Mini course

On the Syntax & Semantics of Aspect

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Plan

1. What is Aspect?

2. The analysis of Aspect: a syntactic referential approach

3. Some of the recent revisions of the theory

4. Questions and conversation
As for the approach

- The **rationale** and **mechanics** of the system
  - How the system works
    - Components
    - How they operate - based on independent principles of the grammar
  - How it has looked like so far and how it is being revised now
    - Coping with nuances & Principled
  - So you can see how you can exploit it for your purposes
    - And enrich it and modify it
Aspect -- Viewpoint Aspect

- Part of language
- Linguistic category that gives us *temporal information*
- Not the only one.
- Temporal information is crucial for adequate communication

- When we speak, we describe *situations*
• We need to know *when* they happen before we speak, as we speak, after we speak.
  • Time is segmentable in intervals.
  • We *order* them
  • Vantage point of reference: Speech Time

• We need to know whether they are finished or still ongoing or about to occur
Viewpoint Aspect

1) John kissed Mary.  \hspace{1cm} Finished
2) John was kissing Mary.  \hspace{1cm} Ongoing
3) John was about to kiss Mary.  \hspace{1cm} About to start

• All situations before the Utterance Time “past”.
• Each situation in a different moment of its internal life.
• The status of the internal life of the situation is what is captured by
  “Viewpoint Aspect”
  “Grammatical Aspect”
  “Outer Aspect”
Viewpoint Aspect

• Semantic piece, semantic category – it has an impact on interpretation.

• Syntactically represented because it is sensitive to structure.
Viewpoint Aspect -- Formalization

• Steer clear of metaphorical descriptions:
  • Open/closed intervals. Comrie 1976
  • Intervals viewed from the outside, the inside, through a lens. Smith 1991

• How can the semantic content of Aspect be defined in formal terms? What does Aspect have inside? What is it? What is its nature?
Viewpoint Aspect -- Formalization

4) When Tim opened the door, John was kissing Mary.
5) When Tim opened the door, John kissed Mary.

   Tim opening the door  x
   John kissing Mary  /

• Are ordered in a different manner depending on their Aspect:

   4’) ------/////x/////-------
   5’) ------x-/-

Therefore: Aspect also contributes to temporal ordering → it is a ordering predicate
The System – Main properties

• If it contributes to ordering in time, it cannot be so different from Tense
• Intuitively appealing to say they have the same primitives; accounted for in a similar manner.
• What do Tense and Aspect order? Intervals of time.
• What is the nature of these intervals? Pronominal

➔ Tense and Aspect subject to the principles that regulate reference
The referential approach to Tense & Aspect

• Partee 1973
• Enç 1987
• Stowell 1993, ss.
• Klein 1994 and ss
• Demirdache & Uribe-Etxebarria 2000 and ss
The referential approach to Tense & Aspect

• History; overcoming flaws of logic
• Sentential operator designating the time at which the truth is to be evaluated
  • Tense logic (Prior 1957; Montague 1973)
  • Incorrect interpretations
  • Partee 1973

6) I didn’t turn off the stove. \(\text{NEG>PAST; PAST>NEG}\)
6’) There is no time in the past in which I turned off the stove
6’’) There is at least one time in the past at which I didn’t turn off the stove
The referential approach to Tense & Aspect

• Intended interpretation: particular time in the past.
• Tense can denote the particular time of an event or situation.
• Tenses can refer to a salient time; they can co-refer, they can be anaphoric.

• Tenses are analogous to pronouns.
• Temporal relations can be defined using the same tools as those for pronouns.
The referential approach to Tense & Aspect

• Analogy between temporal and nominal categories

• Proving/probing into the types of relations that temporal categories enter in, the relations that they establish, we can learn the kind of elements tense and aspect are.

• Analytical tool to investigate different languages:
  • E.g., whether they have tenses
The referential approach to Tense & Aspect

- Tenses refer to times.
- Tense *orders*; interpretation relative to a given interval.
- Stowell captures both intuitions

\[
\begin{align*}
\text{TP} & \quad \text{RefTime} \quad \text{ZP} \quad \text{T'} \\
\text{PRO} & \quad \text{T} \quad \text{ZP} \quad \text{Event Time}
\end{align*}
\]

- **ZP Zeit Phrase 'time'**
- Tense: orders; like prepositions (before, after)
- ZPs are the intervals analogous to pronouns
The referential approach to Tense & Aspect

![Diagram](image-url)

<table>
<thead>
<tr>
<th>Viewpoints</th>
<th>Predicate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Imperfective</td>
<td>AT (WITH)IN EvT</td>
</tr>
<tr>
<td>Perfective</td>
<td>AT (Total) OVERLAP EvT</td>
</tr>
<tr>
<td>Perfect</td>
<td>AT AFTER EvT</td>
</tr>
<tr>
<td>Prospective</td>
<td>AT BEFORE EvT</td>
</tr>
</tbody>
</table>

- **ZP(RefT)** (before/within/overlap/after)
- **ZP(AT)** (before/within/overlap/after)
- **ZP(EvT)**
- **VP**
- **Asp**
- **AspP**
- **T'**
- **T**

Some important works of reference are Dowty (1979), Klein (1994), Klein et al. (1998), Landman (1999), and Higginbotham (2004) for here as a consequence of different interval orderings. In this way, such traditional temporal relations under a uniform structural relation between intervals (e.g., Assertion Time is always in time in main clauses). Aspect orders the Assertion Time with respect to the Event Time interval. However, in Klein (1998), the perfective corresponds to an empty head in the Aspect node, whereby the Reference Time is always included in the Assertion Time. The appeal of Table 1 is that it allows us to establish the theoretical model. These authors restrict the predicate of inclusion to the progressive and do not conceive of it as a perfective predicate. Iwiltitah and Klein et al. (1993, 1996) consider them to be phenomena that are systematically related. According to Klein, the background context contains the set of all relevant points of time. Klein (1998) considers the background context to be the set of all relevant points of time. The Reference Time consists of dyadic ordering predicates. Tense orders the Assertion Time with respect to a time in main clauses. Aspect orders the Assertion Time with respect to a point of time. However, in Klein (1998), the perfective corresponds to an empty head in the Aspect node, whereby the Reference Time is always included in the Assertion Time. The appeal of Table 1 is that it allows us to establish the theoretical model. These authors restrict the predicate of inclusion to the progressive and do not conceive of it as a perfective predicate.
The referential approach to Tense & Aspect

7) Juan washed the car.
   -------wash the car-----Utterance Time

8) Maria said that Juan washed the car.
   -------wash ----say---- Utterance Time

• The reference of the RefT (the time wrt we order) is affected by syntactic conditions.
• Bound/controlled by the closest c-commanding interval.
• Tree:
Maria said that John washed the car
The referential approach to Tense & Aspect

9) John looked for a girl who danced at the party.

9’) look for > a(ny) girl \textit{de dicto} narrow scope
9’’) a girl > look for \textit{de re} wide scope

• Narrow scope
  "---------dance----look for"
• Wide scope
  a. "---------dance----look for"
  b. "---------look for----dance"
  c. "---------look for----UTT"
    "---------dance----UTT"

• Ladusaw 1977
• Abusch 1988
• Stowell 1993

Past meaning future?
Relative Clauses Independent reading

10) CP
   ┌──┐
   │  └──┐
   │     └──┐
   │       │
   RC     TP
   └──┐   ┌──┐
     │   │
     TP  RT
     └──┘(UT)
          ┌──┐
          │  └──┐
          │    │
          RT  T'
          └──┘(after)
               ┌──┐
               │  └──┐
               │    │
               T  AspP
               └──┘(after)
                    ┌──┐
                    │  └──┐
                    │    │
                    T  TT
                    └──┘Asp'
                         ┌──┐
                         │  └──┐
                         │    │
                         AspP  Asp'
                         └──┘(after)
                              ┌──┐
                              │  └──┐
                              │    │
                              TT  Asp'
                              └──┘(after)
                                   ┌──┐
                                   │  └──┐
                                   │    │
                                   Asp'  Asp
                                   └──┘VP
                                        ┌──┐
                                        │  └──┐
                                        │    │
                                        Asp  Asp' VP
                                        └──┘VP
                                             ┌──┐
                                             │  └──┐
                                             │    │
                                             e  VP
                                             └──┘
                                                 ┌──┐
                                                 │  └──┐
                                                 │    │
                                                 dance VP
                                                 └──┘
Topic Times and Event Times

• Is the whole ZP event Time ordered with respect to another interval?
• Or, rather, the time the speaker makes an assertion to?

11) When Tim opened the door, John was kissing Mary.

• The whole *John kissing Mary* – left in the dark

• How much of the time of the kissing is asserted?
• The bit that overlaps with *Tim opening the door*.
• Klein 1994 -- Topic Time / Assertion Time AT
• Topic Time > as a ZP
The referential approach to Tense & Aspect

(11’) When Tim opened the door, John was kissing Mary. *It was 11 hours 2 seconds in the morning.*

*It* = when Tim opened the door = 11 hours 2 seconds in the morning.

*It* = TT; “it” picks out the TT.

We know John was kissing Mary for the time that overlaps with 11 hours 2 seconds in the morning.
The contribution of Aspect to Temporal Ordering

- If Aspect exists a predicate that takes intervals as its arguments, we should be able to see its contribution to temporal ordering.
12 John said that Bill was depressed.
   (1.1.) Bill’s depression locates at a time prior to Bill’s saying
   (1.2.) Bill’s depression overlaps with John’s saying

13 John said that Bill is depressed.
   Bill’s depression is understood as overlapping with the time of John’s saying AND with the utterance time.
Questions throughout the years

• How can a past interval be understood as located in two different points in time?
  • Traditional answer: overlapping interpretation corresponds to a semantics where there is ‘no past’, but present.

• If present tense refers to the present moment, the utterance time per excellence, how come can a present tense form be understood as overlapping with a past interval?
In common

• The cases in (12) and (13) have the same underlying question in common: how can these forms refer to intervals that seem not to be the ones they should be referring to?
• Can past mean something other than ‘past’?
• Can present mean ‘past’?
• Why only under certain circumstances?

Non uniformity of Tense
Sequence of Tense Account (Stowell 1993)

• Morphological Past works as a Polarity Item.
• Semantic PAST is in T.
• In SOT cases, **there is no semantic PAST in Tense**
  • Morphological past is a Polarity Item from the Past in the main clause.

• **PAST DOES NOT MEAN ‘PAST’**
Some Facts related to Aspect

• SIMultaneous reading emerges ONLY with IMPERFECT aspect.

• Romance languages with a contrast imperfect/perfective show that the reading disappears when the perfective form is used, regardless of the inner aspect characterization of the predicate.
Examples in imperfective

(14) Juan dijo que Marta estaba enferma
    Juan say-pf-3ps that Marta be-impf-ill
    ‘Juan said Marta was ill’

(15) Juan dijo que Marta estaba construyendo una casa
    Juan said that Marta be-impf-3ps building a house
    ‘Juan said that Marta was building a house’
Examples in perfective

(16) Juan dijo que Marta estuvo enferma
   Juan say-pf-3ps that Marta be-pf.3ps ill
   ‘Juan said Marta was ill’

(17) Juan dijo que Marta estuvo construyendo una casa
   Juan said that Marta be-pf-3ps building a house
   ‘J said that Marta was building a house’
The idea in short

• Within a syntactic approach to Aspect, I propose that the content of the Aspect head (imperfect) suffices to derive both Past Shifted and SIMultaneous.

• Past Shifted reading and Simultaneous readings are not a case of ambiguity but vagueness.
• Main TT binds subordinate RT
• Subordinate TT is located in the past with respect to the main event (Past Shifted) and within the Event Time.
• Due to the semantics of the imperfect, the assertion only concerns the beginning of the event (which is before the main EvT).
• This is compatible with two situations: the event finishing before the main event and the event not being finished, and therefore, containing the main TT (i.e. the saying time).
(18) Juan said last week that Marta was depressed last month, but she got well quickly.

(19) Juan said last week that Marta was depressed. It all started last month and she continues feeling that way.
Temporal proposal based on Aspect

- Past always means past
- We make use of temporal predicate ‘within’ (imperfect)
- The SIM reading is an effect of the semantics of the imperfect: the end of the event is not asserted.
- Since the semantics of the imperfect locates an interval within another interval, the previous initiation of that latter interval is entailed.
- SOT is not a case of ambiguity (2 LFs) but vagueness.
Afterthoughts on the system

• Revisions and changes
• To capture finer-grained nuances
• To capture cross-linguistic differences (massive)
• Maintaining the system principled
Revision about the imperfect
Readings of the imperfect

(20) Pedro arreglaba la valla
   Pedro fix-impf.3ps the fence
Possible interpretations:
(21)
   a. Pedro was fixing the fence (when I saw him).
   b. Pedro fixed the fence every time he arrived at his summer house
   c. Pedro was in charge of fixing the fence (he may have never had to actually fix it)
Some revisions (I): quantifiers over occasions

Arche 2006
In Domínguez, Arche & Myles 2017

Attitudinal eventive Stative
Some revisions (II)

• Demirdache & Uribe-Etxebarria 2014
• Abandon Aspect as an ordering predicate for impf and pfve:
  • Obtained by anaphora relation between TT and EvT.
  • Imperfect is explained as binding; EvT bound by lambda operator creating a predicate that holds at TT.
  • Perfective is explained as coreference: TT and EvT corefer.
Issues with anaphora only

• Stowell 2014 points out issues with such a view:
• Role of Aspect head dubious. Not necessary anymore???
• Coreferential and bound pronouns are always definite, but indefinite temporal reference is possible

(22) Bill found a diamond.

• Difficult to capture all the readings of the imperfective
Revision on the progressive
Some revisions (III): the progressive

• “Progressive” may not be sufficient:

• Imperfective progressive

(23) Pedro arreglababa la valla
    Pedro fix-impf.3ps the fence

(24) Pedro estaba arreglando la valla
    Pedro was-impf.3ps fixing the fence
Some revisions (II): the progressive

• Perfective progressive

(25) Pedro arregló la valla.
   Pedro fix-pfve.3ps the fence

(26) Pedro estuvo arreglando la valla.
   Pedro was-pfve.3ps fixing the fence

(27) Pedro arregló la valla durante un par de horas.
   Pedro fix-pfve.3ps the fence for a couple of hours

(28) Pedro estuvo arreglando la valla durante un par de horas.
   Pedro was-pfve.3ps fixing the fence for a couple of hours
syntactic capture of the forms & meanings

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a. TP
   \[\text{T} \quad \text{AspP1} \]
   \[\text{aux [past, within]} \quad \text{AspP2} \]
   TT
   \[\text{Asp} \quad \text{AspP2} \]
   \[\text{interval' Asp} \]
   \[\text{Asp} \quad \text{VP} \]
   \[\text{Asp} \quad \text{(-ing) color} \]

b. TP
   \[\text{T} \quad \text{AspP1} \]
   \[\text{[past, within]} \quad \text{AspP2} \]
   TT
   \[\text{Asp} \quad \text{AspP2} \]
   \[\text{interval' Asp} \]
   \[\text{Asp} \quad \text{VP} \]
   \[\text{Asp} \quad \text{(-ing) color} \]

30

a. TP
   \[\text{T} \quad \text{AspP1} \]
   \[\text{aux [past, overlap]} \quad \text{AspP2} \]
   TT
   \[\text{Asp} \quad \text{AspP2} \]
   \[\text{interval' Asp} \]
   \[\text{Asp} \quad \text{VP} \]
   \[\text{Asp} \quad \text{(-ing) color (the castle)} \]

b. TP
   \[\text{T} \quad \text{AspP1} \]
   \[\text{aux [past, overlap]} \quad \text{AspP2} \]
   TT
   \[\text{Asp} \quad \text{AspP2} \]
   \[\text{interval' Asp} \]
   \[\text{Asp} \quad \text{VP} \]
   \[\text{Asp} \quad \text{(-ing) color (the castle)} \]
• How many TTs?
• Surely not more than one.  
  Post-syntactic morphology
• Unclear status/identity of the intermediate intervals
• More importantly:
  • Imperfective progressive: event not finished; TT interval included
  • Perfective progressive: event not finished; TT interval included
• Is “predicate ordering” enough?
• Is EvT really never visible?
Revision on the perfective
The perfective

• In all of the sentences it is understood that the period of time during which the event took place is over.

• **With telic predicates**, it is also typically understood that the relevant point needed for the situation to be substantiated has been reached.

• That is to say, perfective accomplishments typically yield the interpretation of ‘culminated’.

• BUT
(31) Pedro coloreó el castillo, pero no terminó.

Pedro colour-pfve.3ps the castle, but not finished

‘Pedro coloured the castle but he did not finish to’
Points for exploration

1. Quality of the eventuality: true accomplishments?

2. Semantics of the perfective

3. Syntax-semantics of the temporal modifiers that seem to foster non-culmination in these cases

   “For x time”

4. The compatibility of the overt clause declaring the lack of culmination explicitly “not finish to” (vs. not completely).
1. Quality of the eventuality

True accomplishment? yes

1.1. Culmination is possible

(32) Pedro coloreó el castillo durante un rato y lo terminó.
    P. coloured. p fve the castle for a while and it finished
    ‘Pedro coloured the castle for a while and he finished it’

(33) Pedro nadó durante un rato (# y terminó).
    Pedro swim-pfve.3ps for a while and finished.
    ‘Pedro swan for a while and finished’
Vagueness wrt culmination

• The sentence
  *Pedro coloreó el castillo durante un rato.*
  Pedro colored.pfve the castle for a while

is **vague with respect to culmination**. It is compatible with both scenarios: one where there is no culmination and another one where there is (Arche 2014a).

• in a similar way in which we speak about vagueness in temporal ordering in the so-called Independent temporal construal observed in relative clauses (Stowell 1993; see Arche 2001 for Spanish).
2. The meaning of the perfective

- In all these cases, the perfective can be paraphrased with what can be called “perfective progressive”:

  (34) Pedro coloreó el castillo, pero no terminó.  
  Pedro colour pfve.3ps the castle but not finished  
  ‘Pedro coloured the castle but he did not finish to’ 

  (35) Pedro estuvo coloreando el castillo, pero no terminó.  
  Pedro was pfve coloring the castle but not finished. 

N.B. Note that this form IS NOT equivalent in any sense to an imperfective progressive.
The meaning of the temporal modifier

• *For-time* adverbials sharply contrast with *in*-time adverbials:

(36) Pedro coloreó el castillo *durante tres horas.*
Pedro coloured.pfve the castle for three hours

• *It is still true* if Pedro was engaged for five hours in colouring the castle.

• *can* be continued by “*not finish to*”
The meaning of the temporal modifier

• *For*-time adverbials sharply contrast with *in-time* adverbials:

(37) Pedro coloreó el castillo en tres horas.
    Pedro coloured.pfve the castle in three hours

• **cannot be true** if it took Pedro five hours to colour the castle.
• **cannot be continued by “not finish to”**

(38) *Pedro coloreó el castillo en tres horas,
    Pedro coloured.pfve the castle in three hours,
    pero no terminó.
    but not finished
The meaning of the temporal modifier

*En tres horas*

*in three hours* $\rightarrow$ interval of the whole actual event

*Durante tres horas*

*for three hours* $\rightarrow$ interval of the assertion

- Demirdache & Uribe-Etxebarria 2004: temporal adverbials can be modifiers of the Assertion Time or the Event Time.
The syntax of *interval size* modifiers

- *durante*-time
  - *for*-time
    - AspP
      - AstT
        - Asp’
          - Aspº
            - EvtT
              - EvtT
                - *in*-PP
- *en*-time
  - *in*-time
    - Aspº
      - EvtT
        - EvtT
          - *in*-PP

Arche 2017
Semantics of interval size modifiers

• Both *for*-time & *in*-time give the **size** of an interval
  • Hence both are compatible **only with perfective** (in Spanish)

❖ *For*-time: measures the Assertion Time, hence the interval can give us only **PART** of the Event Time.

❖ *In*-time: measures the Event Time (→ bounds the **whole event**—and that is why it is not okay with activities or states.)

❖ **If the Event Time can be modified, it is visible for the derivation.**
Summary of the system

• Primitives
  -- temporal ordering predicates
  -- intervals: pronominal-like entities

• Independently motivated principles related to reference
Some references


Arche, M.J. In progress. Rethinking Aspect Types. Ms


Some references