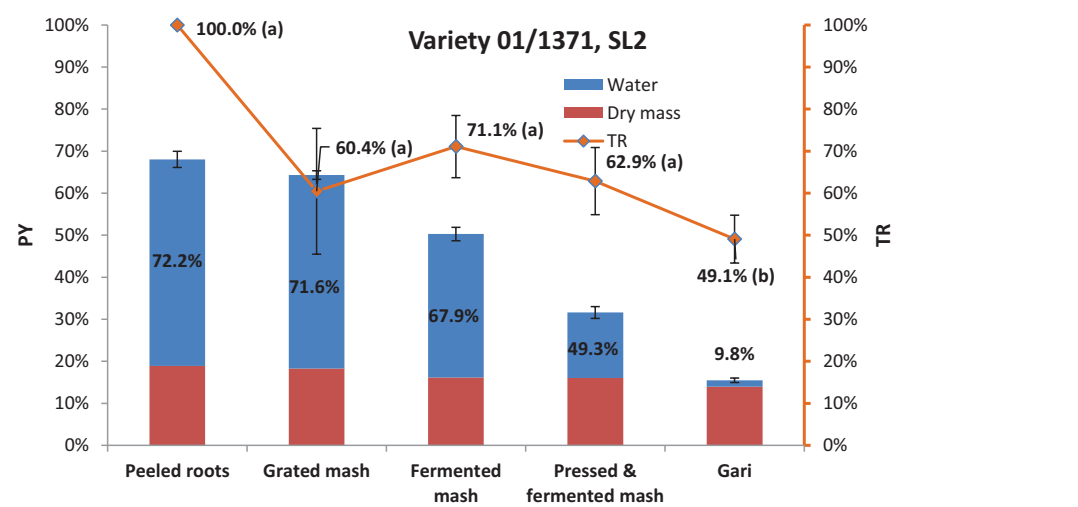
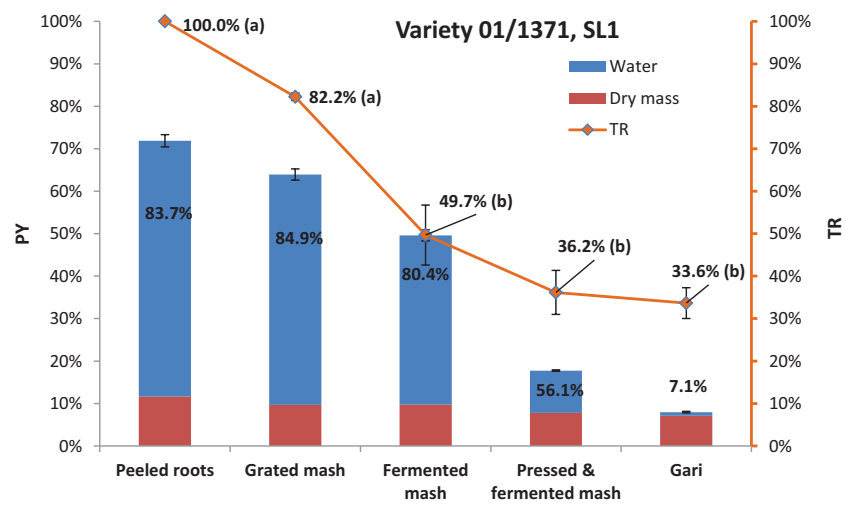
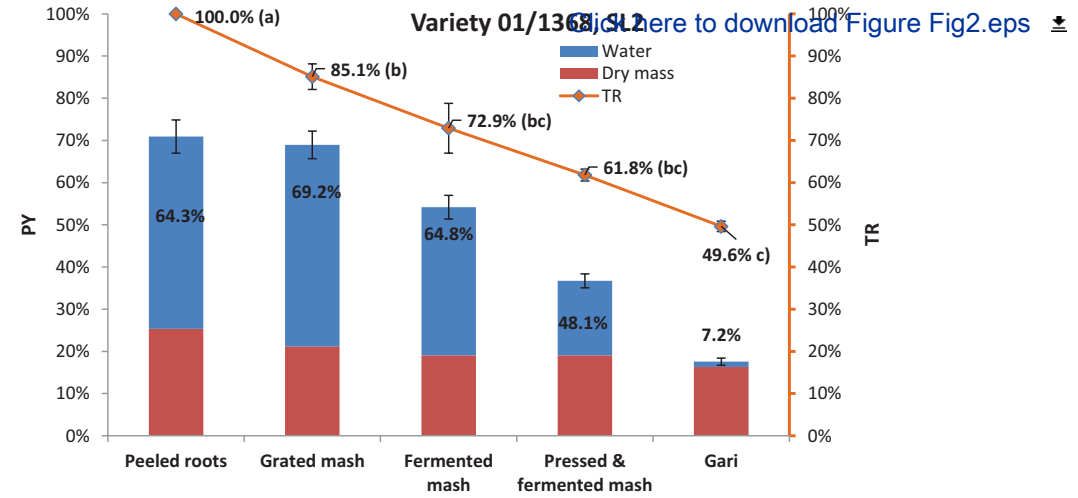
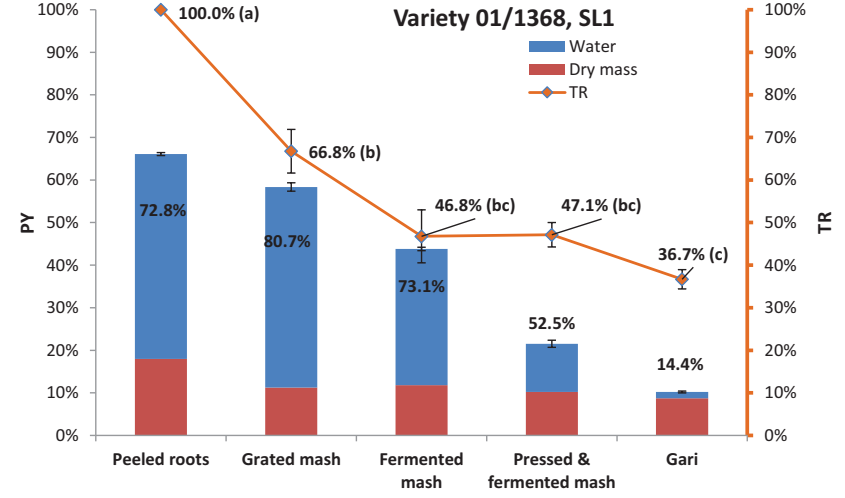
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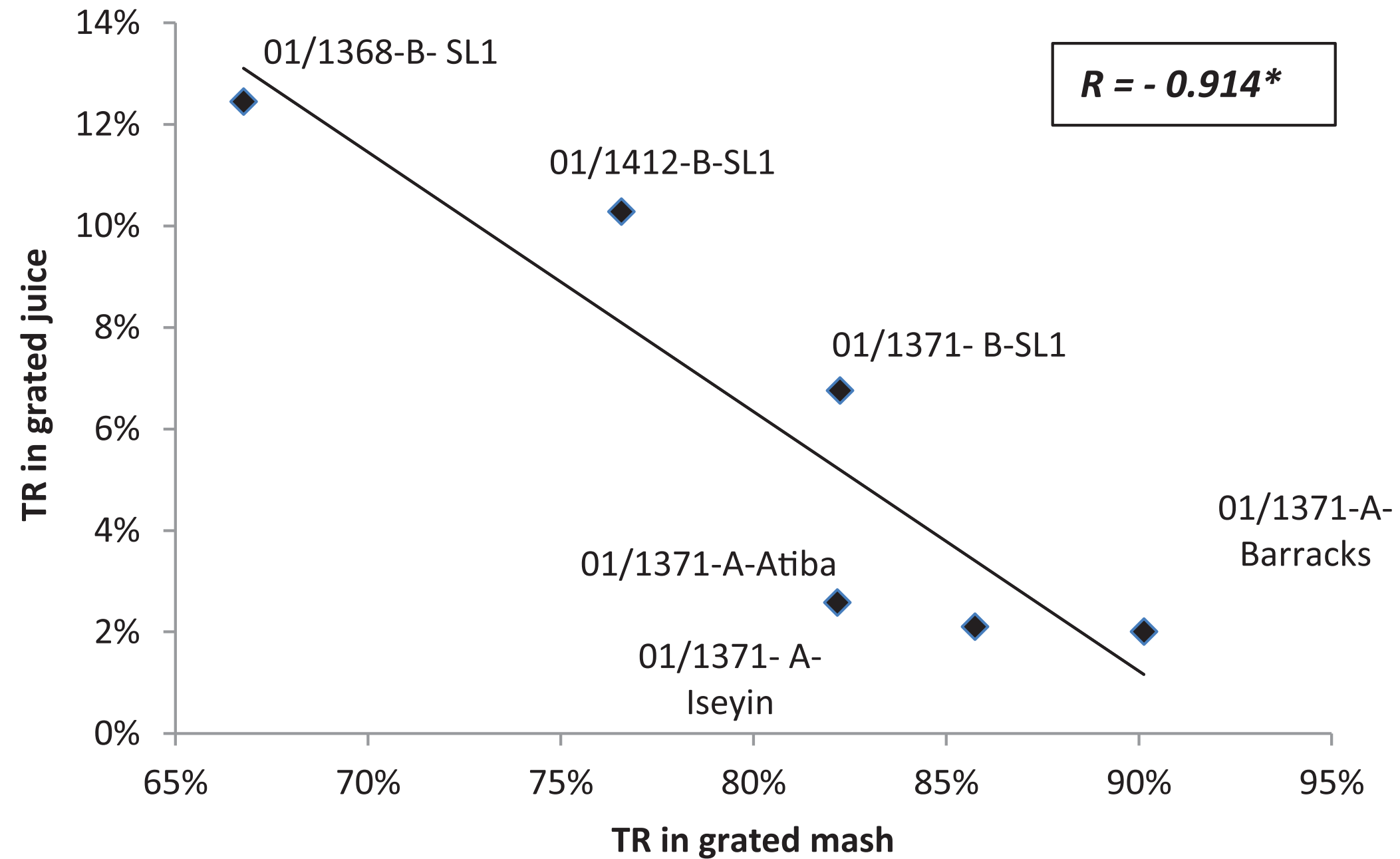
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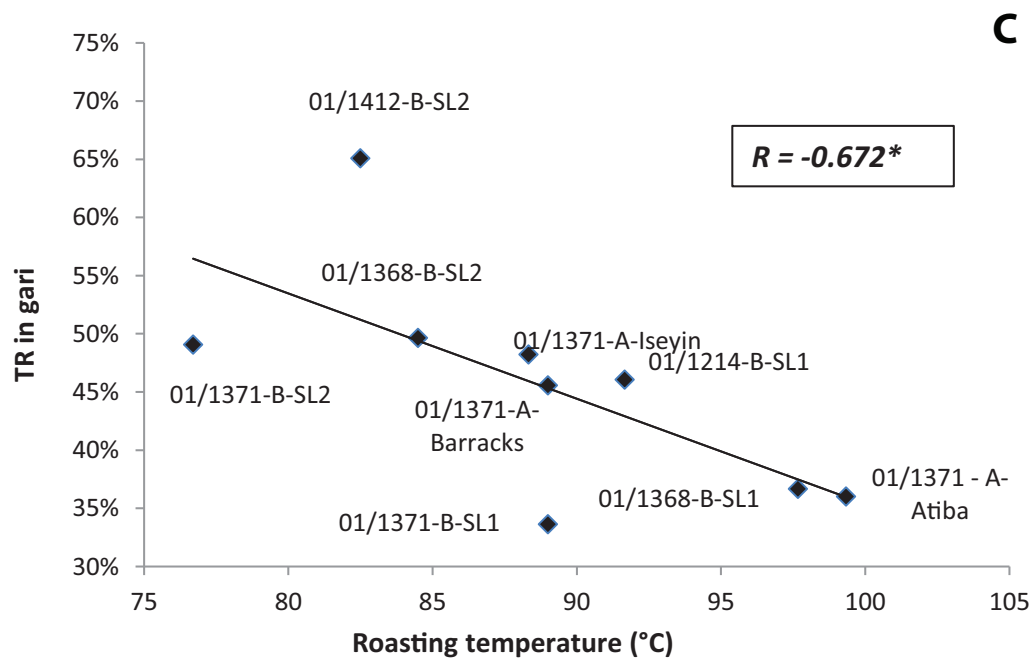
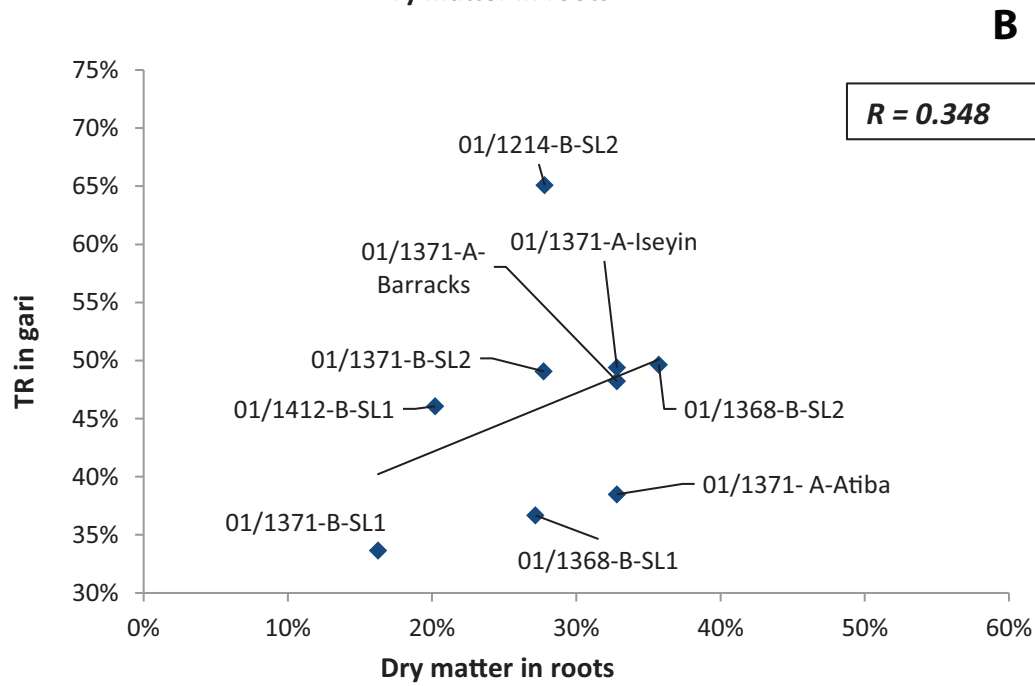
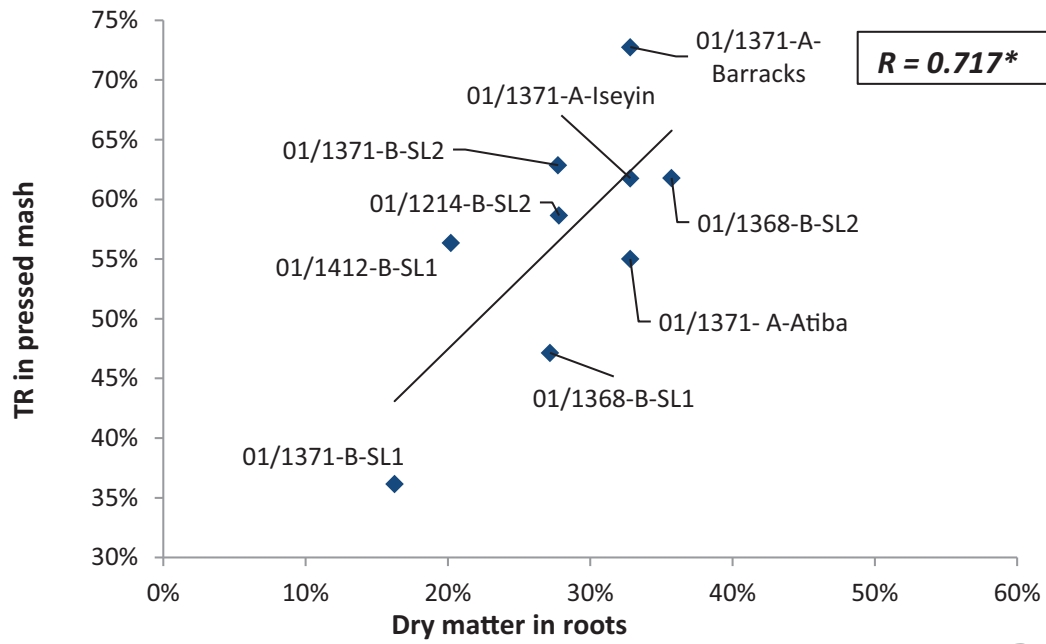


Figure











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1 **Physical losses could partially explain modest carotenoid**  
2 **retention in dried food products from biofortified cassava**

3  
4 **Short title: Physical carotenoid losses during biofortified cassava**  
5 **processing**

6  
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23

24 **Abstract**

25

26 Gari, a fermented and dried semolina made from cassava, is one of the most common foods  
27 in West Africa. Recently introduced biofortified yellow cassava containing provitamin A  
28 carotenoids could help tackle vitamin A deficiency prevalent in those areas. However there  
29 are concerns because of the low retention of carotenoids during gari processing compared to  
30 other processes (*e.g.* boiling). The aim of the study was to assess the levels of true retention  
31 in *trans*- $\beta$ -carotene during gari processing and investigate the causes of low retention.  
32 Influence of processing step, processor (3 commercial processors) and variety (TMS 01/1371;  
33 01/1368 and 01/1412) were assessed.

34 It was shown that low true retention (46% on average) during gari processing may be  
35 explained by not only chemical losses (*i.e.* due to roasting temperature) but also by physical  
36 losses (*i.e.* due to leaching of carotenoids in discarded liquids): true retention in the liquid  
37 lost from grating negatively correlated with true retention retained in the mash ( $R = -0.914$ ).  
38 Moreover, true retention followed the same pattern as lost water at the different processing  
39 steps (*i.e.* for the commercial processors). Variety had a significant influence on true  
40 retention, carotenoid content, and *trans-cis* isomerisation but the processor type had little  
41 effect. It is the first time that the importance of physical carotenoid losses was demonstrated  
42 during processing of biofortified crops.

43

44 Key-words: biofortified cassava; carotenoids; gari; true retention; moisture removal; leaching  
45 of soluble solid

46

## 47 **Introduction**

48

49 An insufficiency of vitamin A in the diet results in vitamin A deficiency (VAD). VAD is  
50 responsible for night blindness, increased susceptibility to infections, impaired growth and  
51 development and remains a major public health issue in many developing countries, with  
52 children and pregnant/lactating women being the most vulnerable [1]. Cassava is a major root  
53 crop in Low and Middle Income Countries [2]. In Nigeria, the most densely populated  
54 country in Africa and the world largest cassava (*Manihot esculenta Crantz*) producer, the  
55 prevalence of low serum retinol among children 0-59 months of age is 30% [1]. The  
56 consumption of cassava is high, being approximately 600 grams per person per day (fresh  
57 weight) on average [3]. Hence the introduction of biofortified cassava varieties with yellow  
58 coloured roots that contain significant ~~Hence the introduction of biofortified yellow coloured~~  
59 ~~cassava varieties that contain significant~~ amounts of provitamin A carotenoids (pVACs) gives  
60 strong hope that these biofortified cassava varieties could tackle VAD in West Africa and  
61 other developing countries [4, 5].

62

63 Gari, a fermented granulated food - that may have prebiotic [6] or probiotic [7-9] beneficial  
64 activity - is the most popular food product made from cassava in Nigeria and West Africa and  
65 its production represents two thirds of the cassava grown [3, 10]. When made from  
66 biofortified cassava, gari has a distinct yellow colour and is visually similar to a type of local  
67 gari made with added palm oil that is well accepted in some parts of Nigeria [11, 12].

68

69 Measuring the retention of provitamin A during processing is critical in order to ensure that  
70 the biofortified food retains sufficient pVACs and hence has health benefits for the people  
71 who will consume it. The determination of True retention (TR) is important because it takes



72 into account the changes in the weight of food during cooking (for example, water loss;  
73 losses of soluble solids) and gives a fairer estimate of the actual carotenoid retention during  
74 the process. However, TR is more complex to determine than simple carotenoid content  
75 because it requires the weight of the product (*e.g.* cassava made into gari) to be followed  
76 throughout processing.

77  
78 Processing cassava into foods such as gari usually involves several processing steps due to  
79 the need to remove the cyanide content inherent to the root [10], reduce the water content,  
80 and ferment in order to develop the desired product characteristics. A challenge faced with  
81 such lengthy processes and with biofortified crops such as yellow cassava is that pVACs are  
82 chemically unstable molecules that can be degraded during processing and storage. Chemical  
83 loss occurs through two different mechanisms: 1) *trans-cis* isomerisation and 2) oxidation.  
84 Chemical degradation is typically caused by temperature, oxygen and light exposure [13].  
85 The mechanisms of temperature and oxygen degradation were described in the case of  
86 storage of dried orange fleshed sweet potato [14, 15]. As well as being chemically degraded,  
87 pVACs can be physically lost during processing (*i.e.* in moisture removed from the product)  
88 but less is known about the extent of these losses and their impact.

89  
90 Higher reduction of pVACs from biofortified yellow cassava during gari production  
91 compared to most other processes such as boiling, oven drying, and frying has been  
92 demonstrated by several authors [16-23]. However studies on gari retention were conducted  
93 under experimental conditions either in a laboratory or in a relatively small scale processing,  
94 or with insufficient levels of details at processing steps. In a research work on gari in Nigeria  
95 [20], changes in total carotenoid content were reported at different stages of gari processing  
96 on an on-station processing plant with small quantities of roots (10kg) and no processing

97 replicates; the levels of true retention (TR) were not reported. In another study [21], working  
98 on a similar scale and setting than the previous study [20], TR of total carotenoids in the final  
99 product (gari) was 45% on average for three cassava varieties processed in triplicate, but the  
100 TR levels at the different processing steps were not indicated. Thakkar et al. [22] determined  
101 the different carotenoids present and their concentration in a laboratory-scale experiment.  
102 Although the authors indicated that TR was 51% on average for three yellow-fleshed  
103 varieties, TR levels were not broken down for the different processing steps. Chavez et al.  
104 [16] also studied carotenoid retention during gari production in the laboratory and reported  
105 that TR of *trans*- $\beta$ -carotene was 34% for three cultivars with three replications. However gari  
106 was fermented for 7 days which is longer than fermentation times in West Africa (typically 2-  
107 3 days). *Trans*- $\beta$ -carotene contents and retention were determined by Failla et al. [18] in a  
108 study on the retention of  $\beta$ -carotene in transgenic roots of yellow cassava. Conversely La  
109 Frano et al. [19] worked with a conventionally bred cassava variety from Nigeria (07/0593).  
110 Retention was approximately 40% in these studies under laboratory conditions but it is not  
111 known if the calculation of retention was based on the fresh weight of the sample and was  
112 indeed true retention (TR). In addition, in those studies [18-22], carotenoid losses were  
113 generally attributed to chemical factors such as isomerisation and oxidation and physical  
114 losses were not clearly mentioned.

115

116 It appears that there are gaps in knowledge on the levels of TR during processing of cassava  
117 into gari: previous research on the level of true retention (TR) of pVACs during gari  
118 processing has been mainly under set conditions and/or only on global TR therefore limiting  
119 the understanding of the factors responsible for carotenoid loss. In addition there has been  
120 little investigation on the importance of physical losses of carotenoids. What is now required  
121 is a study to understand better the factors responsible for carotenoid loss that include an

122 investigation of physical losses. This knowledge could ultimately lead to a reduction of  
123 provitamin A carotenoid losses during processing of gari.

124  
125 In order to best understand conditions occurring in a field situation, our approach was to  
126 record the actual processing conditions rather than fixing these conditions; and measure the  
127 impact of field conditions on carotenoid retention. This is the first time that such an approach  
128 has been reported on carotenoid retention during gari processing.

129  
130 Using different processors and varieties is important because processing conditions vary from  
131 one processor to another and varieties also might give different responses. Additionally we  
132 measured the carotenoid content and *trans-cis* isomerisation during processing in order to  
133 give a more complete picture of the changes in carotenoid during gari processing.

## 134 135 **Materials and Methods**

### 136 137 **Cassava root supply for experiments A and B**

138  
139 Roots of biofortified yellow varieties of the first wave (TMS 01/1371; 01/1368; and 01/1412)  
140 developed by IITA in collaboration with HarvestPlus were used in this study. No specific  
141 permissions were required because HarvestPlus/IITA had the authorisation to use those lands  
142 for research purposes. The study did not involve endangered or protected species.

143  
144 There were two types of experiments: an experiment with commercial gari processors  
145 (Experiment A) and a varietal trial conducted with three different varieties over two seasons  
146 and locations (Experiment B) (Table 1).

147 **Table 1. Parameters recorded during gari processing for Experiments A<sup>a</sup> and B<sup>b</sup>**

Experiment		A			B (SL1)			B (SL2)		
Variety	01/1371	01/1371	01/1371	01/1368	01/1371	01/1412	01/1368	01/1371	01/1412	
Place	Atiba	Barracks	Iseyin		IITA			IITA		
<b>pH after fermentation</b>	4.2±0.0bc	4.9±0.0d	4.1±0.0ab	4.0±0.0ab	4.4±0.1c	3.9±0.1ab	4.0±0.0ab	3.9±0.0ab	3.8±0.1a	
<b>Temperature after fermentation (°C)</b>	25.2±0.9bc	25.0±0.8bc	26.1±1.1d	25.7±0.6cd	25.7±1.2cd	27.7±1.2a	22.8±0.0ab	23.0±0.3ab	22.4±0.9a	
<b>Time (h)</b>	<b>Peeling</b>	0.28±0.05ab	0.79±0.19c	0.31±0.03ab	0.30±0.02ab	0.20±0.01a	0.27±0.03a	0.65±0.03bc	0.54±0.16abc	0.44±0.21abc
	<b>Washing</b>	No	0.09±0.02a	0.09±0.02a	0.06±0.01a	0.06±0.01a	0.06±0.02a	0.06±0.02a	0.07±0.03a	0.04±0.01a
	<b>Grating</b>	0.04±0.02a	0.05±0.00ab	0.03±0.01a	0.12±0.01c	0.11±0.01c	0.09±0.02bc	0.03±0.00a	0.03±0.01a	0.03±0.01a
	<b>Fermenting</b>	46.62±0.15e	3.11±0.60a	66.58±0.12f	43.12±0.20cd	42.59±0.13bc	43.86±0.34cd	42.3±0.02bc	41.94±0.12b	42.79±0.12c
	<b>Pressing</b>	1.19±0.10a	1.50±0.00ab	1.38±0.00ab	1.68±0.35bc	1.92±0.00c	1.20±0.00a	3.50±0.00d	3.50±0.00d	3.50±0.00d
	<b>Sifting</b>	0.02±0.00a	0.24±0.03c	0.02±0.00a	0.04±0.01ab	0.05±0.00ab	0.06±0.02b	0.02±0.00a	0.02±0.00a	0.02±0.00a
	<b>Roasting</b>	0.43±0.05b	1.42±0.08d	0.68±0.04bc	0.23±0.01a	0.23±0.01a	0.22±0.01a	0.59±0.10bc	0.78±0.18c	0.57±0.09bc
	<b>Sieving</b>	0.07±0.02a	0.04±0.01a	0.08±0.08a	0.03±0.00a	0.03±0.00a	0.03±0.00a	0.12±0.06a	0.09±0.01a	0.08±0.04a
<b>Equipment</b>	<b>Grater</b>	Diesel-powered rotating grating machine - locally fabricated	Electricity or diesel-powered rotating grating machine	Diesel-powered rotating grating machine - locally fabricated	Diesel-powered rotating grating machine, Dandrea Agriport Industrias Maquinas d'Andrea (Brazil)					
	<b>Press</b>	Hydraulic jack type	Hydraulic jack type	Screw jack manual type locally made	32t -hydraulic jack type with wooden platforms					
	<b>Roaster</b>	Rectangular pan made from iron	Two round pans made from iron	Rectangular pan made from iron	Rectangular pan made from stainless steel iron with chimney					

148 Data are average ± standard deviation. Each process was conducted in triplicate: <sup>a</sup>Triplicate 50kg of roots of one variety of yellow cassava TMS 01/1371 were processed into  
149 gari at three commercial gari processors (Atiba, Barracks and Iseyin) (Experiment A) and <sup>b</sup>Triplicate 25kg of roots of three different varieties of yellow cassava (01/1368;  
150 01/1371; 01/1412) grown in two different seasons/locations (S1 and S2) were processed into gari at the IITA research station (Experiment B). Fermented mash was not  
151 collected at the Barracks. Different letters in rows are significantly different data at p<0.05 (Tukey test; One-Way ANOVA).

152 In Experiment A, only one variety of biofortified cassava (TMS 01/1371) was used. The  
153 initial raw material was the same for all of the commercial processors. The root supply  
154 (500kg of roots) was from a field belonging to HarvestPlus from at Ikenne (6°86N, 3°71E)  
155 [24]. TMS 01/1371 roots were harvested approximately 12 months after planting.

156  
157 In the varietal trial (Experiment B), three varieties of biofortified cassava (TMS 01/1214;  
158 TMS 01/1368 and TMS 01/1371) were grown at two different seasons on separate locations.  
159 Having different locations and different seasons was useful to appreciate concomitant  
160 variation in the field and across seasons. The three varieties for the first season (SL1) (warm  
161 season) were grown on a field owned by IITA/HarvestPlus at the IITA research station in  
162 Ibadan (7°38N, 3°89E) [24]. These three varieties (about 100kg per variety) were harvested  
163 approximately 12 months after planting in September 2012. In the second season (SL2) (cold  
164 season), the three varieties were planted and harvested (about 100kg per variety) from Liji  
165 Farms, Ilero (8°40N, 3°21E) in July 2013. For logistical reasons Experiment B was  
166 conducted on a processing plant located in a research station. However the processing  
167 conditions and equipment were not very different to those used in Experiment A. However  
168 the pIn Experiments A and B, p processing conditions were recorded the same way, by  
169 observation of local processors' practices. and equipment were not very different to  
170 Experiment A and conditions were recorded the same way by observation of local processors  
171 'practices.

172

## 173 **Processing of roots**

174

175 Roots were processed on the day after the harvest. Each manufacture was carried out in  
176 triplicate.

177 In Experiment A, harvested roots from one variety (01/1371) were divided into the three  
178 different commercial processors (50kg processed in triplicate per processor) located in Oyo  
179 State, Nigeria. These were a) Atiba in Oyo (about 1h drive north from the International  
180 Institute for Tropical Agriculture (IITA)); b) Army Barracks in Ibadan, Ogo Oluwa Centre  
181 (less than 0.5h drive from IITA), and c) Crown Centre, Iseyin (about 1.5h drive north from  
182 IITA). These processors were selected by the Agricultural Development Program in Nigeria  
183 on the basis of having distinctive practices that were representative of the variability of  
184 processes existing in Oyo state.

185 Processing of roots for the three processors was initiated on the same day and under the same  
186 conditions of ambient temperature/humidity (27°C/70% on average).

187 In Experiment B, roots from three varieties (01/1371; 01/1368; 01/1412) were processed at  
188 the IITA processing unit (25kg in triplicate per variety). Roots for the three varieties were  
189 processed at the same time and therefore under the same weather (temperature/humidity)  
190 conditions.

191  
192 The processing stages were the same for Experiments A and B: roots were peeled manually  
193 and washed with clean water to remove soil and particles. The peeled roots were then  
194 mechanically grated using a petrol engine-driven grater, packed into a polypropylene bag and  
195 left to ferment at ambient temperature. At the end of fermentation, mash in a woven bag that  
196 allowed water to drain was pressed using a hydraulic or manual press. The pressed mash was  
197 disintegrated (using the petrol engine-driven grater) in order to separate agglomerated  
198 particles. The sifted mash was then toasted in a steel pan heated by fire wood. Roasted  
199 granules that had been cooled down at ambient temperature for a few minutes were then  
200 manually sieved (4-5mm aperture sieve). Processing conditions were monitored in the field  
201 situation: a step-by-step observation and recording of the quantities, ambient

202 temperature/humidity, length of time, pH values and temperature of the mash before and after  
203 fermentation and roasting temperature were carried out.

204

### 205 **Observation of the traditional processing practices**

206 There were variations in the equipment and in practices; in particular between the  
207 commercial processors (Atiba, Barracks, Iseyin) (Experiment A) (Table 1). Atiba processors  
208 did not wash roots prior to peeling contrary to the other two processors. Fermentation time  
209 was significantly different for the three commercial processors and this significantly  
210 influenced pH value: the time of fermentation was the shortest at the Barracks (3h; pH= 4.9);  
211 2 days at Atiba (47h; pH= 4.2) and 3 days (66h; pH= 4.1) at Iseyin. A manual press was used  
212 by Atiba and Barrack processors whilst those in Iseyin used a screw jack type- manual press.  
213 Sifting was done using a mechanised grater in Atiba and Iseyin whilst at the Barracks sifting  
214 was done by hand using a 4-5mm aperture-sieve. Atiba and Iseyin processors used non-  
215 stainless plates for roasting whilst at the Barracks, sifted mash was roasted in round shaped  
216 pans. Roasting time varied between 0.22and 1.42h.

217

218 In Experiment B, variations were minimal between the three varieties (these were processed  
219 by the same team), and this means that the varietal effect can be measured independently.  
220 There were however a few differences between processing in SL1 and SL2: in SL2 peeling,  
221 pressing and roasting times were significantly longer. Differences may be explained by  
222 difference in operators (e.g. peeling ability), root moisture content, and season: in particular,  
223 the average temperature of the mash after fermentation was lower in the cold season (SL2;  
224 23°C) compared to the warm season (SL1; 26°C), and this may explain why pressing and  
225 roasting would have taken more time in the cold season.

226

227

## 228 **Analytical measurements**

229

230 Samples were weighed during processing using a digital scale (EHF-203 Series Digital  
231 Hanging Scales, Scales of the World, Milton Keynes, UK) with a maximal load of 50.0 kg. In  
232 addition, the whole quantity of liquid lost from grating ('liquid from grated mash' or also  
233 locally known as 'grated juice') was collected in a basin separately to the mash and the  
234 quantity of liquid was weighed immediately after the grating process (to limit risks of  
235 evaporation and hence change in liquid quantity). The pH value was measured after  
236 fermentation using Hannah waterproof pH meter with dual LCD (Hannah Instruments,  
237 Leighton Buzzard, UK). Samples (10.0g) were weighed into a clean and dry container using  
238 an electronic balance (CS5000, Ohaus, I Parsippany, NJ, USA – maximal weight 5kg.  
239 ~~precision-readability 2g1g) into a clean and dry container~~. Double the amount (=20.0g) of  
240 distilled water was added and the sample stirred. The electrode of the pH meter was cleaned  
241 before pH value was recorded in the sample. An infra-red thermometer (RayTemp® 3, ETI,  
242 Worthing, UK) was used to measure product temperature. Time was recorded using the  
243 digital time on the mobile phone. Ambient temperature and humidity were recorded  
244 throughout processing using Tinytalk Ultra 2 device (RS Components Ltd, Northants, UK).

245

## 246 **Sample collection**

247

248 Representative samples (100-150g) (peeled roots; grated mash; liquid from<sup>2</sup>grated  
249 juice<sup>2</sup>mash; fermented mash; fermented and pressed mash and sieved gari) were collected for  
250 moisture and carotenoid content determination. The peeled roots were collected as follows:



251 three average-size roots were collected, peeled, quartered, ~~and~~ chopped and mixed according  
252 to the method by Rodriguez-Amaya & Kimura [13].

## 254 **Sample storage and transport**

255  
256 Precautions were taken to keep samples as cool as possible and protect them from direct light  
257 exposure during collection and transport. Immediately after collection in the field, samples  
258 from each stage in the process were stored in good quality zip bags (heavy duty zipper LPDE  
259 152 x 330) in a thermo insulated cool box packed with frozen gel. Samples of the liquid from  
260 grated mash were collected in 50ml polypropylene sample tubes hermetically closed with a  
261 screw top. Three liquid samples in SL2 were missing for collection. On return from the field  
262 each day, samples were placed in the freezer (-20°C). (-aside freeze-drying, freezing is the  
263 best way of preserving carotenoids for analysis. The texture of the product can be changed by  
264 freezing but the total water content will be preserved). Samples were maintained frozen  
265 during air freight to the UK and stored in the freezer (-20°C) immediately upon arrival. Prior  
266 to carotenoid analysis, samples were allowed to thaw overnight in the fridge-refrigerator  
267 (8°C).

## 268 **Carotenoid analysis**

270  
271 The extraction stage was adapted from a previous method [25]. Analyses were carried out at  
272 NRI, UK. Dried samples (100-150g) (i.e. pressed mash and gari) were rehydrated for 10 min.  
273 in 10 ml deionised water. Fresh samples (i.e. peeled and chopped roots) were homogenised  
274 into a puree using a mechanical food blender (Kenwood type) and extracted without  
275 rehydration. In brief, a portion of the homogeneous representative sample (0.6-3.0g

276 depending on the concentration of carotenoid and moisture in the sample) was homogenised  
277 with 50mL methanol:tetrahydrofuran (THF) (1:1) for 1 minute and filtered. The homogenised  
278 extract was rinsed with methanol:THF (1:1) until there was no yellow colour left in the  
279 filtrate. Partition between the aqueous phase and organic phase containing the carotenoids  
280 was achieved by addition of petroleum ether (PE 40-60° C) and NaCl solution (10%). The PE  
281 phase was further washed with deionised water, dried by addition of anhydrous sodium  
282 sulphate, then filtered and made up to volume (25 ml). Extracts were then dried by flushing  
283 with nitrogen in a dry block system at 35° C. Dried extracts were dissolved in 500 µl THF:  
284 Methanol (1:1). After vortexing, dissolved extracts were collected into a vial with septum for  
285 HPLC analysis. A reverse-phase high performance liquid chromatography using an Agilent  
286 1200 system (UK) was used with a polymeric C30 reverse phase column (250 x 4.6 mm i.d.  
287 5µm YMC (EUROP GmbH, Dinslaken, Germany) having a flow rate of 1 ml.min<sup>-1</sup> a  
288 temperature of 25°C, a running time of 40 minutes and an injection volume of 10µl. The  
289 isocratic mix consisted of Methanol: MTBE (80:20). Detection of compounds was performed  
290 at 450nm. Concentrations on a fresh weight basis were determined by comparison to a  
291 standard curve using pure *trans*-β-carotene (Sigma, Dorset, UK). Percentages of *cis*-isomers  
292 and other minor compounds were also determined [26]. Molecular mass of *trans*-β-carotene  
293 (C<sub>40</sub>H<sub>56</sub> = 536.87 g.mol<sup>-1</sup>) is identical to that of 9-*cis* and 13-*cis* of the same chemical  
294 formula (C<sub>40</sub>H<sub>56</sub>). Using a standard made with *trans*-β-carotene may therefore not make a  
295 difference in terms of the concentration of *cis*-isomers.

296

### 297 **True retention (TR)**

298

299 True retention of *trans*-β-carotene (TR) was calculated according to Rodriguez-Amaya &

300 Kimura [13]:

301

302

$$TR(\%) = 100 \times \frac{\text{trans-}\beta\text{-carotene content per kg of processed sample} \times \text{weight of processed sample (kg)}}{\text{trans-}\beta\text{-carotene content per kg of peeled roots} \times \text{weight of peeled roots (kg)}}$$

303 *Trans-β-carotene loss is: 1 – TR(%) .*

304

305 True retention (TR) was calculated at the different steps of processing. The value in  
306 processed sample is expressed relative to the value of *trans-β-carotene* before processing  
307 (peeled roots). TR is based on the initial carotenoid quantity of the peeled roots (100%).

308

### 309 **Dry matter determination**

310

311 Samples were collected and analysed for dry matter determination, at the same time as for  
312 carotenoid analysis. Determinations were made by drying triplicate 5 g samples at 105 °C to  
313 constant weight (minimum 24h) [27]. Moisture content (%) is defined as: 1- dry matter  
314 content.

315

### 316 **Product yield (PY)**

317

318 Product yield (PY) remaining at each step of processing was calculated by weighing the  
319 samples at the different steps of processing and dividing by the initial weight of unpeeled  
320 roots (50kg or 25kg).

$$321 \quad PY(\%) = 100 \times \frac{\text{weight of sample during processing (kg)}}{\text{initial sample weight (kg)}}$$

322 Product yield (PY) is the percentage mass of the product that remains after each step and  
323 based on the initial mass of unpeeled roots (100%).

324

## 325 **Statistical analysis**

326

327 Data were processed on SPSS 23.0 software for Windows using analysis of variance  
328 (ANOVA) and correlation test. Significant differences between data were assessed by a  
329 Tukey HSD test ( $p < 0.05$ ). Significance of correlations was tested using a two-tailed Pearson  
330 test ( $p < 0.05$ ).

331

332

## 333 **Results and Discussion**

334

### 335 **Observation of the traditional processing practices**

336 ~~There were variations in the equipment and in practices; in particular between the~~  
337 ~~commercial processors (Atiba, Barracks, Iseyin) (Experiment A) (Table 1). Atiba processors~~  
338 ~~did not wash roots prior to peeling contrary to the other two processors. Fermentation time~~  
339 ~~was significantly different for the three commercial processors and this significantly~~  
340 ~~influenced pH value: the time of fermentation was the shortest at the Barracks (3h; pH= 4.9);~~  
341 ~~2 days at Atiba (47h; pH= 4.2) and 3 days (66h; pH= 4.1) at Iseyin. A manual press was used~~  
342 ~~by Atiba and Barrack processors whilst those in Iseyin used a screw jack type manual press.~~  
343 ~~Sifting was done using a mechanised grater in Atiba and Iseyin whilst at the Barracks sifting~~  
344 ~~was done by hand using a 4-5mm aperture sieve. Atiba and Iseyin processors used non-~~  
345 ~~stainless plates for roasting whilst at the Barracks, sifted mash was roasted in round shaped~~  
346 ~~pans. Roasting time varied between 0.22 and 1.42h.~~

347

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In Experiment B, variations were minimal between the three varieties (these were processed by the same team), and this means that the varietal effect can be measured independently. There were however a few differences between processing in SL1 and SL2: in SL2 peeling, pressing and roasting times were significantly longer. Differences may be explained by difference in operators (e.g. peeling ability), root moisture content, and season: in particular, the average temperature of the mash after fermentation was lower in the cold season (SL2; 23°C) compared to the warm season (SL1; 26°C), and this may explain why pressing and roasting would have taken more time in the cold season.

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## True retention during gari processing

### Experiment A

Product Yield (PY) and True Retention (TR) during gari processing of the TMS 01/1371 variety at three commercial gari processors (Experiment A) are presented in Fig. 1.

#### Fig. 1. Schematic representation<sup>a</sup> of true retention of trans- $\beta$ -carotene (TR) during gari processing - Experiment A

<sup>a</sup>Average and standard error (error bar) for 1 yellow cassava variety TMS 01/1371 at 3 commercial processors. Data for the three locations being Atiba, Barracks, Iseyin (Oyo State, Nigeria) are in triplicate for each location (n=9). TR are represented in relation to the product yield (PY), dry mass and moisture. Different letters (a, b, c) indicate significant differences in TR between the steps of processing (ANOVA, Tukey test;  $p < 0.05$ ). Product moisture content (%) is indicated in the blue area. The red area represents the dry mass of the product during processing.

The cassava product is schematically represented as being partially composed of dry mass (dry part of the product) (DM) and of water or moisture.

There was no significant difference between TR in the three commercial processors (One-way ANOVA;  $p < 0.05$ ). Hence each data point presented in Fig. 1 is of the three processors combined and in triplicate (n=9). The lack of overall difference in TR between the processors

378 in spite of the different processing durations is an interesting finding because it shows that  
379 variation in processing parameters might not be preponderant for the degradation in  
380 carotenoids. In particular variation in fermentation length at the three commercial processors  
381 (3h, 47h, and 66h) did not significantly impact carotenoid degradation and this was in  
382 accordance with Thakkar et al. [22] and also with Onadipe Olapeju [28] who worked with the  
383 same cassava varieties in Nigeria.

384  
385 On the other hand, there was a significant influence of the processing steps on TR (ANOVA,

386 Tukey test;  $p < 0.05$ ). Degradation of *trans*- $\beta$ -carotene during gari processing followed a  
387 gradual loss with main losses (1- TR) occurring at fermentation and roasting. TR was not  
388 significantly different between peeled roots; ~~and~~ grated mash and fermented mash (100%,  
389 ~~and 86.09~~ 1.2%, ~~and 68.7%~~ respectively), fermented mash and pressed mash (75.0% and  
390 66.9% respectively), and gari had significantly lower TR (45.4%) than the ~~pressed mash~~  
391 ~~(63.2%) but TR in the fermented and pressed mash did not differ other products.~~ This shows  
392 that the loss of water during pressing did not have a significant effect on TR and this may be  
393 because the mash during pressing was not very concentrated in carotenoids. In summary,  
394 degradation of *trans*- $\beta$ -carotene during gari processing followed a gradual loss with main  
395 losses (1-TR) occurring at fermentation and roasting.

396  
397 TR at the final step, in gari (45.4% on average) was in accordance with previous retention  
398 studies on gari [16, 18, 19, 21, 22]; this would confirm that retention at commercial  
399 processors is similar to that found at smaller scales or laboratory conditions. Fig.1 clearly  
400 shows that gari processing is essentially a water removal process: during processing of  
401 cassava into gari, dry mass only slightly decreased (from 22.6% to 16.9%), whilst the

402 moisture content was greatly reduced (from 67.2% to 9.9%) as well as PY (from 68.9% to  
403 18.8%).

404

## 405 **Experiment B**

406

407 The influence of variety and season/location (SL) were explored (Experiment B). Variety and  
408 season/location (SL) both had significant influence on TR (ANOVA, Tukey test;  $p < 0.05$ )  
409 therefore the data were presented in separate graphs for the three varieties (01/1371; 01/1368,  
410 and 01/1412) and the two seasons/locations in years 1 and 2 (SL1 and SL2) (Fig. 2).

### 411 **Fig. 2. Schematic representation<sup>a</sup> of true retention of trans- $\beta$ -carotene (TR) during gari 412 processing - Experiment B**

413 <sup>a</sup>Average and standard error (error bar) are for 3 yellow cassava varieties TMS 01/1368; 01/1371; 01/1412  
414 processed in triplicate ( $n = 3$ ) at 2 different seasons/locations (SL1 and SL2). TR are represented in relation to  
415 the product yield (PY), dry mass and moisture.  
416 Different letters (a, b, c) indicate significant differences in TR between the steps of processing (ANOVA, Tukey  
417 test;  $p < 0.05$ ). Product moisture content (%) is indicated in the blue area. The red area represents the dry mass  
418 of the product during processing.

419

420

421 It should be noted that in this experiment we were not able to separate out the effects of  
422 season and location because both varied from year 1 to year 2 but the additional variability is  
423 more representative of the field situation for gari processing as processors will experience  
424 concomitant seasonal and location variations.

425

426 On average, TR in gari was ~~much~~ lower in SL1 than in SL2 (38.8%, and 54.6% on average,  
427 respectively). Hence there was an important influence of the season/location. The difference  
428 in TR between SL1 and SL2 might be explained by the difference in root moisture content  
429 that was ~~much~~ higher in SL1 than in SL2 (78.8% and 69.6% on average, respectively). As a  
430 consequence, ~~gari~~ yield ~~that~~ was much lower in SL1 than in SL2 (PY = 9.0% and 16.1% on  
431 average, respectively) (Fig. 2). Amoah et al. [29] reported gari yields varying between 16 and

432 28% for gari from white cassava but yields for yellow cassava are known to be lower, as this  
433 was observed, in particular in SL1. Some authors have observed a linear relationship  
434 coexisting between loss in  $\beta$ -carotene during processing and initial dry matter content in  
435 roots: ~~working with~~when investigating dried orange-fleshed sweet potato, Bechoff et al. [30]  
436 reported that moister roots (with a higher initial moisture content) had lower TR after drying.  
437 Ceballos et al. [31] similarly showed that TR in boiled cassava was negatively correlated to  
438 moisture content in the roots and this is in accordance with our results. We explain it because  
439 gari processing is essentially a process where moisture is removed and therefore this affects  
440 the weight of the product and hence there is a correlation between TR, PY and moisture  
441 content.

442  
443 Variety also had a significant effect on TR (ANOVA, Tukey test;  $p < 0.05$ ): final TR (in gari)  
444 for TMS 01/1371 variety (33.6% (SL1) ;49.1% (SL2) being 41.4% on average) was not  
445 significantly different from that of 01/1368 variety (36.7% (SL1); 49.6% (SL2) being 43.2%  
446 on average) but significantly lower from that of 01/1214 variety (46.1% (SL1) ;65.1% (SL2)  
447 being 55.6% on average). Maziya-Dixon et al. [21] working on three varieties of yellow  
448 cassava made into gari similarly reported varietal differences with TR for total carotenoids of  
449 38.1; 49.8; and 46.8% for TMS 01/1371; 01/1235 and 94/0006 varieties, respectively.  
450 However those losses were not directly related to differences in dry matter content as in our  
451 present study. Further work is needed to understand the respective influence of variety and  
452 initial root dry matter content on TR in gari.

453  
454 In addition to varietal and season/location (SL) influence, there was a strong influence of the  
455 processing step on TR (ANOVA;  $p < 0.05$ ; Tukey test) (Fig. 2). Most losses occur at the  
456 grating and fermentation steps (~40% loss) and the losses are less at the subsequent steps:



457 pressing and roasting (~15% additional loss). The global trend was that of a stepwise  
458 degradation as in Experiment A. Similarly to Experiment A, there were overall no significant  
459 differences in TR between fermented and pressed mash and this indicates that physical losses  
460 of carotenoids may not be significant during pressing.

461

### 462 **Exploring factors causing carotenoid degradation**

463

464 The datasets from experiments A and B were combined in order to investigate the factors  
465 influencing TR.

466

#### 467 **Grating**

468 There was a significant linear correlation ( $R = -0.914$ ) between TR in grated juice liquid<sup>2</sup>  
469 from grated mash (liquid lost at the grating step) and grated mash (Fig. 3).

#### 470 **Fig. 3. Relationships<sup>a</sup> between true retention of trans-β-carotene (TR) in grated 471 juice liquid from grated mash and in grated mash**

472 <sup>a</sup>Average of triplicate processed samples. Correlations were significant at  $p < 0.05$  (Pearson test, two-tailed).  
473 Values for three samples in SL2 are missing.

474

475

476 TR in grated juice liquid from grated mash was variable (between 2 and 13%) and the values  
477 indicate a significant loss in carotenoids in the juice liquid. The greater the loss of *trans*-β-  
478 carotene in mash the greater the retention in the juice liquid from grated mash. Because the  
479 grating step is of a short duration (2-5 minutes) (Table 1), environmental factors such as  
480 temperature and light were unlikely to cause a major loss in such a short time. Therefore it  
481 can be assumed that losses at the grating stage must result from physical losses. Visual  
482 observation of the yellow coloured juice liquid from the grating step also indicated a visible  
483 presence of carotenoids in the water (Fig 4). (The grey bowl on the left side of the picture

484 contains the 'liquid from grated mash' of orange colour whilst a remains of the 'grated mash'  
485 of pale yellow colour can be observed on and around the grating equipment).

486 **Fig. 4. “Liquid from grated mash Grated juice” freshly collected at the grating step.**

487 Source: Bechoff, A. 2012.

#### 489 **Fermenting, pressing & roasting**

490 Influence of different factors on TR at different steps of gari processing are presented in Fig.

491 5.

492 **Fig. 5. Relationships<sup>a</sup> between true retention of trans-β-carotene (TR) in pressed mash**  
493 **(A) and dry matter in roots; TR in gari and dry matter in roots (B); and TR in gari and**  
494 **roasting temperature (C)**

495 <sup>a</sup>Average of triplicate processed samples. \*Correlations were significant at  $p < 0.05$  (Pearson test, two-tailed).

497 The higher the root dry matter, the higher the TR in pressed mash ( $R=0.717$ ) [and also in  
498 fermented mash ( $R=0.677$  – data not shown)] (Fig. 5A). On the other hand but there was no  
499 significant correlation between dry matter and TR in gari ( $R= 0.348$ ) (Fig. 5B).

500 The importance of chemical factors such as roasting temperature on TR ( $R = - 0.672$ ) in  
501 illustrated in Fig. 5C: the higher the roasting temperature, the lower the TR in gari: on  
502 average for a 1°C increase in temperature, there was a 1% additional *trans*-β-carotene loss.

503  
504 Significant correlation between dry matter content and TR in pressed mash must result of the  
505 gari product yield (PY) that was higher in varieties with high dry matter content. However the  
506 weaker correlation between dry matter content and TR in gari shows that chemical factors  
507 during roasting could have influenced this relationship. It is suggested that roasting  
508 temperature has a significant impact on the degradation of carotenoids and this external factor  
509 could explain in part why the correlation between TR in gari and dry matter in roots was not  
510 significant. This study illustrates the complexity of separating the influence of physical and

511 chemical factors that would conjointly influence TR at some steps of gari processing (*i.e.*  
512 roasting).

513

#### 514 **Carotenoid content during gari processing**

515

516 In addition to the determination of true retention (TR), the determination of provitamin A  
517 carotenoid (pVAC) content in the product is critical since pVACs relate to the nutritional  
518 value of the product that will be eventually consumed by people who are in risk of suffering  
519 of VAD.

520

521 *Trans*- $\beta$ -carotene content was determined on a fresh weight basis at the different stages of  
522 processing.

523

#### 524 **Experiment A**

525 Overall there was no influence of the commercial processor (Experiment A) on the *trans*- $\beta$ -  
526 carotene content of the product (One-way ANOVA;  $p = 0.059$ ) (Table 2).

527

528 **Table 2. Main provitamin A carotenoid (pVAC) content ( $\mu\text{g}\cdot\text{g}^{-1}$  on a fresh weight basis) at different steps of processing into gari for**  
 529 **Experiments A<sup>a</sup> & B<sup>b</sup>**

Experiment	A				pVAC – Three varieties	B (SL1)				B (SL2)			
	Trans $\beta$ - carotene	13-cis- $\beta$ - carotene	9-cis- $\beta$ - carotene	Cis/ trans		Trans $\beta$ - carotene	13-cis- $\beta$ - carotene	9-cis- $\beta$ - carotene	Cis/ trans	Trans $\beta$ - carotene	13-cis- $\beta$ - carotene	9-cis- $\beta$ - carotene	Cis/ trans
<b>Atiba</b>					<b>TMS 01/1368</b>								
Peeled roots	6.21±	0.09±	1.33±	22.9±	Peeled roots	3.83±	0.97±	1.83±	73.1±	4.97±	1.51±	1.95±	69.6±
	0.39	0.01	0.04	0.8%		0.12	0.05	0.05	0.5%	0.45	0.13	0.25	5.4%
Grated mash	5.24±	0.09±	1.38±	28.1±	Grated mash	2.89±	0.75±	1.34±	72.5±	4.34±	0.63±	1.60±	51.2±
	0.06	0.01	0.06	1.3%		0.31	0.08	0.16	2.5%	0.16	0.64	0.09	12.5%
Fermented mash	5.32±	0.09±	1.38±	27.2±	Fermented mash	2.69±	0.64±	1.02±	61.7±	4.70±	0.90±	1.66±	54.6±
	0.66	0.01	0.15	1.9%		0.52	0.12	0.25	1.6%	0.10	0.52	0.00	10.7%
Pressed & fermented mash	6.28±	0.11±	1.57±	26.6±	Pressed & fermented mash	5.50±	1.54±	1.00±	49.6±	5.92±	1.54±	1.96±	59.1±
	0.59	0.01	0.18	0.4%		1.21	0.28	1.35	21.8%	0.38	0.07	0.12	1.0%
Gari	8.05±	0.34±	2.85±	40.2±	Gari	9.10±	2.87±	4.14±	77.1±	9.97±	3.37±	4.00±	74.3±
	1.88	0.07	0.52	3.5%		0.92	0.22	0.40	1.2%	1.03	0.67	0.39	6.9%
<b>Barracks</b>					<b>TMS 01/1371</b>								
Peeled roots	6.21±	0.09±	1.33±	22.9±	Peeled roots	4.21±	0.99±	1.69±	63.6±	6.81±	1.18±	1.58±	41.0±
	0.39	0.01	0.04	0.8%		0.09	0.04	0.06	1.6%	0.71	0.16	0.49	11.2%
Grated mash	5.97±	0.10±	1.50±	26.9±	Grated mash	3.89±	0.90±	1.79±	69.0±	4.35±	0.95±	1.35±	50.0±
	0.05	0.02	0.08	1.5%		0.09	0.04	0.09	1.8%	1.79	0.72	0.54	10.9%
Fermented mash	#N/A	#N/A	#N/A	#N/A	Fermented mash	3.02±	0.61±	1.10±	55.9±	6.47±	0.83±	1.68±	38.8±
Pressed & fermented mash	8.69±	0.10±	1.80±	21.9±	Pressed & fermented mash	0.69	0.14	0.40	4.8%	0.51	0.70	0.07	10.6%
	0.32	0.01	0.12	1.2%		6.14±	1.39±	2.38±	61.2±	9.08±	3.96±	2.25±	68.8±
Gari	10.89±	0.35±	3.10±	31.7±	Gari	1.35	0.32	0.59	2.1%	0.99	0.14	0.21	6.3%
	0.39	0.05	0.16	1.2%		12.85±	4.68±	6.14±	85.0±	14.52±			65.2±
<b>Iseyin</b>					<b>TMS 01/1412</b>								
Peeled roots	6.21±	0.09±	1.33±	22.9±	Peeled roots	3.57±	0.89±	1.65±	71.3±	3.58±	0.83±	2.13±	82.4±
	0.39	0.01	0.04	0.8%		0.09	0.02	0.06	1.3%	0.32	0.48	0.32	8.9%
Grated mash	5.53±	0.11±	1.59±	30.9±	Grated mash	3.19±	0.78±	1.73±	78.6±	3.53±	0.81±	1.72±	71.6±
	0.35	0.01	0.05	2.1%		0.19	0.04	0.12	0.1%	0.33	0.41	0.12	13.9%
Fermented mash	6.03±	0.11±	1.70±	30.1±	Fermented mash	3.66±	0.89±	1.87±	74.9±	3.70±	1.00±	1.46±	67.6±
	0.20	0.01	0.09	1.2%		0.41	0.13	0.41	7.7%	0.68	0.16	0.32	14.5%
Pressed & fermented mash	7.05±	0.12±	1.85±	28.0±	Pressed & fermented mash	7.64±	2.16±	4.00±	80.6±	5.30±	1.42±	2.05±	65.7±
	0.58	0.00	0.15	0.4%		0.31	0.06	0.35	4.5%	0.39	0.08	0.11	7.2%
Gari	10.67±	0.39±	3.69±	38.3±	Gari	12.88±	4.65±	6.48±	87.0±	11.64±	3.76±	5.03±	75.8±
	0.49	0.02	0.07	1.2%		2.81	1.05	0.90	5.3%	1.07	0.86	0.47	10.5%

530 Data are average  $\pm$  standard deviation. Each process was conducted in triplicate: <sup>a</sup> Triplicate 50kg of roots of one variety of yellow cassava TMS 01/1371 were processed into  
531 gari at three commercial gari processors (Atiba, Barracks and Iseyin) (Experiment A) and <sup>b</sup> Triplicate 25kg of roots of three different varieties of yellow cassava (01/1368;  
532 01/1371; 01/1412) grown in two different seasons/locations (S1 and S2) were processed into gari at the IITA research station (Experiment B). Fermented mash was not  
533 collected at the Barracks.

534 **Experiment B**

535 Initial concentrations significantly varied in the roots from the three different varieties  
536 (Experiment B) (Table 2) ( $p < 0.05$ ). While *trans*- $\beta$ -carotene is the predominant pVAC in  
537 cassava in its raw state, detectable levels of 13-*cis* and 9-*cis* isomers of  $\beta$ -carotene were also  
538 found in accordance with previous studies [22, 32]. Initial pVAC concentrations (on average  
539 over SL1 and SL2) were from the highest to the lowest: TMS 01/1371 (*trans*:  $5.51 \mu\text{g}\cdot\text{g}^{-1}$ )  
540 with the lowest dry matter content (22% on average) > TMS 01/1368 (*trans*:  $4.40 \mu\text{g}\cdot\text{g}^{-1}$  with  
541 a dry matter of 31.4% on average > TMS 01/1412 (*trans*:  $3.57 \mu\text{g}\cdot\text{g}^{-1}$  with 24.0% of dry  
542 matter on average). In accordance with our results, Akinwale et al. [33] reported that there  
543 appear to be a genetic link between dry matter and carotenoid content in cassava roots: the  
544 varieties with the lower dry matter (or higher moisture) content had the highest initial  
545 carotenoid content. However recent data on hundreds of cassava genotypes [34] showed that  
546 there was no correlation between dry matter content and carotenoid content and therefore it is  
547 possible to identify genotypes with high carotenoid content as well as high dry matter [34].  
548 Maroya et al. [24] working with a number of cassava clones developed in Nigeria (including  
549 the ones presented in our study) demonstrated that both natural environment (*e.g.* soil,  
550 climate, rainfall) and genes had an influence on total carotenoid level and also on dry matter.  
551 Moreover the interaction of environment x genes also had a significant influence on total  
552 carotenoid content in the roots and dry matter in the plant and genes may influence the  
553 stability of carotenoid-protein complexes in chromoplasts [35] and hence the TR.  
554  
555 During gari processing, the *trans*- $\beta$ -carotene content increased (roughly two-fold) (around  
556  $10\mu\text{g}\cdot\text{g}^{-1}$ ) and this was mostly because moisture was removed from the product as a result of  
557 pressing and roasting (Table 2). Increase in carotenoid content due to concentration of  
558 carotenoids in gari is in accordance with other authors' description [20, 21, 36].

559  
560 These results show that even though significant levels of pVACs were lost during gari  
561 processing, pVACs were concentrated in the final product as a result of moisture loss and this  
562 resulted in improved nutritional value of the product (gari) in terms of provitamin A content  
563 compared to the roots. In practice this means that a child who consumes 100g of biofortified  
564 gari daily would have his vitamin A daily nutritional requirements met (the calculation was  
565 based on trans-β-carotene content only. The bioconversion factor of trans-B-carotene into  
566 retinol is 5:1 [11] and the Estimated Average Requirement (EAR) for a child under five years  
567 of age is=200 µg retinol equivalent [37]). Gari can be consumed as it is (snack) or made into  
568 dough by adding boiling water (eba). In the later process, further carotenoid losses in the  
569 dough may occur but those may be minimal if boiling water is simply added to gari and the  
570 product stirred into a dough.

571

## 572 ***Cis*-isomers and *cis*-isomerisation during gari processing**

573

574 Under stressful conditions such as heating and UV-light exposure, *trans*-carotenoids tend to  
575 isomerise into *cis*-carotenoids. *Cis*-isomerisation may be considered as a negative effect of  
576 processing since *cis*-isomers have a lower provitamin A activity (about half) than that of  
577 *trans*-β-carotene [13].

578

## 579 **Experiment A**

580 Processor type (Experiment A) also had a significant influence on the *cis/trans* ratio (Table 2)  
581 with Barrack centre having significantly fewer *cis*-isomers formed than Atiba and Iseyin  
582 centres (25.8%; 29.0% and 30.0% respectively): slightly less *cis*-isomerisation may be

583 explained by shorter processing time and therefore less exposure to temperature and light at  
584 Barrack.

585  
586 There was a significant effect of the step of processing on the *cis*-isomerisation (ANOVA;  
587  $p < 0.05$ ). Percent of *cis*-isomers (both 13-*cis* and 9-*cis*) over *trans*-isomers significantly  
588 increased due to roasting for the commercial processors: (before roasting: 25.5%; after  
589 roasting: 36.7%, on average). This was in accordance with previous work on boiling and  
590 frying of cassava [38, 39] that also showed an increase in *cis*-isomers (9-*cis* and 13-*cis*).  
591 Thakkar et al. [22] observed that gari processing was associated with a decline in all-*trans*- $\beta$ -  
592 carotene and concomitant increase in 13-*cis*- $\beta$ -carotene as observed in our study. Marx et al.  
593 [40] working on effect of thermal processing on *cis*-isomerisation in carrot containing  
594 preparations further demonstrated that that the higher the roasting temperature the greater the  
595 percent of *cis*-isomers; this was not clearly shown in our study and this might be because  
596 other factors such as roasting time would have to be accounted for.

597

## 598 **Experiment B**

599 Additionally there was a significant varietal effect (ANOVA;  $p < 0.05$ ) on *cis*-isomerisation  
600 (Experiment B): variety TMS 01/1412 proportionally had significantly more *cis*-isomers than  
601 01/1368 that had significantly more *cis*-isomers than 01/1371 (ANOVA; Tukey test;  
602  $p < 0.001$ ) (*cis/trans* ratio was 75.5%; 64.8% and 59.9%, respectively) (Table 2). Varietal  
603 influence is interesting because it shows that not only the process is responsible for *cis*-  
604 isomerisation but naturally present *cis*-isomers in cassava can be found in different  
605 proportions as this was reported by Carvalho et al. [35].

606



607 Furthermore there was an interaction between variety and processing steps on *cis*-  
608 isomerisation ( $p < 0.05$ ). Interaction of variety and processing will make it difficult to predict  
609 how *trans* and *cis*-isomers carotenoids in cassava varieties will vary during gari processing  
610 [38].

611

612

## 613 **Conclusions**

614

615 We found that True Retention in *trans*- $\beta$ -carotene (TR) under unset conditions is similar to  
616 other studies under set conditions found in literature (TR ~ 50%) and that therefore losses are  
617 confirmed to be high during gari processing from biofortified cassava under field conditions.  
618 Those significant losses of pVACs were explained to be the result of a combination of  
619 physical losses of pVACs and chemical losses (oxidation). Physical losses are demonstrated  
620 to be mainly resulting of carotenoid leaching in the water *i.e.* at the grating step: because of  
621 the grating conditions (short time, ambient temperature), it is unlikely that chemical factors  
622 could be responsible for such significant losses at this stage. The carotenoid loss pattern  
623 suggests that initially TR decreases quickly for a small amount of water removed from the  
624 product (during grating and also fermenting), then in further steps TR decreases more slowly  
625 for more water removed (during pressing) and finally at the roasting step TR decreases  
626 because of chemical oxidation due to high temperatures during roasting.

627

628 These findings imply that physical carotenoid loss from the extracting liquids should be  
629 reduced in order to optimise TR. Gari is by nature a dry product and retaining more moisture  
630 in the final product therefore cannot be proposed as a solution. One option may be to  
631 collecting and drying soluble solids containing carotenoid from the water lost. Another

632 alternative may be to increase the dry matter content of the roots since this decreases the  
633 amount of moisture contained in the roots and therefore the moisture squeezed during the  
634 process. As a result the product yield (PY) of gari could be improved and higher PY of gari  
635 means higher TR since it is calculated based on the weight of the product, and also a higher  
636 gari PY will be beneficial for businesses who buy roots and process them into commercial  
637 gari. This work shows that physical losses in carotenoids should be accounted for in studies  
638 on retention.

639

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641

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652

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776

## Response to reviewers

- ✓ Note from the authors: responses are in **bold blue font**.
- ✓ Major comments are addressed here and corrected in the text if necessary and, more minor comments are answered directly in the text.
- ✓ Please note that the revised line number corresponds to the 'revised manuscript with track changes'

**Reviewer #1:** I enjoyed reading the manuscript which adds relevant information to the nutrition and cassava communities.

**Many thanks.**

**Reviewer #2:** Bechoff and colleagues report results from an investigation of the influence of each step of processing of biofortified cassava in the preparation of gari, a widely consumed staple food in Nigeria. The results demonstrate that losses in pro-vitamin A are mainly due to loss of liquid during grating and mashing, as well as to chemical oxidation during roasting. Strengths include the systematic nature of the investigation and consideration of different field conditions of processing at three sites, the moisture content of the root, three different varieties, and warm vs cold growing seasons generally. Water content, variety and season were found to contribute to the losses in provitamin A. The findings in general largely confirm previous reports. A particular concern is the use of the term "juices".

**Thank you for your comments. The word 'juice' was used in a local context in Nigeria. And we agree that the word 'juice' may not be an accurate description of the liquid product in a scientific paper. We have replaced the use of the term 'juice' with 'liquid': the following sentence was amended: "whole quantity of liquid lost from grating ('liquid from grated mash' or also locally known as 'grated juice')" (l.232-233). The expression 'grated juice' was replaced with 'liquid from grated mash' throughout the text.**

It is inferred that losses during grating and mashing generate a juice free of particulate materials. Was the released liquid centrifuged and carotenoid content in the aqueous and pelleted fractions determined?

**The liquid was not centrifuged. A fraction of the liquid was collected after manual mixing in order to obtain a homogeneous portion. The liquid contained tiny solid particles that were in suspension and therefore had the appearance of a juice. Carotenoid content was measured directly on the liquid as a whole because the purpose was to find out if there was a direct correlation between the quantity of carotenoid lost (in the 'grated liquid') and retained in the mash after grating (fig. 3). Although measuring the different fractions of the liquid may have been interesting, our focus was on the carotenoid content for the liquid as a whole.**

In general, the findings in general largely confirm previous reports. Also, the subject matter seems better suited to a food technology journal.

**Our work builds on previous findings and for the first time emphasises the importance of physical losses of carotenoids during processing. We believe that the work is best suited to Plos One**

because of the wider implications of the results that not only encompass food technology but also micronutrients, nutrition, and food security on a global scale.

Line 203. Add "...and transferred..." into a clean and dry container.

**Thank you. We have corrected the sentence in order to make the description of the protocol clearer. "Samples (10.0g) were weighed into a clean and dry container using an electronic balance" (l.237)**

Line 227. "refrigerator" instead of fridge.

**We corrected the sentence accordingly (l.266).**

Line 376. Insert "to" to read "...able to separate"

**We corrected the sentence accordingly (l.421).**

Line 381 and 385. Delete "much" as this is subjective.

**We corrected the sentence accordingly (l.426 & 429).**

Line 386. Delete "gari" as it appears later in sentence.

**We corrected the sentence accordingly (l.430).**

Line 390. Insert "when investigating dried orange-fleshed sweet potato."

**We corrected the sentence accordingly (l.435).**

Figure 4. This figure should be deleted as it is taken from a previous paper by Bechoff et al. and it is unknown whether the pigmentation represents small pieces of cassava flesh. If data are available for amount of carotenoids in aqueous vs. particulate fractions, it should be provided in the text.

**We believe than the figure is essential to illustrate a major result of the work (the importance of physical carotenoid losses in the 'liquid from grated mash') and that we should keep it. Moreover, it is not taken from a previous paper and has not been published elsewhere. It was photographed by the first author (Bechoff) during the study: the photo was taken at a gari processing site where part of the study was carried out.**

**With regards to the data on the amounts of carotenoids in aqueous vs. particulate fractions, this was not carried out. As explained earlier, the aim was to measure the amount of carotenoid lost in the 'liquid' as a whole in order to relate this to the amount of carotenoid retained in the mash. Every attempt was made to ensure that the sample collected was a representative portion of the whole liquid.**

**We have added a description of the figure in order to make its interpretation clearer: "(The grey bowl on the left side of the picture contains the 'liquid from grated mash' of orange colour whilst a remains of the 'grated mash' of pale yellow colour can be observed on and around the grating equipment)." (l. 480-482)**

Lines 510-512. Statement that consuming 100g gari daily would have vitamin A requirement met seems to infer that the provitamin A is 100% bioavailable. Has provitamin A bioaccessibility/bioavailability been reported? How efficient are these processes? Have authors factored in the lower RAE of cis-BC in their statement.

We have used a bioconversion factor of 5:1, in accordance with ([11] Bechoff, A., Chijioko, U., Tomlins, K. I., Govinden, P., Ilona, P., Westby, A., & Boy, E. (2015). Carotenoid stability during storage of yellow gari made from biofortified cassava or with palm oil. *Journal of Food Composition and Analysis*, 44, 36-44.). (Note: We have updated the reference number ([10] to [11] using EndNote and the track changes hence do not appear. Such a bioconversion factor means that only 1/5 (20%) of the provitamin A ingested is actually bioavailable.

10µg/g of trans-β-carotene would be 1000µg/100g of gari. If we divide this by 5 to calculate the retinol equivalent (bioavailability) it will be therefore 200 µg/100g of gari, which is equivalent to the estimated average requirement (EAR) of a child according to the World Health Organization.

We did not include cis-isomers: we are giving an estimation based on the trans-β-carotene only.

We have added the following explanations in the text (L.564-570)

“(the calculation was based on trans-β-carotene content only. The bioconversion factor of trans-β-carotene into retinol is 5:1 [11] and the Estimated Average Requirement (EAR) for a child under five years of age is 200 µg retinol equivalent [37]). Gari can be consumed as it is (snack) or made into dough by adding boiling water (eba). In the later process, further carotenoid losses in the dough may occur but those may be minimal if boiling water is simply added to gari and the product stirred into a dough.”

Citations. Nouns for many of the journal titles need to be capitalized.

We are correcting those manually since the web version of EndNote for PlosOne (Plos) has some flaws for some of the articles referenced.

**Reviewer #3:** The manuscript assessed the effects of gari processing on the levels of carotenoid retention in dried food products from biofortified cassava. It is useful to increase our understanding the relationships between physical carotenoid losses and gari processing steps. It is the first time to demonstrate the importance of physical carotenoid losses during processing of biofortified cassava. It is a valuable contribution to global cassava research community. I would recommend to accept the manuscript and provided the below comments and suggestions properly.

1. As the cassava varieties used in the present study, it would be useful to give more information about cassava background.

We have added a sentence with a reference “Cassava is a major root crop in Low and Middle Income Countries [2].” (L.53)

[2] Bechoff A. Use and nutritional value of cassava roots and leaves as a traditional food. In: Hershey, C, editor. *Achieving Sustainable Cultivation of Cassava: Cultivation Techniques*. Burleigh Dodds Series in Agricultural Science: Volume 1; 2017. pp. 33-55.

This reference will give detailed information about cassava and also includes some information about yellow biofortified cassava.

In addition, we added some explanation about the varieties (L139-L142): “Roots of biofortified yellow varieties of the first wave (TMS 01/1371; 01/1368; and 01/1412) developed by IITA in collaboration with HarvestPlus were used in this study. No specific permissions were required



because HarvestPlus/IITA had the authorisation to use those lands for research purposes. The study did not involve endangered or protected species. “

2. For Fig. 4, suggested to analyze the carotenoid content in the grated juice to clearly present the influence of grating step on the carotenoid leaching.

The trans-β-carotene content in the liquid from grated mash was measured and used to calculate the true retention (TR) according to the equation in materials and methods. True retention of trans-β-carotene (TR) was calculated according to Rodriguez-Amaya & Kimura [13]:

$$TR(\%) = 100 \times \frac{\text{trans} - \beta - \text{carotene content per kg of processed sample} \times \text{weight of processed sample (kg)}}{\text{trans} - \beta - \text{carotene content per kg of peeled roots} \times \text{weight of peeled roots (kg)}}$$

The trans-β-carotene content in the juice was around 3-4μg/g and quantities of juice were around 1-2L (for more information please see the raw data in ‘Data for paper’ Excel file).

#### **Additional comments in the text**

Line 323 Comment A14 “I find it hard to believe that about 33% of initial root weight is lost by peeling them.”

Losses in cassava peeling can be substantial and this because of the thickness of the skin, which increases with root maturity: cassava skin is a tough exterior bark-like skin. The skin is much thicker than potato for instance and peeling requires dexterity and strength. These values (around 30%) are in agreement with other authors (e.g. Sobowale, S. S., Awonorin, S. O., Shittu, T. A., Oke, M. O., & Adebo, O. A. (2016). Estimation of material losses and the effects of cassava at different maturity stages on garification index. *Journal Food Processing and Technology*, 7, 1-5.)

Line 346 Comment A15 “This is not correct. According to Figure 1, TR in peeled roots was 100.0% (a) and in grated mash 91.2% (a).”

Many thanks for noting this. I have corrected the text (l.384-385).

Line 346 Comment A15 “I don’t understand where these values come from” .

Many thanks for noting this. I have corrected the values (l.386-388).

**l.383-388: “Degradation of trans-β-carotene during gari processing followed a gradual loss with main losses (1- TR) occurring at fermentation and roasting. TR was not significantly different between peeled roots and grated mash (100%, and 91.2%, respectively), fermented mash and pressed mash (75.0% and 66.9% respectively), and gari had significantly lower TR (45.4%) than the other products. “ (L383)**

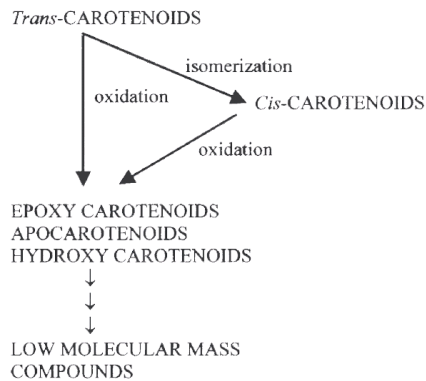
L351 Comment A17 “Or perhaps because the water does not carry and take away too much carotenoids?”

I have deleted this sentence because it was confusing (l. 388).

L523. I am not an expert on the subject, but I don’t think that data available demonstrates that there is indeed isomerization. Perhaps the isomers are differentially lost during the processing steps thus

resulting in changes in the relative proportion of the cis and trans isomers. So what we know is that there is a change in the relative proportions of the isomers which may be due to isomerization and/or differential losses.

It is true that trans-carotenoids may either oxidise directly or isomerise and then oxidise as explained by Rodriguez-Amaya and Kimura (2004) below.



(figure - Rodriguez-Amaya, D. B., & Kimura, M. (2004). HarvestPlus handbook for carotenoid analysis (Vol. 2). Washington: International Food Policy Research Institute (IFPRI).).

However, work by Achir et al. (2014) on dried orange fleshed sweet potato that has some similar carotenoids as in cassava (9-cis; 9-cis; trans-B-carotene) showed that the formation of cis-isomers from  $\beta$ -carotene preceded oxidation and cis-isomers were high reactive compounds and therefore measuring the ratio cis/trans or cis/cis+trans makes sense because it gives an indication of cis-trans isomerisation.

(Achir, N., Pénicaud, C., Bechoff, A., Boulanger, R., Dornier, M., & Dhuique-Mayer, C. (2014). Use of multi-response modelling to investigate mechanisms of  $\beta$ -carotene degradation in dried orange-fleshed sweet potato during storage: from carotenoids to aroma compounds. Food and bioprocess technology, 7(6), 1656-1669.)

Lastly, ratio of cis-trans isomers of B-carotene has been used previously in publications (e.g. [40] Marx et al. 2003) on processing so I believe this is a valid approach.

[40] Marx, M., Stuparic, M., Schieber, A., & Carle, R. (2003). Effects of thermal processing on trans-cis-isomerization of  $\beta$ -carotene in carrot juices and carotene-containing preparations. Food Chemistry, 83(4), 609-617.