



1 Article

Sphenostylis stenocarpa (ex. A. Rich.) Harms., a Fading 2

Genetic Resource in a Changing Climate: Prerequisite 3

for Conservation and Sustainability 4

5 Catherine Veronica Nnamani^{1*}, Sunday Adesola Ajayi², Happiness Ogba Oselebe³, Christopher John

6 Atkinson⁴, Anastasia Ngozi Igboabuchi⁵, and Eucharia Chizoba Ezigbo¹.

- 7 ¹Plant Taxonomy/Biosystematics and Conservation Biology Research Lab,
- 8 Department of Applied Biology, Ebonyi State University, Abakaliki, Nigeria,
- 9 ²Department of Crop Production and Protection, Obafemi Awolowo University, Ile-Ife, Nigeria,
- 10 ³Department of Crop Production & Landscape Management, Ebonyi State University, Abakaliki, Nigeria.
- 11 ⁴Natural Resources Institute, University of Greenwich, Medway Campus, Central Avenue, Chatham Maritime, Kent, 12 UK,
- 13 ⁵Department of Biology, Nwafor Orizu College of Education, Nsugbe, Nigeria
- 14 *Correspondence Author's email: drnnamanikate@gmail.com , +2348037786269
- 15 Academic Editor: name
- 16 Received: date; Accepted: date; Published: date
- 17 Abstract: The southeastern part of Nigeria is one of the major hotspot of useful plant genetic resources.
- 18 These endemic species are associated with a rich indigenous knowledge and cultural diversity in relation 19 to their use and conservation. Sphenostylis stenocarpa (ex. A. Rich.) Harms., (African Yam Bean (AYB), is 20
- one such crop within the family of Fabaceae. Its nutritional and eco-friendly characteristics have value in 21 ameliorating malnutrition, hidden hunger and environmental degradation inherent in resource-poor 22 rural and semi-rural communities throughout Africa. However, lack of information from the custodians 23 of this crop is limiting its sustainable development. Ethnobotanical survey on the diversity, uses, and 24 constraints limiting the cultivation and use of the crop in South-eastern Nigeria are documented. 25 Five-hundred respondents were randomly selected and data collected through oral interviews and 26 focused group discussion (FGD). Semi-structured questionnaires (SSQ) were also used to elicit
- 27 information from a spectrum of AYB users comprising community leaders, farmers, market women and
- 28 consumers in these states. Results showed that the majority of the respondents lacked formal education
- 29 and were of age group of 40 - 50 years while, female gender-dominated with limited access to land and
- 30 extension officers. Seed coat colour largely determined utilization. Long cooking time, requirement for
- 31 staking materials, aging of farmers and low market demand were among the major constraints limiting 32 further cultivation and utilization of AYB. In-situ conservation is made by hanging dried fruits by the
- 33 fireside, beside the house, storing in earthenware, calabash gourds, cans and bottles. It is concluded that
- 34 there is urgent need to scale up conservation through robust linkages between contemporary scientific
- 35 domains and indigenous peoples in order to harness and incorporate the rich indigenous knowledge in
- 36 local communities for enhanced scientific knowledge, biodiversity conservation and its sustainable
- 37 utilization for food security.

- 39 Keywords: African Yam Bean; indigenous knowledge; genetic erosion; conservation; food security; Nigeria
- 40 41

42 1. Introduction

43 The dependence of humans on plants for their livelihood is connected to the development of specific 44 knowledge on plant value, use, management, and conservation [1,2]. The Convention on Biological 45 Diversity [3] stress the need to respect, preserve and maintain the knowledge, innovation and practices of 46 indigenous communities relevant for the conservation and sustainable use of biological diversity. The 47 direct relationship between biological and cultural diversity shows that the maintenance of the former can 48 help preserve the latter while increasing the capacity of human to adapt to change [4]. Just as biological 49 diversity underpins the resilience of natural systems so does cultural diversity [5] and this increases the 50 resilience of social systems. Indigenous people are the major custodians of knowledge on endemic 51 biodiversity because of the long and intertwined associations between their survival and the utilization of 52 plant species for food, traditional medicine and a diversity of other uses. Harnessing this information can 53 strengthen research in the contemporary scientific domain on AYB.

54 Ethnobotanical information is essential for assessing both the diversity and the adaptation 55 characteristics of useful plants and this helps in understanding a plants' micro-niches and the stability of 56 environmental conditions. It is also useful in the collection of the genetic resources of these cultivated and 57 economically important species in order capture variation within these species [6]. Ethnobotany serves as 58 a an untapped reservoir of knowledge, especially with respect to the interactions between plants, people, 59 folk taxonomy, plant mythology, ethnomedicine, food security, environment restoration and germplasm 60 conservation. Unfortunately, the indigenous knowledge systems are fast eroding due to several 61 anthropogenic factors such as colonialism, commercialization, globalization, modernization, breakdown 62 of the African traditional family structures, developmentally-induced human displacements, urban 63 migration all of which have induced a lack of interest from the younger generation [7].

64 Nigeria is one of the hotspots for plants genetic resources and cultural diversity [8, 9]. Hence, the 65 various geographical zones provide a platform for the study of perceptions of the custodians of 66 indigenous knowledge about plant genetic resources.

67 Sphenostylis stenocarpa Ex. A. Rich Harms, commonly known as African yam bean (AYB), is a 68 neglected and underutilized leguminous plant genetic resource of the subfamily Faboideae, family 69 Fabaceae and a small genus represented by only seven species [10]. It is a perennial climbing species 70 whose morphotypes may also be prostrate, or erect and about 1-3 m in height. Its leaves are trifoliate, 2.7 71 to 13 cm long and 0.2 to 5.5 cm broad. The inflorescence is a raceme that exhibits an acropetal mode of 72 floral maturation with pink flowers blended with purple, with the slightly twisted backward 73 characteristic of the Fabaceae [11]. The center of diversity of AYB is the northeast tropical Africa (Chad 74 and Ethiopia), east tropical Africa (Kenya, Tanzania and Uganda), west-central tropical Africa (Burundi, 75 Central African Republic and Zaire), West Africa (Cote d'Ivoire, Ghana, Guinea, Mali, Niger, Nigeria and 76 Togo) and south tropical Africa (Angola, Malawi, Zambia and Zimbabwe) [12,13].

77 African yam bean is cultivated for its edible tubers and for its seeds which have high nutritional 78 values. The amino acid (lysine and methionine) has been reported to be higher than those of pigeon pea, 79 cowpea, and bambara groundnut [14]. Omeire [15], noted that the amino acid (g/100g) profile of African 80 yam bean revealed lysine (6.12), histidine (3.10), arginine (6.47), aspartic acid (9.12), glycine (3.90), alanine 81 (4.05), valine (4.96), and phenylalanine (5.05). Its lysine and methionine contents are equal to or better 82 than those of soybean protein [16], while equally comparable with whole chicken eggs and can meet the 83 daily human requirement for protein [17]. Its protein profile compares favourably with other African root 84 crops such as yams, sweet potatoes and has almost ten times the protein value of cassava tubers, while the 85 essential proteins in AYB are similar to those in soybeans [18].

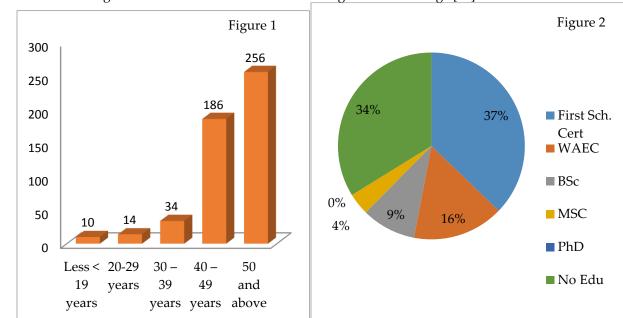
In spite of the extensive information on the morphological characterization for yield [19, 20, 11, 13],
biochemical profiles [21-23], physiochemical seed quality [24], and genetic diversity [25 -28] of AYB, there
is dearth of information on ethnobotanical knowledge on this highly-promising plant genetic resource.

Therefore, the aim of this study was to carry out an ethnobotanical survey to develop a hub of indigenous knowledge on AYB and to complement this with the existing wealth of scientific knowledge. This study sought to systematically bridge the knowledge gap by a) identifying the socio-economic characteristics of respondents involved in AYB activities in five states of Southeastern Nigeria; b) determine the uses of AYB and phenotypic variability in seed coat colour and c) identify those constraints limiting the use and production systems of AYB in Southeastern Nigeria.

95 2. Results and Discussion

96 2.1. Social Background of Respondents

97 Two-hundred-fifty-six (51.2%) of the 500 respondents were 50 years old and were involved either in 98 the cultivation and/or sales of AYB products as a source of food and income. Two percent (2%), 2.5% and 99 6.8% of the respondents were within the age limits of <19, 20-19 and 30-39, respectively (Figure 1). With 100 respect to the level of education 37.2% had primary school-leaving certificates while 34% had no formal 101 education (Figure 2). These results are similar to those of [29], who reported that 64% of traditional healers, 102 who were the custodians of indigenous knowledge in medicinal plants in the Blouberg area of India, had 103 no formal education, while 32% had primary school certificates, with only 4% having attended secondary 104 school. The implications of these results are that potentially-valuable information, on these plants, is in 105 the hands of the older generation who by virtue of their age have a diminishing involvement in AYB 106 cultivation. Their educational levels may not predispose them to document this knowledge for posterity 107 and for the benefit of people outside the local community. With the very low involvement of younger 108 people in farming in general and specifically in the cultivation of AYB, it is reasonable to assume that 109 there will be a progressive decline and eventually a substantial loss of indigenous AYB cultural 110 knowledge and genetic diversity over temporal and spatial scales. This is in line with the opinion of [30], 111 who suggested that the most significant global threat to biodiversity is the erosion of ethnobotanical 112 knowledge caused by the demise of the aging custodians of this knowledge. This situation is not helped by



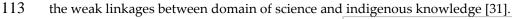
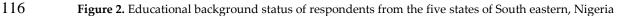


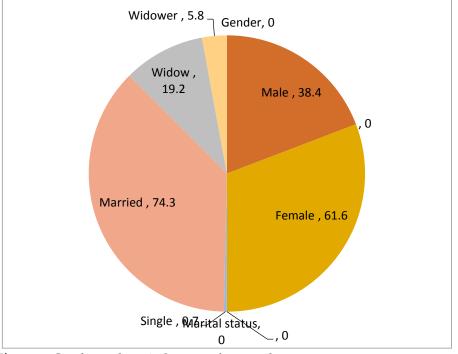
Figure 1. Age range of respondents from the five states of Southeastern, Nigeria



117 2.2. Gender and Marital Status of Respondents

118 A gender disaggregation of the respondents in this study showed that the ratio of female to male 119 involvement in the cultivation of and transactions of AYB was approximately 2:1, 61.6% to 34.8%. A higher 120 proportion (74.3%) of the respondents involved in AYB cultivation and processing were married women 121 while the proportions of single, widows and widowers were, respectively, 0.7, 19.2, and 5.8% (Figure 3). 122 The dominance of the female gender in AYB cultivation and indigenous knowledge is not unique to 123 Nigeria, as similar findings were reported for the Baka tribe in Cameroon [32] and the Masai in Kenya [33] 124 for knowledge associated with the sales of indigenous plants. The results are also in agreement with the 125 report by [34] who reported that 77.9% of married smallholder farmers compared to 22.1% single women 126 were involved in rice farming in Awe Local Government Area of Nasarawa State in Nigeria. The high 127 proportion of female gender was reported by one of respondents as follows: "Africa Yam Bean is regarded as 128 minor and women's crop; not worthy to engage the energy and labours of the men. They have the patience/endurance 129 of its rigorous attentions and hard-to-maintain practices". AYB also serves as a supplementary source of 130 income for female farmers. The cultural characteristics of the study area, where there is often wide age gap 131 between partners, is exacerbated by the fact that majority of the respondents lived in rural areas. This 132 provides some reasoning for the dominance of women upon whose shoulder the responsibility of meeting 133 household food requirements usually falls after their older male counterparts are no longer able to

134 undertake manual labour.



135 136

137

139

Figure 3. Gender and marital status of respondents

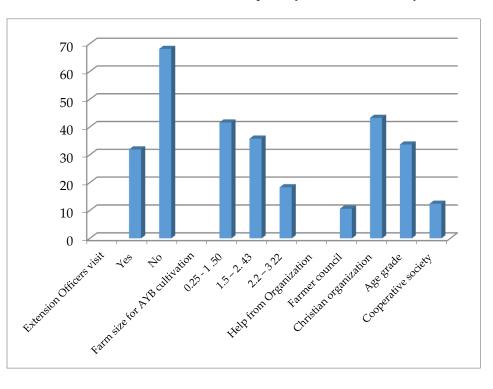
138 2.3. Visit of extension officers, size of farm land and sources of income

Majority (68%) of the respondents did not receive information or advice from extension officers while claimed to have had contact with them. Similarly, 11%, 43%, 34% and 12% of the respondents normally received social grants from farmers' council, Christian organization, age grade or a cooperative society, respectively (Figure 4). Aremu et al. [35], noted that the knowledge and application of extension education principles helped extension workers to determine farmers' needs, constraints, priorities and opportunities connected to their farming activities. They concluded that it also gave them the opportunity 146 to teach farmers the value of improved agricultural practices; recommending suitable crops for different 147 agro-ecological zones and encouraging the adoption of appropriate technologies. The low level of 148 farmer-extension contacts in this study could be implicated as a major contributory factor to AYB being an 149 underutilized crop.

The result also indicated that 41.6% of the respondents had a farm size of less than 0.25-1.50 hectares, 35.9% had between 1.51–2.43 hectares while 18.3% had about 2.2–3.22 hectares (Figure 4). Only 1.9% had a farm size that was more than four hectares. These observations are in agreement with the findings of [36] who reported that 70% of respondents in the three agricultural zones of Enugu State had access to \leq 3 ha farm land. This could also be attributed to the land tenure system of ownership where women do not have access to land ownership in Southeastern Nigeria.

156 [37] noted that under the customary rules of land tenure, each individual member of a landholding 157 family was entitled to a portion of land, enough to feed himself and the members of 'his family', 158 suggesting that men owned land and they apportion it as deemed fit to women. On the contrary, in the 159 study with the Amawbia community in Awka South L.G.A. of Anambra State, [38] noted that farmland 160 allocated to women for cultivation was to keep them busy and to enable them feed their households from 161 their farm products, enable them cushion the effects of poverty and food insecurity.

162



163

164

Figure 4. Extension officer's visits, size of farmland and source of help for farming activities forrespondents in the five States of Southeastern, Nigeria.

167 2.4. Local nomenclature of African yam bean

In Southeastern Nigeria, AYB goes by a multiplicity of names based on locality and dialect. At Ngwu
 Uzuakoli community in Bende LGA of Abia State, AYB is called "Odudu" while in Umuahia North Local

- 170 Government Area in Abia State it is known as "Akidi". However, in Anambra and Imo States, it is known
- 171 as "Okpodudu" while in Enugu State, there are an array of local names among many towns. It is known as

172 "Uzoaki" in Awgu, Aninri, Nkanu North and East Local Government Areas while in Nsukka, Udeni, 173 Igboeze South Igboeze North, and Igbo-Etiti South AYB is called "Ijiriji". Extending towards the southern 174 part of Southeast, Nigeria, to Ebonyi State, African yam bean is called "Uzoaki" in Afikpo and Ohaozara 175 areas while in Izzi, Ikwo and Ohaukwu LGAs it is known as "Azama". These multiplicities of names were 176 based on the status and or position of this crop in the trado-cultural settings of some of the local 177 communities. It is a food prepared for the labourers when they are hired to work on farm. They eat the 178 food in the morning and will keep on drinking water without getting famished for a very long time. In an 179 idiomatic way they refer to the crop as '6 to 6', meaning that when you eat AYB by 6 am, while working, 180 you will not need to eat again till 6 pm. AYB is also a crop that sustains the people when other crops are 181 scarce or are all cultivated on the farm.

- 182
- 183

2.5. Diversity in seed coat colour and pattern of the AYB accessions collected from South-eastern Nigeria

184

185 There was wide variation in seed coat colours and patterns in AYB accessions observed in this study. The 186 existence of this natural variation across these accessions is very obvious. Colour variation ranges from 187 very light brown to completely black, variegated brown with black shading to black variegated. Others 188 were milky with black eye; milky with brown eye and black (Figure 5). These variations could prove to be 189 useful to both farmers and conservation biologists in providing simple identification of genetic variation,

- useful to both farmers and conservation biologists in providing simple identificatits storage and future development within potential breeding programmes.
- 191



192 193 194

195

196

Figure 5. Diversity in seed coat colours and patterns in *Sphenostylis stenocarpa* (*ex.* A. Rich.) Harms. (African yam bean) accessions from five states in Southeast, Nigeria. Photo by Nnamani.

FAO [39], noted that traditional varieties have higher stability to adapt to climate variability, change
and low-input agriculture under marginal environments thereby facilitating a higher level of resilience for
farmers in facing food production risks. This diversity is paramount for varietal breeding as noted by [40].

201 They concluded that when breeders need to develop new crops with desirable characteristics such as yield

202 potential, greater seed quality, pest and disease resistance, preference is given the species with diverse' 203 genetic traits, which are of immense importance to farmers and conservation biologist. Seed coat 204 patterning also appears to determine the choice of selection of seeds for cultivation and cooking. Although 205 there were diverse and mixed views about the seed coat pattern, a higher percentage of the respondents 206 preferred the variegated black and brown seed colour to the milk and white seeds (Figure 5). This was 207 based on their assumption that the black and brown variegated seeds were, in their opinion, more 208 proteinous and yielded more when grown. This is in line with the report of [41] who noted that black 209 seeds of AYB yield per hectare was significantly greater (1542 kg ha⁻¹) compared to either the brown 210 variant (1304 kg ha⁻¹), or the milky variant with (1259 kg ha⁻¹). The wide range of diversity inherent in AYB 211 could have contributed to the continuous availability the crop despite a general level of neglect and 212 limited exploitation in Africa [13].

213 2.6. Food utilization of African yam bean

The corresponding multiple uses of AYB were recorded in most of communities and it is a feature of the cultural diversity in these agro-ecological zones. The array of menus for which AYB is used for food in Southeastern, Nigeria was wide for a homogenous, linguistically and culturally-knitted group of respondents. The majority of the respondents have good knowledge of this species as part of their diet. However, the older informants (64.3%) were more knowledgeable in this than the younger ones (23%) while 12.7% had no knowledge of other uses of AYB except as snack. This could be attributed to preference of exotic foods to indigenous foods and lack of interest by younger generation.

In Abia State, it is roasted and eaten as snack (Figure 6a) or cooked as pottage, or mixed with "Ugba" (*Pentaclethra macrophylla*), "Okporoko" (stock fish) and served as delicacy at festive events such as traditional marriage, new yam festival and burial ceremonies (Figure 6b). This is one of the most cherished foods given to a visitor in some communities of Abia State.

225 Among the communities in Anambra State it is cooked with yam (Figure 6c-d) and served as pottage 226 or made into flour and fried as balls. In Ebonyi State it is roasted or eaten as snack with palm kernel or 227 cooked with yam or as thickener/condiment for soup. Respondents in Enugu State reported that AYB is 228 made into flour and used to prepare moi-moi (Figure 6e-f) or cooked as pottage mixed with vegetables, 229 Pentaclethra macrophylla, dried fish (Figure 6d-h) and served as delicacy on festive events such as 230 traditional marriage and naming ceremonies. It is also roasted and eaten as snack with soft palm kernel. It 231 could be cooked with yam and served as pottage or made into flour and mixed with maize flour to prepare 232 foo-foo, and served with "okra" (lady's finger) soup.

233 These observations corroborate with the report by [42] who noted that indigenous communities, in 234 China, Bolivia and Kenya, favoured the cultivation of varieties of traditional crops over a single 235 high-yielding but also high-risk, mono-cropping system in rural settings. The discovery of these diverse 236 menus in which AYB is prominent in Southeastern Nigeria is consistent with the report by [43] that AYB in 237 Ghana, is used extensively in various dietary preparations and this has potentially supplemented the 238 protein requirements of many families throughout the year. They observed that the maintenance of 239 diverse traditional crop varieties and access to seeds were essential tools for the adaptation and survival of 240 poor rural farmers. This enables them to conserve germplasm and provide a contingency when conditions 241 are not favourable.

However, it was observed from the respondents in these zones that they were not aware of AYB having tubers underground which they could equally use.

- 244
- 245
- 246



Figure 6. Diversity of foods prepared from *Sphenostylis stenocarpa* accessions in the five States of Southeast, Nigeria. (A-H): AYB in association with other crops eaten in the Southeast, Nigeria. (A) - (Fried AYB and eating with palm kneel as snack. (B) – Fo od prepared from AYB with dried cock-yam and "Akpaka – (oil-bean seed (*Pentaclethra macrophylla*). (C) - AYB with Yam. (D) - AYB cooked as pottage. (E-F) - AYB prepared as mio-mio cake called Ugbagidi in Agwu LGA in Enugu State. (G)-AYB prepared with ground maize (Ayaraya Oka) and Akpaka. (H) - Researcher enjoying herself with the delicacy of AYB at Ubani market in Abia State. Source: 2016 Field Survey. Photo © Nnamani.

248 249 250

2.7. Income extractable from the sales of AYB in the five States of Southeastern Nigeria

251 Figure 7 shows a summary of the average income generated from the sales of products, seeds and the 252 diversity of menus derivable from AYB. The study showed that the highest income of N16,000 (\$180 USD) 253 was generated, particularly in Abia State, from the sales of prepared food of diverse menus from AYB, 254 sold in the open markets, and from road hawkers (Figure 7). The least income was recorded from Ebonyi 255 on the sales of AYB seeds and other food menus. Thus, AYB provides a good complementary source of 256 income for the resource poor rural and semi-rural dwellers in Southeastern Nigeria. This confirms the 257 opinion of [44] that food security and poverty alleviation in rural communities can be improved by 258 diversifying the existing few staple crops to include underutilized plants. They concluded that the few 259 staple crops exploited in dry conditions of Botswana could not improve the lives of resource-poor rural 260 households without incorporating alternatives such as indigenous food plants.

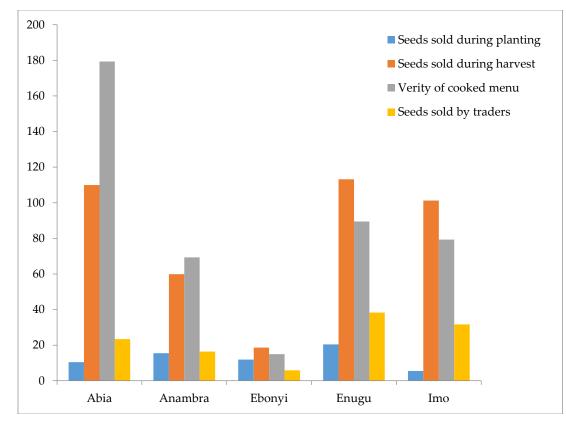




Figure 7. Income extractable from the sales of AYB in the five States of Southeastern Nigeria (USD)

Furthermore, experience has shown that many African rural communities actually rely on indigenous plants for food security and as source of cash income between cropping seasons. It has been established that neglected and underutilized species in Southeastern Nigeria were potential sources of high levels of essential nutrients which contributed to the daily requirement and maintenance of good health of the resource-poor rural households. It has a direct impact on alleviating poverty, building a sustainable future for these people who are dependent on it as source of livelihood [45].

270 2.8. Other uses of African yam bean in the five states of Southeastern Nigeria

271 In all the states, AYB was utilized for many other livelihood services. Respondents enumerated 272 situations where the integration of AYB was crucial for the wellbeing of the people as summarized in 273 Table 1. The ability of the indigenous communities integrate and interact with their natural surroundings 274 has been a positive approach in their resilience, survival and sustainable development. The utilitarian 275 value of AYB in the communities examined spanned the five states studied. Such utilitarian value include 276 the use of dried AYB snacks by diabetic patients, extract of mashed cooked AYB to induce lactation after 277 childbirth and the use of the fried ground seed coat to treat strokes (Table 1). This conforms with the 278 expectation implied in the 'Convention on the Protection and Promotion of the Diversity of Cultural 279 Expressions' that the recognition of the links between biological and cultural diversity is often embedded 280 with acknowledging the importance of indigenous knowledge and local peoples participatory roles in 281 protecting and conserving biodiversity. This is because cultural diversity is a rich asset for safeguarding 282 the vitality of societies; preserving cultural customs and practices and know-how that should be 283 conserved [46].

285 286 Table 1. Other uses of African Yam Bean in the five States of Southeastern Nigeria

	Abia	Anambra	Ebonyi	Enugu	Imo
Fodder and part used	-	-	Yes (dried plant after harvesting seed	Yes (dried plant after harvesting seed	-
	Dried YAB snacks are recommended for diabetic patients. It makes them feel full	Dried YAB snacks are recommended for diabetic patients. It makes them feel full	Dried YAB snacks are recommended for diabetic patients. It makes them feel full	Dried YAB snacks are recommended for diabetic patients. It makes them feel full	Dried YAB snacks ar recommended for diabetic patients. It makes them feel full
	-	Eating AYB induces sleep as a result of its relaxing ability (Insomnia)			Eating AYB induces sleep as a result of it relaxing ability (Insomnia)
Medicine	Fried and ground seed is used to treat stroke Extract of mashed AYB after	-	-	Fried and grinded seed is used to treat stroke Extract of mashed AYB after cocking is used to induce lactation in mothers after birth.	-
	Extract of mashed AYB after cooking is used to induce lactation in mothers after birth.		Extract of mashed AYB after cocking is used to induce lactation in mothers after birth	The seed coat of roasted AYB is used in the treatment of stroke by a traditional healer in Ehandiagu found in Nsukka LGA (Clem Uroko).	
Cultural	Used as special food during	Used as special food	Used as special food	Used as special food during	Used as special food
	festivals Women crop	during festivals Women crop	during festivals Women crop	festivals Women's crop	during festivals Women crop
	Poorer people crop	Poorer people crop	Poorer people crop	Poorer people crop	Poorer people crop

287 288

Source: Field survey 2016.



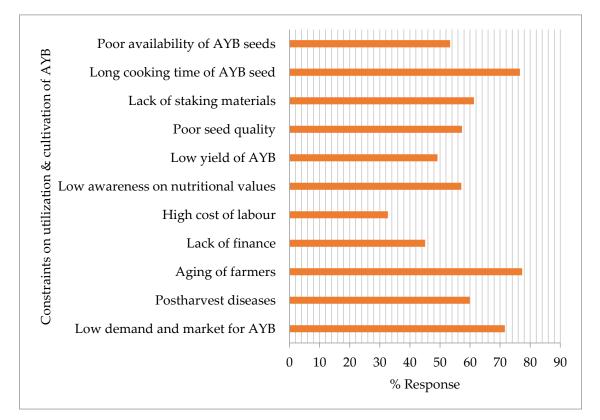


290 2.9. Constraints to cultivation and utilization of AYB

291 AYB utilization and production in Southeastern Nigeria is beset with a plethora of problems. 292 Specifically, the challenges confronting its use and cultivation, determined for this study, are 293 summarized in Fig. 8. Many of the respondents indicated that aging farmers (77.3%), and suggested 294 that the long cooking time (76.6%) and low product market demand (71.6%) were the most 295 intractable problems confronting AYB cultivation and utilization in Southeastern Nigeria. Other 296 constraints identified were lack of staking materials (61.3%), postharvest diseases (59.9%) and poor 297 awareness on the nutritional values of AYB (57.1%), an important staple as well as a crop of 298 immense cultural value in southeastern Nigeria. Among the strategies for shortening the long 299 cooking time was soaking seeds overnight before cooking and/or adding the petioles of paw-paw 300 (Carica papaya) to AYB seeds while cooking to reduce the challenge of long cooking time. These 301 challenges could be attributed to weak linkage between research and indigenous knowledge.

302

289



303

- Figure 8. Constraints on the utilization and cultivation of AYB in Southeastern Nigeria as
 determined from data the respondent's 2016 field survey
- 306

307 2. 10. Conservation and Management

Indigenous communities in the study area depend on plant genetic resources for livelihood sustenance. They have developed selective conservation methods to protect these plants including AYB. Conservation of AYB is accomplished through collection of dried fruits at maturity when the green fruits become brownish within the months of December to February. This is usually after harvesting the yams (*Dioscorea* spp) with which AYB was staked with in the farm. The most common *in situ* conservation techniques used were restrictions on use (eating just a fraction of the entire seeds harvested), hanging the dried fruits beside the cooking fire ether inside the kitchen or outside, beside the house and or storing the seeds in calabash gourds, earthenware's, plastic cans and bottles. Some of the respondents just tie up the dried fruits in bundles and place them on any dry platform outside the house (Figure 9).

- 318
- 319
- 320
- ----
- 321
- 322 323

324 325

326

327

328



Figure 9. *Sphenostylis stenocarpa.* (A) Mature but not ready for harvest fruits of African yam bean. (B)- dried fruits, (C)-dried harvested fruits stored beside the house by one of the respondents. Photo © Adewale and Nnamani.

All these conservation methods often expose the seeds to pathogens thereby making them vulnerable to spoilage. This is in line with the reports by [46] who noted that seeds of AYB were usually heavily infected with quite a number of pathogens. With respect to this, considerable efforts, programs and policies should be made to aid to conserve AYB seeds in the study zones. This is in line with the report of [47] that, to conserve underutilized species effectively, holistic approaches which include both the *ex-situ* and *in-situ* techniques must be planned to complementary the local conservation strategies.

The remaining seeds of the 34 accessions of AYB used in this project were conserved *ex-situ* in germplasm conservation unit of Biotechnology Research and Development Centre, Ebonyi State University Abakaliki, Nigeria and germplasm screening laboratory, Department of Crop Production and Protection, Faculty of Agriculture, Obafemi Awolowo University, Ile-Ife, Nigeria.

340

341 3. Conclusions and Recommendations

Globally it is recognized that indigenous knowledge and its institutional systems provide the foundation for participatory strategies for eco-friendly and societally sustainable development. This survey on the voice of the custodians of AYB in this zone has actually contributed to the wealth of knowledge about AYB and its sustaining potential in food security resilience and development.

The social characteristics of respondents involved in AYB activities indicated the preponderance of the elderly who are fast aging with little or no formal education was high. While these traditional custodians of AYB are passing away due to old age, the younger generation has not shown enough interest in this crop.

Furthermore, the variability in seed coat colours and patterns were highly varied and has direct relationship with choice of selection of AYB seeds for cultivation and use. However, inadequate information from extension officers, lack of staking materials, long cooking time, low market demand, lack of appropriate conservation measures and unstable sources of income were among the factors against the cultivation and use of AYB. Apparently, the results from this study showed that this rich indigenous knowledge could provide a guiding light for informed scientific inquiry to address current challenges. Protection of indigenous knowledge and its promotion among the scientific domain is urgently needed to conserve and develop this crop [48]. As an integral component of the menu of majority of the agrarian communities in this zone, it could play a significant role on food security and economic development.

361 This study has unveiled some baseline data on the extensive dietary preparations and other 362 latent uses of AYB in addressing food security and sustainable development of these resources poor 363 rural communities. Urgent local and national *in-situ* and *ex-situ* conservation approaches are highly 364 needed to ensure the continuous existence of this highly promising fading plant genetic resource in 365 the face of change. National Research Council [49] stressed on the need for preliminary surveys, 366 which could quickly be converted into advisory services to farmers who are the keepers of Africa's 367 age-old yam bean heritage. This they noted could be done throughout the AYB zones with the aim of 368 creating more awareness on its multipurpose assets, conserving the germplasm and improving the 369 potentials of this crop.

370 4. Materials and Methods

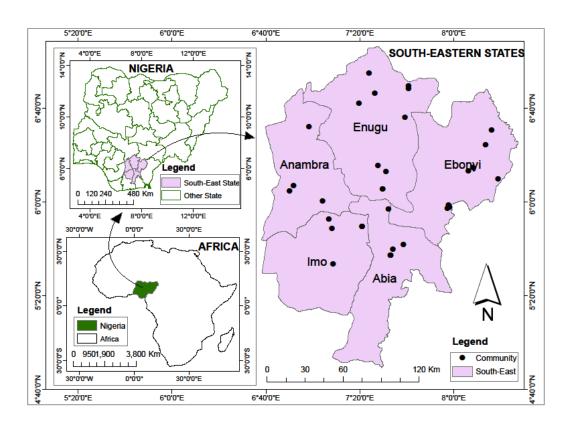
371 4.1. Study Area

372 The study area was southeastern geo-political zone of Nigeria, comprising of Abia, Anambra, 373 Ebonyi, Enugu and Imo states regarded as the Igbo land. It is located within longitudes 5° 30' and 9° 374 30' E and latitudes 4° 30' and 7° 00' N, occupying a land area of about 75,488 km² (Figure 10) and 375 bordered by Cross River State to the east, Akwa Ibom to the south, Edo and Delta States to the west, 376 and Kogi and Benue States to the north [50]. Temperature in the study area is characterized by two 377 distinct alternating seasons of uniformly high temperature of 37°C. The aridity of the dry season is 378 accentuated by the dust-laden harmattan winds (Northeast (NE) Trades Winds). The mean monthly 379 temperatures oscillate between 23.3°C and 27.7°C with a seasonal bimodal annual rainfall of 380 (1500-2500 mm). With respect to vegetation the age-long anthropogenic activities have given rise to a 381 derived mosaic of lowland rainforest vegetation type that houses a relic of tropical rainforest 382 vegetation belt [50].

383

384 4.2. Sample Size

385 Representative samples of 10 respondents were selected randomly from 10 Local 386 Government Areas (LGA) in a state, giving a total number of 500 respondents across the five states 387 (Figure 10). The respondents included community heads, farmers, drivers and vendors of AYB in 388 these communities. The selected 500 respondents were restricted to those were above 18 years of age 389 and older. The 34 accessions collected from the study areas after the research was conserved *ex-situ* 390 in germplasm conservation unit of Biotechnology Research and Development Centre, Ebonyi State 391 University Abakaliki, Nigeria and germplasm screening laboratory of Department of Crop 392 Production and Protection, Faculty of Agriculture, Obafemi Awolowo University, Ile-Ife, Nigeria.



393



Figure 10. Data collection sites for *Sphenostylis stenocarpa* in Southeast Nigeria.

395 4.3. Seed/Plant Collection

Werbal pre-informed consent was obtained from the participants before they were interviewed. Interviews were conducted in the local language using guided semi-structured questionnaires and research assistants who were conversant with the local languages. These questionnaires were structured in line with the specific objectives of the study and were administered in form of oral interview scheduled in order to ensure that responses to the questions are correctly filled.

401 The interviews were structured and covered questions pertaining to the uses of AYB, 402 production and utilization constraints. The research questions were focused on (i) identifying the 403 socio-economic status of the respondents; (ii) determining the uses, variability in seed coat colour 404 and patterns, (iii) identifying the effects of climate change including those constraints limiting the 405 use and production system of AYB, (iv) Additionally, requested were their knowledge of climate 406 change effect on crops (with particular emphasis on AYB), (v) other uses such as its nutritional, 407 medicinal, and cultural values including income extractable from AYB and (vi) the demand profile 408 of AYB in these communities. Rapid rural appraisal (RRA) and focal group discussion (FGD) were 409 used to elicit farmers and stakeholders' awareness and knowledge about climate change, its impact 410 on their major staples; status of AYB and challenges of cultivating it, while semi-structured 411 interview schedules were used to collect quantitative information from the selected respondents.

Farmers of AYB responded to a five point scale survey employed to determine the magnitude of their responses: to a very great extent, five points, to a great extent, four points, to some extent, three points, to a little extent, two points and to a very little extent, one point.

415 4.4. Income Extraction Validation

The nutritional, medicinal, and cultural values, including income and demand profile of AYB in these communities were accessed. Various vendors and different actors on AYB within the local markets participated on this study. The prices for this crop in the various zones as accruing from various transactions were sourced and recorded. Economic evaluation of the plant and its

- multipurpose uses were inventoried, rating these, and then converting these prices from the localcurrency to its USA dollar equivalent.
- 422 4.5. Data Analysis

Data obtained from the questionnaires were processed into data matrix, percentages and
analysed. Data were analysed using simple averages, mean scores and standard deviations with
Statistical Analysis System (SAS) to realize the objectives.

426

Acknowledgement: We appreciate African Academy of Science (AAS) and Association of Commonwealth
Universities (ACU) for the award of a "Climate Impact Research Capacity and Leadership Enhancement
(CIRCLE) to the first author for this project. We are indebted to the Department for International
Development (DfID) for funding CIRCLE and to the custodians of African yam bean, and Dept. of Crop
Production and Protection, OAU, Nigeria for hosting this project. However, neither the findings nor the
views expressed, however, necessarily reflect the policies of the UK Government.

- Author Contributions: Catherine Veronica Nnamani and Happiness Ogba Oselebe conceived and wrote the
 proposal. Catherine Veronica Nnamani designed the experiment, performed the experiments, analyzed
 the data, and wrote up the manuscript, Sunday Adesola Ajayi supervised, designed the experiment and
 interpreted the data. Christopher John Atkinson restructured the proposal and corrected the manuscript
 while Anastasia Ngozi Igboabuchi and Eucharia Chizoba Ezigbo were involved in sample collections and
 the field survey together with Catherine Veronica Nnamani.
- 439 Conflicts of Interest: The authors declare no conflict of interest. The funders had no role in the design of the
 440 study; in the collection, analyses, or interpretation of data and in the writing of the manuscript, however,
 441 the funders' have provided grant to publish this research work in an Open Access Journal.

442 **References**

- 443 1) Cotton, C.M. *Ethnobotany: Principles and Applications*. John Wiley and Sons Ltd., Chichester,
 444 England, 1996, 434 pp.
 - 2) Martin, G. J. *Ethnobotany: A Method Manual*. Chapman and Hall, London, **1995**, 251 pp.
- 446 3) Convention on Biological Diversity. United Nations Environment Programme. 1992,
 447 http://www.conservationandsociety.org/article.asp?issn=0972-4923;year=2009;volume=7;issue
 448 =2;spage=100;epage=112;aulast=Pretty , http://www.cbd.int/convention/
- 449 4) Harmon, D. *In light of our differences*.2002, Smithsonian Institution Press, Washington, D C.
- Pretty, J.; Adams, B.; Berkes, F.; de Athayde, S.; Dudley, N.; Hunn, E.; Maffi, L.; Milton, K.;
 Rapport, D.; Robbins, P.,; Sterling, E.; Stolton, S.; Tsing, S.; Vintinnerk, E.; Pilgrim, S. The
 intersections of biological diversity and cultural diversity: Towards integration, *Conservation and Society*, 2009, 7, 2, 100-112.
- 454 6) Eyzaguirre, P. Ethnobotanical information in plant genetic resources collecting and 455 documentation. International Plant Genetic Resources Institute,**1995**. Unpublished, Rome,
- 456 7) Eyong, C. T. Indigenous knowledge systems and tribes and sustainable development:
 457 Relevance for Africa. *Tribes and Tribals*, 2007, 1, 121-139.
- Brenan, J.-M. Some aspects of the phytogeography of tropical Africa. *Annals of the Missouri Botanical Garden*, **1978**, 65 (2): 437–478; http://dx.doi.org/10.2307/2398859
- 4609)Borokini, T.I.). A systematic compilation of endemic flora in Nigeria for conservation461management. Journal of Threatened Taxa, 2014, 6, 11, 6406-4626426; http://dx.doi.org/10.11609/JoTT.o4010.6406-26
- 463 10) Potter, D.; Doyle, J. J. Origin of African yam bean (*Sphenostylis stenocarpa*, Leguminosae):
 464 evidence from morphology, isozymes, chloroplast DNA and Linguistics. *Economic Botany*,
 465 1992, 46, 276-292.
- 466 11) Adewale, B.D.; Dumet, D.J.; Vroh-Bi, I.; Kehinde, O.B.; Ojo, D.K.; Adegbite, A.E.; Franco, J.
 467 Morphological diversity analysis of African yam bean and prospects for utilization in 468 germplasm conservation and breeding. *Genetic Research and Crop of Evolution* 2012, *59*, 927-936.

- 472 13) Adewale, B.D.; Odoh, N.C. A review on genetic resources, diversity and agronomy of African
 473 Yam Bean (*Sphenostylis stenocarpa* (Hochst. Ex A. Rich.) Harms): A potential future food crop
 474 sustainable. *Agricultural Research*, 2013, 2, 1: 3 -43.
- 475 14) Uguru, M.I.; Madukaife, S.O. Studies on the variability in agronomic and nutritive
 476 characteristics of African yam bean (*Sphenostylis stenocarpa* Hochst ex. A. Rich. Harms). *Plant*477 *Production Research Journal*, 2001, 6, 10-19.
- 478 15) Omeire, G.C. Amino acid profile of raw and extruded blends of African yam bean
 479 (*Sphenostylis stenocarpa*) and cassava flour. *American Journal of Food and Nutrition*, 2012, 2, 3,
 480 65-68, doi:10.5251/ajfn.2012.2.3.65.68.
- 481 16) Yetunde E.A.; Ukpong, S.U.; Olajumoke, L.; Ime, F. A. Nutrient composition and sensory
 482 properties of cakes made from wheat and African yam bean flour blends. *Journal of Food*483 *Technology*, 2009, 7, 4, 115-118.
- 484 17) Ekpo, A.S. Changes in amino acid composition of African yam beans (*Sphenostylis stenocarpa*)
 485 and African locust beans (*Parkia filicoida*) on cooking. *Pakistan Journal of Nutrition*, 2006, 5,
 486 254-256.
- 18) Norman, B.; Cunningham, A. Lost Crops of Africa volume II Vegetables Development, Security, and
 Cooperation Policy and Global Affairs. National Academies Press Washington, D.C., 2006, 354 pp.
- 489 19) Akande, S. R. Germplasm characterization of African yam beans (*Sphenostylis stenocarpa*) from
 490 South –west, Nigeria. *Plant Genetic Resources News*, 2008, 154: 25-29.
- 491 20) Adewale, B. D.; Kehinde, O. B.; Aremu, C. O.; Popoola, J. O.; Dumet, D. J. Seed metrics for
 492 genetic and shape determinations in African yam bean Fabaceae (*Sphenostylis stenocarpa*493 Hochst. Ex. A. Rich) harms. *African Journal of Plant Science*, 2010, 4, 107-115.
- Rapport, D. J.; Lee, V. Ecosystem approaches to human health: Some observations on North/South experiences. *Environmental Health*, 2003, *3*, 2, 26-39.
- 496 22) Nakajima, K. Biotechnology for crop improvement and production in Japan. In *Biotechnology* 497 *Applications in Agriculture in Asia and Pacific*, Published by Asian Productivity Organization,
 498 1994, pp 87-107.
- 499 23) Arogundade, L.A.; Tai-Hua, M.; Deng, F.; Abegunde, O.K.; Sun, M. Nutrition, gelation
 500 rheology and gel microstructure of isoelectric and ultrafiltered/diafiltered African yam bean
 501 (*Sphenostylis stenocarpa*) protein isolates. *Food Science and Technology*, **2014**, *59*, 2, 1018–1024.
- 502 24) Olisa, B.S.; Ajayi, S.A.; Akande S.R. Physiological quality of seeds of promising African yam
 503 bean (*Sphenostylis stenocarpa* (Hochst. Ex A. Rich) Harms) and Pigeon Pea (*Cajanus cajan* L. Mill
 504 sp.) Landraces. *Res. J. Seed Sci.*, 2010, *3*, 93-101. DOI: 10.3923/rjss.2010.93.101.
- Solo 25) Rao, R.M.; Del, V.M.; Paino, U.; Monti, L.M. Identification of *Vina spp.* through specific seed storage polypetides, *Euphytica*, **1992**, *62*: 39-43.
- 507 26) Machuka, J.; Oladapo, G.; Okeola, C. One- and two-dimensional gel electrophoresic
 508 identification of African yam bean seed proteins. *Journal of Agriculture and Food*509 *Chemistry*, 2000, 48, 6, 2296–2299, DOI: 10.1021/jf990800x.
- 510 27) Akhtar, M. Phylogentic relationships among Vigna species based on agronomic and
 511 biochemical analysis. *M. Phil Thesis, Department of Biological Science Quaid-1-Azam University,*512 Islamabad, Pakistan, 2001, 99 pp, Unpublished.
- 513 28) Aremu, C.O.; Ibirinde, D.B. Bio-diversity studies on accessions of African yam bean
 514 (Sphenostylis stenocarpa). *International Journal of Agricultural Research*, 2012, 7, 78-85,
 515 DOI: 10.3923/ijar.2012.78.85, URL: http://scialert.net/abstract/?doi=ijar.2012.78.85.
- 516 29) Mathibela, M.K.; Egan, B.A.; Du Plessis, H.J.; Potgieter, M.J. Socio-cultural profile of Bapedi
 517 traditional healers as indigenous knowledge custodians and conservation partners in the
 518 Blouberg area, Limpopo Province, South Africa. *Journal of Ethnobiology and Ethnomedicine*,
 519 2015, 6, 11, 49-53. doi: 10.1186/s13002-015-0025-3.

- 520 30) Dania-Ogbe, F.M.; Adebooye, O.C.; Bamidele, J.F. Ethnobotany of indigenous food crops
 521 and useful plants; leafy vegetables of Southwest Nigeria; their identification, nutritional
 522 studies and cultivation of farmer assisted selected endangered species. Paper presented at the
 523 *Biennial Meeting of the UNU/INRA College of Research Associates, Accra, Ghana, 2001, 19-20.*
- S1) Ngendello, A.M.; Byabachwezi, M.S.R.; Schrader, T. Dissemination of agricultural technology:
 narrowing the gap between research, extension and farmers. In Proceedings of the *National Workshop on Client Oriented Research*, N. M. Lema, C. Schouten, T. and Schrader, ed., 2003, pp
 142-153.
- 528 32) Betti, J.L. An ethnobotanical study of medicinal plants among the Baka Pygmies in the Dja
 529 Biosphere Reserve, Cameroon. *African Study Monograph*, 2004, 25, 1-27.
- 530 33) Geissler, P.W.; Harris, A.S.; Prince, R.J.; Olsen, A.; Odhiambo, R.A.; Oketch-Rabah, A.;
 531 Madiega, P.A.; Andersen, A.; Mølgaard, P. Medicinal plants used by Luo mothers and
 532 children in Bondo district, Kenya. *Journal of Ethnopharmacology*, 2001, *83*, 920, 39-54.
- 533 34) Yahaya, H. Analysis of land tenure system among rice farmers in Awe local government area
 534 of Nasarawa State, Nigeria. *International Journal of Agricultural Management & Development*,
 535 2013, 3, 1, 9-15.
- Aremu, P. A.; Kolo, N.; Gana, A. K.; Adelere, F. The crucial role of extension workers in agricultural technologies transfer and adoption. *Global Advanced Research Journal of Food Science and Technology*, 2015, *4*, 2, 014-018.
- 539 36) Nzeh, E.C.; Eboh, O. Technological challenges of change adaptation in Nigeria: Insight from
 540 Enugu. *African Technology Policy Studies Network Working Paper Series*, 2010, pp 52.
- 541 37) Famoriyo, S. Land tenure systems and small farmers in Nigeria. *In*: Oludipe, S.O; Emeka, J.A.;
 542 Bello-Osagie, S.E. eds. *Nigeria small farmers: Problems and prospects in integrated rural*543 *development*. CARD, University of Ibadan, Ibadan, Nigeria, **1980**, 115-132.
- South local government area of Anambra State. *International Journal of Engineering Science Invention*, 2014, 3, 1, 24-38.
- 547 39) FAO. *Climate change and food security*. **2007**, FAO, Rome, Italy
- 548 40) Govindaraj, M.; Vetriventhan, M.; Srinivasan, M. Importance of Genetic Diversity Assessment
 549 in crop plants and its recent advances: An overview of its analytical perspectives. *Genetics*550 *Research International*, 2015, 1-14. <u>http://dx.doi.org/10.1155/2015/431487</u>
- 41) Ikhajiagbe, B.; Mensah, J.K. Genetic assessment of three colour variants of African yam bean
 (*Sphenostylis stenocarpa*) commonly grown in the mid-western region of Nigeria *Internal Journal of Modern Botany*, 2012; 2, 2, 13-18. doi: 10.5923/j.ijmb.20120202.01.
- 42) Nakashima, D.J.; Galloway, M.K.; Thulstrup, H.D.; Ramos, C.A.; Rubis, T.J. Weathering
 uncertainty: Traditional knowledge for climate change assessment and adaptation. *UNESCO*, *and Darwin, UNU*, Paris, **2012**, 120 pp.
- 43) Klu, G.Y.; Amoatey, H.M.; Bansa, D.; Kumaga, F.K. Cultivation and use of African yam bean
 (*Sphenostylis stenocarpa*) in the Volta Region of Ghana. *Journal of Food Technology in Africa*, 2001,
 6, 3, 74-77.
- Legwaila, G.M.; Mojeremane, M.; Madisa, M.E; Mmolotsi, M.E.; Rampart, M. Potential of
 traditional food plants in rural household food security in Botswana. *Journal of Horticulture and Forestry*, 2011, 3, 6, 171-177.
- 563 45) Nnamani, C.V. Innovative Stride to Zero Hunger Beyond 2015 in Nigeria. *Recent Patents on Food, Nutrition & Agriculture*, 2015, 7, 14-21.
- 46) UNESCO. Convention on the protection and promotion of the diversity of cultural expressions, 2005,
 available at: <u>http://portal.unesco.org/culture/en/ev.php</u>
- Arora, R.K. Diversity in Underutilized Plant Species An Asia Pacific Perspective. Bioversity
 International, New Delhi, India, 2014, 203pp.
- 569 48) Nwachukwu, E.O.; Umechuruba, C.I. Antifungal activities of some leaf extracts on seed borne
 570 fungi of African yam bean seeds, seed germination and seedling emergence. *Journal of Applied*

- 571 *Sciences and Environmental Management*, **2001**, *5*, 1, 29-32.
- 572 49) National Research Council. *Lost Crops of Africa. Volume III: Fruits*, Washington, D.C. The
 573 National Academies Press, 2008, 381pp.
- 574 50) Ofomata, G. *Nigeria in Maps*. Ethiopia Publishing House, Eastern States, Benin City Nigeria,
 575 1975, 186 pp.



© 2017 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).